



BOARD OF SUPERVISORS  
AGENDA LETTER

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

Agenda Number:

Department Name: Probation  
Department No.: 022  
For Agenda Of: April 20, 2010  
Placement: Administrative  
Estimated Time:  
Continued Item: No  
If Yes, date from:  
Vote Required: 4/5

2010 APR -8 PM 12 39  
COUNTY OF SANTA BARBARA  
CLERK OF THE  
BOARD OF SUPERVISORS

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**TO:** Board of Supervisors *Patricia J. Stewart*  
**FROM:** Department Patricia J. Stewart, Chief Probation Officer  
Director(s)  
Contact Info: Lee Bethel, Probation Manager  
[lbethel@co.santa-barbara.ca.us](mailto:lbethel@co.santa-barbara.ca.us)  
805 882-3753  
**SUBJECT:** ARRA – Authorization for Acceptance of the California Emergency Management Agency (Cal EMA) American Recovery and Reinvestment Act of 2009 (ARRA) Evidence-Based Probation Supervision Program Grant funds.

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**County Counsel Concurrence**

As to form: N/A

**Other Concurrence:** N/A

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

That the Board of Supervisors:

- a. Authorize the Chief Probation Officer to accept the ARRA California Emergency Management Agency (CAL EMA) Evidence-Based Probation Supervision Program Grant in the amount of \$532,253 for the three year funding cycle of October 2009 through September 2012.
- b. Adopt attached budget revision recognizing the unanticipated revenue.

**Summary Text:**

Utilizing \$532,253 in Cal EMA Evidence-Based Probation Supervision Program grant funds, the Department plans to provide staffing and administrative support for the Santa Barbara Probation Report and Resource Center (SB PRRC). Services will be provided at the existing Department facility located at 4500 Hollister Avenue in Santa Barbara. Services will be slowly implemented at the Probation Office at 117 E. Carrillo Street, until the classroom space currently utilized by the Counseling and Education Center on Hollister Ave. becomes available in July 2010. This is a cost effective utilization of resources, and will provide one large and two small classrooms for PRRC programming. Two full time

equivalent (FTE) positions consisting of a Senior Deputy Probation Officer (Senior DPO) and Deputy Probation Officer (DPO) will be responsible for the delivery of services and facilitation of Evidence-Based Programming at the SB PRRC. The Senior Deputy Probation Officer will be lead in evaluating cases and overseeing case planning in consultation with the supervision DPO and treatment providers. The Senior DPO and the DPO will provide programming as appropriate or be present to monitor other treatment options provided at the SB PRRC, log attendance, and monitor adherence to PRRC case plan by probationers. A .5 FTE (half-time) Administrative Office Professional (AOP) position is also funded. The AOP will have the responsibility of gathering and preparing data as required by the grant.

The SB PRRC will focus on reducing recidivism by delivering evidence-based strategies and programming to adult felons on probation. Probationers at high risk for ultimate incarceration in the California Department of Corrections and Rehabilitation (CDCR) will be a primary focus. Criminogenic needs will be assessed utilizing a validated assessment tool. Services will be concentrated through intensive case management guided by individual needs assessment, participation in cognitive behavioral groups, drug testing, referrals for treatment and the utilization of graduated sanctions. Probation has secured programming for job development, Recovery Oriented System of Care (ROSC) support groups for substance abusing adults which are facilitated by alcohol and drug abuse treatment personnel, and Thinking for Change sessions. Thinking for Change is an integrated, cognitive behavior change program for offenders, which includes cognitive restructuring, social skills development, and development of problem solving skills. This program, provided by the National Institute of Corrections (NIC) and facilitated by qualified Probation staff, has been shown to reduce recidivism. As community partnerships and other funding resources continue to be developed they will enrich the evidence-based SB PRRC programming.

### **Background:**

On February 17, 2009, President Obama signed the American Recovery and Reinvestment Act (Public Law 111-5, or "ARRA") with the intended purpose of creating/maintaining jobs and reenergizing the economy. Included in the ARRA package is funding for various federal agencies to provide a multitude of federal programs and almost \$2 billion for state and local law enforcement for criminal justice activities through the Recovery Justice Assistance Grant (JAG) program. Cal EMA, as the State Administrative Agency (SAA) in California, was awarded over \$135 million under the Recovery JAG Program and of this amount, approximately \$44 million was allocated to support the Evidence-Based Probation Supervision Program (EBPSP). On December 8, 2009, the Department received authorization from the Board to submit and sign the grant award agreement for the ARRA Cal EMA Evidence-Based Probation Supervision Program.

