

## AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

**THIS AGREEMENT** (hereafter Agreement) is made by and between the County of Santa Barbara, a political subdivision of the State of California (hereafter COUNTY) and Rincon Consultants Inc. having its principal place of business at 1530 Monterey Street, San Luis Obispo, Ca, 93401 (hereafter CONTRACTOR) wherein CONTRACTOR agrees to provide and COUNTY agrees to accept the services specified herein.

### RECITALS

The COUNTY and the CONTRACTOR have a pre-existing contractual relationship under County Purchase Order contract number CN-08042 wherein the COUNTY authorized CONTRACTOR to render professional planning services on the Key Site 3 project EIR beginning June 9, 2008. Contract number CN-08042 has a not-to-exceed limit of \$98,909.00, and under that contract \$57,511.30 has been expended to date. Additional work is required on the Key Site 3 project and this new contract shall provide an additional \$49,555 of funding to complete work on the Key Site 3 project EIR. The parties expressly agree that all obligations and warranties under the prior agreement shall survive unless expressly superseded by this Agreement.

**NOW, WHEREAS**, Purchase order contract number CN-08042 provided prior contractual authorization for CONTRACTOR to render professional planning services on the Key Site 3 project; and

**WHEREAS**, \$57,511.30 of the \$98,909.00 not-to-exceed amount under contract number CN-08042 has been expended thus far; and

**WHEREAS**, an additional \$49,555.00 of funding is necessary to complete work on the Key Site 3 project; and

**WHEREAS**, COUNTY and CONTRACTOR have agreed that all obligations and warranties under contract CN-08042 shall remain in full force and effect, unless expressly superseded by this Agreement; and

**WHEREAS**, COUNTY and CONTRACTOR have agreed to perform their respective duties and obligations as described in this Agreement,

**NOW, THEREFORE**, in consideration of the foregoing premises and the mutual covenants and conditions contained herein, the parties agree as follows:

1. **DESIGNATED REPRESENTATIVE.** John Zorovich at phone number (805) 934-6297 is the representative of COUNTY and will administer this Agreement for and on behalf of COUNTY. Richard Daulton at phone number (805) 547-0900 is the authorized representative for CONTRACTOR. Changes in designated representatives shall be made only after advance written notice to the other party.

2. **NOTICES.** Any notice or consent required or permitted to be given under this Agreement shall be given to the respective parties in writing, by first class mail, postage prepaid, or otherwise delivered as follows:

To COUNTY: County of Santa Barbara  
Planning & Development  
123 East Anapamu Street  
Santa Barbara, Ca, 93101  
Attn: Elisa Moser

To CONTRACTOR: Richard Daulton  
Rincon Consultants  
1530 Monterey Street, Suite D  
San Luis Obispo, Ca, 93401

or at such other address or to such other person that the parties may from time to time designate. Notices and consents under this section, which are sent by mail, shall be deemed to be received five (5) days following their deposit in the U.S. mail.

3. **SCOPE OF SERVICES.** CONTRACTOR agrees to provide services to COUNTY in accordance with EXHIBIT A attached hereto and incorporated herein by reference.

4. **TERM.** CONTRACTOR shall commence performance on October 14, 2009 and end performance upon completion, but no later than June 30, 2010 unless otherwise directed by COUNTY or unless earlier terminated.

5. **COMPENSATION OF CONTRACTOR.** CONTRACTOR shall be paid for performance under this Agreement in accordance with the terms of EXHIBIT B attached hereto and incorporated herein by reference. Billing shall be made by invoice, which shall include the contract number assigned by COUNTY and which is delivered to the address given in Section 2. NOTICES above, following completion of the increments identified on EXHIBIT B. Unless otherwise specified on EXHIBIT B, payment shall be net thirty (30) days from presentation of invoice.

6. **INDEPENDENT CONTRACTOR.** CONTRACTOR shall perform all of its services under this Agreement as an independent contractor and not as an employee of COUNTY. CONTRACTOR understands and acknowledges that it shall not be entitled to any of the benefits of a COUNTY employee, including but not limited to vacation, sick leave, administrative leave, health insurance, disability insurance, retirement, unemployment insurance, workers' compensation and protection of tenure.

7. **STANDARD OF PERFORMANCE.** CONTRACTOR represents that it has the skills, expertise, and licenses/permits necessary to perform the services required under this Agreement. Accordingly, CONTRACTOR shall perform all such services in the manner and according to the standards observed by a competent practitioner of the same profession in which CONTRACTOR is engaged. All products of whatsoever nature, which CONTRACTOR delivers to COUNTY pursuant to this Agreement, shall be prepared in a first class and workmanlike manner and shall conform to the standards of quality normally observed by a person practicing in CONTRACTOR's profession. CONTRACTOR shall correct or revise any errors or omissions, at COUNTY'S request without additional compensation. Permits and/or licenses shall be obtained and maintained by CONTRACTOR without additional compensation.

