

October 27, 2022

Joyce Dudley, District Attorney  
Santa Barbara County  
1112 Santa Barbara Street  
Santa Barbara, CA 93101-2008

Subject: Notification of Grant Subaward Application Approval  
Human Trafficking Advocacy Program  
Grant Subaward #: HA22 05 0420

Dear Joyce Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your Grant Subaward application in the amount of \$154,500, subject to Budget approval. A copy of your approved Grant Subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt of your Report of Expenditures & Request for Funds (Cal OES Form 2-201).

This Grant Subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on the Cal OES website at [www.caloes.ca.gov](http://www.caloes.ca.gov).

Any funds received in excess of current needs, approved amounts, or those funds owed as a result of a close-out or audit, must be refunded to Cal OES within 30 days upon receipt of an invoice.

Please contact your Program Specialist, Jennifer Fowler, at (916) 845-8741 with questions about this notice.

VS Grants Processing Unit

cc: Subrecipient's file  
Program Specialist

# Cal Oes #083-00000-16 CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES

## Fips # 083-00000 GRANT SUBAWARD FACE SHEET

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

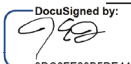
- 1. **Subrecipient:** Santa Barbara County 1a. **UEI#:** DYLNNV6VBPR7
- 2. **Implementing Agency:** Santa Barbara County Office of the District Attorney 2a. **UEI#:** DYLNNV6VBPR7 **jf**
- 3. **Implementing Agency Address:** 1112 Santa Barbara Street Santa Barbara 93101-2008  
(Street) (City) (Zip+4)
- 4. **Location of Project:** Santa Barbara Santa Barbara 93101-2008  
(City) (County) (Zip+4)
- 5. **Disaster/Program Title:** HA - Human Trafficking Advocacy Program 6. **Performance/Budget Period:** 1/1/2023 **to** 12/31/2023  
(Start Date) (End Date)
- 7. **Indirect Cost Rate:** 10% de minimis **Federally Approved ICR** (if applicable): \_\_\_\_\_ %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2019	VOCA		\$103,052					\$103,052
9.	2021	VOCA		\$51,448					\$51,448
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
<b>Total</b>	<b>Project</b>	<b>Cost</b>		\$154,500	\$154,500				\$154,500

**13. Certification**- This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

**14. CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

**15. Official Authorized to Sign for Subrecipient:**


Name: Joyce E. Dudley Title: District Attorney  
 Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008  
 Signature:  Date: 8/29/2022

16. **Federal Employer ID Number:** 956002833

**(FOR Cal OES USE ONLY)**

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

<p>DocuSigned by:  <u>Mary Rucker</u> <u>10/21/2022</u> (Cal OES Project Officer) (Date)</p>	<p>DocuSigned by:  <u>Heather Carlson</u> <u>10/27/2022</u> (Cal OES Director Designee) (Date)</p>
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DS  


ENY: 2022-23 Chapter: 43 SL: 18409  
 Item: 0690-102-0890 Pgm: 0385  
 FAIN #: 2019-V2-GX-0053 10/01/18-09/30/23  
 Fund: Federal Trust AL#: 16.575  
 Program: Human Trafficking Advocacy Program  
 Match Req.: 20%, C/IK based on TPC-Match Waived  
 Project ID: OES19VOCA000012  
 SC: 2022-18409 Amount: \$ 103,052

ENY: 2022-23 Chapter: 43 SL: 18401  
 Item: 0690-102-0890 Pgm: 0385  
 FAIN #: 15POVC-21-GG-00613-ASSI 10/01/20-09/30/24  
 Fund: Federal Trust AL#: 16.575  
 Program: Human Trafficking Advocacy Program  
 Match Req.: 20%, C/IK based on TPC-Match Waived  
 Project ID: OES21VOCA000012  
 SC: 2022-18401 Amount: \$ 51,448

DS  


ML# 762787

**RECEIVED**  
By Jen Fowler at 2:46 pm, Sep 07, 2022

## SPECIAL CONDITION

Grant Subaward No. HA22 05 0420 is hereby approved with the following condition:

- The 2019 VOCA Funds in the amount of \$103,052 must be expended by 07/31/23 and the final 2-201 must be received by Cal OES by 08/31/23.

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the Grant Subaward and/or the denial of future grant funds.

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

**1. Cal OES Contact Information Section:**

Governor's Office of Emergency Services  
 Mark S. Ghilarducci, Director  
 3650 Schriever Avenue  
 Mather, CA 95655  
 (916) 845-8506 (phone)

**2. Federal Awarding Agency Section:**

<b>Fund Year</b>	<b>Federal Program Fund / AL#</b>	<b>Federal Awarding Agency</b>	<b>Total Federal Award Amount</b>	<b>Total Local Assistance Amount</b>
2019	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$266,680,824	\$256,013,591
2021	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$120,361,953	\$115,547,475
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

**3. Project Description Section:**

- Project Acronym (Please choose from drop down):  
Human Trafficking Advocacy Program (HA)
- Project Description (Please type the Project Description):

Provide funds to an advocate to provide services to victims of human trafficking in prosecutorial arena.

**4. Research & Development Section:**

- Is this Subaward a Research & Development grant?  
 Yes  No



## Grant Subaward Contact Information

Grant Subaward #: HA22 05 0420

Subrecipient: Santa Barbara County

1. **Grant Subaward Director:**

Name: Joyce Dudley

Title: District Attorney

Telephone #: (805) 568-2306

Email Address: jdudley@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

2. **Financial Officer:**

Name: Michael Soderman

Title: Chief Financial Officer

Telephone #: (805) 568-2303

Email Address: mdsoderman@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

3. **Programmatic Point of Contact:**

Name: Megan Rheinschild

Title: Victim Witness Program Director

Telephone #: (805) 568-2408

Email Address: mriker@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

4. **Financial Point of Contact:**

Name: Michael Soderman

Title: Chief Financial Officer

Telephone #: (805) 568-2303

Email Address: mdsoderman@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

5. **Executive Director** of a Non-Governmental Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce Dudley

Title: District Attorney

Telephone #: (805) 568-2306

Email Address: jdudley@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

6. **Official Designee**, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce Dudley

Title: District Attorney

Telephone #: (805) 568-2306

Email Address: jdudley@countyofsb.org

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

7. **Chair** of the **Governing Body** of the Subrecipient:

Name: Joan Hartmann

Title: Chair, Board of Supervisors

Telephone #: (805) 568-2192

Email Address: jHartmann@countyofsb.org

Address/City/ Zip Code (9-digit): 105 East Anapamu, Santa Barbara, CA 93101-2000 *ew*



### Grant Subaward Signature Authorization

Grant Subaward #: HA22 05 0420

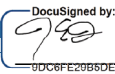
Subrecipient: Santa Barbara County

Implementing Agency: Santa Barbara County Office of the District Attorney

The **Grant Subaward Director** and **Financial Officer** are **REQUIRED** to sign this form.

