

**FIRST AMENDMENT
2013-2014**

TO AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

This is an amendment (hereafter referred to as the "First Amended Contract") to the Agreement for Services of Independent Contractor, number **BC 14-087**, by and between the **County of Santa Barbara** (County) and **Council on Alcoholism and Drug Abuse** (Contractor), for the continued provision of Substance Use Disorder Treatment and Prevention Services.

Whereas, Contractor represents that it is specially trained, skilled, experienced and competent to perform the special services required by County and County desires to retain the services of Contractor pursuant to the terms, covenants, and conditions referenced herein;

Whereas, this First Amended Contract incorporates the terms and conditions set forth in the contract approved by the County Board of Supervisors in June 2013, except as modified by this First Amended Contract.

Whereas, South Santa Barbara County Substance Abuse Treatment Court (Drug Court) has seen an increase in the number of individuals eligible for substance use disorder (SUD) treatment services in lieu of incarceration and Contractor has been asked to provide SUD treatment services to said eligible individuals. In addition, Contractor has been asked to provide enhanced outreach under the Strategic Prevention Framework State Incentive Grant (SPF SIG), focused on reducing underage and excessive drinking.

Whereas, County anticipates that Contractor will provide, at the request of County, a greater number of services than contemplated by the original Agreement, and will incur expenses beyond the value of this Agreement. This amendment adds funds in the amount of \$190,700 to the prior Agreement maximum of \$1,648,045 so as to compensate Contractor for the additional services to be rendered under this Agreement through June 30, 2014.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, County and Contractor agree as follows:

I. Delete Section II, Maximum Contract Amount, from Exhibit B, Financial Provision , and replace with the following:

II. MAXIMUM CONTRACT AMOUNT.

The Maximum Contract Amount shall not exceed \$1,836,745, and shall consist of County, State, and/or Federal funds as shown in Exhibit B-1. Notwithstanding any other provision of this Agreement, in no event shall County pay Contractor more than this Maximum Contract Amount for Contractor's performance hereunder without a properly executed amendment.

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II. Delete Exhibit B-1, Schedule of Rates and Contract Maximum, and replace with the following:

**EXHIBIT B-1
ALCOHOL, DRUG AND MENTAL HEALTH SERVICES
SCHEDULE OF RATES AND CONTRACT MAXIMUM**

CONTRACTOR NAME: Council on Alcoholism and Drug Abuse **FISCAL YEAR:** 2013-14

DESCRIPTION/MODE/SERVICE FUNCTION:	Unit	PROGRAM									TOTAL
		Project Recovery	Project Recovery - Hotel de Riviera Residents	Daniel Bryant Youth & Family TC	Residential Detox	SAPT & Friday Night Live/ Club Live	CARES South Dual Diagnosis Specialist	Clean & Sober Drug Court (CSDC)	Bridges to Recovery (B2R) (through 9/30/13)	SPF SIG	
NUMBER OF UNITS PROJECTED (based on history):											
Perinatal 33-DCR	day	507	-	-	-	-	-	-	-	-	507
Perinatal 33-ODF Group	90 min session	92	-	-	-	-	-	-	-	-	92
Perinatal 34-ODF Individual	50 min session	41	-	-	-	-	-	-	-	-	41
33-ODF Group	90 min session	25,880	436	4,122	-	-	-	-	-	-	30,438
34-ODF Individual	50 min session	2,323	46	2,010	-	-	-	-	-	-	4,379
50-Residential Detox	bed day	-	-	-	\$ 251,800	-	-	-	-	-	\$ 251,800
Perinatal Childcare	staff hours	2,807	-	-	-	-	-	-	-	-	2,807
Perinatal 71 - Transportation	staff hours	80	-	-	-	-	-	-	-	-	80
34 - ODF Individual -Case Management	staff hours	-	-	94	-	-	-	-	-	-	94
34 - ODF Individual -Family Engagement	staff hours	-	-	31	-	-	-	-	-	-	31
34 - ODF Individual -Recovery Activities	staff hours	-	-	9	-	-	-	-	-	-	9
34 - ODF Individual - Edu/ Voc Activities	staff hours	-	-	9	-	-	-	-	-	-	9
34 - ODF Individual - Parenting Activities	staff hours	-	-	31	-	-	-	-	-	-	31
17- Environmental	Cost Reimbursed	-	-	-	-	\$ 7,740	-	-	-	-	\$ 7,740
13 - Education	Cost Reimbursed	-	-	-	-	\$ 7,740	-	-	-	-	\$ 7,740
12 - Information Dissemination	Cost Reimbursed	-	-	-	-	\$ 860	-	-	-	-	\$ 860
14 - Alternatives	Cost Reimbursed	-	-	-	-	\$ 860	-	-	-	-	\$ 860
14 - Alternatives (FNL)	Cost Reimbursed	-	-	-	-	\$ 25,800	-	-	-	-	\$ 25,800
13 - Education: Mentoring	Cost Reimbursed	-	-	-	-	\$ 17,200	-	-	-	-	\$ 17,200
CARES Dual Diagnosis Specialist	Cost Reimbursed	0	0	0	0	0	\$ 121,000	-	-	-	\$ 121,000
68-SAMHSA CSDC Grant Services	Cost Reimbursed	-	-	-	-	-	-	\$ 91,880	-	-	\$ 91,880
68-SAMHSA B2R Grant Services	Cost Reimbursed	-	-	-	-	-	-	-	\$ 9,513	-	\$ 9,513
68-SPF SIG Grant Services	Cost Reimbursed	-	-	-	-	-	-	-	-	\$ 64,522	\$ 64,522
COST PER UNIT/PROVISIONAL RATE:											
Perinatal 33-DCR						\$78.23					
Perinatal 33-ODF Group						\$61.33					
Perinatal 34-ODF Individual						\$101.99					
33-ODF Group Except Perinatal						\$30.28					
34-ODF Individual Except Perinatal						\$71.25					
50-Residential Detox						As Budgeted					
Perinatal Childcare						\$17.96					
Perinatal 71 - Transportation						\$17.96					
17- Environmental, 13-Education - All, 12 - Information Dissemination, 14-Alternatives - All						As Budgeted					
CARES Dual Diagnosis Specialist						As Budgeted					
68-SAMHSA CSDC Grant Services						As Budgeted					
68-SAMHSA B2R Grant Services						As Budgeted					
68-SPF SIG Grant Services						As Budgeted					
GROSS COST:		\$ 1,743,246	\$ 39,865	\$ 799,346	\$ 383,805	\$ 82,304	\$ 126,028	\$ 136,594	\$ 9,924	\$ 64,522	\$ 3,385,634
LESS REVENUES COLLECTED BY CONTRACTOR: (as depicted in Contractor's Budget Packet)											
CLIENT FEES		\$ 75,546		\$ 9,000	\$ 30,000	\$ 6,846		\$ 34,500	\$ 411		\$ 156,303
CLIENT INSURANCE											\$ -
CONTRIBUTIONS/GRANTS (includes unsecured)		\$ 430,985	\$ 986	\$ 214,456	\$ 76,005	\$ 11,789	\$ 5,028	\$ 10,214			\$ 749,463
FOUNDATIONS/TRUSTS		\$ 15,000		\$ 72,500							\$ 87,500
SPECIAL EVENTS				\$ 200,000							\$ 200,000
OTHER (LIST): OTHER GOVERNMENT		\$ 395,409	\$ 22,363	\$ 66,060	\$ 26,000	\$ 2,200					\$ 512,032
OTHER (LIST): OTHER GRANTS & AWARDS						\$ 1,269					\$ 1,269
OTHER (LIST): INVESTMENT INCOME				\$ 22							\$ 22
TOTAL CONTRACTOR REVENUES		\$ 916,940	\$ 23,349	\$ 562,038	\$ 132,005	\$ 22,104	\$ 5,028	\$ 44,714	\$ 411	\$ -	\$ 1,706,589
MAXIMUM (NET) CONTRACT AMOUNT:		\$ 826,306	\$ 16,516	\$ 237,308	\$ 251,800	\$ 60,200	\$ 121,000	\$ 91,880	\$ 9,513	\$ 64,522	\$ 1,679,045
DMC Administrative Fee*		\$ 126,653	\$ -	\$ 36,882	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 163,535
DMC Gross Claim Maximum		\$ 844,353	\$ -	\$ 245,882	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,090,235
SOURCES OF FUNDING FOR MAXIMUM CONTRACT AMOUNT**											
Drug Medi-Cal		\$ 717,700		\$ 209,000							\$ 926,700
Realignment/SAPT - Discretionary		\$ 187,348	\$ 16,516	\$ 19,116	\$ 251,800						\$ 474,780
Realignment/SAPT - Perinatal		\$ 60,630									\$ 60,630
Realignment/SAPT - Adolescent Treatment				\$ 27,520							\$ 27,520
Realignment/SAPT - HIV											\$ -
Realignment/SAPT - Primary Prevention						\$ 60,200					\$ 60,200
SAMHSA Federal Grant - B2R***								\$ 9,513			\$ 9,513
SAMHSA Federal Grant - CSDC								\$ 91,880			\$ 91,880
SAMHSA Federal Grant - CAM											\$ -
SPF SIG Grant										\$ 64,522	\$ 64,522
CalWORKS											\$ -
Other County Funds							\$ 121,000				\$ 121,000
TOTAL (SOURCES OF FUNDING)		\$ 965,678	\$ 16,516	\$ 255,636	\$ 251,800	\$ 60,200	\$ 121,000	\$ 91,880	\$ 9,513	\$ 64,522	\$ 1,836,745