8. **TAXES.** COUNTY shall not be responsible for paying any taxes on CONTRACTOR's behalf, and should COUNTY be required to do so by state, federal, or local taxing agencies, CONTRACTOR agrees to promptly reimburse COUNTY for the full value of such paid taxes plus interest and penalty, if any. These taxes shall include, but not be limited to, the following: FICA (Social Security), unemployment insurance contributions, income tax, disability insurance, and workers' compensation insurance.

9. **CONFLICT OF INTEREST.** CONTRACTOR covenants that CONTRACTOR presently has no interest and shall not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under this Agreement. CONTRACTOR further covenants that in the performance of this Agreement, no person having any such interest shall be employed by CONTRACTOR.

10. **RESPONSIBILITIES OF COUNTY.** COUNTY shall provide all information reasonably necessary by CONTRACTOR in performing the services provided herein.

11. **OWNERSHIP OF DOCUMENTS.** COUNTY shall be the owner of the following items incidental to this Agreement upon production, whether or not completed: all data collected, all documents of any type whatsoever, and any material necessary for the practical use of the data and/or documents from the time of collection and/or production whether or not performance under this Agreement

is completed or terminated prior to completion. CONTRACTOR shall not release any materials under this section except after prior written approval of COUNTY.

No materials produced in whole or in part under this Agreement shall be subject to copyright in the United States or in any other country except as determined at the sole discretion of COUNTY. COUNTY shall have the unrestricted authority to publish, disclose, distribute, and other use in whole or in part, any reports, data, documents or other materials prepared under this Agreement.

12. **RECORDS, AUDIT, AND REVIEW.** CONTRACTOR shall keep such business records pursuant to this Agreement as would be kept by a reasonably prudent practitioner of CONTRACTOR's profession and shall maintain such records for at least four (4) years following the termination of this Agreement. All accounting records shall be kept in accordance with generally accepted accounting practices. COUNTY shall have the right to audit and review all such documents and records at any time during CONTRACTOR's regular business hours or upon reasonable notice.

13. **INDEMNIFICATION AND INSURANCE.** CONTRACTOR shall agree to defend, indemnify and save harmless the COUNTY and to procure and maintain insurance in accordance with the provisions of EXHIBIT C attached hereto and incorporated herein by reference.

14. **NONDISCRIMINATION.** COUNTY hereby notifies CONTRACTOR that COUNTY's Unlawful Discrimination Ordinance (Article XIII of Chapter 2 of the Santa Barbara County Code) applies to this Agreement and is incorporated herein by this reference with the same force and effect as if the ordinance were specifically set out herein and CONTRACTOR agrees to comply with said ordinance.

15. **NONEXCLUSIVE AGREEMENT.** CONTRACTOR understands that this is not an exclusive Agreement and that COUNTY shall have the right to negotiate with and enter into contracts with others providing the same or similar services as those provided by CONTRACTOR as the COUNTY desires.

16. **ASSIGNMENT.** CONTRACTOR shall not assign any of its rights nor transfer any of its obligations under this Agreement without the prior written consent of COUNTY and any attempt to so assign or so transfer without such consent shall be void and without legal effect and shall constitute grounds for termination.

17. **TERMINATION.**

A. **By COUNTY.** COUNTY may, by written notice to CONTRACTOR, terminate this Agreement in whole or in part at any time, whether for COUNTY's convenience or because of the failure of CONTRACTOR to fulfill the obligations herein. Upon receipt of notice, CONTRACTOR shall immediately discontinue all services effected (unless the notice directs otherwise), and deliver to COUNTY all data, estimates, graphs, summaries, reports, and all other records, documents or papers as may have been accumulated or produced by CONTRACTOR in performing this Agreement, whether completed or in process.

1. For Convenience. COUNTY may terminate this Agreement upon thirty (30) days written notice. Following notice of such termination, CONTRACTOR shall promptly cease work and notify COUNTY as to the status of its performance.

Notwithstanding any other payment provision of this Agreement, COUNTY shall pay CONTRACTOR for service performed to the date of termination to include a prorated amount of compensation due hereunder less payments, if any, previously made. In no event shall CONTRACTOR be paid an amount in excess of the full price under this Agreement nor for profit on unperformed portions of service. CONTRACTOR shall furnish to COUNTY such financial information as in the judgment of COUNTY is necessary to determine the reasonable value of the services rendered by CONTRACTOR. In the event of a dispute as to the reasonable value of the services rendered by CONTRACTOR, the decision

of COUNTY shall be final. The foregoing is cumulative and shall not effect any right or remedy which COUNTY may have in law or equity.