#### Grant Subaward Director:

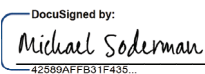
Printed Name: Joyce Dudley

Signature: 

Date: 8/29/2022

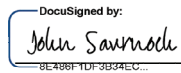
#### Financial Officer:

Printed Name: Michael Soderman

Signature: 

Date: 8/31/2022

The following persons are authorized to sign for the **Grant Subaward Director**:

Signature: 

Printed Name: John Savrnoch

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

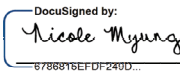
Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

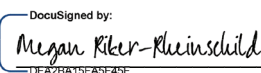
Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

The following persons are authorized to sign for the **Financial Officer**:

Signature: 

Printed Name: Nicole Myung

Signature: 

Printed Name: Megan Rheinschild

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

## Grant Subaward Certification of Assurance of Compliance

Subrecipient: Santa Barbara County

	Cal OES Program Name	Grant Subaward #:	Grant Subaward Performance Period
1	Victim Witness	VW22 41 0420	10/1/22-9/30/23
2	Human Trafficking	HA22 05 0420	1/1/22-12/31/22
3	Elder Abuse	XE22 05 0420	1/1/22-12/31/22
4	KC	KC22 01 0420	4/1/22-3/31/23
5	Underserved	UV22 01 0420	1/1/22-12/31/22
6	XC	XC22 05 0420	1/1/22-12/31/22

I, Joyce E. Dudley (Official Designee; same person as Section 15 of the Grant Subaward Face Sheet) hereby certify that the above Subrecipient is responsible for reviewing the Subrecipient Handbook (SRH) and adhering to all of the Grant Subaward requirements as directed by Cal OES including, but not limited to, the following areas:

**I. Proof of Authority – SRH 1.055**

The Subrecipient certifies they have written authority by the governing board (e.g., County Board of Supervisors, City Council, or Governing Board) granting authority for the Subrecipient/Official Designee (see Section 3.030) to enter into a specific Grant Subaward (indicated by the Cal OES Program name and initial Grant Subaward performance period) and applicable Grant Subaward Amendments with Cal OES. The authorization includes naming of an Official Designee (e.g., Executive Director, District Attorney, Police Chief) for the agency/organization who is granted permission to sign Grant Subaward documents on behalf of the Subrecipient. Written proof of authority includes one of the following: signed Board Resolution or approved Board Meeting minutes.

**II. Civil Rights Compliance – SRH Section 2.020**

The Subrecipient acknowledges awareness of, and the responsibility to comply with all state and federal civil rights laws. The Subrecipient certifies it will not discriminate in the delivery of services or benefits based on any protected class and will comply with all requirements of this section of the SRH.

**III. Equal Employment Opportunity – SRH Section 2.025**

The Subrecipient certifies it will promote Equal Employment Opportunity by prohibiting discrimination or harassment in employment because of any status protected by state or federal law and will comply with all requirements of this section of the SRH.



**IV. Drug-Free Workplace Act of 1990 – SRH Section 2.030**

The Subrecipient certifies it will comply with the Drug-Free Workplace Act of 1990 and all other requirements of this section of the SRH.

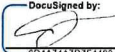

**V. California Environmental Quality Act (CEQA) – SRH Section 2.035**

The Subrecipient certifies that, if the activities of the Grant Subaward meet the definition of a "project" pursuant to the CEQA, Section 20165, it will comply with all requirements of CEQA and this section of the SRH.

**VI. Lobbying – SRH Sections 2.040 and 4.105**

The Subrecipient certifies it will not use Grant Subaward funds, property, or funded positions for any lobbying activities and will comply with all requirements of this section of the SRH.

**All appropriate documentation must be maintained on file by the Subrecipient and available for Cal OES upon request. Failure to comply with these requirements may result in suspension of payments under the Grant Subaward(s), termination of the Grant Subaward(s), and/or ineligibility for future Grant Subawards if Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) the Subrecipient violated the certification by failing to carry out the requirements as noted above.**

<b>CERTIFICATION</b>	
<p>I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby affirm that I am duly authorized legally to bind the Subrecipient to the above-described certification. I am fully aware that this certification, executed on the date, is made under penalty of perjury under the laws of the State of California.</p>	
Official Designee's Signature:	
Official Designee's Typed Name:	Joyce E. Dudley
Official Designee's Title:	District Attorney
Date Executed:	8/17/2022
<b>AUTHORIZED BY:</b>	
<p>I grant authority for the Subrecipient/Official Designee to enter into the specific Grant Subaward(s) (indicated by the Cal OES Program name and initial Grant Subaward performance period identified above) and applicable Grant Subaward Amendments with Cal OES.</p>	
<input type="checkbox"/> City Financial Officer	<input type="checkbox"/> County Financial Officer
<input type="checkbox"/> City Manager	<input type="checkbox"/> County Manager
<input checked="" type="checkbox"/> Governing Board Chair	
Signature:	
Typed Name:	Joan Hartmann
Title:	Chair, Board of Supervisors
Date Executed:	8-30-22





## Federal Fund Grant Subaward Assurances Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program

Subrecipient: Santa Barbara County

	Cal OES Program Name	Grant Subaward #	Grant Subaward Performance Period
1.	Victim Witness	VW22 41 0420	10/1/22-9/30/23
2.	Human Trafficking	HA22 05 0420	1/1/22-12/31/22
3.	Elder Abuse	XE22 05 0420	1/1/22-12/31/22
4.	KC	KC22 01 0420	4/1/22-3/31/23
5.	Underserved	UV22 01 0420	1/1/22-12/31/22
6.	XC	XC22 05 0420	1/1/22-12/31/22

Subrecipients agree to adhere to the following and ensure these assurances are passed down to Second-Tier Subrecipients.

### 1. Required Audits and Financial Statements (SRH Section 14.005)

Subrecipients expending \$750,000 or more in federal funds annually must comply with the single audit requirement established by the Federal Office of Management and Budget (OMB) Uniform Guidance 2 CFR Part 200, Subpart F and arrange for a single audit by an independent Certified Public Accountant (CPA) firm annually. Audits conducted under this section will be performed using the guidelines established by the American Institute of Certified Public Accountants (AICPA) for such audits.

- Subrecipient expends \$750,000 or more in federal funds annually.
- Subrecipient does not expend \$750,000 or more in federal funds annually.

### 2. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this Grant Subaward.

For more information and resources on the Part 200 Uniform Requirements as they relate, see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

### **3. Requirement to Report Actual or Imminent Breach of Personally Identifiable Information**

Subrecipients (and any Second-Tier Subrecipients) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if they:

- Create, collect, use, process, store, maintain, disseminate, disclose, or dispose of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or
- Use or operate a "Federal information system" (OMB Circular A-130).

Subrecipients (and any Second-Tier Subrecipients) must have breach procedures that must include a requirement to report actual or imminent breach of PII to Cal OES no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

### **4. Compliance with DOJ Regulations Pertaining to Civil Rights and Nondiscrimination - 28 C.F.R. Part 38**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to Subrecipient organizations (and any Second-Tier Subrecipient organizations) that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to Subrecipients (and any Second-Tier Subrecipients) that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

### **5. Compliance with DOJ Regulations Pertaining to Civil Rights and Nondiscrimination - 28 C.F.R. Part 42**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity

program.

**6. Compliance with DOJ Regulations Pertaining to Civil Rights and Nondiscrimination - 28 C.F.R. Part 54**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements of 28 C.F.R. Part 54, that relate to nondiscrimination on the basis of sex in certain "educational programs."

