

**CALIFORNIA MENTAL HEALTH SERVICES AUTHORITY**  
**“CalMHSA”**  
**PARTICIPATION AGREEMENT AMENDMENT NO. 1**  
**SEMI-STATEWIDE ENTERPRISE HEALTH RECORD**

This Participation Agreement Amendment No. 1 is a contract by and between the California Mental Health Services Authority (“CalMHSA”) and Santa Barbara County (“Participant”).

**WHEREAS**, CalMHSA and Participant entered into Participation Agreement No. 1766-EHR-2022-SB executed on November 8, 2022; and

**WHEREAS**, CalMHSA and Participant agree to amend the original Participation Agreement to incorporate the additional purchases and corresponding Committed Funding and Contingency Budget modifications as specified below:

**ADDITIONAL PURCHASES:**

This Participation Agreement Amendment No. 1 incorporates additional component purchases totaling **\$59,627.89** in additional committed funding.

Pricing and payment terms for each additional component purchased can be found in Exhibit C-1, below. Funding for the additional components purchased has been shifted from Participant’s Contingency Budget, Exhibit D, to Participant’s Specific Committed Funding, Exhibit C, below. Please refer to Exhibit C-1 and Exhibit D-1, below, for Participant’s amended Committed Funding and amended Contingency Budget, respectively.

The additional component purchases include:

1. Purchase of a subscription to use the “SmartCare Lab Interface”. This item is an annual application subscription, which will be invoiced on a monthly basis, pursuant to EXHIBIT C-1, below.
2. Purchase of professional services to implement the “SmartCare Lab Interface”. This fee is a one-time charge to be invoiced upon execution of this Agreement Amendment.
3. Purchase of a subscription to use the “SMS/Text Notification Reminders”. This item is an annual application subscription, which will be invoiced on a monthly basis, pursuant to EXHIBIT C-1, below.
4. Purchase of professional services to implement the “SMS/Text Notification Reminders”. This fee is a one-time charge to be invoiced upon execution of this Agreement Amendment.

**NOW THEREFORE**, CalMHSA and Participant hereby agree to amend the original Participation Agreement No. 1766-EHR-2022-SB in the following manner:

1. EXHIBIT C-1 – PARTICIPANT-SPECIFIC COMMITTED FUNDING AND PAYMENT TERMS replaces EXHIBIT C in the original Participation Agreement No. 1766-EHR-2022-SB. All references in the Agreement to EXHIBIT C shall be construed to refer to EXHIBIT C-1.

This Participation Agreement Amendment No. 1 adds **\$59,627.89** in additional committed funding. The revised total maximum amount of committed funding shall not exceed **\$6,565,447**, inclusive of the **\$59,627.89 increase**, for the agreement term as specified in the Participation Agreement 1766-EHR-2022-SB;

2. EXHIBIT D-1 – PARTICIPANT CONTINGENCY BUDGET replaces EXHIBIT D in the original Participation Agreement No. 1766-EHR-2022-SB. All references in the Agreement to EXHIBIT D shall be construed to refer to EXHIBIT D-1.

This Participation Agreement Amendment No. 1 reduces participant's Contingency Budget by **\$59,627.89**. The revised total maximum Participant Contingency Budget shall not exceed **\$863,745**, inclusive of the **\$59,627.89 decrease**, for the agreement term as specified in the Participation Agreement No. 1766-EHR-2022-SB.