2. For Cause. Should CONTRACTOR default in the performance of this Agreement or materially breach any of its provisions, COUNTY may, at COUNTY's sole option, terminate this Agreement by written notice, which shall be effective upon receipt by CONTRACTOR.

B. By CONTRACTOR. Should COUNTY fail to pay CONTRACTOR all or any part of the payment set forth in EXHIBIT B, CONTRACTOR may, at CONTRACTOR's option terminate this agreement if such failure is not remedied by COUNTY within thirty (30) days of written notice to COUNTY of such late payment.

18. **SECTION HEADINGS.** The headings of the several sections, and any Table of Contents appended hereto, shall be solely for convenience of reference and shall not affect the meaning, construction or effect hereof.

19. **SEVERABILITY.** If any one or more of the provisions contained herein shall for any reason be held to be invalid, illegal or unenforceable in any respect, then such provision or provisions shall be deemed severable from the remaining provisions hereof, and such invalidity, illegality or unenforceability shall not affect any other provision hereof, and this Agreement shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein.

20. **REMEDIES NOT EXCLUSIVE.** No remedy herein conferred upon or reserved to COUNTY is intended to be exclusive of any other remedy or remedies, and each and every such remedy, to the extent permitted by law, shall be cumulative and in addition to any other remedy given hereunder or now or hereafter existing at law or in equity or otherwise.

21. **TIME IS OF THE ESSENCE.** Time is of the essence in this Agreement and each covenant and term is a condition herein.

22. **NO WAIVER OF DEFAULT.** No delay or omission of COUNTY to exercise any right or power arising upon the occurrence of any event of default shall impair any such right or power or shall be construed to be a waiver of any such default or an acquiescence therein; and every power and remedy given by this Agreement to COUNTY shall be exercised from time to time and as often as may be deemed expedient in the sole discretion of COUNTY.

23. **ENTIRE AGREEMENT AND AMENDMENT.** In conjunction with the matters considered herein, this Agreement contains the entire understanding and agreement of the parties and there have been no promises, representations, agreements, warranties or undertakings by any of the parties, either oral or written, of any character or nature hereafter binding except as set forth herein. This Agreement may be altered, amended or modified only by an instrument in writing, executed by the parties to this Agreement and by no other means. Each party waives their future right to claim, contest or assert that this Agreement was modified, canceled, superseded, or changed by any oral agreements, course of conduct, waiver or estoppel.

24. **SUCCESSORS AND ASSIGNS.** All representations, covenants and warranties set forth in this Agreement, by or on behalf of, or for the benefit of any or all of the parties hereto, shall be binding upon and inure to the benefit of such party, its successors and assigns.

25. **COMPLIANCE WITH LAW.** CONTRACTOR shall, at his sole cost and expense, comply with all County, State and Federal ordinances and statutes now in force or which may hereafter be in force with regard to this Agreement. The judgment of any court of competent jurisdiction, or the admission of CONTRACTOR in any action or proceeding against CONTRACTOR, whether COUNTY be a party thereto or not, that CONTRACTOR has violated any such ordinance or statute, shall be conclusive of that fact as between CONTRACTOR and COUNTY.

26. **CALIFORNIA LAW.** This Agreement shall be governed by the laws of the State of California. Any litigation regarding this Agreement or its contents shall be filed in the County of Santa Barbara, if in state court, or in the federal district court nearest to Santa Barbara County, if in federal court.

27. **EXECUTION OF COUNTERPARTS.** This Agreement may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the parties shall preserve undestroyed, shall together constitute one and the same instrument.

28. **AUTHORITY.** All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, CONTRACTOR hereby warrants that it shall not have breached the terms or conditions of any other contract or agreement to which CONTRACTOR is obligated, which breach would have a material effect hereon.

29. **PRECEDENCE.** In the event of conflict between the provisions contained in the numbered sections of this Agreement and the provisions contained in the Exhibits, the provisions of the Exhibits shall prevail over those in the numbered sections.

30. **HANDLING OF PROPRIETARY INFORMATION.** CONTRACTOR understands and agrees that certain materials which may be provided may be classified and conspicuously labelled as proprietary confidential information. That material is to be subject to the following special provisions:

A. All reasonable steps will be taken to prevent disclosure of the material to any person except those personnel of CONTRACTOR working on the project who have a need to use the material.

B. Upon conclusion of CONTRACTOR's work, CONTRACTOR shall return all copies of the material direct to party providing such material. CONTRACTOR shall contact COUNTY to obtain the name of the specific party authorized to receive the material.