**7. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)**

Subrecipients (and any Second-Tier Subrecipients) must comply with, and are subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

Subrecipients (and any Second-Tier Subrecipients) also must inform their employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

**8. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this Grant Subaward appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

**9. Requirement for Data on Performance and Effectiveness under the Grant Subaward**

Subrecipients (and any Second-Tier Subrecipients) must collect and maintain data that measure the performance and effectiveness of work under this Grant Subaward. Subrecipients (and any Second-Tier Subrecipients) must provide data (within the required timeframes) to OJP via the Performance Measurement Tool (PMT).

## **10. Determination of Suitability to Interact with Participating Minors**

This condition applies to the Grant Subaward (if it is indicated) when some or all of the activities to be carried out under the Grant Subaward (whether by Subrecipients, or Second-Tier Subrecipients) is to benefit a set of individuals under 18 years of age.

Subrecipients (and any Second-Tier Subrecipients) must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm>.

## **11. Compliance with DOJ Grants Financial Guide**

Subrecipients (and any Second Tier Subrecipients) must comply with all applicable sections of the DOJ Financial Guide. References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. Subrecipients agree to comply with the DOJ Grants Financial Guide.

## **12. Encouragement of Policies to Ban Text Messaging while Driving**

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the DOJ encourages Subrecipients (and any Second-Tier Subrecipients) to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this Grant Subaward, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

## **13. Compliance with General Appropriations-law Restrictions on the use of Federal Funds**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2021, are set out at <https://ojp.gov/funding/Explore/FY21AppropriationsRestrictions.htm>.

Should a question arise as to whether a particular use of federal funds by Subrecipients (and any Second-Tier Subrecipients) would or might fall within the scope of an appropriations or law restriction, Subrecipients are to contact Cal OES

for guidance, and may not proceed without the express prior written approval of Cal OES.

#### **14. Potential Imposition of Additional Requirements**

Subrecipients (and any Second-Tier Subrecipients) agree to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this Grant Subaward, if Subrecipients are designated as "high-risk" for purposes of the DOJ high-risk grantee list.

#### **15. Employment Eligibility Verification for Hiring under the Grant Subaward**

a. Subrecipients (and any Second-Tier Subrecipients) must:

- 1) Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with Grant Subaward funds, Subrecipients (and any Second-Tier Subrecipients) properly verify the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).
- 2) Notify all persons associated with Subrecipients (or any Second-Tier Subrecipients) who are or will be involved in activities under this Grant Subaward of both:
  - a) This Grant Subaward requirement for verification of employment eligibility, and
  - b) The associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.
- 3) Provide training (to the extent necessary) to those persons required by this condition to be notified of the Grant Subaward requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).
- 4) As part of the recordkeeping for the Grant Subaward (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this Grant Subaward condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

b. Monitoring

Subrecipients' monitoring responsibilities include monitoring Second-Tier Subrecipients' compliance with this condition.

c. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, Grant Subaward funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

d. Rules of construction

1) Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this Grant Subaward" specifically includes (without limitation) any and all Subrecipient officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with Grant Subaward funds.

2) Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, Subrecipients (and any Second-Tier Subrecipients) may choose to participate in, and use, E-Verify ([www.e-verify.gov](http://www.e-verify.gov)), provided an appropriate person authorized to act on behalf of the Subrecipient (and any Second-Tier Subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with Grant Subaward funds.

3) "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

4) Nothing in this condition shall be understood to authorize or require Subrecipients (and any Second-Tier Subrecipients), or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

5) Nothing in this condition, including in paragraph 4.B., shall be understood to relieve Subrecipients (and any Second-Tier Subrecipients) or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>).

## 16. Restrictions and Certifications Regarding Non-disclosure Agreements and Related Matters

No Subrecipients (and any Second-Tier Subrecipients) under this Grant Subaward, or entity that receives a procurement contract or subcontract with any funds under this Grant Subaward, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this Grant Subaward, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

- a. In accepting this Grant Subaward, Subrecipients (and any Second-Tier Subrecipients):
  - 1) Represent that they neither require, nor have required, internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
  - 2) Certify that, if they learn, or are notified, that they have, or have been, requiring their employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, they will immediately stop any further obligations of Grant Subaward funds, will provide prompt written notification to Cal OES, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by Cal OES.
- b. If Subrecipients are authorized under this award to make Second-Tier Subawards, procurement contracts, or both:
  - 1) Subrecipients represent that:
    - a) No other entity (whether through a Second-Tier Subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) that they pass funds to either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

- b) Appropriate inquiry has been made, or otherwise Subrecipients have an adequate factual basis, to support this representation; and
- 2) If learned or notified that any Second-Tier Subrecipient, contractor, or subcontractor entity that receives funds under this Grant Subaward is, or has been, requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, they will immediately stop any further obligations of Grant Subaward funds to or by that entity, will provide prompt written notification to Cal OES, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by Cal OES.

## **17. OJP Training Guiding Principles**

Subrecipients (and any Second-Tier Subrecipients) agree that they will adhere to the OJP Training Guiding Principle for Grantee and Subgrantees (available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>) for all training or training materials developed or delivered with these funds.

## **18. Federal Authorization**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements for authorization of any Grant Subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "Grant Subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any Grant Subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm>.

## **19. Requirements Related to System for Award Management and Universal Identifier Requirements**

Subrecipients (and any Second-Tier Subrecipients) must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

Subrecipients also must comply with applicable restrictions for Second-Tier Subawards, including restrictions on Grant Subawards to entities that do not acquire and provide (to Subrecipients) the unique entity identifier required for SAM registration.



The details of the Subrecipients' obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm>.

This condition does not apply to a Grant Subaward to an individual who received the Grant Subaward as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

## **20. Restrictions on "lobbying"**

In general, as a matter of federal law, federal funds awarded by OJP may not be used by Subrecipients (and any Second-Tier Subrecipients), either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by Subrecipients (and any Second-Tier Subrecipients), to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

## **21. Specific Post-award Approval Required to Use a Noncompetitive Approach in any Procurement Contract that would Exceed \$250,000**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm>.

## **22. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP Authority to Terminate Grant Subaward)**

Subrecipients (and any Second-Tier Subrecipients) must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipients

(and any Second-Tier Subrecipients), or individuals defined (for purposes of this condition) as "employees" of Subrecipients (and any Second-Tier Subrecipients).

The details of the Subrecipients' obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm>.

### **23. Reporting Potential Fraud, Waste, and Abuse, and Similar Misconduct**

Subrecipients (and any Second-Tier Subrecipients) must promptly refer to Cal OES any credible evidence that a principal, employee, agent, Subrecipient, contractor, subcontractor, or other person has, in connection with funds under this Grant Subaward-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this Grant Subaward should must also be reported to Cal OES. Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

### **24. Discrimination Findings**

Subrecipients (and any Second-Tier Subrecipients) assure that in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the ground of race, religion, national origin, sex, or disability against a recipient of victim assistance formula funds under this Grant Subaward, Subrecipients will forward a copy of the findings to the Office for Civil Rights of OJP.

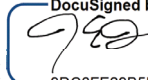
### **25. VOCA Requirements**

Subrecipients (and any Second-Tier Subrecipients) assure that they will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required.

