

FIRST AMENDMENT 2014-2015

TO AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

This is an amendment (hereafter referred to as the "First Amended Contract") to the Agreement for Services of Independent Contractor, referenced as number **BC 15-054**, by and between the **County of Santa Barbara** (County) and **Phoenix of Santa Barbara** (Contractor), for the continued provision of Adult Treatment and Co-occurring Services.

Whereas, this First Amended Contract incorporates the terms and conditions set forth in the contract approved by the County Board of Supervisors in July 2014, except as modified by this First Amended Contract.

Whereas, County anticipates that Contractor will provide, at the request of County, a greater number of services than contemplated by the original Agreement, and will incur expenses beyond the value of this Agreement. This amendment adds funds in the amount of \$46,200 to the prior Agreement maximum of \$1,136,106 so as to compensate Contractor for services to be rendered under this Agreement through June 30, 2015.

NOW, THEREFORE, in consideration of the mutual covenants and conditions contained herein, the parties agree as follows:

I. Delete Section II, Maximum Contract Amount, of Exhibit B, Financial Provisions-ADP, and replace with the following:

II. MAXIMUM CONTRACT AMOUNT.

The Maximum Contract Amount shall not exceed **\$1,182,306, inclusive of \$214,470** in Alcohol and Drug Program funding, and shall consist of County, State, and/or Federal funds as shown in Exhibit B-1-ADP. Notwithstanding any other provision of this Agreement, in no event shall County pay Contractor more than this Maximum Contract Amount for Contractor's performance hereunder without a properly executed amendment.

II. Delete Section II, Maximum Contract Amount, of Exhibit B, Financial Provisions-MH, and replace with the following:

II. MAXIMUM CONTRACT AMOUNT.

The Maximum Contract Amount shall not exceed **\$1,182,306, inclusive of \$967,836** in Mental Health funding, and shall consist of County, State, and/or Federal funds as shown in Exhibit B-1-Mental Health. Notwithstanding any other provision of this Agreement, in no event shall County pay Contractor more than this Maximum Contract Amount for Contractor's performance hereunder without a properly executed amendment.

III. Delete Exhibit B-1, Alcohol and Drug Program Services Schedule of Rates and Contract Maximum, and replace with the following:

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EXHIBIT B-1 ALCOHOL, DRUG AND MENTAL HEALTH SERVICES SCHEDULE OF RATES AND CONTRACT MAXIMUM (applicable to services described in Exhibit A- ADP)

CONTRACTOR NAME:

Phoenix

FISCAL YEAR: 2014-2015

	Unit	PROGRAM		
		Outpatient Treatment		Total
DESCRIPTION/MODE/SERVICE FUNCTION:		NUMBER OF UNITS PROJECTED (based on history):		
33-ODF Group	session	6705		6,705
34-ODF Individual	session	573		573
COST PER UNIT/PROVISIONAL RATE (PROPOSED):				
33-ODF Group			\$26.23	
34-ODF Individual			\$67.38	
GROSS COST:		\$ 258,721		\$258,721
LESS REVENUES COLLECTED BY CONTRACTOR: (as depicted in Contractor's Budget Packet)				
CLIENT FEES				\$0
CLIENT INSURANCE				\$0
CONTRIBUTIONS/GRANTS (includes unsecured)		\$ 32,251		\$32,251
FOUNDATIONS/TRUSTS				\$0
SPECIAL EVENTS				\$0
OTHER (LIST): Program Service Fees		\$ 12,000		\$12,000
TOTAL CONTRACTOR REVENUES*		\$ 44,251	\$ -	\$44,251
MAXIMUM (NET) CONTRACT AMOUNT:		\$ 214,470	\$ -	\$ 214,470

SOURCES OF FUNDING FOR MAXIMUM CONTRACT AMOUNT*				
Drug Medi-Cal		\$146,200		\$146,200
Realignment/SAPT - Discretionary		\$68,270		\$68,270
TOTAL (SOURCES OF FUNDING)		\$ 214,470		\$214,470

CONTRACTOR SIGNATURE: _____

STAFF ANALYST SIGNATURE: _____

FISCAL SERVICES SIGNATURE: _____

*Funding sources are estimated at the time of contract execution and may be reallocated at ADMHS' discretion based on available funding sources.