31. **IMMATERIAL CHANGES.** CONTRACTOR and COUNTY agree that immaterial changes to the work program (time frame and mutually agreeable work program changes which will not result in a change to the total contract amount) may be authorized by Planning and Development Director, or designee in writing, and will not constitute an amendment to the Agreement.

32. **NEWS RELEASES/INTERVIEWS.** CONTRACTOR agrees for itself, its agents, employees and subcontractors, it will not communicate with representatives of the communications media concerning the subject matter of this Agreement without prior written approval of the COUNTY Project Coordinator. CONTRACTOR further agrees that all media requests for communication will be referred to COUNTY's responsible personnel.

The parties hereto, having read in its entirety this Agreement for Services of Independent Contractor between the **County of Santa Barbara** and Rincon Consultants, Inc., do agree thereto in each and every particular term and covenant.

**IN WITNESS WHEREOF**, the parties have executed this Agreement to be effective on the date executed by COUNTY.

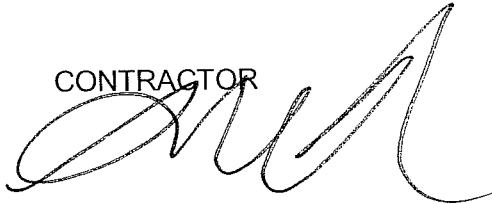
COUNTY OF SANTA BARBARA

By: \_\_\_\_\_  
Chair, Board of Supervisors

Date: \_\_\_\_\_

ATTEST:  
MICHAEL F. BROWN  
CLERK OF THE BOARD

CONTRACTOR



By: \_\_\_\_\_  
Deputy

By: STEPHEN SVETE, AICP  
Tax ID Number: 77 - 039 0093

APPROVED AS TO FORM: \_\_\_\_\_  
DENNIS A. MARSHALL  
COUNTY COUNSEL

APPROVED AS TO ACCOUNTING FORM:  
ROBERT W GEIS, CPA  
AUDITOR-CONTROLLER

By:   
Deputy County Counsel

By:   
Deputy

APPROVED AS TO FORM:  
RAY AROMATORIO,  
RISK PROGRAM MANAGER

By:   
Risk Program Manager

## EXHIBIT A

### REVISED STATEMENT OF WORK KEY SITE 3-EIR

**Task 1. Kickoff Meeting.** Within 10 days of authorization to proceed, Rincon will organize a kickoff meeting with County staff and key members of the consultant team. This meeting will serve as a forum to review and confirm study objectives and establish an operational protocol. Working schedules will be finalized, and details for scheduled tasks will be discussed.

**Task 2. Revised Project Description and Alternative Descriptions.** Based on the materials received during the kickoff meeting, Rincon will prepare a detailed revised Project Description for review by the County. The revised project description will detail the project proposal through textual, tabular, and graphic presentation, as necessary, to facilitate a thorough understanding of the proposed project. The revised project description will include:

- Site plans;
- A thorough explanation of proposed land use changes and controls;
- Features that have been incorporated into the revised project to minimize potential environmental or land use conflicts;
- Tables illustrating the characteristics of the project, including the anticipated range of potential activities; and
- Graphics illustrating proposed structural elements and other components of the project.

Selection of the alternatives will focus on those alternatives capable of minimizing or avoiding potential impacts of the proposed project. The issue of alternatives is further discussed below.

**Task 3. Administrative Draft EIR.** The Draft EIR for the Key Site 3 project will be prepared in accordance with the State CEQA Guidelines and the County Environmental Thresholds and Guidelines Manual. Rincon will adhere to the same standard of adequacy provided for EIRs in the foregoing guidelines, which specifically state that:

*An EIR should be prepared with a sufficient degree of analysis to provide decision-makers with information which enables them to make a decision which intelligently takes account of environmental consequences. An evaluation of the environmental effects of a proposed project need not be exhaustive, but the sufficiency of an EIR is to be reviewed in light of what is reasonably feasible.*

*Executive Summary.* The Draft EIR will contain a summary of the proposed project, and associated environmental consequences. This information will be presented in tabular format to simplify review by decision-makers and the general public. This section will identify:

- *Each potential environmental impact;*
- *The level of significance of each impact;*
- *Mitigation measures recommended; and*
- *The residual impacts after mitigation.*

The summary will note areas of known controversy and an assessment of the alternatives reviewed and their associated impacts. The summary will also discuss the environmentally superior alternative of the alternatives discussed in the EIR and the rationale for its selection as such.

*Introduction and Environmental Setting.* The EIR will provide introductory sections (required by CEQA) that lay the groundwork for and summarize the substantive analysis to follow. The introduction will describe the purpose and legal authority of the study. It will provide a discussion of lead, responsible and trustee agencies. The environmental setting will provide a general description of the existing geographic character of the Orcutt area and the immediate site vicinity. This section will also contain the list of pending and approved projects within the general area that will be considered in the cumulative analysis.

