



State of California Emergency Solutions Grant Program

Notice of Funding Availability • 2016 Operating Year

The County of Santa Barbara, Division of Housing and Community Development is pleased to issue this Notice of Funding Availability (NOFA) to inform eligible organizations about available Emergency Solutions Grant Program funds through the State of California Department of Housing and Community Development for the 2016 Operating Year.

General Information

TITLE: State of California Emergency Solutions Grant Program – 2016 Operating Year
CONTACT: A.J. Quinoveva, Housing Program Specialist, Sr.
CONTACT EMAIL: aquinoveva@co.santa-barbara.ca.us
CONTACT PHONE/FAX: 805-560-1090 / 805-560-1091
ISSUE DATE: May 9, 2016

Contents

- 1 Introduction
 - 2 Objective
 - 3 Funding Available
 - 4 Program Requirements
 - 5 NOFA Schedule
 - 6 Application
 - 7 Method of Evaluation
- Exhibit A Resources
Exhibit B State ESG Application Scoring Criteria

Application Deadline:
Monday, May 23, 2016
5:00 pm

County of Santa Barbara
Community Services Department
Housing and Community Development Division
123 E. Anapamu St., Second Floor
Santa Barbara, CA 93101
www.countyofsb.org/housing

1. Introduction

The State of California Department of Housing and Community Development (State HCD) receives an annual allocation of Emergency Solutions Grant Program (State ESG) funds from the United States Department of Housing and Urban Development (HUD) to assist individuals and families who are homeless or at risk of homelessness. Beginning in 2016, State HCD will distribute State ESG funds to qualifying cities and counties, which will then be responsible for administering State ESG funds for their respective service areas. State HCD designated the County of Santa Barbara (County) as the Administrative Entity (AE) for the Santa Maria/Santa Barbara County Continuum of Care Service Area (CoC Service Area), which geographically encompasses Santa Barbara County. The Housing and Community Development Division (County HCD) will administer State ESG funds on behalf of the County and announces the availability of these funds to support homelessness assistance projects throughout the CoC Service Area.

2. Objective

The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families; (3) help operate these shelters; (4) provide essential services to shelter residents; (5) rapidly re-house homeless individuals and families; and (6) prevent families/individuals from becoming homeless. State ESG funds may be used for four program components:

- Street outreach,
- Emergency Shelter,
- Homeless Management Information System (HMIS), and
- Rapid Re-housing.

This NOFA should be read in conjunction with the following regulations, which establish HUD and State HCD requirements:

- Code of Federal Regulations (CFR), Title 24, Part 576; and
- Department of Housing and Community Development Emergency Solutions Grants Program State Regulations, California Code of Regulations (CCR), Title 25, Division 1, Chapter 7, Subchapter 20.

3. Funding Available

Approximately \$643,117 is available for distribution for the 2016 operating year. The table below summarizes the eligible components and funding limitations.

3.1 Rapid Re-housing Activities

At least \$264,385 will be allocated to rapid re-housing activities.

3.2 Grant Amounts

The minimum request amount per application is \$100,000. HMIS activities must not exceed 10 percent of the request amount.

3.3 Grant Terms

Grant terms are anticipated to be 22 to 24 months.

Component	Description	Funding
Street Outreach	Essential Services necessary to reach out to unsheltered homeless individuals and families, connect them with emergency shelter, housing, or critical services, and provide them with urgent, non-facility-based care. Component services generally consist of engagement, case management, emergency health and mental health services, and transportation. For specific requirements and eligible costs, see 24 CFR §576.101.	Max. \$378,732
Emergency Shelter	Essential Services for individuals and families in emergency shelter. Component services generally consist of case management, child care, education services, employment assistance and job training, outpatient health services, legal services, life skills training, mental health services, substance abuse treatment services, and transportation. Shelter Operations, including maintenance, rent, security, fuel, equipment, insurance, utilities, and furnishings. For specific requirements and eligible costs, see 24 CFR §576.102.	
HMIS	Grant funds may be used for certain HMIS and comparable database costs, as specified at 24 CFR §576.107.	
Rapid Re-housing	Housing relocation and stabilization services and short and/or medium-term rental assistance as necessary to help individuals or families living in an emergency shelter or other place described in paragraph (1) of the “homeless” definition at 24 CFR 576.2 move as quickly as possible into permanent housing and achieve stability in that housing. Component services and assistance generally consist of short-term and medium-term rental assistance, rental arrears, rental application fees, security deposits, advance payment of last month’s rent, utility deposits and payments, moving costs, housing search and placement, housing stability case management, mediation, legal services, and credit repair. For specific requirements and eligible costs, see 24 CFR §576.104, §576.105, and §576.106.	Min. \$264,385
Total		\$643,117

4. Program Requirements

4.1 State ESG Requirements

The use of State ESG funds is governed by 24 CFR Part 576 and 25 CCR §8400 et seq.

