



BOARD OF SUPERVISORS  
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

**Department Name:** Behavioral Wellness  
**Department No.:** 043  
**For Agenda Of:** June 21, 2016  
**Placement:** Administrative  
**Estimated Time:** N/A  
**Continued Item:** No  
**If Yes, date from:**  
**Vote Required:** Majority

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**TO:** Board of Supervisors

**FROM:** Department Alice Gleghorn, PhD, Director  
Director(s) Behavioral Wellness 681-5220  
Contact Info: Pam Fisher, Deputy Director, Chief of Clinical Operations,  
681-5220  
John Doyel, Alcohol and Drug Program Manager, 681-5220

**SUBJECT:** Fiscal Year 16-17 – Behavioral Wellness Mental Health and Alcohol and Drug Program (ADP) Contract Renewals

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**County Counsel Concurrence**

As to form: Yes

Other Concurrence: Risk Management

As to form: Yes

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

That the Board of Supervisors:

- A. Approve and authorize the Chair to execute an Agreement for Services of Independent Contractor with **Child Abuse Listening and Mediation, Inc.** (a local vendor), for the provision of children's mental health services, for a total contract amount not to exceed **\$2,969,766** for the period of July 1, 2016 through June 30, 2017.
- B. Approve and authorize the Chair to execute an Agreement for Services of Independent Contractor with **Santa Maria Valley Youth & Family Center** (a local vendor), for the provision of children's mental health services, and substance use disorder services, for a total contract amount not to exceed **\$876,454** for the period of July 1, 2016 through June 30, 2017.
- C. Determine that the above actions are organizational or administrative activities of government that will not result in direct or indirect physical changes in the environment, pursuant to section 15378(b)(5) of the California Environmental Quality Act (CEQA) guidelines.

**Summary Text:**

The Santa Barbara County Behavioral Wellness Department provides a continuum of mental health and substance use disorder services to Santa Barbara County residents, in part through contracted providers including Community-Based Organizations (CBOs). Approval of the recommended actions will allow Behavioral Wellness to continue to provide mandated substance use services.

**Background:**

Behavioral Wellness provides specialty mental health services to Medi-Cal beneficiaries and other individuals to the extent resources allow, in part through contracted services. As well as providing services to individuals with substance use issues primarily through contracted providers. Alcohol and Other Drug (AOD) treatment services provided by contracted providers include outpatient treatment, specialized perinatal substance use treatment and prevention services. Child Abuse Listening and Mediation (CALM) agency provides specialty mental health services and Santa Maria Valley Youth & Family Center (SMVYFC) provides both specialty mental health services and substance abuse outpatient treatment.

**CALM**

Mental Health Services: CALM provides mental health services to children and families countrywide. As part of the SPIRIT Program, CALM provides Child and Family Specialists to work in collaboration with Behavioral Wellness staff on three regional Wrap-around teams which serve children at greatest risk of out of home placement. CALM provides Intensive In-Home mental health services in homes and community settings of children and their families to improve client and family functioning. CALM's Therapeutic Foster Care program (HOPE) provides Intensive In-Home services to children in foster care. CALM also provides early childhood mental health services through the Mental Health Services Act (MHSA) Prevention and Early Intervention (PEI) and Medi-Cal funding to children aged birth to five years and their families. In addition, CALM provides short-term brief therapy to children and their families in an outpatient setting.

**Santa Maria Valley Youth & Family Center (SMVYC):**

Mental Health Services: SMVYFC provides mental health services to children with Serious Emotional Disorders and their families in Santa Maria. SMVYFC provides Intensive In-Home to children and their families in their homes and community settings to improve client and family functioning. HOPE provides Intensive In-Home services to children in foster care.

ADP Services: SMVYF offers a youth and family treatment program that includes outpatient individual and group counseling services, case management, family services, parenting activities, and drug testing, as well as early intervention and outreach services in Santa Maria. SMVYF also provides short term brief therapy to children and their families in an outpatient setting for substance abuse.

**Performance Measure:**

CALM served an average of 33 Intensive In-Home clients, 72 Managed Care clients, 41 SPIRIT clients, 97 Early Childhood Mental Health (ECMH) clients, and 173 Early Childhood Specialty Mental Health (ECSMH) clients during the first 6 months of Fiscal Year 15-16. SPIRIT, a Full Service Partnership program, had zero out-of-home placements, which met the goal of 2 or less per quarter. The rest of the programs aimed to have zero out-of-home placements, but did not achieve the goal. In the first 6 months, Intensive In-Home had 2 placements, Managed Care had 1, Hope had 1, and ECMH/ECSMH