**EXHIBIT C-1 – PARTICIPANT-SPECIFIC COMMITTED FUNDING AND PAYMENT TERMS**

**Committed Funding**

1. Exhibit C-1: The table below reflects the additional purchases and associated **increase of \$59,627.89** in Committed Funding affected by this Participation Agreement Amendment No. 1, and replaces EXHIBIT C in the original Participation Agreement No. 1766-EHR-2022-SB, effective upon execution of this Participation Agreement Amendment. The revised maximum amount of Participant-Specific Committed Funding shall not exceed **\$6,565,447** for the program term, as stated below:

Agreement No.: 1766-EHR-2022-SB-A1  
Semi-Statewide Enterprise Health Record  
May 26, 2023

Description	Unit(s)	7/1/22 - 6/30/23	7/1/23 - 6/30/24	7/1/24 - 6/30/25	7/1/25 - 6/30/26	7/1/26 - 6/30/27	7/1/27 - 6/30/28	7/1/28 - 3/18/29
Participant Instance Installation	1	\$ 250,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
System Acquisition Fee	1	\$ 107,782.36	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Initial Development Fee (Customization and Security)	1	\$ 107,782.36	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Discretionary Development Budget	1	\$ 107,782.36	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Professional Services Implementation	1	\$ 1,033,846.15	\$ 86,153.85	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare Patient Portal Implementation	1	\$ 2,400.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare IP/Residential Implementation	1	\$ 7,500.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare OE/EMAR Implementation	1	\$ 18,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare Pharmacy Interface Implementation	1	\$ 15,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare Pyxis Interface Implementation	2	\$ 30,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare HIE / MCO Interface via FHIR Implementation	2	\$ 24,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
High Availability Cloud Infrastructure Implementation	1	\$ 12,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Disaster Recovery Implementation	1	\$ 6,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare CalMHSa Package	800	\$ 88,160.00	\$ 528,960.00	\$ 528,960.00	\$ 528,960.00	\$ 528,960.00	\$ 528,960.00	\$ 352,640.00
SmartCare Rx Prescribers Subscription	25	\$ 5,980.00	\$ 35,880.00	\$ 35,880.00	\$ 35,880.00	\$ 35,880.00	\$ 35,880.00	\$ 23,920.00
SmartCare Patient Portal Subscription	3000	\$ 552.00	\$ 3,312.00	\$ 3,312.00	\$ 3,312.00	\$ 3,312.00	\$ 3,312.00	\$ 2,208.00
SmartCare IP/Residential Subscription	1	\$ 2,875.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 11,500.00
SmartCare OE/EMAR Subscription	1	\$ 2,875.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 17,250.00	\$ 11,500.00
SmartCare Pharmacy Interface Subscription	1	\$ 575.00	\$ 3,450.00	\$ 3,450.00	\$ 3,450.00	\$ 3,450.00	\$ 3,450.00	\$ 2,300.00
SmartCare Pyxis Interface Subscription	2	\$ 1,150.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 4,600.00
SmartCare HIE / MCO Interface via FHIR	2	\$ 1,150.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 6,900.00	\$ 4,600.00
SmartCare Add-On Hosting Storage Subscription	500	\$ 1,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 4,000.00
High Availability Cloud Infrastructure Subscription	1	\$ 11,200.00	\$ 67,200.00	\$ 67,200.00	\$ 67,200.00	\$ 67,200.00	\$ 67,200.00	\$ 44,800.00
Disaster Recovery Subscription	1	\$ 4,800.00	\$ 28,800.00	\$ 28,800.00	\$ 28,800.00	\$ 28,800.00	\$ 28,800.00	\$ 19,200.00
Annual %3 Fee Increase - Subscription	1	\$ 3,609.51	\$ 21,873.63	\$ 22,529.84	\$ 23,205.73	\$ 23,901.91	\$ 24,618.96	\$ 16,737.65
Funded RFP Participation Agreement	1	\$ 100,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RAND Evaluation	1	\$ 250,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare Lab Interface Implementation	1	\$ 15,000.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SMS/Text Notification Reminders - Implementation	1	\$ 3,200.00	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
SmartCare Lab Interface Subscription	1	\$ 503.42	\$ 3,050.74	\$ 3,142.26	\$ 3,236.53	\$ 3,333.63	\$ 3,433.64	\$ 2,334.42
SMS/Text Notification Reminders - Subscription	1	\$ 592.25	\$ 3,589.04	\$ 3,696.71	\$ 3,807.61	\$ 3,921.84	\$ 4,039.49	\$ 2,746.32
<b>Total Amount by Fiscal Year</b>		<b>\$ 2,215,315.42</b>	<b>\$ 836,569.25</b>	<b>\$ 751,270.81</b>	<b>\$ 752,151.87</b>	<b>\$ 753,059.37</b>	<b>\$ 753,994.09</b>	<b>\$ 503,086.39</b>
<b>Total Participant-Specific Committed Funds</b>	<b>\$ 6,565,447</b>							