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IV. Delete Exhibit B-2, Contractor Budget, and replace with the following:

AGENCY NAME:		The Phoenix of Santa Barbara, Inc.												
COUNTY FISCAL YEAR:		2014-15											5/12/2014	
Gray Shaded cells contain formulas, do not overwrite														
LINE #	COLUMN #	1	2	3	4	5	6	7	8	9	10	11	12	13
	I. REVENUE SOURCES:		TOTAL AGENCY / ORGANIZATION BUDGET	COUNTY ADMHS PROGRAMS TOTALS	Phoenix House	Mountain House	ADP	CDSC	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)
1	Contributions		\$ 137,800	\$ 69,653	\$ 18,160	\$ 19,242	\$ 32,251							
2	Foundations/Trusts			\$ -										
3	Special Events			\$ -										
4	Legacies/Bequests			\$ -										
5	Associated Organizations			\$ -										
6	Membership Dues			\$ -										
7	Program Service Fees		\$ 200,000	\$ -										
8	SAMHSA CSDC Grant		\$ 43,880	\$ 43,880				\$ 43,880						
9	ADP - ROSC		\$ -	\$ -			\$ -							
10	ADP - DMC		\$ 146,200	\$ 146,200			\$ 146,200							
11	ADP - SACPA Services		\$ 17,620	\$ 17,620			\$ 17,620							
12	ADP - Drug Court		\$ 6,770	\$ 6,770			\$ 6,770							
13	Mental Health - MC		\$ 899,488	\$ 899,488	\$ 327,086	\$ 572,402								
14	Mental Health - MHSA		\$ 40,886	\$ 40,886	\$ 40,886									
15	Mental Health - B&C MHSA		\$ 27,461	\$ 27,461	\$ 27,461									
16	Other (specify)			\$ -										
17	Interest		\$ 975	\$ -										
18	Total Other Revenue (Sum of lines 1 through 17)		\$ 1,521,080	\$ 1,251,958	\$ 413,593	\$ 591,644	\$ 202,841	\$ 43,880	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
I.B Client and Third Party Revenues:														
19	Residential - Shelter Plus		\$ 16,000	16,000	\$ 10,000	\$ 6,000								
20	Residential - Board & Care		\$ 240,630	240,630	\$ 98,405	\$ 142,225								
21	Residential - Private Pay		\$ 136,820	136,820	\$ 136,820									
22	ADP Private Pay		\$ 12,000	12,000			\$ 12,000							
23	Other (specify)			-										
24	Total Client and Third Party Revenues (Sum of lines 19 through 23)		405,450	405,450	245,225	148,225	12,000	-	-	-	-	-	-	-
25	GROSS PROGRAM REVENUE BUDGET (Sum of lines 18 + 24)		1,926,530	1,657,408	658,818	739,869	214,841	43,880	-	-	-	-	-	-