4.2 Eligible Applicants

Eligible applicants are private non-profit organizations defined in 24 CFR §576.2.

4.3 Eligible Activities

The following activities are eligible under the corresponding components:

Component	Activity
Street Outreach	Essential Services
Emergency Shelter	Essential Services Shelter Operations
HMIS	Refer to 24 CFR §576.107
Rapid Re-housing	Housing Relocation and Stabilization Services Short- and/or Medium-Term Rental Assistance

State ESG funds cannot be used for renovation, conversion, or major rehabilitation activities pursuant to 24 CFR §576.102. Minor repairs to a ESG-funded emergency shelter that do not qualify as renovation, conversion, or major rehabilitation are an eligible use of State ESG funds.

4.4 Eligible Populations

The minimum eligibility criteria for State ESG participants are as follows:

- For essential services related to street outreach, participants must meet the criteria under paragraph (1)(i) of the “homeless” definition under 24 CFR §576.2;
- For emergency shelter, participants must meet the “homeless” definition in 24 CFR §576.2;
- For essential services related to emergency shelter, participants must be “homeless” and staying in an emergency shelter (which could include a day shelter); and
- For rapid re-housing assistance, participants must meet requirements described in 24 CFR §576.104.

Homeless subpopulations, including, but not limited to, chronically homeless individuals, veterans, developmentally disabled individuals, and victims of domestic violence, who meet the aforementioned minimum eligibility criteria may be served with State ESG funds.

4.5 Match

Applicants must demonstrate their ability to provide a 100 percent match for their requests of State ESG funds in accordance with 24 CFR §576.201.

4.6 Core Practices

Applicants must adhere to the Core Practices established by State HCD that include:

- Comprehensive and coordinated access throughout the CoC Service Area;
- Prioritized access to services for people with the most severe needs;
- Low barrier access to services and consistency with housing first practices; and
- Use of a progressive engagement approach to services and financial assistance.

See 25 CCR §8409 for a full description of the Core Practices.

5. NOFA Schedule

NOFA Published and Applications Available On-line	May 9, 2016
Applicant Workshop	May 11, 2016
Applications Due to County HCD	May 23, 2016
Continuum of Care Review and Rank Committee Meeting to Develop Funding Recommendations	June 3, 2016
County Board of Supervisors Hearing to Consider Funding Recommendations	July 12, 2016
Funding Decisions Submitted to State HCD	July 15, 2016

The NOFA Schedule is subject to change.

6. Application

Applications are available on-line to download at <http://www.countyofsb.org/housing>. Resources available to assist applicants with completing the application are listed in Exhibit A.

Applicants are responsible for ensuring that all required materials listed below are submitted by the deadline identified in this NOFA and in the format described in this section.

- One (1) complete original, wet-signature application
- Nine (9) copies of the complete application printed double-sided
- An electronic copy of the complete application sent to aquinoveva@co.santa-barbara.ca.us

The original application and printed copies should be paper-clipped on the top left corner and submitted to the address below.

County of Santa Barbara
Community Services Department, Housing and Community Development Division
123 E. Anapamu St., Room 105
Santa Barbara, CA 93101
Attn: A.J. Quinoveva, Housing Program Specialist, Sr.

The original application, printed copies, and electronic copy must be submitted by **5:00 pm on May 23, 2016**. Post-marked applications will not be accepted.

7. Method of Evaluation

7.1 County HCD Evaluation

State ESG applications will be evaluated by County HCD for the following:

- ESG Program Eligibility,
- Alignment with Consolidated Plan Priority,
- Administrative Capacity, and
- Financial Capacity.

See Exhibit B for details.

In addition, applicants must demonstrate capacity to participate in the county-wide HMIS, unless a domestic violence (DV) victim services provider.

7.2 Continuum of Care Review and Rank Committee

Applications will be evaluated by the Continuum of Care Review and Rank Committee for the following:

- Applicant Capacity,
- Financial Feasibility and Capacity,
- Need, and
- Approach.

See Exhibit B for details.

The Continuum of Care Review and Rank Committee will make funding recommendations to the County Board of Supervisors, which will exercise approval over funding decisions.