Santa Barbara County – EXHIBIT C-1 Participant-Specific Committed Funding and Payment Terms

**Committed Funds Payment Terms For Additional Purchases**

The table below describes the additional component purchases incorporated by this Amendment, effective as of the date of execution of this Participation Agreement Amendment No.1. The components listed are in addition to those included in the original Agreement No. 1766-EHR-2022-SB.

<b>Description</b>	<b>Fee Type Description</b>	<b>Payment Term</b>
SmartCare Lab Interface Implementation	One-Time Fee associated with the implementation efforts to support SmartCare Lab Interface.	The fee for this implementation service shall be due upon execution of this Participation Agreement Amendment.
SMS/Text Notification Reminders Implementation	One-Time Fee associated with the implementation efforts to support SMS/Text Notification Reminders.	The fee for this implementation service shall be due upon execution of this Participation Agreement Amendment.
SmartCare Lab Interface Subscription	Used to exchange order and results with external labs. Labs can be ordered out of SmartCare and sent electronically to an outside lab, then the results pulled back into SmartCare electronically.	The annual subscription amount shall be invoiced on a monthly basis. Monthly payments shall be due upon receipt of invoice.
SMS/Text Notification Reminders - Subscription	SmartCare Notification-SMS/Text sends notification of upcoming appointments with date and time.	The annual subscription amount shall be invoiced on a monthly basis. Monthly payments shall be due upon receipt of invoice.

**Committed Funds Payment Terms**

Description	Fee Type Description	Payment Term
Participant Instance Installation	Participant Instance Installation Fee includes: <ul style="list-style-type: none"> <li>• Setup &amp; Configuration of hosted infrastructure</li> <li>• Installation of licensed product and services</li> <li>• Setup and configuration of SmartCare environments for implementation</li> <li>• Ongoing support &amp; maintenance of environments during implementation</li> <li>• Support access for and Participant implementation team</li> </ul>	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt
System Acquisition Fee	<ul style="list-style-type: none"> <li>• This cost covers the “Core” CalMHSA Installation of SmartCare</li> <li>• This cost is also associated with establishing an agreement between Streamline and CalMHSA which secured discounted pricing and other considerations</li> </ul>	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt

Initial Development Fee (Customization and Security)	This cost covers the identified development items to be delivered during the implementation effort	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt
Discretionary Development Budget	This cost establishes a shared budget to address as-yet unidentified items	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt
Professional Services Implementation	Monthly Fees are initiated upon Participation Agreement execution and paid throughout the entire phase of each Participant's Implementation	Payment of invoices are due within 30 days of receipt
SmartCare HIE / MCO Interface via FHIR Implementation	One-Time Fee associated with the implementation efforts to support specific variable modules selected by the Participant	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt
Disaster Recovery Implementation	One-Time Fee associated with the professional services to establish infrastructure within the cloud datacenter to support Disaster Recovery	One-Time Fee to be invoiced upon Participant Agreement execution. Payment of invoices are due within 30 days of receipt
SmartCare CalMHSA Package Subscription	The "SmartCare CalMHSA Package" is the primary subscription which includes: <ul style="list-style-type: none"> <li>•Use of the EHR</li> </ul>	For this initial Participant Agreement, these monthly fees will be initiated two months prior to the Implementation Phase assigned to the Participant.