*Revised Project Description.* Rincon consultants will prepare a detailed revised Project Description for incorporation into the EIR. The revised project description will include:

- An explanation of the proposed land use changes and lot layout;
- Discussion of infrastructure service providers and methods for providing future service;
- Development phasing plans;
- Discussion of impacts associated with offsite improvements that are needed to allow for access to the site;
- Discussion of storm water management approaches for the site;
- Description of construction activities and timing;
- Other features that have been incorporated into the project to minimize potential environmental or land use conflicts;

*Environmental Analysis.* Each environmental issue addressed in the EIR will incorporate four sub-topics:

- *Setting;*
- *Revised impact analysis (significance thresholds, methodology, project impacts, cumulative impacts) for each section to reflect the new project design and to include a discussion of impacts related to the offsite improvements;*
- *Mitigation measures; and*
- *Level of significance after mitigation.*

Each impact statement will be discrete and numbered, with any necessary mitigation measures numerically linked to the impact in question. Rincon has found that this editorial method clarifies the analysis for reviewers, and provides a direct nexus between mitigation measure and impacts.

Baseline data available from existing applicant-submitted reports will be incorporated within the EIR. The setting will be based on these existing data sources, including the EIR for the Orcutt Community Plan, County Comprehensive Plan, technical reports prepared for the applicant, and other planning documents and recent CEQA documents. Where possible, impacts will be quantified. If existing data does not allow definitive quantification, reasonable assumptions will be used to qualitatively forecast potential impacts. Cumulative impacts will also be discussed within this analysis.

Rincon staff evaluated air toxics associated with residential development in proximity to U.S. Highway 101 in a September 27, 2008 Health Risk Assessment (HRA). The HRA evaluated the previous project development plan Rincon staff will evaluate the change to risk posed by revisions to the site plan and



prepare a technical memorandum as an addendum to the September 2008 HRA. The air quality section shall also address criterion pollutants; it shall also disclose but not attempt classification, green house gas emissions and options to reduce these emissions.

Mitigation measures may include a range of design measures and programs as proposed by the County staff and consultant team. Mitigation measures will be presented in wording that can be directly applied to conditions of approval and will include monitoring requirements. Rincon will use the County's Standard Mitigation Measures document as the basis of proposed measures. Issues related to mitigation implementation, such as the monitoring frequency, and responsibility will be further discussed.

*Alternatives.* The Draft EIR shall include the evaluation of a reasonable range of project alternatives, including the required "no project" alternative. The impacts of all alternatives will be assessed for each issue area. The EIR will identify the "environmentally superior" alternative among the alternatives reviewed. Determination of the rationale used in making the superiority determination will be fully explained. If the "no project" alternative is determined to be the environmentally superior alternative, the EIR will identify the environmentally superior alternative among the others that are analyzed.

The alternatives will be among the topics discussed at the kickoff meeting.

Other CEQA Sections.

*Issues Found Not to Be Significant.* While not specifically required under CEQA, we propose to include a summary of Issues Found Not to Be Significant. For these summaries, we would use and expand upon the information currently contained in the Initial Study to substantiate this finding. If substantial evidence is identified that any of these issues would warrant further discussions as a potentially significant impact, additional budget may be required to more thoroughly examine the issue.

*Growth Inducing Impacts.* This section of the EIR would examine the potential growth inducing and/or precedent setting implications of the proposed project. Given that the project area is highly rural in nature, growth-inducing effects could be significant. This section would examine and summarize pending and planned growth in the area based on current Comprehensive Plan designations and pending project applications. Key infrastructure systems would be reviewed to determine whether any of the project improvements would be growth inducing. The project would also be reviewed relative to its projected employment and population growth and for the primary and secondary effects that could be associated with this increase.

*Persons and Organizations Contacted and References.* The EIR would include a listing of preparers, persons and organizations contacted and a list of references.

*County Review of the Administrative Draft.* Rincon will provide one reproducible copy of the Administrative Draft Subsequent EIR for County staff review. Thereafter, key consultant team members would meet with the staff in order to discuss any concerns, modifications, and input to the analysis and proposed mitigation measures.

**Task 4. Draft EIR.** This task involves the production, editorial work, and communication processes anticipated to publish the Draft EIR for circulation, public and agency review, and comment. The County will be responsible for: filing a Notice of Completion with the State Office of Planning and Research, for

circulating the Draft EIR to commenting agencies and interested groups or individuals, and for receiving their written commentary. In addition, the County will give notice to all organizations and individuals who have expressed interest in receiving such notice and the notice will also be published in a local newspaper. Consultant shall provide County with one reproducible copy.