**CERTIFICATION**

I certify the Subrecipient identified above will comply with the requirements of the Subrecipient Handbook and the federal fund Grant Subaward assurances outlined above.

DocuSigned by:



Official Designee's Signature: \_\_\_\_\_  
9DC6FE29B5DE449...

Official Designee's Typed Name: Joyce E. Dudley

Official Designee's Title: District Attorney

Date Executed: 7/21/2022



**Grant Subaward Budget Pages**  
Multiple Fund Sources

Subrecipient: Santa Barbara County			Grant Subaward #: HA22 05 0420 jf		
A. Personnel Costs - Line-item description and calculation	2019 VOCA	2021 VOCA	Fund Source 3	Fund Source 4	Total Amount Allocated
<p><b>.66 FTE Victim Witness Program Advocate II</b>            \$109,819 Salary &amp; Benefits            66% grant funded = \$72,481=            Salary @ 65% = \$47,112.65            Benefits @ 35% = \$25,368.35            Retirement            FICA            Medicare            Health Ins</p>	\$47,113	\$25,368			\$47,113 \$25,368
<p><b>0.49 FTE Victim Witness Program Supervisor</b>            \$134,436 Salary &amp; Benefits            49% grant funded = \$65,874            Salary @ 65% = \$42,818            Benefits @ 35% = \$23,056            Retirement            FICA            Medicare            Health Ins</p>	\$42,818	\$23,056			\$42,818 \$23,056
Personnel Costs Fund Source Totals	\$89,931	\$48,424			\$138,355
<b>PERSONNEL COSTS CATEGORY TOTAL</b>					\$138,355

✓                  ✓



**Grant Subaward Budget Pages**  
Multiple Fund Sources

Subrecipient: Santa Barbara County		Grant Subaward #: HA22 05 0420 jf				
B. Operating Costs - Line-item description and calculation	2019 VOCA	2021 VOCA	Fund Source 3	Fund Source 4	Fund Source 5	Total Amount Allocated
Cell Phone + Telephone Service (\$50 x 12 months) = \$600	\$600					\$600
WSIN Human Trafficking Summit x 2 staff Lodging & Registration Registration \$350 x 2 advocates = \$700 Lodging \$100 x 4 nights x 2 advocates = \$800 <i>rw</i>	\$1,500					\$1,500
MTDC @ 10% De Minimis ((Personal +Operating= \$140,455 x .10) = \$14,045 10% Used for Overhead Costs, Administrative Salary, Utilities, IT Software, Office Supplies, Printing and Other	\$11,021	\$3,024				\$14,045
Operating Costs Fund Source Totals	\$13,121	\$3,024				\$16,145
<b>OPERATING COSTS CATEGORY TOTAL</b>						\$16,145

✓      ✓



**Grant Subaward Budget Pages**  
Multiple Fund Sources

Subrecipient: Santa Barbara County			Grant Subaward #: HA22 05 0420 jf		
C. Equipment Costs - Line-item description and calculation	2019 VOCA	2021 VOCA	Fund Source 3	Fund Source 4	Total Amount Allocated
None Requested					
Equipment Costs Fund Source Totals					
<b>EQUIPMENT COSTS CATEGORY TOTAL</b>					

Grant Subaward Totals - Totals must match the Grant Subaward Face Sheet	2019 VOCA	2021 VOCA	Fund Source 3	Fund Source 4	Total Project Cost
<b>Fund Source Totals</b>	\$103,052	\$51,448			\$154,500

✓

✓

✓

*rw*

## VSPS Budget Summary Report

**HA22 Human Trafficking Advocacy Program**

**Subaward #: HA22 05 0420**

Santa Barbara County

**Performance Period: 01/01/23 - 12/31/23**

Human Trafficking Advocacy Program

**Latest Request: , Not Final 201**

### A. Personal Services - Salaries/Employee Benefits

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	89,931	0	89,931	0	89,931
F	21VOCA	48,424	0	48,424	0	48,424
<b>Total A. Personal Services - Salaries/Employee Benefits:</b>		<b>138,355</b>	<b>0</b>	<b>138,355</b>	<b>0</b>	<b>138,355</b>

### B. Operating Expenses

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	13,121	0	13,121	0	13,121
F	21VOCA	3,024	0	3,024	0	3,024
<b>Total B. Operating Expenses:</b>		<b>16,145</b>	<b>0</b>	<b>16,145</b>	<b>0</b>	<b>16,145</b>

### C. Equipment

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	0	0	0	0	0
F	21VOCA	0	0	0	0	0
<b>Total C. Equipment:</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
<b>Total Local Match:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Funded:</b>	<b>154,500</b>	<b>0</b>	<b>154,500</b>	<b>0</b>	<b>154,500</b>
<b>Total Project Cost:</b>	<b>154,500</b>	<b>0</b>	<b>154,500</b>	<b>0</b>	<b>154,500</b>

F/S/L (Funding Types): F=Federal, S=State, L=Local Match

Paid/Expended=posted in ledger w/Claim Schedule, Pending=Processed, but not yet in Claim Schedule

10/27/22



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

### **Problem Statement**

#### **Target Service Area and Population**

The population of Santa Barbara County is 446,475 (2021). Santa Barbara County's demographics are 42% White, 48% Hispanic, 6% Asian-American, 2% African-American and 2% Native American. The Northern city of Santa Maria is the largest city within Santa Barbara County, with an estimated population of 109,711 (2021) and is home to a large immigrant Spanish and Indigenous speaking agricultural workforce. Santa Maria and the City of Lompoc (43,834 population) have many socio-economic factors which contribute to the highest rates of crime in the County and highest incidence of child abuse reports, which include allegations of child sexual exploitation.

#### **Current Prevalence, Impact and Special Populations**

Santa Barbara County's robust agricultural economy attracts migrant laborers susceptible to exploitation and labor trafficking. Santa Barbara County is located along Highway 101, a main artery from San Francisco to San Diego. It is also two hours west of the California Interstate-5 at Bakersfield. Santa Barbara County is one leg of the geographic triangle of human trafficking and child sexual exploitation in California. In addition to geographic factors that lend itself to traffickers, local





## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

youth are also at heightened risk for exploitation which has been exacerbated by the pandemic. Remote learning has led to increased risk factors for youth, including isolation, anxiety, depression and vulnerability to grooming behaviors. 163 victims of trafficking have been served during the grant periods beginning January 1, 2020. Two victims of labor trafficking were identified as new victims, and of the survivors served, 11 were documented as male. Survivors served include special populations, and advocates commonly serve homeless, youth, and undocumented individuals. The program makes every effort to avail victim services to underserved populations who otherwise might not access system-based advocacy programs.

### **Barriers to Identifying and Serving Victims of Human Trafficking**

Barriers identifying and serving victims are multidimensional. As to victims of sex trafficking, they often present similarly as domestic violence victims and are not willing to cooperate with law-enforcement or a prosecution. Victims may be averse to disclose to law enforcement or other authorities due to historical or negative involvement in systems. This can be a barrier to intervention of an active trafficking situation. We strive to provide services to survivors, while working in partnership with law enforcement for collaboration and messaging of our common goal, to extend awareness and service to an otherwise resistant



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

population. The advocate's early involvement with law enforcement often facilitates communication, rapport building, and prompt trauma-informed service delivery.