	<ul style="list-style-type: none"> <li>•Cloud Hosting of the Software/System (99.95% Up-Time)</li> <li>•CalMHSA Support of the System (Tier 1)</li> <li>•Contractor Support and Maintenance of the System (Tier 2)</li> </ul>	<p>After Go-Live, additional subscriptions as requested by Participant will prompt an associated adjustment to the monthly fees.</p> <p>Subscription shall be invoiced monthly throughout the remaining term of the Participant Agreement. Payment of invoices are due within 30 days of receipt.</p>
SmartCare Rx Prescribers Subscription	Integrated Surescripts Certified subscription based on individual prescriber, which allows users to prescribe medications to patients that can be electronically submitted, printed or faxed directly to the pharmacy.	
SmartCare HIE / MCO Interface via FHIR	Module to integrate with Health Information Exchange (HIE) or Managed Care Organization (MCO) to share client demographic and health information.	
Disaster Recovery Subscription	Disaster recovery subscription provides the infrastructure and as-needed services to assure Participant's ability to access to the Enterprise Health Record (EHR) after events like a natural disaster, cyber attack, etc. Disaster recovery relies upon the replication of data and computer processing in an off-premises location not affected by	



	<p>the disaster. With this subscription, should such an event occur, access to the EHR will be re-established within 4 hours with data loss not to exceed 15 minutes.</p>	
<p>SmartCare Add-On Hosting Storage Subscription</p>	<p>Subscription for 250 gigs of storage. Additional storage can be purchased as needed.</p>	
<p>Annual 3% Fee Increase - Subscription</p>	<p>All Subscription Fees will increase by 3% annually</p>	

**EXHIBIT D-1 – PARTICIPANT CONTINGENCY BUDGET**

**Participant Contingency Budget**

1. Exhibit D-1: The table below reflects the **reduction of \$59,627.89** to the Contingency Budget affected by this Participation Agreement Amendment No. 1, and replaces EXHIBIT D in the original Participation Agreement No. 1766-EHR-2022-SB, effective upon execution of this Participation Agreement Amendment. The revised maximum Contingency Budget Funding shall not exceed **\$863,745** for the program term, as stated below:

Description	7/1/22 - 6/30/23	7/1/23 - 6/30/24	7/1/24 - 6/30/25	7/1/25 - 6/30/26	7/1/26 - 6/30/27	7/1/27 - 6/30/28	7/1/28 - 3/18/29
Subscription Costs for Anticipated User Growth (25 additional SmartCare users per year and 5 additional Prescribers)	\$ 24,678.43	\$ 24,678.43	\$ 24,678.43	\$ 24,678.43	\$ 24,678.43	\$ 24,678.43	\$ 24,678.43
Subscription Costs for Additional Modules	\$ 16,455.40	\$ 16,455.40	\$ 16,455.40	\$ 16,455.40	\$ 16,455.40	\$ 16,455.40	\$ 16,455.40
Discretionary Development Budget for Participant Specific Requirements	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16
Professional Services	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16	\$ 41,129.16
<b>Total Amount by Fiscal Year</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>	<b>\$ 123,392.14</b>
<b>Total Participant Contingency Funds</b>	<b>\$ 863,745</b>						

All other terms or provisions in the original Agreement No. 1766-EHR-2022-SB, not cited in this Participation Agreement Amendment No. 1, shall remain in full force and effect.

This Participation Agreement Amendment No. 1 may be executed in any number of counterparts, and each of such counterpart shall for all purposes be deemed to be an original, and all such counterparts, or as many of them as the parties shall preserve undestroyed, shall together constitute one and the same instrument.

A copy of the original Participation Agreement No. 1766-EHR-2022-SB, on November 8, 2022, shall be attached.

**CalMHSA**

Signed: \_\_\_\_\_ Name (Printed): Dr. Amie Miller, Psy.D., MFT

Title: Executive Director Date: \_\_\_\_\_

**Participant:**

Signed: \_\_\_\_\_ Name (Printed): Antonette Navarro, LMFT

Title: Director, Department of Behavioral Wellness Date: \_\_\_\_\_