Funding for the EBPSP is being distributed proportionately to qualifying county probation departments based on each county's population of adults between 18 and 25 years of age, provided that each county receive a minimum of \$33,000. These are one-time grant funds for expenditure between October 1, 2009 and September 30, 2012. This funding is to be utilized in providing evidence-based supervision, programs, or services to adult felony probationers to reduce the likelihood that these individuals will commit new crimes or other violations resulting in the imposition of a state prison sentence. To receive funding, departments must demonstrate that the programs for which they are seeking funds are evidence-based and targeted toward adult felony probationers. An evidence-based program for adult probationers must have empirical evidence that the program has been shown to reduce rates of re-offending, re-arrest, re-conviction, or re-incarceration for program participants or that the program is considered a best practice in criminal justice literature.

The EBPSP funds will enable the Department to build evidence-based programming alternatives in the Adult Division. Resources and support in the community as well as a robust system of intermediate sanctions are important elements of an evidence-based system. Currently the Department has reallocated resources to create the Santa Maria PRRC for this purpose; the EBPSP funds will enable the Department to open the Santa Barbara PRRC to address the significant need for evidence-based programming and intermediate sanctions available to probationers in the south county, spreading the benefits of the program county-wide.

**Performance Measure:**

Ensure that 65% adult probationers successfully completing the Thinking for Change program at the Santa Barbara PRRC are not returned to court for a new law violation within 6 months of completing the program.

Ensure that 50% of adult probationers successfully completing the Work and Gain Economic Self-Sufficiency (WAGE\$\$) program are gainfully employed or participating in vocational/educational programming within 90 days of program completion.

**Fiscal and Facilities Impacts:**

The revenue from this grant will reduce the impact of State and County General Fund reductions. There are no facility impacts and no increase to the Probation Department's General Fund contribution.

**Fiscal Analysis:**

<u>Funding Sources</u>	<u>Current FY Cost:</u>	<u>FY10-11 Costs</u>	<u>FY11-12 Costs</u>	<u>Total Grant Award</u>
General Fund				
State				
Federal	\$ 87,846.00	\$ 278,397.00	\$ 157,010.00	\$ 523,253.00
Fees				
Other:				
Total	\$ 87,846.00	\$ 278,397.00	\$ 157,010.00	\$ 523,253.00

**Narrative:**

The grant is a 3 year grant. FY 2009-10 grant-funded expenditures will total \$87,846. The grant will result in the reinstatement/preservation of a Senior DPO, DPO, and .5 AOP that would have otherwise been displaced due to FY 2009-10 reductions. The FY 2010-11 revenue and expenditures related to this program will be included in the Department's FY 2010-11 proposed budget. There is no match requirement. The grant fully funds the 2.5 FTEs needed to staff the SB PRRC. The grant allows the funds to be expended prior to the end of the three year period.

**Staffing Impacts:**

**Legal Positions:**  
No Effect

**FTEs:**  
2.5

**Special Instructions:**

Please return two (2) certified copies to Michael Cameron, Fiscal Manager, Probation Department,  
117 E. Carrillo St., Santa Barbara, CA 93101

**Attachments:**

Attachment A - Probation Mandates

Attachment B – Budget Revision

**Authored by:**

Lee Bethel, Probation Manager

**cc:**

## **PROBATION DEPARTMENT MANDATES**

### **GENERAL**

131.5CCP; 830.5 PC; 1202.7 PC; 273a(c) (3) (A) and 273d(c) (3) (A) PC; 1203.097(c) PC; 1203.098 PC; 1203. 097 (c) (1) PC; 1203. 097 (C) PC; 13010-13014 PC; 6035 PC13020 PC; 3300 G C; 1020-1031.5 Government Code

### **CIVIL**

1513 Probate Code; 1513.1 Probate Code; 1826 Probate Code; 1851 Probate Code; 1851.5 Probate Code; 7800FLC;7801 FLC; 7803 FLC;7804 FLC; 7805 FLC; 7807 FLC; 7808 FLC; 7810 FLC; 9001 FLC

## **JUVENILE DIVISION**

### **A. Administrative Responsibility/Notification**

1203.74 PC; 270 WIC

### **B. Juvenile Intake**

601; 601.2; 601.3;602; 627.5; 628 WIC; 628.1 WIC; 630 WIC;631 WIC; 632 WIC; 652 WIC;652.5WIC; 653 WIC ;676.5 WIC; 777WIC;778 WIC;1404-1405 Juvenile Court Rules