Our efforts to identify and combat labor trafficking have been layered. We have contacted many massage parlors over the years because of suspected sex and labor trafficking. However, these investigations proved to be extremely difficult because victims are often the only ones on the property and in general, will not speak to law-enforcement. To that end, we work closely with local Mandarin-speaking community members to try to improve our cultural competency, investigation techniques, and service provision to this population.

### **Plan**

The Santa Barbara County District Attorney's Office mission is to develop and enhance multi-disciplinary strategies that employ victim-centered, collaborative, and sustainable approaches to identify victims of all types of human trafficking. The District Attorney and the Victim-Witness Program Director established a multi-agency tri-county work group (Ventura, Santa Barbara, and San Luis Obispo) in 2013. The efforts to combat human trafficking have evolved significantly in the nine years, due to the leadership of the District Attorney's Office bringing



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

stakeholders together, providing training, and garnering state and federal funding to bolster efforts. During the pandemic, we continued to provide in-person services and responded to adult sexual assault exams for accompaniment since one of our Rape Crisis Centers was not responding during the COVID-19 pandemic. This includes cases involving human trafficking.

### **Roles and Responsibilities**

In April 2015 at the onset of the grant, the Victim Witness Program recruited a full-time, bilingual Victim-Witness Advocate. She continues in her role serving victims of human trafficking. The HA funding allows the Advocate to focus on ongoing intensive case management and advocacy efforts. The assigned Advocate is bilingual and possesses a Bachelor's Degree in Sociology and Criminology. She has been employed as a Victim Witness HA Advocate since April 2015. She has completed the CCVAA Entry and Advanced Advocate Trainings and several specialized Human Trafficking local and regional trainings. She has attended several workshops focused on skill-building, trauma informed services, survivor experiences, cross discipline collaboration, and case studies. The Advocate is housed in the Santa Maria Office at the Juvenile Court complex and is co-located at the Santa Maria Police Department, but is available countywide to provide



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

timely services to suspected victims throughout Santa Barbara County. The Advocate works closely with law enforcement and community partners, and provides the full range of mandated and optional Victim/Witness services including victim compensation assistance. The Advocate is responsible for outreach activities to the targeted community and all direct provision of comprehensive Victim/Witness services.

The assigned advocate will continue to be supervised by a Victim Witness Program Supervisor. The Supervisor possesses a Masters in Marriage and Family Therapy and was a Human Trafficking specialist in the State Attorney's Office in Kansas prior to her employment with the District Attorney's Office. She provided regional and statewide trainings implementing a collaborative response to human trafficking, delivering both webinars and providing public outreach as a keynote speaker. She has eight years of direct experience providing advocacy to victims of crime. She attended the Entry Level and Advanced Training for Victim Advocates and has attended multiple trafficking conferences. She has presented at the Shared Hope International Conference to highlight best practices working with CSEC Youth in the Juvenile Justice and Mental Health Systems. The Supervisor has been integral to the development of the DA Human Trafficking Advocacy Program since October of 2014. The Victim Witness Project



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

Director oversees the grant goals and objectives of the grant. She is not included in the grant budget.

We utilize local volunteers and work with the Human Trafficking Task Force-affiliated volunteers who assist to meet the program goals and objectives, which include identifying and scheduling training opportunities, coordinating speaking engagements throughout the county, and managing social media presence and “TraffickStop” website: [Home | traffickSTOP \(traffickstopsb.org\)](http://traffickstop.org).

### Identification and Response to Human Trafficking

Referrals to the HA Advocate can come from any stakeholder agency in need of multi-agency system coordination to ensure a victim's long-term success. Rape Crisis, Child Welfare Services, Santa Barbara County Probation, local law enforcement, and Juvenile Court staff routinely refer cases to our HA Advocate to intervene and provide intensive victim services. Our existing HA Advocate is funded part-time at 0.66 FTE. The assigned Program Supervisor oversees the program at 0.49 FTE, though is increasingly called upon to manage the activities of the Advocate and assist her in navigating the complex needs that victims and survivors face. This can include researching appropriate housing and recovery services, arranging emergency funding assistance, and transportation. The



## Grant Subaward Programmatic Narrative

Grant Subaward #: HA22 05 0420\_\_\_\_\_

Subrecipient: Santa Barbara County\_\_\_\_\_

Advocate navigates the multiple systems of care, offers consistent emotional support, and works to restore a measure of security and safety for the victim. The Advocate is co-located at the primary law enforcement agency in Santa Maria, which has the highest crime rate and highest numbers of trafficked victims in the County. Her proximity to law enforcement affords her the opportunity to intervene early when there is a suspicion of human trafficking. She often accompanies law enforcement for the initial interview. The Advocate coordinates the County's CSEC Steering Committees in partnership with the Department of Social Services Child Welfare, and participates in the multidisciplinary meetings attended by Probation, Child Welfare, Behavioral Wellness, and the District Attorney's Office. The Supervisor plans and coordinates bi-monthly countywide Human Trafficking Task Force meetings.

During the COVID-19 pandemic, the Advocate and Supervisor have continued uninterrupted services to victims of human trafficking, including participating in proactive law enforcement led operations to identify potential traffickers and victims. VW Advocates also responded to sexual assault exams to provide accompaniment services during the period the local Rape Crisis Center stopped attending in person.

