




BOARD OF SUPERVISORS  
AGENDA LETTER

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

Agenda Number:

Department Name: CEO – Office of  
Emergency Management  
Department No.: 012  
For Agenda Of: March 10, 2015  
Placement: Administrative  
Estimated Tme:  
Continued Item: No  
If Yes, date from:  
Vote Required: Majority

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**TO:** Board of Supervisors  
**FROM:** Department Director  
Mona Miyasato, CEO   
Contact Info: Ryan Rockabrand, Director, OEM 681.5526  
Richard Abrams, Emergency Manager, 681.5526  
**SUBJECT:** 2015 Mitigation Planning Grant Authorization

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**County Counsel Concurrence**

As to form: Yes

**Other Concurrence:** N/A

As to form:

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

That the Board of Supervisors:

1. Adopt a resolution authorizing the Emergency Management Director on behalf of the County Executive Office to apply for and enter into a contract to accept the Pre-Disaster Mitigation (PDM) Program grant funding to revise and update the FEMA required Multi-Jurisdictional Hazard Mitigation Plan for the Santa Barbara Operational Area formally awarded in January 2015.
2. Determine that the proposed action is related to the creation of a government funding mechanism and other fiscal activities which do not involve any commitment to any specific project which may result in a potentially significant physical impact on the environment, and is therefore exempt from the California Environmental Quality Act (CEQA) pursuant to CEQA Guideline section 15378 (b)(4) and direct staff to file a Notice of Exemption.
3. Direct staff to return to the Board of Supervisors with the grant as part of the Office of Emergency Management's budget and work program request if the grant is awarded.

**Summary Text:**

The Federal Emergency Management Agency (FEMA) provides funding to local jurisdictions to assist with the costs of mitigation planning. FEMA provides this funding through a block grant to the California Office of Emergency Services (Cal OES) for distribution to Operational Areas. The Operational Area is, by statute, managed through the County and includes all political subdivisions within County.

The recommended action authorizes the Office of Emergency Management to apply for and contract with Cal OES for grant funding. The resolution further identifies those persons who are authorized to execute the grant and submit the required Cal OES quarterly reports. The PDM Grant funding ensures compliance with state and federal mitigation requirements. The funding provides personnel expense for authorized mitigation planning staff, indirect costs, and some services and supplies.

**Fiscal and Facilities Impacts:**

This resolution allows County OEM to apply for reimbursement claims under the PDM Program sub granted through CalOES. The PDM Program provides partial funding for OEM and selected County staff. These funds may also be used to provide services to enhance mitigation planning throughout the Operational Area. The grant allocation is \$150,000 with a \$50,000 matched funding provided by County and city staff labor. The term of the PDM Grant concludes December 23, 2016.

**Budgeted:** Yes. The EMPG funding is included in the department's 2015 proposed budget.

**Special Instructions:**

Please provide a copy of the executed resolution and a copy of the minute order to the Office of Emergency Management; attention Richard Abrams.

**Attachments:**

Attachment A. Resolution identifying Authorized Agents for the FY 2015 Pre Disaster Mitigation (PDM) Program providing grant funding for mitigation planning activities in the Santa Barbara Operational Area.

Attachment B. Notice of Exemption

**Authored by:**

Richard Abrams



**COUNTY OF SANTA BARBARA  
COUNTY EXECUTIVE OFFICE**

**Mona Miyasato**  
County Executive  
Officer

**OFFICE OF EMERGENCY MANAGEMENT**

4408 Cathedral Oaks Road – Santa Barbara – CA - 93110  
805-681-5526 – office 805-681-5592 – fax

**Ryan Rockabrand**  
Director

<http://www.sbcoem.org>      <http://www.twitter.com/SBCountyOEM>  
<http://www.Facebook.com/SBCountyOEM>      <http://local.nixle.com/SBCountyOEM>

**ADDENDUM TO THE GOVERNING BODY RESOLUTION**

The Governing Body Resolution allows for the appointment of individuals or positions. **For each person or position appointed by the governing body, submit the following information, with the resolution, to Cal EMA on the applicant's letterhead:**

Name	Mona Miyasato	Telephone	805 568 3400
Title	County Executive Officer	Fax #	805 568 3414
Address	105 E Anapamu St. Rm. 406	Cell Phone #	805 568 3400
City	Santa Barbara	E-Mail	<a href="mailto:cao@co.santa-barbara.ca.us">cao@co.santa-barbara.ca.us</a>
Zip Code	93101		