CONTRACTOR SIGNATURE: _____
 STAFF ANALYST SIGNATURE: _____
 FISCAL SERVICES SIGNATURE: _____

* The 15% Administrative Fee is deducted from the Drug Medi-Cal portion of the DMC Gross Claim Maximum.
 **Funding sources are estimated at the time of contract execution and may be reallocated at ADMHS' discretion based on available funding sources
 ***B2R Grant Sunsets 9/30/2013

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Delete Exhibit B-2, Contractor Budget, and replace with the following:

**Santa Barbara County Alcohol, Drug and Mental Health Services Contract Budget Packet
Entity Budget By Program**

AGENCY NAME: Council on Alcoholism and Drug Abuse

COUNTY FISCAL YEAR: 2013-14

Gray Shaded cells contain formulas, do not overwrite

LINE #	COLUMN#	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
	I. REVENUE SOURCES:	TOTAL AGENCY ORGANIZATION BUDGET	COUNTY ADMHS PROGRAMS TOTALS	CARES	DANIEL BRYANT CENTER	BRIDGES TO RECOVERY	DETOX	YOUTH SERVICE SPECIALISTS (Project Recovery)	FRIDAY NIGHT LIVE	START	PERINATAL (Project Recovery)	PROJECT RECOVERY	HOTEL DE RIVERA (Project Recovery)	CLEAN & SOBER DRUG COURT	DUI-PC 1000	Strategic Prevention Framework State Incentive Grant	
1	Contributions	\$ 649,673	\$ 649,673	\$ 5,028	\$ 203,982	\$ 411	\$ 76,005	\$ 168,971	\$ 11,789	\$ 9,376	\$ 51,612	\$ 111,299	\$ 986	\$ 10,214	\$ -		
2	Foundations/Trusts	\$ 300,000	\$ 87,500		\$ 72,500			\$ 15,000									
3	Special Events	\$ 259,500	\$ 200,000		\$ 200,000												
4	Legacies/Bequests		\$ -														
5	Associated Organizations		\$ -														
6	Membership Dues		\$ -														
7	Sales of Materials		\$ -														
8	Investment Income	\$ 156,758	\$ 59		\$ 22										\$ 37		
9	Miscellaneous Revenue	\$ 500	\$ -														
10	ADMHS Funding	\$ 1,941,495	\$ 1,941,495	\$ 121,000	\$ 255,636	\$ 9,513	\$ 251,800	\$ 582,700	\$ 60,200	\$ 104,750	\$ 105,630	\$ 277,348	\$ 16,516	\$ 91,880	\$ -	\$ 64,522	
11	Other Government Funding	\$ 752,792	\$ 499,669		\$ 66,060		\$ 26,000	\$ 300,293	\$ 2,200	\$ 10,000	\$ 20,400	\$ 74,716					
12	Other Grants and Awards	\$ 28,319	\$ 23,632						\$ 1,269				\$ 22,363				
13	Rental Income	\$ 58,834	\$ -														
14	Other (specify)		\$ -														
15	Other (specify)		\$ -														
16	Other (specify)		\$ -														
17	Other (specify)		\$ -														
18	Total Other Revenue (Sum of lines 1 through 17)	\$ 4,147,871	\$ 3,402,028	\$ 126,028	\$ 796,200	\$ 9,924	\$ 353,805	\$ 1,066,964	\$ 75,458	\$ 124,126	\$ 177,642	\$ 463,363	\$ 39,865	\$ 102,094	\$ 37	\$ 64,522	
I. B Client and Third Party Revenues:																	
19	Medicare		-														
20	Client Fees	\$ 809,092	538,622		\$ 9,000		\$ 30,000		\$ 6,846			\$ 75,546		\$ 34,500	\$ 382,730		
21	Insurance		-														
22	SSI		-														
23	Other (specify)		-														
24	Total Client and Third Party Revenues (Sum of lines 19 through 23)	809,092	538,622	-	9,000	-	30,000	-	6,846	-	-	75,546	-	34,500	382,730	-	
25	GROSS PROGRAM REVENUE BUDGET (Sum of lines 18 + 24)	4,956,963	3,940,650	126,028	807,200	9,924	383,805	1,066,964	82,304	124,126	177,642	538,909	39,865	136,594	382,767	64,522	