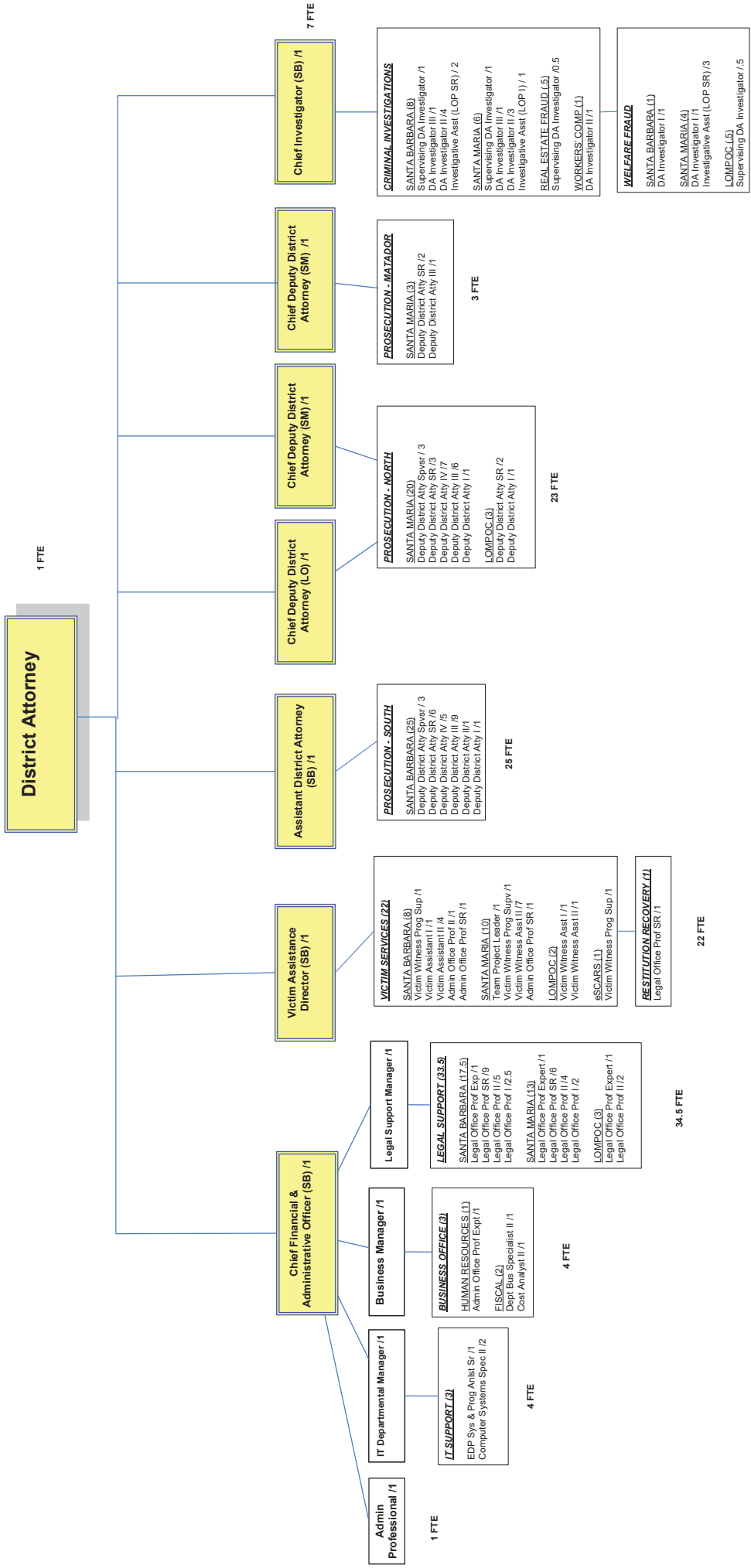


## Operational Agreement Summary

Grant Subaward #: HA22 05 0420

Subrecipient: Santa Barbara County

Participating Agency/Organization/Individual	Date Signed	Time Frame of OA
1. Domestic Violence Solutions		01/01/23 to 12/31/27
2. Santa Barbara Sheriff's Department		01/01/23 to 12/31/27
3. Santa Maria Police Department		01/01/23 to 12/31/27
4. Santa Barbara County Behavioral Wellness		01/01/23 to 12/31/27
5. Santa Barbara County Probation		01/01/23 to 12/31/27
6. North County Rape Crisis and Child Protection Center		01/01/23 to 12/31/27
7. STESA Santa Barbara Rape Crisis		01/01/23 to 12/31/27
8. Santa Barbara County Child Welfare Services		01/01/23 to 12/31/27
9. _____		_____ to _____
10. _____		_____ to _____
11. _____		_____ to _____
12. _____		_____ to _____
13. _____		_____ to _____
14. _____		_____ to _____
15. _____		_____ to _____
16. _____		_____ to _____
17. _____		_____ to _____
18. _____		_____ to _____
19. _____		_____ to _____
20. _____		_____ to _____



DA Positions Org Chart FY 2022-23

145.5 FTE FY 2022-23

21 FTE

22 FTE

34.5 FTE

4 FTE

4 FTE





# County of Santa Barbara

## BOARD OF SUPERVISORS

### Minute Order

August 30, 2022

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**Present:** 5 - Supervisor Williams, Supervisor Hart, Supervisor Hartmann, Supervisor Nelson, and Supervisor Lavagnino

DISTRICT ATTORNEY'S OFFICE

File Reference No. 22-00788

**RE:** Consider recommendations regarding Fiscal Year 2022-2023 California Office of Emergency Services (Cal OES) Grant Application Authorization, as follows:

a) Approve and authorize the District Attorney, or her designee, to execute a Certification of Assurance of Compliance for the following Cal OES grant applications to be submitted during Fiscal Year 2022-2023:

- i) Victim Witness Assistance Program;
- ii) Unserved/Underserved Advocacy Program;
- iii) Child Advocacy Center Program (KC);
- iv) Elder Abuse Program;
- v) County Victim Services (XC); and
- vi) Human Trafficking Advocacy Program;

b) Approve and authorize the District Attorney, or her designee, to re-apply for the following Cal OES grants during Fiscal Year 2022-2023:

- i) Victim Witness Assistance Program;
- ii) Unserved/Underserved Advocacy Program;
- iii) Child Advocacy Center Program (KC);
- iv) Elder Abuse Program;
- v) County Victim Services (XC); and
- vi) Human Trafficking Advocacy Program; and



# County of Santa Barbara BOARD OF SUPERVISORS

## Minute Order

August 30, 2022

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c) Approve and authorize the Chair to execute a Certification of Assurance of Compliance for the following Cal OES grant applications to be submitted during Fiscal Year 2022-2023:

- i) Victim Witness Assistance Program;
- ii) Unserved/Underserved Advocacy Program;
- iii) Child Advocacy Center Program (KC);
- iv) Elder Abuse Program;
- v) County Victim Services (XC); and
- vi) Human Trafficking Advocacy Program.

**A motion was made by Supervisor Williams, seconded by Supervisor Nelson, that this matter be acted on as follows:**

- a) i) through vi) Approved and authorized;**
- b) i) through vi) Approved and authorized; and**
- c) i) through vi) Approved and authorized; Chair to execute.**

**The motion carried by the following vote:**

**Ayes: 5 - Supervisor Williams, Supervisor Hart, Supervisor Hartmann, Supervisor Nelson, and Supervisor Lavagnino**



## Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program Match Waiver Request Form

Cal OES Subrecipients may request a partial or full match waiver for Victim of Crime Act (VOCA) Victim Assistance Formula Grant Program funds. Approval is dependent on a compelling justification. To request a partial or full match waiver, the Subrecipient must complete the following:

1. Cal OES Grant Subaward Number:
2. Subrecipient's Name:
3. Grant Subaward Performance Period \_\_\_\_\_ through \_\_\_\_\_
4. VOCA Fund Source #1:

VOCA Victim Assistance Formula Grant Program Funds Awarded:

Amount of Match Proposed (post approved Match Waiver):

5. VOCA Fund Source #2 (if applicable):

VOCA Victim Assistance Formula Grant Program Funds Awarded:

Amount of Match Proposed (post approved Match Waiver):

6. Briefly summarize the services provided:
7. Describe practical/logistical obstacles and/or local resource constraints to providing match:

Approved

Denied

Unit Chief Name

*Wendy Tully*  
Unit Chief Signature

Date

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

<b>Subrecipient:</b> Santa Barbara County	<b>UEI #</b> DYLNNV6VBPR7	<b>FIPS #:</b> 83-00000
<b>Grant Disaster/Program Title:</b> HA Human Trafficking Advocacy		
<b>Performance Period:</b> 01/01/23	<b>to</b> 12/31/23	<b>Subaward Amount Requested:</b> \$ 154,500
<b>Type of Non-Federal Entity (Check Applicable Box)</b>	<input type="checkbox"/> State Govt <input checked="" type="checkbox"/> Local Govt <input type="checkbox"/> JPA <input type="checkbox"/> Non-Profit <input type="checkbox"/> Tribe	