Name	Ryan Rockabrand	Telephone	805 681 5526
Title	Director	Fax #	805 681 5592
Address	4408 Cathedral Oaks Rd.	Cell Phone #	805 681 5526
City	Santa Barbara	E-Mail	<a href="mailto:RRockabrand@sbcoem.org">RRockabrand@sbcoem.org</a>
Zip Code	93110		

Name	Jette Christiansson	Telephone	805 568 3400
Title	Business Manager	Fax #	805 568 3414
Address	105 E Anapamu St. Rm. 406	Cell Phone #	805 568 3403
City	Santa Barbara	E-Mail	<a href="mailto:jchris@co.santa-barbara.ca.us">jchris@co.santa-barbara.ca.us</a>
Zip Code	93101		

**ATTACHMENT A  
BOARD OF SUPERVISORS  
OF THE COUNTY OF SANTA BARBARA**

<b>A RESOLUTION IN THE MATTER OF DESIGNATING THOSE AUTHORIZED TO SEEK FINANCIAL ASSISTANCE UNDER THE PRE DISASTER MITIGATION (PDM) PROGRAM</b>	<b>Resolution No.</b> _____
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**WHEREAS**, the California Office of Emergency Services (CalOES) requires the designation of Authorized Agents to execute for and on behalf of the County of Santa Barbara for the purpose of obtaining certain financial assistance under Public Law 93-288 as amended by the Stafford Act of 1988, for funding mitigation planning; and

**WHEREAS**, the Act is administered through the Pre Disaster Mitigation (PDM) Program sub granted through the State of California; and

**WHEREAS**, Santa Barbara County Office of Emergency Management applied to the state for funding under the PDM Program; and

**NOW, THEREFORE, IT IS HEREBY RESOLVED**, that the positions listed below are hereby designated as Authorized Officials and are authorized to submit and execute for and on behalf of Santa Barbara County, a public entity established under the laws of the State of California, the grant application package and all necessary applications, contracts and agreements and amendments there to for the completion of the grant application package for the purpose of obtaining federal financial assistance provided by the Federal Emergency Management Agency and sub-granted through the State of California.

County Executive Officer  
Director, Office of Emergency Management  
Business Manager, County Executive Office

(The Addendum identifying the Authorized Agents is attached)

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Barbara,  
State of California, this \_\_\_\_\_ day of \_\_\_\_\_, 2015, by the following vote (majority vote  
required):

AYES:

NOES:

ABSENT:

ABSTAIN:

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Chair, Board of Supervisors  
County of Santa Barbara

ATTEST:  
MONA MIYASATO  
CLERK OF THE BOARD

By: \_\_\_\_\_  
Deputy Clerk

APPROVED AS TO FORM  
MICHAEL C. GHIZZONI

By:  \_\_\_\_\_  
Deputy

APPROVED AS TO ACCOUNTING FORM  
ROBERT W. GEIS

By:  \_\_\_\_\_  
Deputy

ATTACHMENT B

NOTICE OF EXEMPTION

TO: Santa Barbara County Clerk of the Board of Supervisors

FROM: Mona Miyasato, County Executive Office

The project or activity identified below is determined to be exempt from further environmental review requirements of the California Environmental Quality Act (CEQA) of 1970, as defined in the State and County Guidelines for the implementation of CEQA.

APN(s): Countywide Case No.: Resolution

Location: Countywide

*(Include street address and cross street (if urbanized area) or attach specific location map)*

Project Title: Santa Barbara County Authorized Agents Resolution

Project Description: Resolution authorizing designated certain county officials to apply for Pre Disaster Mitigation funding.

Name of Public Agency Approving Project: County of Santa Barbara

Name of Person or Agency Carrying Out Project: County of Santa Barbara

Exempt Status: (Check one)

- Ministerial
- Statutory Exemption
- Categorical Exemption
- Emergency Project
- Declared Emergency

Cite specific CEQA and/or CEQA Guideline Section: 15378 (b)(4), (No possibility of impact to the environment).

Reasons to support exemption findings (attach additional material, if necessary)

The identified Authorized Agents can apply for available federal and state mitigation planning funds.