EXHIBIT A
Resources

- 1) **County HCD Web Page**
<http://cosb.countyofsb.org/housing/>
- 2) **ESG Program Interim Rule (24 CFR Part 576)**
https://www.hudexchange.info/resources/documents/HEARTH_ESGInterimRule&ConPlanConformingAmendments.pdf
- 3) **ESG Program: State of California Regulations (25 CCR §8400 et seq)**
<http://www.hcd.ca.gov/financial-assistance/emergency-solutions-grant-program/docs/state-esg-regulations-effective-april-1-2016.pdf>

EXHIBIT B

State ESG Application Scoring Criteria



Community Services Department
Housing and Community Development Division

State of California
Emergency Solutions Grant Program

Application Scoring Criteria

Housing and Community Development Division (HCD) Staff Evaluation	
ESG Program Eligibility	Proposed activity and clientele are eligible
Alignment with Consolidated Plan Priority	Proposed activity meets one of the following priorities: <ul style="list-style-type: none"> • Promote projects that provide permanent supportive housing to address the needs of homeless individuals and families, households at imminent risk of homelessness and/or persons with special needs (<i>High Priority</i>) • Provide funding for essential services and programs that provide needed resources for homeless persons and households at imminent risk of homelessness (<i>High Priority</i>) • Prioritize funding for operations of principal emergency shelters throughout Santa Barbara County (<i>High Priority</i>)
Administrative Capacity	Rated Good, Fair, or Poor, based on the following: <ul style="list-style-type: none"> • HCD’s experience with applicant and any known issues • Previous audits or program reviews • Availability of progress reports • Contract modifications and their impact on cost and/or period of performance
Financial Capacity	Rated Pass, Concern, or Fail, based on the following: <ul style="list-style-type: none"> • Liquidity • Measurements of vulnerability (e.g. net profit margin, fundraising efficiency, and dependency on the County or a single entity for financial strength) • Debt and other long-term obligations • Regulatory (e.g. unresolved financial audit findings and outstanding litigation/legal issues)
Continuum of Care Review and Rank Committee Evaluation	
Applicant Capacity <i>Scoring Scale: 1-5</i> <i>Weight: 3</i> <i>Max. Score: 15</i>	<ul style="list-style-type: none"> • Experience providing similar services and assistance • Experience addressing the needs of the target population(s) • Sufficient capacity for project oversight and administration • Adequate capacity for data collection and reporting • Participation in the Santa Barbara County Homeless Management Information System or a comparable database
Financial Feasibility and Capacity <i>Scoring Scale: 1-5</i> <i>Weight: 3</i> <i>Max. Score: 15</i>	<ul style="list-style-type: none"> • Sufficient capacity to operate the project based on its budget • Sufficient capacity to effectively manage the finances of the project • Timely audited financial statements • Outstanding and/or unresolved financial audit findings • Reasonable project costs • Degree to which applicant leverages its Board of Directors and other resources

<p>Need <i>Scoring Scale: 1-5</i> <i>Weight: 6</i> <i>Max. Score: 30</i></p>	<ul style="list-style-type: none">• Fills what would otherwise be a gap in homeless services in its service area• Clear demand for the project in its service area• Special features that would enhance its ability to meet the needs of the target population• Credibility of evidence used to support the need• Demonstration of need for the requested funding
<p>Approach <i>Scoring Scale: 1-5</i> <i>Weight: 8</i> <i>Max. Score: 40</i></p>	<ul style="list-style-type: none">• Coordination with other activities and funding sources in the area• Project is based on proven methods and/or is able to demonstrate good outcomes• Project outcomes and objectives appear reasonable• Project supports Federal goals



State of California

Emergency Solutions Grant Program

Notice of Funding Availability • 2016 Operating Year

Addendum #1

Date of Addendum

May 17, 2016

Notice to Applicants

This Notice of Funding Availability (NOFA) is modified as set forth in this Addendum. The original NOFA and any previously issued addenda remain in full force and effect except as modified by this Addendum, which is hereby made part of the NOFA. Applicants shall take this Addendum into consideration when preparing and submitting their applications.

NOFA

Item	Section	Description of Change
4.2	Eligible Applicants	Eligible applicants include units of general purpose local government. Section is revised as follows: "Eligible applicants are private non-profit organizations and units of general purpose local government defined in 24 CFR §576.2."

End of Addendum



State of California
Emergency Solutions Grant Program

Notice of Funding Availability • 2016 Operating Year

Addendum #2

Date of Addendum

May 19, 2016

Notice to Applicants

This Notice of Funding Availability (NOFA) is modified as set forth in this Addendum. The original NOFA and any previously issued addenda remain in full force and effect except as modified by this Addendum, which is hereby made part of the NOFA. Applicants shall take this Addendum into consideration when preparing and submitting their applications.

NOFA

Item	Section	Description of Change
6	Application	<p>The documents and attachments to be copied and submitted have been clarified. The second paragraph is revised as follows: “Applicants are responsible for ensuring that all required materials listed below are submitted by the deadline identified in this NOFA and in the format described in this section.</p> <ul style="list-style-type: none">• One (1) complete original, wet-signature application that includes all required attachments• Nine (9) copies of the following documents and attachments printed double-sided:<ul style="list-style-type: none">○ Application Form○ Budget Workbook○ Board of Directors Roster○ Application Certification○ Organization Chart• An electronic copy of the complete application, including all required attachments, sent to aguinoveva@co.santa-barbara.ca.us”

End of Addendum