Per Title 2 CFR § 200.332, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

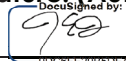
The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, *grant manager* is the individual who has primary responsibility for day-to-day administration of the grant, *bookkeeper/accounting staff* means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and *organization* refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	3-5 years
3. How many grants does your organization currently receive?	3-10 gran
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 2,970,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	Yes
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Sometimes
12. How many years do you maintain receipts, deposits, cancelled checks, invoices?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	Yes

**Certification:** This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.

**Signature: (Authorized Agent)**



**Date:**

8/29/2022

**Print Name and Title:**

Joyce E. Dudley, District Attorney

**Phone Number:**

805-568-2306

Cal OES Staff Only: SUBAWARD #

HA22 05 0420



## Grant Subaward Service Area Information

Grant Subaward #: HA22 05 0420

Subrecipient: Santa Barbara County

1. County or Counties Served:  
Santa Barbara County  
\* Principal Office is in the City of Santa Barbara

County where principal office is located: County of Santa Barbara

2. U.S. Congressional District(s) Served:  
24th

U.S. Congressional District where principal office is located: 24th

3. State Assembly District(s) Served:  
35th

State Assembly District where principal office is located: 35th

4. State Senate District(s) Served:  
21st

State Senate District where principal office is located: 21st

5. Population of Service Area: 446,475



- Home (<index.cfm?event=Home>)
- Menu (<index.cfm?event=admin>)
- Help (<index.cfm?event=help>)
- OVC PMT Home (<index.cfm?event=Home>)
- Administration
- Profile (<index.cfm?event=Profile>)
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- Enter Data (<index.cfm?event=Entry>)
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- Subgrantee Contact (<index.cfm?event=sar&steps=2>)
- Subaward List
- Subaward Detail (<index.cfm?event=sar&steps=4>)
- SAR (<index.cfm?event=sar&steps=5>)
- Review (<index.cfm?event=sar&steps=6>)

Please be aware that your session will time out 30 minutes after you stop saving data. To avoid losing or having to reenter data, click the 'save' button before leaving the system unattended or when you're finished entering data.

[SAR Review](#)

Office for Victims of Crime  
Victim Assistance Formula Grants Program  
SUBGRANT AWARD REPORT (SAR)

Print

FEDERAL AWARD NUMBER: ([EDIT](#)) (<INDEX.CFM?EVENT=SAR&STEPS=4&B2REVIEW>)

AWARD NUMBER	\$\$\$ FROM THIS AWARD	<u>A. Child abuse:</u>	<u>B. Domestic and Family Violence:</u>	<u>C. Sexual assault:</u>	<u>D. Underserved:</u>
2021-15POVC-21-GG-00613-ASSI	51,448	0	0	51,448	0
2019-V2-GX-0053	103,052	0	0	103,052	0

1. SUBGRANTEE AGENCY NAME:

- A. Agency Name : HA22 Santa Barbara County
- B. Agency Address : 1112 Santa Barbara Street
- C. City : Santa Barbara
- D. State : CA
- E. Zip Code : 93101-2008

2. SUBGRANTEE AGENCY POINT OF CONTACT :

- A. POC Name : Megan Rheinschild
- B. Phone Number : (805) 568-2408
- C. E-mail : mriker@countyofsb.org

3. SUBGRANTEE ORGANIZATION TYPE :

Government Agencies Only - Prosecutor

4. OVC CRIME VICTIM ASSISTANCE FUNDS AWARDED : \$ 154,500.00

- A. Project start date: 01/01/2023
- B. Project end date: 12/31/2023
- C. State-assigned Subaward Number: HA22 05 0420

5. PURPOSE OF THE VOCA SUBAWARD : (CHECK ALL):

- A. Continue a VOCA-funded victim project funded in a previous year
- B. Expand or enhance an existing project not funded by VOCA in the previous year
- C. Start up a new victim services project
- D. Start up a new **Native American** victim services project
- E. Expand or enhance an existing **Native American** project

6. PRIORITY AND UNDERSERVED REQUIREMENTS:

- A. Child abuse: 0.00
  - A1. Child physical abuse/neglect:
  - A2. Child sexual abuse:
- B. Domestic and Family Violence: 0.00
- C. Sexual assault: 154,500.00
  - C1. Child sexual assault:
  - C2. Adult sexual assault:
- D. Underserved: 0.00
  - D1. Underserved (DUI/DWI crashes)
  - D2. Underserved (assault):
  - D3. Underserved (adults molested as children):
  - D4. Underserved (elder abuse):
  - D5. Underserved (robbery):
  - D6. Underserved (survivors of homicide victims):
  - D7. Other Underserved (other violent crimes):
  - D8. Please briefly describe "Other Underserved (other violent crimes)" :
  - D9. Other Underserved (non-violent crimes):

D10. Please briefly describe Other Underserved (non-violent crimes):

D11. Please briefly explain how your state or territory defines "underserved" if other than what is listed above:  
This is determined by the state for all SARs. It will auto-populate all active SARs during the same federal fiscal year.

7. SERVICE AREA (S):

Santa Barbara

8. SUBGRANT MATCH (FINANCIAL SUPPORT FROM OTHER SOURCES)

- A. Value of in-kind match: 0.00  
B. Cash match: 0.00  
C. Total match: 0.00  
D. Match waiver :

9. USE OF VOCA AND MATCH FUNDS: (REQUIRED),(INDEX.CFM?EVENT=SAR&STEPS=5&B2REVIEW)

A.INFORMATION & REFERRAL

- Information about the criminal justice process  
 Information about victim rights, how to obtain notifications, etc.  
 Referral to other victim service programs  
 Referral to other services, supports, and resources (includes legal, medical, faith-based organizations, address confidentiality programs, etc.)

B.PERSONAL ADVOCACY/ACCOMPANIMENT

- Victim advocacy/accompaniment to emergency medical care  
 Victim advocacy/accompaniment to medical forensic exam  
 Law enforcement interview advocacy/accompaniment  
 Individual advocacy (e.g., assistance in applying for public benefits, return of personal property or effects)  
 Performance of medical or nonmedical forensic exam or interview or medical evidence collection  
 Immigration assistance (e.g., special visas, continued presence application, and other immigration relief)  
 Intervention with employer, creditor, landlord, or academic institution  
 Child and/or dependent care assistance (includes coordination of services)  
 Transportation assistance (includes coordination of services)  
 Interpreter services

C.EMOTIONAL SUPPORT OR SAFETY SERVICES

- Crisis intervention (in-person, includes safety planning, etc.)  
 Hotline/crisis line counseling  
 On-scene crisis response (e.g., community crisis response)  
 Individual counseling  
 Support groups (facilitated or peer)  
 Other therapy (traditional, cultural, or alternative healing; art, writing, or play therapy; etc.)  
 Emergency financial assistance (includes emergency loans and petty cash, payment for items such as food and/or clothing, changing windows and/or locks, taxis, prophylactic and nonprophylactic meds, durable/medical equipment, etc.)