Lead Agency Contact Person: Richard Abrams

Phone #: 805.681.5526

Department/Division Representative: Ryan Rockabrand

Date: \_\_\_\_\_

Acceptance Date: \_\_\_\_\_

\Note: A copy of this form must be posted 6 days prior to a decision on the project. Upon project approval, this form must be filed with the County Clerk of the Board and posted by the Clerk of the Board for a period of 30 days to begin a thirty five day statute of limitations on legal challenges.

Date Filed by County Clerk

(Cal EMA Use Only)

Cal EMA# \_\_\_\_\_ FIPS# \_\_\_\_\_ VS \_\_\_\_\_ CFDA# \_\_\_\_\_ Grant# \_\_\_\_\_

### CALIFORNIA EMERGENCY MANAGEMENT AGENCY GRANT AWARD FACE SHEET (Cal EMA 2-101)

The California Emergency Management Agency hereafter designated Cal EMA, hereby makes a Grant Award of funds to the following:

- 1. **Grant Recipient:** Santa Barbara County **1a. DUNS#** 010718658  
In the amount and for the purpose and duration set forth in this Grant Award.
- 2. **Implementing Agency:** Santa Barbara County Office of Emergency Management **2a. DUNS#** 010718658
- 3. **Implementing Agency Address:** 4408 Cathedral Oaks Rd. Santa Barbara 93110-1017  
Street City Zip+4
- 4. **Location of Project:** Santa Barbara Santa Barbara 93110-1017  
City County Zip+4
- 5. **Disaster/Program Title:** Pre Disaster Mitigation **6. Performance Period:** 12/24/14 to 12/23/16

Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Project Cost
2014	7. PDM		\$150,000			\$50,000	\$50,000	\$200,000
Select	8. Select						\$0	\$0
Select	9. Select						\$0	\$0
Select	10. Select						\$0	\$0
Select	11. Select						\$0	\$0
	12. TOTALS	\$0	\$150,000	\$150,000	\$0	\$50,000	\$50,000	120. Total Project Cost: \$200,000


13. This Grant Award consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Award Agreement, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Grant Recipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Award. The Grant Recipient accepts this Grant Award and agrees to administer the grant project in accordance with the Grant Award as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal EMA policy and program guidance. The Grant Recipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. **Official Authorized to Sign for Applicant/Grant Recipient:** \_\_\_\_\_ **15. Federal Employer ID Number:** 95-600283

Name: Ryan Rockabrand Title: Director

Telephone: (805) 681-5526 FAX: (805) 681-5592 Email: rockabrand@sbcoem.org  
(area code) (area code)

Payment Mailing Address: 4408 Cathedral Oaks Rd City: Santa Barbara Zip+4: 93110-1017

Signature:  Date: 1/7/2015

[FOR Cal EMA USE ONLY]

I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

\_\_\_\_\_  
Cal EMA Fiscal Officer Date \_\_\_\_\_ Cal EMA Secretary (or designee) Date \_\_\_\_\_

## GRANT AWARD FACE SHEET INSTRUCTIONS

Cal EMA Section: The top portion of the form contains blocks for five (5) important numbers.  
Please do not fill in these blocks. These numbers will be entered by Cal EMA.

### 1. Grant Recipient

The Grant Recipient is the unit of government or community based organization (CBO) that will have legal responsibility for these grant funds (e.g. County of Alameda, City of Fresno or Women's Place of Merced). Enter the legal title of the Grant Recipient. This term also refers to the Grantee, Sub-grantee or Sub-Recipient.

#### 1a. Federal DUNS Number (Grant Recipient)

Enter the full 9-digit Federal Data Universal Numbering System (DUNS) ID number for the Grant Recipient. If the Grant Recipient does not yet have a DUNS number assigned, one may be obtained by contacting Dun & Bradstreet at 866-705-5711 or at [www.dnb.com](http://www.dnb.com). This requirement applies to federally funded grants only.

### 2. Implementing Agency

Enter the complete name of the agency responsible for the day-to-day operation of the grant (e.g. Sheriff, Police Department, or Department of Public Works). If the Implementing Agency is the same as the Grant Recipient, enter the same title again.

#### 2a. Federal DUNS Number (Implementing Agency)

Enter the full 9-digit Federal Data Universal Numbering System (DUNS) ID number for the Implementing Agency. If the Implementing Agency does not yet have a DUNS number assigned, one may be obtained by contacting Dun & Bradstreet at 866-705-5711 or at [www.dnb.com](http://www.dnb.com). This requirement applies to federally funded grants only.

