

THIRD AMENDMENT 2013-2014

TO AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

This is an amendment (hereafter referred to as the "Third Amended Contract") to the Agreement for Services of Independent Contractor, referenced as number BC 14-036, by and between the **County of Santa Barbara** (County) and **The Regents of the University of California (Santa Barbara)** (Contractor), for the continued provision of Evaluation Services for Alcohol and Drug Program (previously referenced as number BC 12-021).

Whereas, this Third Amended Contract incorporates the terms and conditions set forth in the contract approved by the County Board of Supervisors in June 2011, the First Amendment approved by the County Board of Supervisors in June 2012, the Second Amendment approved by the County Board of Supervisors in June 2013, except as modified by this Third Amended Contract.

Whereas, Contractor has been asked to provide additional evaluation services for the Veterans Entering Treatment Services (VETS) program, funded by a grant from the Federal Substance Abuse and Mental Health Services Administration (SAMHSA), and consequently, County anticipates that Contractor will provide, at the request of County, a greater number of services than contemplated by the original Agreement, and will incur expenses beyond the value of this Agreement. This amendment adds funds in the amount of \$48288 to the Agreement so as to compensate Contractor for services rendered under this Agreement.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, County and Contractor agree as follows:

I. Delete Exhibit A, Statement of Work, and replace with the following:

**Exhibit A
Statement of Work**

1. **PROGRAM SUMMARY:** Contractor provides evaluation services, periodic reporting, and consultation for County treatment programs and grants, including the Substance Abuse Treatment Court (SATC), and the Substance Abuse and Mental Health Services Administration (SAMHSA) Clean and Sober Drug Court (CSDC), SAMHSA Children Affected by Methamphetamine (CAM), SAMHSA Bridges to Recovery (B2R), and SAMHSA Veterans Entering Treatment Services (VETS) grants (hereafter, "the Program"). The Program measures clinical outcomes, program effectiveness, recidivism rates, and client attitudes towards treatment, as further detailed in Contractor's proposals. The Program also provides training, education and consultation to help improve data collection, clinical outcomes, and promote effective grant management, as further detailed in Contractor's proposals. The Program will be located at the University of California – Santa Barbara, Graduate School of Education, Santa Barbara, CA 93106.
2. **DEFINITIONS.**
 - A. **SATC:** Substance Abuse Treatment Court (SATC) facilitates recovery of individuals within the criminal justice system by offering alternatives to traditional criminal processing for individuals with charges related to substance abuse. SATC provides a comprehensive and judicially monitored program of drug treatment and rehabilitation services. Services include individual and group counseling, community referrals for ancillary services, and drug testing according to SATC Standards and Practices.

THIRD AMENDMENT 2013-2014

- B. **SAMHSA Clean and Sober Drug Court (CSDC):** CSDC provides enhanced services to South Santa Barbara County drug court participants with co-occurring substance use and mental health issues. The goal of CSDC is to help participants enhance their quality of life through reduced substance use and improved mental health. CSDC will provide outpatient drug-free treatment and mental health services to participants with co-occurring substance abuse and mental health issues, as well as probation supervision services to some program participants.
- C. **SAMHSA Children Affected by Methamphetamine (CAM):** The CAM grant will expand the services of the Family Treatment Drug Court (FTDC) in North Santa Barbara County. The program's primary purpose is to design, organize and implement a system of interventions for parents and caregivers with methamphetamine use and/or abuse problems who are involved with Child Welfare Services and provide therapeutic services to their children. CAM focuses on evidence-based mental health and supportive services for children and their caregivers, transitional living services for pregnant women and their minor children in lieu of foster placement, and reunification of children with their families.
- D. **SAMHSA Bridges to Recovery (B2R):** B2R provides enhanced recovery and reentry services to high-risk male youth with substance challenges who are transitioning from the juvenile justice system. Services include community-based, culturally appropriate alcohol and other drug (AOD) treatment services, mentoring, home visitation, and case management designed to develop family participation and support through each young person's recovery and reentry process. The goal of B2R is to reduce recidivism, decrease juvenile probation violations and increase the percentage of participants who successfully complete treatment and reduce substance use.
- E. **SAMHSA Veterans Entering Treatment Services (VETS):** The VETS program will expand and enhance, through funding provided by a grant from SAMHSA, the existing Veterans Treatment Court (VTC) in North Santa Barbara County. The VTC was established in Santa Barbara County in November 2011 to provide treatment services to veterans involved in the justice system. The SAMHSA VETS grant program seeks to increase veterans' access to treatment by expanding and enhancing services to address Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), substance abuse and addiction. The VETS program will augment the current service delivery model by utilizing evidence-based practices in treatment and service delivery; increasing availability of outpatient treatment, detoxification services, transitional housing services, medically assisted treatment; and providing peer-support services such as veteran mentors and peer-led support groups. Veterans will receive 12 to 18 months of treatment and will include assessments, individualized treatment plans, peer mentoring, and alcohol and drug testing.