D.SHELTER/HOUSING SERVICES

- Emergency shelter or safe house  
 Transitional housing  
 Relocation assistance (includes assistance with obtaining housing)

E.CRIMINAL/CIVIL JUSTICE SYSTEM ASSISTANCE

- Notification of criminal justice events (e.g., case status, arrest, court proceedings, case disposition, release, etc.)  
 Victim impact statement assistance  
 Assistance with restitution (includes assistance in requesting and when collection efforts are not successful)  
 Civil legal assistance in obtaining protection or restraining order  
 Civil legal assistance with family law issues (e.g., custody, visitation, or support)  
 Other emergency justice related assistance  
 Immigration assistance (e.g., special visas, continued presence application, and other immigration relief)  
 Prosecution interview advocacy/accompaniment (includes accompaniment with prosecuting attorney and with victim/witness)  
 Law enforcement interview advocacy/accompaniment  
 Criminal advocacy/accompaniment  
 Other legal advice and/or counsel

F. ASSISTANCE IN FILING COMPENSATION CLAIMS

- Assists potential recipients in seeking crime victim compensation benefits (Required) (index.cfm?event=sar&steps=5&B2review)

10. TYPES OF VICTIMIZATIONS (REQUIRED),(INDEX.CFM?EVENT=SAR&STEPS=5&B2REVIEW)

Types of Victimizations

- Adult Physical Assault (includes Aggravated and Simple Assault)  
 Adult Sexual Assault  
 Adults Sexually Abused/Assaulted as Children  
 Arson  
 Bullying (Verbal, Cyber or Physical)  
 Burglary  
 Child Physical Abuse or Neglect  
 Child Pornography  
 Child Sexual Abuse/Assault  
 Domestic and/or Family Violence  
 DUI/DWI Incidents  
 Elder Abuse or Neglect  
 Hate Crime: Racial/Religious/Gender/ Sexual Orientation/Other (Explanation Required)  
 Human Trafficking: Labor  
 Human Trafficking: Sex  
 Identity Theft/Fraud/Financial Crime  
 Kidnapping (non-custodial)  
 Kidnapping (custodial)

- Mass Violence (Domestic/International)
  - Other Vehicular Victimization (e.g., Hit and Run)
  - Robbery
  - Stalking/Harassment
  - Survivors of Homicide Victims
  - Teen Dating Victimization
  - Terrorism (Domestic/International)
  - Other
- If other, please explain:

**11. BUDGET AND STAFFING:**

**A. Total budget for all victimization programs/services for this agency:** [\(Required\)\(index.cfm?event=sar&steps=5&B2review\)](#) \$ 0.00

**B. Annual funding amounts allocated to all victimization programs and/or services for the current fiscal year:**

B1. Subaward Amount:	\$	
		154500
 B2. OTHER STATE/TERRITORY:	 \$ 0.00	
<a href="#">(Required)(index.cfm?event=sar&amp;steps=5&amp;B2review)</a>		
 B3. Other Local: <a href="#">(Required)</a>	 \$ 0.00	
<a href="#">(index.cfm?event=sar&amp;steps=5&amp;B2review)</a>		
 B4. Other Federal: <a href="#">(Required)</a>	 \$ 0.00	
<a href="#">(index.cfm?event=sar&amp;steps=5&amp;B2review)</a>		
 B5. Other non-federal: <a href="#">(Required)</a>	 \$ 0.00	
<a href="#">(index.cfm?event=sar&amp;steps=5&amp;B2review)</a>		

**C. Total number of paid staff for all subgrantee victimization program and/or services:** [\(Required\)\(index.cfm?event=sar&steps=5&B2review\)](#) 0

**D. Number of staff hours funded through this VOCA award (plus match) for subgrantee's victimization programs and/or services:** [\(Required\)\(index.cfm?event=sar&steps=5&B2review\)](#) 0.00

**E. Number of volunteer staff supporting the work of this VOCA award (plus match) for subgrantee's victimization programs and/or services (Count each volunteer once. Do not prorate based on FTE.):** [\(Required\)\(index.cfm?event=sar&steps=5&B2review\)](#)

**F. Number of volunteer hours supporting the work of this VOCA award (plus match) for subgrantee's victimization programs and/or services:** [\(Required\)\(index.cfm?event=sar&steps=5&B2review\)](#) 0.00

**Overall Comments(Optional):**

SAR is incomplete. Please complete the required information. [Review & Make Change \(index.cfm?event=sar&steps=5\)](#)

For technical assistance, contact the OVC PMT Help Desk at [ovcpmt@usdoj.gov](mailto:ovcpmt@usdoj.gov) (mailto:ovcpmt@usdoj.gov) or call toll-free 1-844-884-2503. The Office for Victims of Crime is a component of the Office of Justice Programs, U.S. Department of Justice.





# SANTA BARBARA, COUNTY OF

Unique Entity ID <b>DYLN6V6BPR7</b>	CAGE / NCAGE <b>7D5Y5</b>	Purpose of Registration <b>Federal Assistance Awards Only</b>
Registration Status <b>Active Registration</b>	Expiration Date <b>Nov 25, 2022</b>	
Physical Address <b>1100 Anacapa ST 3RD FL Santa Barbara, California 93101-6030 United States</b>	Mailing Address <b>1112 Santa Barbara Street Santa Barbara, California 93101 United States</b>	

## Business Information

Doing Business as <b>District Attorney</b>	Division Name <b>District Attorney</b>	Division Number <b>021</b>
Congressional District <b>California 24</b>	State / Country of Incorporation <b>(blank) / (blank)</b>	URL <b>http://www.countyofsb.org/</b>
MPIN <b>*****in22</b>		

## Registration Dates

Activation Date <b>Sep 13, 2021</b>	Submission Date <b>Aug 27, 2021</b>	Initial Registration Date <b>May 4, 2015</b>
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## Entity Dates

Entity Start Date <b>May 4, 2015</b>	Fiscal Year End Close Date <b>Jun 30</b>
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## Immediate Owner

CAGE <b>(blank)</b>	Legal Business Name <b>(blank)</b>
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## Highest Level Owner

CAGE <b>(blank)</b>	Legal Business Name <b>(blank)</b>
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## Executive Compensation

In your business or organization's preceding completed fiscal year, did your business or organization (the legal entity to which this specific SAM record, represented by a Unique Entity ID, belongs) receive both of the following: 1. 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements and 2. \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

**No**

Does the public have access to information about the compensation of the senior executives in your business or organization (the legal entity to which this specific SAM record, represented by a Unique Entity ID, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

**Not Selected**

## Proceedings Questions

Is your business or organization, as represented by the Unique Entity ID on this entity registration, responding to a Federal procurement opportunity that contains the provision at FAR 52.209-7, subject to the clause in FAR 52.209-9 in a current Federal contract, or applying for a Federal grant opportunity which contains the award term and condition described in 2 C.F.R. 200 Appendix XII?

**No**

Does your business or organization, as represented by the Unique Entity ID on this specific SAM record, have current active Federal contracts and/or grants with total value (including any exercised/unexercised options) greater than \$10,000,000?

**Not Selected**

Within the last five years, had the business or organization (represented by the Unique Entity ID on this specific SAM record) and/or any of its principals, in connection with the award to or performance by the business or organization of a Federal contract or grant, been the subject of a Federal or State (1) criminal proceeding resulting in a conviction or other acknowledgment of fault; (2) civil proceeding resulting in a finding of fault with a monetary fine, penalty, reimbursement, restitution, and/or damages greater than \$5,000, or other acknowledgment of fault; and/or (3) administrative proceeding resulting in a finding of fault with either a monetary fine or penalty greater than \$5,000 or reimbursement, restitution, or damages greater than \$100,000, or other acknowledgment of fault?