### **C. Juvenile Investigations**

200 WIC; 280 WIC; 281 WIC; 281.5 WIC; 361.2 WIC; 635 WIC; 636 WIC; 652 WIC; 653.5 WIC; 656.2 WIC; 636.1 WIC; 706 WIC; 706.5 WIC; 706.6 WIC; 707 WIC; 727.1 WIC; 727.4 WIC; 742 WIC; 781 WIC; 1499 Juvenile Court Rules Sealing Records

### **D. Juvenile Supervision**

241.1 WIC; 366.23 WIC; 366.26 WIC; 625 WIC; 625.3 WIC; 641 WIC; 654 WIC; 654.1 WIC; 654.2 WIC; 654.3 WIC; 654.4 WIC; 654.6 WIC; 655 WIC; 725 WIC; 727 WIC; 727.1 WIC; 727.2 WIC; 727.3 WIC; 727.31 WIC; 727.4 WIC; 727.4 (d) (4) WIC; 727.6 WIC; 729 Et Esq. WIC; 729.3 WIC; 729.7 WIC; 729.8 WIC; 729.9 WIC; 730 WIC; 730.6 WIC; 730.7 WIC; 730.8 WIC; 737 WIC; 738 WIC; 740 WIC; 740.1 WIC; 742.16 WIC; 790 WIC; 793 WIC; 794 WIC; 795 WIC; 841 WIC; 1300 ET Esq. WIC; 1502.4 (a)(1) H&S; 4096 WIC; 5600.3 WIC; 11400 WIC; 11401 WIC; 11402 WIC; 11404 WIC; 10553.1WIC; 11404.1 WIC; 11462.01(2) (C) WIC; 295(i) (2) and 298 (b) (4) PC; 296.1 (a) (3) (A) PC

## **INSTITUTIONS**

### **A. Juvenile Hall**

Title 15, Division 1 or Chapter 1 Standards Corrections Authority (formerly the Board of Corrections), Subchapter 5 Minimum Standards for Juvenile Facilities

210 WIC; 850 WIC

### **B. Home Supervision**

628.1; 840 WIC

### **C. Boys Camp**

Title 15, Division 1 or Chapter 1 Standards Corrections Authority (formerly the Board of Corrections), Subchapter 5 Minimum Standards for Juvenile Facilities

881 WIC; 885 WIC

### **D. Counseling and Education Centers**

654 (c) WIC

### **E. Non-Secure Detention**

210.1 WIC; 601 WIC; 626 WIC;

### **F. Medical Care Juveniles**

369 WIC; 739 WIC

### **G. Separate Categories**

284 WIC; 285 WIC; 656.2 WIC; 704 WIC; 729.7 WIC; 742 WIC; 742.20 WIC; 749.22 WIC; 749.23 WIC; 751 WIC; 826 WIC; 826.5 (a) WIC; 826.6 WIC; 827WIC; 48321 ED CODE; 56026 ED CODE; 56325 ED CODE;

## **ADULT DIVISION**

### **A. Adult Investigation:**

1000.5PC; 1001.20 PC to 1001.34 PC; 1001.70 PC to 1001.90 PC; 1191 PC; 1191.1 PC; 1191.2 PC; 1191.3 PC; 1202.4 PC; 1202.4(f) (3) PC; 1202.42PC; 1203 PC; 1203a PC; 1203c PC; 1203d PC; 1203.9 PC; 1203.10 PC; 1203.097(b) (3) PC; 1203.097(b) (4) PC; 1210.1 PC; 4.310 Judicial Rule; 4.411(a) (b) (c) (d) Judicial Rule; 4.411.5 Judicial Rule

### **B. Adult Supervision:**

290(c) (1) PC; 290.4 PC; 295(i) (2) PC; 298 (b) (4) PC; 296.1 (a) (3) (A) PC; 296.1 (a) (5) (A) PC; 298(b) (3) PC; 457.1 (e) PC; 1202.7 PC; 1202.8 PC; 1203.02 PC; 1203.016 PC; 1203.044 PC; 1203.044(h) PC; 1203.045 PC; 1203.047 PC; 1203.055 PC; 1203.055(f) PC; 1203.066 PC; 1203.067 PC; 1203.076 PC; 1203.097 PC; 1203.1 PC; 1203.1(b) PC; 1203.1bb PC; 1203.1(d) 1203.1(h) PC; 1203.1ab PC; 1203.1b (a) PC; 1203.1e PC; 1203.1g PC; 1203.1k PC; 1203.2 PC; 1203.2(a) PC; 1203.3 PC; 1203.4 PC; 1203.7 PC; 1203.9 (a) PC; 1203.9 (b) PC; 1203.10PC; 1203.12 PC; 1203.13 PC; 1389.6 PC; 1203.95 PC; 3075 PC to 3076 PC; 11180 PC and 11181 PC; 13020 PC

Rev 04/06

# Budget Revision Request

Gov. Code Sec. 29125 & 29130

BJE 0000856  
Budget Journal Entry #

JE  
Related Journal Entry #

**Subject / Title:** Provide a short description for this budget revision request. For example: "Designate funds for zoning ordinance amendments" or "Distribute proceeds from sale of 2005 COPS".