**Task 5. Public Hearings.** Rincon's Project Manager or Principal-in-Charge will attend up to three public hearings. Attendance would include oral presentations to the hearing body, supplemented with graphic presentations, if necessary. These hearings can be scheduled and selected at the County's discretion. For budgetary purposes, it is assumed that attendance by technical experts will not be required at the hearings. However, at the County's request, Rincon will attend additional hearing or arrange for technical experts to attend the public hearings at an additional cost.

**Task 6. Final EIR.** The final formal stages of the EIR and project review process involve responding to comments, public hearings, and final publication tasks. At this point, all of the discretionary permit applications and the EIR are brought together for final public governmental scrutiny leading to decisions regarding approval. Through this process, final changes and policy decisions concerning the project are made. Our work effort regarding this task is delineated below.

*Response to Comments/Administrative FEIR.* Upon closure of the public comment period, Rincon will meet with staff to discuss the responses to the public comments received on the draft EIR. Within 15 days of receipt of all public comments on the Draft EIR, Rincon will submit one copy of the Administrative Final EIR for County review, including any added or substantially revised sections of the Draft EIR that may be necessary. We will discuss and modify, as necessary, information in the EIR that requires such modification.

*Publication of the Final EIR.* Subsequent to County review of the AFEIR, Rincon will deliver one reproducible master. Digital files will be provided in Microsoft Word 6.0 or as PDFs. Upon certification of the Final EIR and project approval (if approved), the County will be responsible for filing a Notice of Determination with the County Clerk's office.

**Task 7. Findings and Statement of Overriding Considerations.** Consultant shall assist County in the preparation of any necessary Finding for approval and any necessary Statements of Overriding Considerations as deemed necessary by the County.

All reports will be produced in double-sided format on recycled paper.

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**EXHIBIT B**

**PAYMENT ARRANGEMENTS**  
**Periodic Compensation at Selected Milestones**

- A. For CONTRACTOR services to be rendered under this contract, CONTRACTOR shall be paid on a cost reimbursements on a time and material basis, not to exceed \$148,464.
- B. Payment for services and /or reimbursement of costs shall be made upon CONTRACTOR's satisfactory performance, based upon the scope and methodology contained in **EXHIBIT A** as determined by COUNTY.
- C. Upon completion of the work for each milestone and/or delivery to COUNTY of item(s) specified below, CONTRACTOR shall submit to the COUNTY DESIGNATED REPRESENTATIVE an invoice or certified claim on the County Treasury for the service performed in accomplishing each milestone. These invoices or certified claims must cite the assigned Board Contract Number. COUNTY REPRESENTATIVE shall evaluate the quality of the service performed and/or item(s) delivered and if found to be satisfactory shall initiate payment processing. COUNTY shall pay invoices or claims for satisfactory work within 30 days of presentation.

Percentage of Total Contract Amount Maximum Amount Chargeable	Milestone Description
<b>Notice to Proceed</b> <b>10%</b>	<b>Signature of Contract (NOP)</b>
<b>Task 1</b> <b>50%</b>	<b>ADEIR Submittal and acceptance by County</b>
<b>Task 2</b> <b>10%</b>	<b>Draft EIR submittal and acceptance by County</b>
<b>Task 3</b> <b>10%</b>	<b>Proposed Final EIR submittal and acceptance by County</b>
<b>Task 4</b> <b>15%</b>	<b>EIR Certification</b>
<b>Task 5</b> <b>5%</b>	<b>Assist in Preparation of Findings and Statement of Overriding Considerations</b>

The final milestone payment above shall not be made until all services have been completed and item(s) as specified in **EXHIBIT A** have been delivered and found to be satisfactory.

- D. COUNTY's failure to discover or object to any unsatisfactory work or billings prior to payment will not constitute a waiver of COUNTY's right to require CONTRACTOR to correct such work or billings or seek any other legal remedy.



## EXHIBIT C

### STANDARD INDEMNIFICATION AND INSURANCE PROVISIONS for contracts REQUIRING professional liability insurance

#### INDEMNIFICATION

##### Indemnification pertaining to other than Professional Services:

CONTRACTOR shall defend, indemnify and save harmless the COUNTY, its officers, agents and employees from any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities arising out of this Agreement or occasioned by the performance or attempted performance of the provisions hereof; including, but not limited to, any act or omission to act on the part of the CONTRACTOR or his agents or employees or other independent contractors directly responsible to him; except those claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities resulting from the sole negligence or willful misconduct of the COUNTY.

CONTRACTOR shall notify the COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement.