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III. DIRECT COSTS	TOTAL AGENCY/ ORGANIZATION BUDGET	COUNTY ADMS PROGRAMS TOTALS	CARES	DANEL BRYANT CENTER	BRIDGES TO RECOVERY	DETOX	YOUTH SERVICE SPECIALISTS (Project Recovery)	FRIDAY NIGHT LIVE	START	PERINATAL (Project Recovery)	PROJECT RECOVERY	HOTEL DE RIVERA (Project Recovery)	CLEAN & SOBER DRUG COURT	DUI-PC 1000	Strategic Prevention Framework State Incentive Grant
III.A. Salaries and Benefits Object Level															
26 Salaries (Complete Staffing Schedule)	2,988,067	\$ 2,388,769	\$ 86,465	\$ 419,156	\$ 7,031	\$ 206,696	\$ 709,948	\$ 45,114	\$ 85,943	\$ 112,467	\$ 353,085	\$ 24,957	\$ 65,294	\$ 239,667	\$ 33,056
27 Employee Benefits	447,369	\$ 339,501	\$ 15,304	\$ 63,534	\$ 1,099	\$ 36,624	\$ 95,410	\$ 7,051	\$ 896	\$ 11,674	\$ 50,481	\$ 3,358	\$ 15,667	\$ 32,667	\$ 5,736
28 Consultants	33,465	\$ 33,465		\$ 6,435	\$ -	\$ -	\$ 5,240	\$ 63	\$ 13,017	\$ 1,685	\$ 5,878	\$ 347	\$ 360	\$ 440	\$ -
29 Payroll Taxes	225,339	\$ 181,682	\$ 6,312	\$ 31,321	\$ 518	\$ 15,873	\$ 56,994	\$ 3,325	\$ 6,190	\$ 8,289	\$ 26,169	\$ 1,760	\$ 4,740	\$ 17,663	\$ 2,528
30 Salaries and Benefits Subtotal	\$ 3,694,230	\$ 2,943,417	\$ 108,081	\$ 520,446	\$ 8,648	\$ 259,193	\$ 867,592	\$ 55,553	\$ 105,946	\$ 134,115	\$ 435,613	\$ 30,422	\$ 86,051	\$ 290,437	\$ 41,320
III.B Services and Supplies Object Level															
31 Professional Fees	101,927	\$ 53,427		\$ 11,180		\$ 1,870	\$ 14,716	\$ 1,022	\$ 40	\$ 1,867	\$ 4,646	\$ 537	\$ 4,286	\$ 13,263	
32 Supplies	28,384	\$ 20,693		\$ 4,420			\$ 2,172	\$ 505	\$ 875	\$ 2,330	\$ 3,242	\$ 407	\$ 3,242	\$ 2,900	\$ 600
33 Telephone/Internet	32,192	\$ 25,111	\$ 500	\$ 6,600		\$ 1,800	\$ 3,116	\$ 500		\$ 2,955	\$ 2,971	\$ 373	\$ 2,971	\$ 3,325	
34 Postage & Shipping	3,529	\$ 2,198		\$ 200			\$ 482	\$ 116		\$ 16	\$ 35	\$ 4	\$ 35	\$ 1,300	
35 Occupancy (Facility Lease/Rent/Costs)	283,242	\$ 255,658		\$ 138,155		\$ 27,320	\$ 31,473	\$ 3,985		\$ 8,465	\$ 13,566	\$ 1,665	\$ 13,566	\$ 17,463	
36 Rental/Maintenance Equipment	22,637	\$ 14,578		\$ 2,565		\$ 1,100	\$ 4,446	\$ 513		\$ 684	\$ 1,267	\$ 202	\$ 1,267	\$ 2,534	
37 Printing/Publications	20,175	\$ 20,175		\$ 1,765		\$ 2,000	\$ 600	\$ 120		\$ 595	\$ 828	\$ 104	\$ 828	\$ 1,735	\$ 11,600
38 Transportation	26,462	\$ 21,990	\$ 500	\$ 3,826		\$ 5,500	\$ 2,093	\$ 255	\$ 1,258	\$ 1,200	\$ 2,783	\$ 417	\$ 2,801	\$ 1,020	\$ 337
39 Conferences, Meetings, Etc	23,933	\$ 10,072	\$ 100	\$ 900			\$ 5,080	\$ 2,111		\$ 14	\$ 46	\$ 7	\$ 46		\$ 1,768
40 Insurance	38,842	\$ 34,064		\$ 6,725		\$ 1,980	\$ 11,657	\$ 1,345		\$ 1,793	\$ 3,375	\$ 424	\$ 3,375	\$ 3,380	
41 Program Supplies	115,685	\$ 84,679	\$ 900	\$ 5,325		\$ 44,800	\$ 680	\$ 7,934	\$ 375	\$ 3,700	\$ 9,875	\$ 786	\$ 5,204	\$ 2,300	\$ 2,800
42 Fundraising expense	27,854	\$ 27,854		\$ 27,854											
43 Other (specify)		\$ -													
44 Miscellaneous	2,576	\$ 2,130		\$ 450			\$ 780	\$ 90		\$ 120	\$ 226	\$ 28	\$ 226	\$ 210	
45 Services and Supplies Subtotal	\$ 727,448	\$ 572,629	\$ 2,000	\$ 209,965	\$ -	\$ 86,370	\$ 77,305	\$ 18,496	\$ 2,548	\$ 23,739	\$ 42,860	\$ 4,954	\$ 37,847	\$ 49,440	\$ 17,105
46 III.C. Client Expense Object Level Total		\$ -													
47 SUBTOTAL DIRECT COSTS	\$ 4,421,678	\$ 3,516,046	\$ 110,081	\$ 730,411	\$ 8,648	\$ 345,563	\$ 944,897	\$ 74,049	\$ 108,494	\$ 157,854	\$ 478,473	\$ 35,376	\$ 123,898	\$ 339,877	\$ 58,425
IV. INDIRECT COSTS															
48 Administrative Indirect Costs (limited to 15%)	535,285	\$ 424,604	\$ 15,947	\$ 76,789	\$ 1,276	\$ 38,242	\$ 122,067	\$ 8,255	\$ 15,632	\$ 19,788	\$ 60,436	\$ 4,489	\$ 12,686	\$ 42,890	\$ 6,097
49 GROSS DIRECT AND INDIRECT COSTS (Sum of lines 47+48)	\$ 4,956,963	\$ 3,940,650	\$ 126,028	\$ 807,200	\$ 9,924	\$ 383,805	\$ 1,066,964	\$ 82,304	\$ 124,126	\$ 177,642	\$ 538,909	\$ 39,865	\$ 136,584	\$ 382,767	\$ 64,522