Probation Department: Increase appropriations by \$87,846 in FY 2009-10 as part of the \$532,253 three year grant from the American Recovery and Reinvestment Act 2009 (ARRA) California Emergency Management Agency (Cal-EMA) Evidence Based Probation Supervision Recovery Act Program for the Probation Report and Resource Center in Santa Barbara.



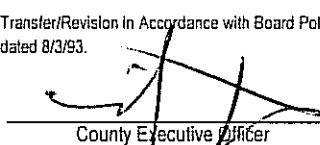
**Justification:** For all changes: explain what the change is for and why it is needed. Attach additional justification, board Letters or spreadsheet, if appropriate. When moving Appropriation: explain why it's available. When Revenue is adjusted: explain the reason for the increase or decrease. For adjustments to General Fund Contingency: explain why no other alternative funding source is available.

This budget revision increases revenues by \$87,846 for the three year ARRA award from Cal-EMA LI Acct 4560 "ARRA - Federal Direct". This grant revenue will be used to fund 1.0 FTE Deputy Probation Officer Senior, 1.0 FTE Deputy Probation Officer and a .5 FTE Administrative Office Professional for the Probation Report and Resource Center in Santa Barbara. Appropriations will be increased in the Salaries and Benefits by \$77,574 and in the Services and Supplies LI Acct 7450 by \$7,865 for start up and various office expenses, Special Departmental Expense LI Acct 7650 by \$1,869 for drug testing supplies and bus tokens for clients Training & Travel LI Acct 7730 by \$538 for grant-required travel. A grant amount of \$278,397 will be included in the FY 2010-11 budget. The grant period is from October 1, 2009 to September 30, 2012 and the total of the three year grant is \$532,253.

## Financial Summary

Increase or (Decrease) in Appropriation for / Uses:	Department / Fund 022 / 0001	Department / Fund /	Department / Fund /	Department / Fund /	Department / Fund /
Salaries & Benefits	77,574   00	00	00	00	00
Services & Supplies	10,272   00	00	00	00	00
Other Charges	00	00	00	00	00
Fixed Assets	00	00	00	00	00
Other Financing Uses	00	00	00	00	00
Intrafund Transfers	00	00	00	00	00
Reserve or Designation	00	00	00	00	00
<b>Sources:</b>					
Revenue	87,846   00	00	00	00	00
Other Financing Sources	00	00	00	00	00
Intrafund Transfers	00	00	00	00	00
Reserve or Designation	00	00	00	00	00
Effect on Contingency / RE	-   00	00	00	00	00

2010 APR-7 AM 10:13  
RECEIVED  
AUDITOR  
2010 APR 6 PM 8:55  
COUNTER

<b>Departmental Authorization</b>  4-5-10 Department Head Date Department Head Date Department Head Date	<b>Auditor-Controller</b> Budget Journal Entry and Related Journal Entry if applicable Approved as to Accounting Form. 4/6/10  Auditor-Controller	<b>CEO's Recommendation</b> <input checked="" type="checkbox"/> Approve <input type="checkbox"/> Disapprove 4/7/10 Date Transfer/Revision in Accordance with Board Policy dated 8/3/93.  County Executive Officer	<b>Board of Supervisor's Action</b> <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved Date Agenda Item Clerk of the Board of Supervisors
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# Budget Journal Entry

Document Number: BJE - 0000856 Batch ID: 1190426  
 Document Description: SB PRRC Budget Processed On:  
 Post On: Processed By:

## References

Audit Trail:

## Accounting

Fund	Dept	GL Acct	LI Acct	Debit Amount	Credit Amount	Prog	OUnit	Proj	Budget Period	Description
0001	022	2420	4560	87,846.00		4450			201004	Revenue from Cal-EMA ARRA for SB PRRC
0001	022	2530	6100		77,574.00	4450			201004	Adj Appropriations SB PRRC
0001	022	2530	7450		7,865.00	4450			201004	Adj Appropriations SB PRRC
0001	022	2530	7650		1,869.00	4450			201004	Adj Appropriations SB PRRC
0001	022	2530	7730		538.00	4450			201004	Adj Appropriations SB PRRC
Total				87,846.00	87,846.00					

## Signatures

Signed By: Signed On: Department:  
 Michael Cameron 4/5/2010 4:40:44 PM 022 - Probation