##### Indemnification pertaining to Professional Services:

CONTRACTOR shall indemnify and save harmless the COUNTY, its officers, agents and employees from any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities arising out of the negligent performance or attempted performance of the provisions hereof; including any willful or negligent act or omission to act on the part of the CONTRACTOR or his agents or employees or other independent contractors directly responsible to him to the fullest extent allowable by law.

CONTRACTOR shall notify the COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement.

Without limiting the CONTRACTOR's indemnification of the COUNTY, CONTRACTOR shall procure the following required insurance coverages at its sole cost and expense. All insurance coverage is to be placed with insurers which (1) have a Best's rating of no less than A: VII, and (2) are admitted insurance companies in the State of California. All other insurers require the prior approval of the COUNTY. Such insurance coverage shall be maintained during the term of this Agreement. Failure to comply with the insurance requirements shall place CONTRACTOR in default. Upon request by the COUNTY, CONTRACTOR shall provide a certified copy of any insurance policy to the COUNTY within ten (10) working days.

1. Workers' Compensation Insurance: Statutory Workers' Compensation and Employers Liability Insurance shall cover all CONTRACTOR's staff while performing any work incidental to the performance of this Agreement. The policy shall provide that no cancellation, or expiration or reduction of coverage shall be effective or occur until at least thirty (30) days after receipt of such notice by the COUNTY. In the event CONTRACTOR is self-insured, it shall furnish a copy of Certificate of Consent to Self-Insure issued by the Department of Industrial Relations for the State of California. This provision does not apply if CONTRACTOR has no employees as

defined in Labor Code Section 3350 et seq. during the entire period of this Agreement and CONTRACTOR submits a written statement to the COUNTY stating that fact.

2. General and Automobile Liability Insurance: The general liability insurance shall include bodily injury, property damage and personal injury liability coverage, shall afford coverage for all premises, operations, products and completed operations of CONTRACTOR and shall include contractual liability coverage sufficiently broad so as to include the insurable liability assumed by the CONTRACTOR in the indemnity and hold harmless provisions of the Indemnification Section of this Agreement between COUNTY and CONTRACTOR. The automobile liability insurance shall cover all owned, non-owned and hired motor vehicles that are operated on behalf of CONTRACTOR pursuant to CONTRACTOR's activities hereunder. CONTRACTORS shall require all subcontractors to be included under its policies or furnish separate certificates and endorsements to meet the standards of these provisions by each subcontractor. COUNTY, its officers, agents, and employees shall be Additional Insured status on any policy. A cross liability clause, or equivalent wording, stating that coverage will apply separately to each named or additional insured as if separate policies had been issued to each shall be included in the policies. A copy of the endorsement evidencing that the policy has been changed to reflect the Additional Insured status must be attached to the certificate of insurance. The limit of liability of said policy or policies for general and automobile liability insurance shall not be less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate. Any deductible or Self-Insured Retention {SIR} over \$10,000 requires approval by the COUNTY.

Said policy or policies shall include a severability of interest or cross liability clause or equivalent wording. Said policy or policies shall contain a provision of the following form:

*"Such insurance as is afforded by this policy shall be primary and non-contributory to the full limits stated in the declarations, and if the COUNTY has other valid and collectible insurance for a loss covered by this policy, that other insurance shall be excess only."*

If the policy providing liability coverage is on a 'claims-made' form, the CONTRACTOR is required to maintain such coverage for a minimum of three years following completion of the performance or attempted performance of the provisions of this agreement. Said policy or policies shall provide that the COUNTY shall be given thirty (30) days written notice prior to cancellation or expiration of the policy or reduction in coverage.

3. Professional Liability Insurance. Professional liability insurance shall include coverage for the activities of CONTRACTOR's professional staff with a combined single limit of not less than \$1,000,000 per occurrence or claim and \$2,000,000 in the aggregate. Said policy or policies shall provide that COUNTY shall be given thirty (30) days written notice prior to cancellation, expiration of the policy, or reduction in coverage. If the policy providing professional liability coverage is a on 'claims-made' form, the CONTRACTOR is required to maintain such coverage for a minimum of three (3) years (ten years [10] for Construction Defect Claims) following completion of the performance or attempted performance of the provisions of this agreement.

CONTRACTOR shall submit to the office of the designated COUNTY representative certificate(s) of insurance documenting the required insurance as specified above prior to this Agreement becoming effective. COUNTY shall maintain current certificate(s) of insurance at all times in the office of the designated County representative as a condition precedent to any payment under this Agreement. Approval of insurance by COUNTY or acceptance of the certificate of insurance by COUNTY shall not relieve or decrease the extent to which the CONTRACTOR may be held responsible for payment of

damages resulting from CONTRACTOR'S services of operation pursuant to the contract, nor shall it be deemed a waiver of COUNTY'S rights to insurance coverage hereunder.