3. SERVICES.

- A. Contractor shall evaluate the effectiveness of each program by collecting required data, per funding source requirements (i.e. SAMHSA);
- B. Contractor shall provide written reports on the effectiveness of each program with formal written reports, at a frequency to meet funding source requirements, and provide verbal reports at Therapeutic Justice Court Core Committee and Policy Council meetings;
- C. Contractor shall provide consultation and training to County, court team and contract provider staff on data collection requirements and best-practice clinical methods. Consultation includes assisting County to meet grant reporting requirements;

THIRD AMENDMENT 2013-2014

- D. Contractor shall present findings from data analysis and represent County at grant mandated meetings and conferences.
- E. **SATC.** Contractor shall provide the following evaluation services for SATC projects:
1. Conduct exit interviews with clients graduating from SATC;
 2. Work with County and Probation to collect the data required for project(s) evaluation;
 3. Enter and maintain databases for SATC evaluation projects;
 4. Provide SATC data that will assist County in the reporting of annual Recurring Performance Measures (RPMs);
 5. Provide quarterly updates to the ADP Manager on the status of the evaluation of projects;
 6. Provide County with annual reports for the SATC program;
 7. Provide training and instruction as needed for data collection.
- F. **SAMHSA Grant Evaluation.** Contractor shall provide the following evaluation services for CSDC, CAM, B2R, and VETS:
1. Train treatment provider staff to conduct required SAMHSA assessment tools and collect other data as needed;
 2. Provide weekly updates to treatment providers on scheduled data collection;
 3. Meet with treatment provider staff monthly to discuss any problems with data collection and to provide feedback on client outcomes as available;
 4. Provide weekly feedback to the treatment program staff and administrators on the extent to which the grant is meeting established goals in terms of client entry and follow-up;
 5. Provide interim reports that will allow treatment providers to make changes to improve the program;
 6. Score assessments, create databases, enter all data in a timely manner, and analyze data:
 - a. Enter all de-identified client assessment, demographic, and Government Performance Review Act (GPRA) data, as required by SAMHSA, into databases;
 - b. Contractor's staff evaluators will analyze the data to assess the extent to which the program has met its process and outcome goals for the biannual reports and on a yearly basis;

THIRD AMENDMENT 2013-2014

7. Provide written reports for the biannual reports, the Continuation Proposal, and the Yearly Outcome Report;
 8. Administer a client survey, as applicable. This requirement does not apply to B2R.
 9. Present findings of the formal report at professional conferences, including the national meetings of the American Psychological Association and other meetings required by SAMHSA;
 10. Participate in monthly phone conferences with SAMHSA;
 11. Attend required SAMHSA conferences.
4. Grant-funded services, such as those funded by SAMHSA, shall adhere to the terms and conditions of the Notice of Grant Award, the original grant proposal, and any subsequent grant reapplications, incorporated herein by reference, as applicable.
- II. Delete Section II, Maximum Contract Amount, of Exhibit B, Financial Provisions, and replace with the following:**

II. MAXIMUM CONTRACT AMOUNT.

The Maximum Contract Amount shall not exceed **\$203698**, and shall consist of County, State, and/or Federal funds as shown in Exhibit B-1. Notwithstanding any other provision of this Agreement, in no event shall County pay Contractor more than this Maximum Contract Amount for Contractor's performance hereunder without a properly executed amendment.