### 3. Implementing Agency Address

Enter the address of the Implementing Agency. Provide the complete nine digit zip code (Zip+4).

### 4. Location of Project

Enter the City and County/Operational Area where the project is located. Provide the complete nine digit zip code (Zip+4).

### 5. Disaster /Program Title

Enter the name of the Disaster or Program providing the funds for this grant award. A disaster may be referred by the federal declaration number. Program titles should be complete without the use of acronyms.

### 6. Performance Period

Enter beginning and ending dates of the performance period for the grant. (mm/dd/yy)

### 7A . – 12G. Fund Allocations and Total Project Cost

For each fund source used in the program, select the correct grant year and acronym from the drop down lists, the amount of State or Federal funds requested, the amount of cash *and/or* in-kind match contributed and the resulting totals. If the source does not appear on the list, enter the acronym for the source in box 11. Please do not enter both State and Federal on the same line. Block 12G should correspond to the total project cost specified in the budget.

### 13. Certification Paragraph

Please review the Certification Paragraph.

### 14. Official Authorized to sign for the Applicant/Grant Recipient

Enter the name, title, telephone number, and e-mail address of the official authorized to enter into the Grant Award Agreement for the Grant Recipient as stated in Block 1 of the Grant Award Face Sheet (Cal EMA 2-101). Enter the Payment Mailing Address where grant funds should be sent.

### 15. Federal Employer ID Number

Enter the 9-digit Federal Employer Identification Number for the Implementing Agency.

**Provide an original signature of the authorized official. The use of white out or tape is prohibited and will invalidate the signature on the Grant Award Face Sheet.**



## **Subgrantee Assurances**

### **Hazard Mitigation Grants**

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact California Emergency Management Agency (Cal EMA). Further, certain federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

***As the duly authorized representative of the applicant, I certify that the applicant:***

1. Has the legal authority to apply for federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance-awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or state.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gains.
8. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.), which prohibits the use of lead based paint in construction or rehabilitation of residence structures.

9. Will comply with all federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794) which prohibit discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107) which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 93-255) as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616) as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for federal assistance is being made, and (j) the requirements on any other nondiscrimination statute(s) which may apply to the application.
10. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases.
11. Will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$5,000 or more.
12. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.O. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.O. 93-205).
13. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

14. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and preservation of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
15. Will comply with Standardized Emergency Management (SEMS) requirements as stated in the California Emergency Services Act, Government Code, Chapter 7 of Division 1 of Title 2, Section 8607.1(e) and CCR Title 19, Sections 2445, 2446, 2447 and 2448.
16. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984 and the Single Audit Act Amendments of 1996.
17. Will comply with all applicable requirements of all other federal laws, Executive Orders, regulations and policies governing this program.
18. Has requested through the State of California, federal financial assistance to be used to perform eligible work approved in the subgrantee application for federal assistance. Will, after the receipt of federal financial assistance, through the State of California, agree to the following:
  - a. The state warrant covering federal financial assistance will be deposited in a special and separate account, and will be used to pay only eligible costs for projects described above;
  - b. To return to the State of California such part of the funds so reimbursed pursuant to the above numbered application, which are excess to the approved actual expenditures as accepted by final audit of the federal or state government.
  - c. In the event the approved amount of the above numbered project application is reduced, the reimbursement applicable to the amount of the reduction will be promptly refunded to the State of California.
19. Will not make any award or permit any award (subgrant or contract) to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 and 12689, "Debarment and Suspension."


The undersigned represents that he/she is authorized by the above named subgrantee to enter into this agreement for and on behalf of said subgrantee.

The undersigned represents that he/she is authorized by the subgrantee to enter into this agreement for and on behalf of the said subgrantee.

Ryan Rockabrand

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Name of Authorized Applicant's Agent



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Signature of Authorized Applicant's Agent

Director

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Title

1/7/2015

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Date

### Authorization

I, Ryan Rockabrand, do hereby certify as the authorized representative or  
Name

officer of Santa Barbara County, that the information contained in this  
Name of Organization

application is true and correct.

<u>Director</u>		<u>1/7/2015</u>
Title	Signature	Date