In the event the CONTRACTOR is not able to comply with the COUNTY'S insurance requirements, COUNTY may, at their sole discretion and at the CONTRACTOR'S expense, provide compliant coverage.

The above insurance requirements are subject to periodic review by the COUNTY. The COUNTY's Risk Manager is authorized to change the above insurance requirements, with the concurrence of County Counsel, to include additional types of insurance coverage or higher coverage limits, provided that such change is reasonable based on changed risk of loss or in light of past claims against the COUNTY or inflation. This option may be exercised during any amendment of this Agreement that results in an increase in the nature of COUNTY's risk and such change of provisions will be in effect for the term of the amended Agreement. Such change pertaining to types of insurance coverage or higher coverage limits must be made by written amendment to this Agreement. CONTRACTOR agrees to execute any such amendment within thirty (30) days of acceptance of the amendment or modification.





**EXHIBIT D  
TIMELINES AND PERFORMANCE MEASURES**

Deliverables	Timing
Kick off meeting	To occur within 10 working days of the revised contract approval
Revised project description & alternatives	Within 10 working days of kickoff meeting
Admin Draft (1 copy)	Within 15 weeks of Project Description & alternatives approval by County
Draft EIR (1 reproducible copy)	Within 10 working days following ADEIR approval by County
Response to Comments (on draft EIR)	Within 15 working days of the close of the public review period
Final EIR (1 reproducible copy)	Within 10 working days of receipt of County comments on Response to Comments/Admin Draft EIR



Contract Summary Form:

BC 10-073

Complete the information below, print this form, obtain the signature of the authorized departmental representative and submit this form to the Clerk of the Board with the contract package. See also: Contracts for Services Policy.

D1. Fiscal Year.....: FY 09/10  
D2. Budget Unit Number.....: 053  
D3. Requisition Number.....: N/A  
D4. Department Name.....: Planning & Development  
D5. Contact Person.....: Doug Anthony  
D6. Phone.....: (805) 934-6559

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K1. Contract Type (check one):  Personal Service  Commodity  Capital Project/Construction  
K2. Brief Summary of Contract Description or Purpose...: Key Site 3 EIR  
K3. Original Contract Amount.....: \$98,909.00 (CN08042)  
K4. Contract Begin Date.....: June 17, 2008  
K5. Original Contract End Date.....: June 30, 2009  
K6. This Amendment Number.....: 02  
K7. - Total Previous Amendments.....: 01 (Revised end date to June 30, 2010)  
K8. - This Amendment Amount.....: \$49,555.00  
K9. - Revised Total Contract Amount.....: \$148,464.00  
K10. - Revised End Date.....:  
K11. Department Project Number.....: N/A

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B1. Is this a Board Contract (Yes/No).....: Yes  
B2. Number of Workers Displaced (if any).....: N/A  
B3. Number of Competitive Bids (if any).....: N/A  
B4. Lowest Bid Amount.....: N/A  
B5. If Board waived bids, show Agenda Date.....: N/A  
B6. ...and Agenda Item Number.....: N/A  
B7. Boilerplate Contract Text Unchanged (Yes/No).....: Yes – Added Section #30 Handling of Proprietary Information, #31 Immaterial Changes, #32 News Releases/Interviews.

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F1. Encumbrance Transaction Code.....: 1701  
F2. Current Year Encumbrance Amount.....: \$0.00  
F3. Fund Number.....: 0001  
F4. Department Number.....: 053  
F5. Prog/Org/Proj. Number (if applicable).....: 3015/3000/210  
F6. Account Number.....: 7510  
F7. Cost Center number (if applicable).....: N/A  
F8. Payment Terms.....: Net 30

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V1. Auditor Vendor Number.....: 670900  
V2. Payee/Contractor Name.....: Rincon Consultants, Inc.  
V3. Mailing Address.....: 1530 Monterey Street, Suite D  
V4. City.....: San Luis Obispo  
V5. State (two-letter).....: CA  
V6. Zip (include +4 if known).....: 93401  
V7. Telephone Number.....: (805) 547-0900  
V8. Vendor's Federal Tax ID Number (EIN).....: 770390093  
V9. Contact Person.....: Richard Daulton  
V10. Workers Comp Insurance Expiration Date.....: February 1, 2010  
V11. General Liability Insurance Expiration Date.....: December 17, 2011  
V12. Professional License Number.....:  
V13. Verified by.....: Ruth Reverdy  
V14. Company Type (Check one):  Individual  Sole Proprietorship  Partnership  Corporation

This information has been reviewed and is complete and accurate as presented. Concurrences as required are represented by signature on the contract signature page. :Authorized Signature

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