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III. DIRECT COSTS	TOTAL AGENCY/ ORGANIZATION BUDGET	COUNTY ADMHS PROGRAMS TOTALS	Phoenix House	Mountain House	ADP	COSC	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)	Enter PROGRAM NAME (Fac/Prog)
III.A. Salaries and Benefits Object Level												
Salaries (Complete Staffing Schedule)	1,055,548	\$ 906,113	\$ 361,054	\$ 367,691	\$ 149,080	\$ 28,288	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Employee Benefits	106,417	\$ 95,628	\$ 43,368	\$ 45,395	\$ 6,865							
Consultants	18,500	\$ 17,750	\$ 8,500	\$ 7,500	\$ 1,750							
Payroll Taxes	100,777	\$ 84,320	\$ 36,493	\$ 34,581	\$ 10,597	\$ 2,649						
Salaries and Benefits Subtotal	\$ 1,281,242	\$ 1,103,811	\$ 449,415	\$ 455,167	\$ 168,292	\$ 30,937	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
III.B Services and Supplies Object Level												
Professional Fees	15,348	\$ 14,513	\$ 5,757	\$ 3,476	\$ 4,341	\$ 939						
Supplies	20,602	\$ 15,898	\$ 2,403	\$ 2,248	\$ 9,000	\$ 2,247						
Telephone	15,779	\$ 13,378	\$ 5,742	\$ 6,411	\$ 980	\$ 245						
Postage & Shipping	1,882	\$ 1,706	\$ 738	\$ 765	\$ 162	\$ 41						
Mortgage Interest, Occupancy Expense	55,341	\$ 31,080	\$ 3,480	\$ 27,600								
Rental/Maintenance Equipment	53,841	\$ 43,694	\$ 16,887	\$ 24,187	\$ 2,096	\$ 524						
Dues & Subscriptions	8,760	\$ 7,956	\$ 3,373	\$ 3,656	\$ 742	\$ 185						
Transportation	10,420	\$ 8,420	\$ 3,785	\$ 3,785	\$ 680	\$ 170						
Fees & Licenses Education	6,433	\$ 5,912	\$ 2,517	\$ 2,923	\$ 325	\$ 147						
Insurance	52,369	\$ 46,818	\$ 24,288	\$ 19,712	\$ 2,254	\$ 564						
Utilities	33,210	\$ 29,002	\$ 12,300	\$ 14,900	\$ 1,440	\$ 362						
Office Supplies	13,387	\$ 11,448	\$ 4,431	\$ 4,702	\$ 1,852	\$ 463						
Community Outreach	9,647	\$ -										
Capital Improvements Non-Payable by ADMHS	8,304	\$ 8,304	\$ 4,152	\$ 4,152								
Depreciation	80,500	\$ 79,576	\$ 14,464	\$ 56,625	\$ 6,789	\$ 1,698						
Services and Supplies Subtotal	\$ 385,823	\$ 317,705	\$ 104,317	\$ 175,142	\$ 30,661	\$ 7,585	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
III.C. Client Expense Object Level Total Non-payable by ADMHS	109,650	\$ 105,422	\$ 53,102	\$ 52,320								
SUBTOTAL DIRECT COSTS	\$ 1,776,715	\$ 1,526,938	\$ 606,834	\$ 682,629	\$ 198,953	\$ 38,522	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
IV. INDIRECT COSTS												
Administrative Indirect Costs (limited to 15%)	149,815	\$ 130,470	\$ 51,984	\$ 57,240	\$ 15,888	\$ 5,358						
GROSS DIRECT AND INDIRECT COSTS (Sum of lines 47+48)	\$ 1,926,530	\$ 1,657,408	\$ 658,818	\$ 739,869	\$ 214,841	\$ 43,880	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

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SIGNATURE PAGE

Amendment to Agreement for Services of Independent Contractor between the County of Santa Barbara and Phoenix of Santa Barbara.

IN WITNESS WHEREOF, the parties have executed this Amendment to be effective on the date executed by County.

ATTEST:
MONA MIYASATO, COUNTY EXECUTIVE OFFICER
CLERK OF THE BOARD

By: _____
Deputy

Date: _____

APPROVED AS TO FORM:
ALCOHOL, DRUG, AND MENTAL HEALTH
SERVICES
ALICE A. GLEGHORN, PHD
DIRECTOR

By _____
Director

Date: _____

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By _____
Deputy County Counsel

Date: _____

COUNTY OF SANTA BARBARA

By: _____
JANET WOLF, CHAIR
BOARD OF SUPERVISORS

Date: _____

CONTRACTOR:
PHOENIX OF SANTA BARBARA

By: _____

Date: _____

APPROVED AS TO ACCOUNTING FORM:
ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By _____
Deputy

Date: _____

APPROVED AS TO INSURANCE FORM:
RAY AROMATORIO
RISK MANAGER

By: _____

Date: _____