had 7 placements. The goal for the percentage of clients enrolled in school, employed or volunteering was 100%. Although the majority of clients were engaged in these purposeful activities, Intensive In-Home achieved 97%, Managed Care 99%, SPIRIT 92%, and HOPE 93%. ECMH/ECSMH serves non-school age children; therefore, this outcome was not measured. As of March 2016, 88% of Intensive In-Home clients, 84% of SPIRIT clients and 83% of HOPE clients had at least one CANS completed. SPIRIT and HOPE met the target of keeping incarcerations very low, 3% and 1%, respectively. Intensive In-Home's target was 4% per quarter. In Quarter 1, the rate was 6% (2 of 35 clients), but Quarter 2 there were zero incarcerations. CALM exceeded the goals for psychiatric inpatient admissions, with only 5 admissions across the programs. The percent of clients that were maintaining stable/permanent housing was very high, but only HOPE (goal 61%) met or exceeded the target in both quarters. Intensive In-Home's goal was 96%, which was exceeded in Quarter 1 (97%), but in Quarter 2 only 92% were in stable permanent housing. Managed Care aimed for 100%, and came close with 96% (Q1) and 98% (Q2). SPIRIT's goal was 97%, but reported 85% (Q1) and 93% (Q2). The ECMH/ECSMH program goals were 100% in stable permanent housing, and averaged 94% across the two quarters.

At the end of each fiscal year, the Managed Care program provides outcome data measuring children's externalizing and internalizing behaviors, as measured by the Child Behavior Checklist. The ECMH/ECSMH programs also report annually on parent confidence and knowledge of their parenting skills and parenting-related stress (Parenting Stress Index); depression rates for mothers; and increased knowledge of parent/caregiver child development and infant care.

**SMVYFC** provided services to an average of 27 children in Intensive In-Home, 16 children in HOPE, 37 children in Managed Care, and 10 children in School Based services during Quarters 1 and 2 of Fiscal Year 15-16. All 4 programs were successful at minimizing out-of-home placements and helping families maintain stable/permanent housing. Across all programs, 100% of children were engaged in purposeful activity such as school, employment or volunteering. There were no incarcerations or psychiatric inpatient admissions.

Santa Maria Valley Youth and Family provides Outpatient Drug Free (ODF) treatment to youth and adults in Santa Maria, serving an average of 35 adults and 31 adolescents per quarter. The percent of clients staying more than 30 and 90 days in treatment has exceeded expectations with 35.4% in the 1<sup>st</sup> quarter for Adults and 47.1% in the 2<sup>nd</sup> quarter and for Adolescents, 33% in the 1<sup>st</sup> quarter and 43.8% in the 2<sup>nd</sup> quarter. However, the average successful treatment completion rates have been lower than expected.

**Drug Overdose Prevention Education (DOPE)** – Santa Maria Valley Youth and Family is meeting DOPE expectations.

**Fiscal and Facilities Impacts:**

Budgeted: Yes **Fiscal Analysis:**

<b>Funding Sources</b>	<b>FY 16-17</b>	
General Fund		
State	\$	1,923,110.00
Federal	\$	1,923,110.00
Fees		
Other:		
Total	\$	3,846,220.00
	\$	-
	\$	-

Narrative: The above referenced contracts are funded by State and Federal funds. The funding sources are included in the FY 2016-2017 Proposed Budget.

**Key Contract Risks:**

As with any contract funded by State and Federal sources, there is a risk of future audit disallowances and repayments. The dollars Behavioral Wellness collects from the Centers for Medicare and Medicaid Services (CMS) via the State for specialty mental health services provided to Medi-Cal beneficiaries are subject to a complex and lengthy cost settlement process. Behavioral Wellness is required to submit an annual cost report which reports all costs associated with providing mental health services for a given Fiscal Year (actual cost). The actual cost is one component that the State reviews when it performs its “cost settlement” process. In this process, the State settles to the lower of actual cost or Published Charges, which are the fees charged to the general public (as adopted by the contractor’s Board of Directors). The cost settlement process results in a preliminary settlement, which typically takes place two years after the close of a Fiscal Year; however, the settlement process is not complete until the State completes the final audit. State audits for Medi-Cal funds for specialty mental health services typically occur five years after the end of the fiscal year being audited. To address these risks and to minimize settlement amounts with contract providers, Behavioral Wellness calculates rates for Medi-Cal services provided by organizational providers on a quarterly basis. Regular reports to contractors on unclaimed and denied units allow providers to correct errors and maximize Medi-Cal penetration rates. Even with these measures, there is the risk that the State will disallow units of service or determine costs to be non-allowable, resulting in repayment. Behavioral Wellness contracts include language requiring contractors to repay any amounts disallowed in audit findings, minimizing financial risks to County.

As with any contract funded by State and Federal sources, there is a risk of future audit disallowances and repayments. Behavioral Wellness is required to submit an annual cost report which reports all costs associated with providing Alcohol and Drug Program services for a given Fiscal Year (actual cost). There is the risk that the State will disallow units of service or determine costs to be non-allowable, resulting in repayment. Behavioral Wellness contracts include language requiring contractors to repay any amounts disallowed in audit findings, minimizing financial risks to County.

**Special Instructions:**

Please send one (1) signature page and one (1) minute order to: [admhscontractsstaff@co.santa-barbara.ca.us](mailto:admhscontractsstaff@co.santa-barbara.ca.us).

**Attachments:**

- Attachment A: Child Abuse Listening and Mediation FY 16-17 BC
- Attachment B: Santa Maria Valley Youth and Family FY 16-17 BC

**Authored by:**

DMorales