THIRD AMENDMENT 2013-2014

III. Delete Exhibit B-1, Schedule of Rates and Contract Maximum, and replace with the following:

EXHIBIT B-1 ALCOHOL, DRUG AND MENTAL HEALTH SERVICES SCHEDULE OF RATES AND CONTRACT MAXIMUM

CONTRACTOR NAME:	The Regents of the University of California (Santa Barbara)	FISCAL YEAR: 2013-14
------------------	---	-------------------------

	PROGRAM					TOTAL
	SATC	SAMHSA - CSDC	SAMHSA - CAM	SAMHSA - B2R	SAMHSA - VETS (10/1/13 - 6/30/14)	
DESCRIPTION/MODE/SERVICE FUNCTION:	NUMBER OF UNITS PROJECTED (based on history):					
04-Research/Evaluation	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698
UNIT REIMBURSEMENT	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	
COST PER UNIT/PROVISIONAL RATE:	As Budgeted					
04-Research/Evaluation						
MAXIMUM (NET) CONTRACT AMOUNT:	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698
SOURCES OF FUNDING FOR MAXIMUM CONTRACT AMOUNT						
REALIGNMENT/SAPT - DISCRETIONARY	\$ 26,660					\$ 26,660
SAMHSA FEDERAL GRANT - CSDC		\$ 45,000				\$ 45,000
SAMHSA FEDERAL GRANT - CAM			\$ 50,000			\$ 50,000
SAMHSA FEDERAL GRANT - B2R				\$ 33,750		\$ 33,750
SAMHSA FEDERAL GRANT - VETS					\$ 48,288	\$ 48,288
TOTAL (SOURCES OF FUNDING)	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698

CONTRACTOR SIGNATURE: _____

STAFF ANALYST SIGNATURE: _____

FISCAL SERVICES SIGNATURE: _____

THIRD AMENDMENT 2013-2014

III. Delete Exhibit B-1, Schedule of Rates and Contract Maximum, and replace with the following:

**EXHIBIT B-1
ALCOHOL, DRUG AND MENTAL HEALTH SERVICES
SCHEDULE OF RATES AND CONTRACT MAXIMUM**

CONTRACTOR NAME: **The Regents of the
University of California
(Santa Barbara)**

FISCAL
YEAR: **2013-14**

	PROGRAM					TOTAL
	SATC	SAMHSA - CSDC	SAMHSA - CAM	SAMHSA - B2R	SAMHSA - VETS (10/1/13 - 6/30/14)	
DESCRIPTION/MODE/SERVICE FUNCTION:	NUMBER OF UNITS PROJECTED (based on history):					
04-Research/Evaluation	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698
UNIT REIMBURSEMENT	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	Cost Reimbursed	
COST PER UNIT/PROVISIONAL RATE:	As Budgeted					
04-Research/Evaluation						
MAXIMUM (NET) CONTRACT AMOUNT:	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698
SOURCES OF FUNDING FOR MAXIMUM CONTRACT AMOUNT						
REALIGNMENT/SAPT - DISCRETIONARY	\$ 26,660					\$ 26,660
SAMHSA FEDERAL GRANT - CSDC		\$ 45,000				\$ 45,000
SAMHSA FEDERAL GRANT - CAM			\$ 50,000			\$ 50,000
SAMHSA FEDERAL GRANT - B2R				\$ 33,750		\$ 33,750
SAMHSA FEDERAL GRANT - VETS					\$ 48,288	\$ 48,288
TOTAL (SOURCES OF FUNDING)	\$ 26,660	\$ 45,000	\$ 50,000	\$ 33,750	\$ 48,288	\$ 203,698

CONTRACTOR SIGNATURE: _____

STAFF ANALYST SIGNATURE: _____

FISCAL SERVICES SIGNATURE: _____

THIRD AMENDMENT 2013-2014

SIGNATURE PAGE

Amendment to Agreement for Services of Independent Contractor between the County of Santa Barbara and The Regents of the University of California (Santa Barbara).

IN WITNESS WHEREOF, the parties have executed this Agreement to be effective on the date executed by County.

ATTEST:


CHANDRA L. WALLAR
CLERK OF THE BOARD

By: 
Deputy

Date: 10-15-13

RECOMMENDED FOR APPROVAL:

ALCOHOL, DRUG, AND MENTAL HEALTH
SERVICES
TAKASHI WADA, MD, MPH
INTERIM DIRECTOR

By: 
Director

APPROVED AS TO FORM:

DENNIS A. MARSHALL
COUNTY COUNSEL

By: 
Deputy County Counsel

COUNTY OF SANTA BARBARA

By: 
SALUD CARBAJAL, CHAIR
BOARD OF SUPERVISORS

Date: 10-15-13

CONTRACTOR:

By: _____
Tax Id No 95-6006145.