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SIGNATURE PAGE

First Amendment to Agreement for Services of Independent Contractor between the County of Santa Barbara and Council on Alcoholism and Drug Abuse.

IN WITNESS WHEREOF, the parties have executed this First Amended Contract to be effective on the date executed by County.

ATTEST:
MONA MIYASATO, COUNTY EXECUTIVE OFFICER
CLERK OF THE BOARD

By: _____
Deputy

Date: _____

RECOMMENDED FOR APPROVAL:
TAKASHI WADA, MD, MPH
ALCOHOL, DRUG, AND MENTAL HEALTH
SERVICES
INTERIM DIRECTOR

By _____
Director

APPROVED AS TO FORM:
MICHAEL. C. GHIZZONI
COUNTY COUNSEL

By _____
Deputy County Counsel

COUNTY OF SANTA BARBARA

By: _____
STEVE LAVAGNINO, CHAIR
BOARD OF SUPERVISORS

Date: _____

CONTRACTOR:
ED STONEFELT, PRESIDENT/CEO
COUNCIL ON ALCOHOLISM AND DRUG ABUSE

By: _____
Tax Id No 95-1878858

APPROVED AS TO ACCOUNTING FORM:
ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By _____
Deputy

APPROVED AS TO FORM:
RAY AROMATORIO
RISK MANAGER

By: _____