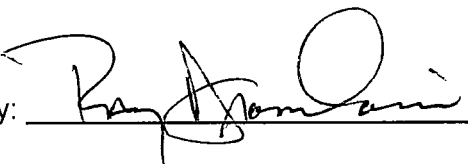
APPROVED AS TO ACCOUNTING FORM:

ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By: 
Deputy

APPROVED AS TO FORM:

RAY AROMATORIO
RISK MANAGER

By: 

THIRD AMENDMENT 2013-2014

SIGNATURE PAGE

Amendment to Agreement for Services of Independent Contractor between the County of Santa Barbara and The Regents of the University of California (Santa Barbara).

IN WITNESS WHEREOF, the parties have executed this Agreement to be effective on the date executed by County.

ATTEST:

CHANDRA L. WALLAR
CLERK OF THE BOARD

COUNTY OF SANTA BARBARA

By: _____
SALUD CARBAJAL, CHAIR
BOARD OF SUPERVISORS

By: _____
Deputy

Date: _____

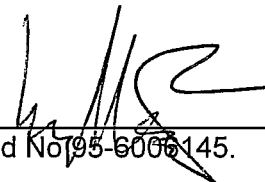
Date: _____

RECOMMENDED FOR APPROVAL:

ALCOHOL, DRUG, AND MENTAL HEALTH
SERVICES

TAKASHI WADA, MD, MPH
INTERIM DIRECTOR

CONTRACTOR:

By:  9/19/13
Tax Id No 95-6006145.

By _____
Director

APPROVED AS TO FORM:

DENNIS A. MARSHALL
COUNTY COUNSEL

APPROVED AS TO ACCOUNTING FORM:

ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By _____
Deputy County Counsel

By _____
Deputy

APPROVED AS TO FORM:

RAY AROMATORIO
RISK MANAGER

By: _____

THIRD AMENDMENT 2013-2014

CONTRACT SUMMARY

BC 14-036

Complete data below, print, obtain signature of authorized departmental representative, and submit this form (and attachments) to the Clerk of the Board (>\$100,000). *See also: Auditor-Controller Intranet Policies->Contracts, Form is not applicable to revenue contracts.*

D1.	Fiscal Year	13-14
D2.	Department Name	Alcohol, Drug, & Mental Health Services
D3.	Contact Person	Danielle Spahn
D4.	Telephone	(805) 681-5229
<hr/>		
K1.	Contract Type (check one): X Personal Service <input type="checkbox"/> Capital	
K2.	Brief Summary of Contract Description/Purpose	Evaluation Services for Alcohol and Drug
K3.	Department Project Number	N/A
K4.	Original Contract Amount	\$ 155410
K5.	Contract Begin Date	7/1/2013
K6.	Original Contract End Date	6/30/2014
K7.	Amendment? (Yes or No)	Yes
K8.	- Total Number of Amendments	1
K9.	- This Amendment Amount	48288
K10.	- Total Previous Amendment Amounts	N/A
K11.	- Revised Total Contract Amount	203698
<hr/>		
B1.	Is this a Board Contract? (Yes/No)	True
B2.	Number of Workers Displaced (if any)	N/A
B3.	Number of Competitive Bids (if any)	N/A
B4.	Lowest Bid Amount (if bid)	N/A
B5.	If Board waived bids, show Agenda Date	N/A
B6.	Boilerplate Contract Text Changed? (If Yes, cite Paragraph)	No
<hr/>		
F1.	Fund Number	0049
F2.	Department Number	043
F3.	Line Item Account Number	7461
F4.	Project Number (if applicable)	N/A
F5.	Program Number (if applicable)	Multi
F6.	Org Unit Number (if applicable)	N/A
F7.	Payment Terms	Net 30
<hr/>		
V1.	Auditor-Controller Vendor Number	A= 660745
V2.	Payee/Contractor Name	The Regents of the University of California
V3.	Mailing Address	3227 Cheadle Hall, 3rd Floor.
V4.	City, State (two-letter) Zip (include +4 if known)	Santa Barbara, CA 93106
V5.	Telephone Number	8058935687
V6.	Contractor's Federal Tax ID Number	95-6006145
V7.	Vendor Contact Person	Lynne Van Der Kamp Sponsored Projects Officer
V8.	Workers Comp Insurance Expiration Date	Self- Insured
V9.	Liability Insurance Expiration Date[s]	Self- Insured
V10.	Professional License Number	N/A
V11.	Verified by (name of county staff)	Lindsay Walter
V12.	Company Type (Check one): Individual <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Corporation	

I certify information complete and accurate; designated funds available; required concurrences evidenced on signature page.

Date: 9/26/13 Authorized Signature: 