

Exhibit B, Attachment 1 Criteria for Payments
2013-14 CDC Public Health Emergency Preparedness (PHEP), State General Fund (GF) Pandemic Influenza
2013-14 Allocation Agreement

		CDC PHEP Base and	Reference Lab Allocations
1st Payment	Criteria	CDPH must receive following signed Agreement documents and the PHEP work plan & budget: <ul style="list-style-type: none"> • Signed Agreement Page • Non Supplantation Certification Form • Certification Regarding Lobbying • Submission of PHEP Work Plan • Submission of PHEP Budget 	CDPH must receive following signed Agreement documents and the PHEP workplan & budget: <ul style="list-style-type: none"> • Signed Agreement Page • Non Supplantation Certification Form • Certification Regarding Lobbying • Submission of PHEP Work Plan • Submission of PHEP Budget
	Payment	25% of initial FY 13-14 CDC PHEP Base and/or CRI Allocation	25% of initial FY 13-14 Lab Allocation (not including lab trainees)
2nd Payment	Criteria	<ul style="list-style-type: none"> • 1st Payment Criteria must be met • the Work plan and Budget must be approved • All required application documents must be submitted • Receipt of FY 12-13 Year End Reports • Receipt of expenditure supporting documentation for 25% of 1st quarter PHEP Payment 	<ul style="list-style-type: none"> • 1st Payment Criteria must be met • the Work plan and Budget must be approved • All required application documents must be submitted • Receipt of FY 12-13 Year End Reports • Receipt of expenditure supporting documentation for 25% of 1st quarter Lab Payment
	Payment	50% of the total CDC PHEP Base and/or CRI Allocation (includes carry-forward funds) less the 1st quarter payment	50% of the total Lab Allocation (not including lab trainees) (includes carry-forward funds) less the 1st quarter Lab payment
3rd Payment	Criteria	<ul style="list-style-type: none"> • 1st & 2nd Payment Criteria must be met • Receipt of FY 13-14 Mid-Year reports • Receipt of expenditure supporting documentation for 50% of the total allocation (including carry-forward funds). 	<ul style="list-style-type: none"> • 1st & 2nd Payment Criteria must be met • Receipt of FY 13-14 Mid-Year reports • Receipt of expenditure supporting documentation for 50% of the total Lab allocation (including carry-forward funds).
	Payment	75% of the total CDC PHEP Base and/or CRI Allocation (includes carry-forward funds) less the 1st and 2nd quarter payments	75% of the total Lab Allocation (includes carry-forward funds) less the 1st and 2nd quarter payments
Final Payment	Criteria	<ul style="list-style-type: none"> • 1st, 2nd & 3rd Payment Criteria must be met • Receipt of expenditure supporting documentation for 85% of the total PHEP allocation (including carry-forward funds). 	<ul style="list-style-type: none"> • 1st, 2nd & 3rd Payment Criteria must be met • Receipt of expenditure supporting documentation for 85% of the total Lab allocation (including carry-forward funds).
	Payment	100% of the total CDC PHEP Base and/or CRI Allocation (includes carry-forward funds) less the 1st, 2nd, and 3rd quarter payments	100% of the total Lab Allocation (includes carry-forward funds) less the 1st, 2nd, and 3rd quarter payments

		Lab Trainee Stipends	Lab Training Assistance Grants
1st Payment	Criteria	CDPH must receive the following: <ul style="list-style-type: none"> Signed Agreement documents Lab trainee(s) must be included in Lab budget A copy of the LFS letter approving the trainee Name and proposed hire date of the trainee A training plan that provides 6 months of training with a completion date no later than June 30, 2014. Only 12 traineeships available 	LHD must: <ul style="list-style-type: none"> be an LRN Sentinel laboratory and submitted signed Agreement documents have applied for and received approval for at least one lab trainee stipend be a member of a training consortium with at least one other county indicate what other county(ies) are part of the consortium and provide a letter from at least one other consortium partner agreeing to the arrangement.
	Payment	Award is dependent on number of trainees requested AND availability of traineeships AND submission of all required documents	Award is dependent on availability of assistantship funds AND meeting all requirements above
2nd Payment	Criteria	N/A	N/A
	Payment	N/A	N/A
3rd Payment	Criteria	N/A	N/A
	Payment	N/A	N/A
Final Payment	Criteria	N/A	N/A
	Payment	N/A	N/A

		HPP	State GF
1st Payment	Criteria	CDPH must receive following signed Agreement <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Five Letters of Support (Refer to the FY 13-14 Application Guidance) Submission of HPP Work Plan Submission of HPP Budget Submission of Health Care Facility (HCF) Form 	CDPH must receive following signed Agreement <ul style="list-style-type: none"> Signed Agreement Page Non Supplantation Certification Form Certification Regarding Lobbying Submission of GF Pan Flu Work Plan Submission of GF Pan Flu Budget
	Payment	25% of HPP Allocation	25% of State GF Pandemic Influenza Allocation
2nd Payment	Criteria	<ul style="list-style-type: none"> 1st Payment Criteria must be met the HPP Work Plan and HPP Budget must be approved An invoice with actual HPP expenditures above the 25% advance amount must be submitted to CDPH Receipt of FY 12-13 Year End Reports All required application documents must be submitted 	<ul style="list-style-type: none"> 1st Payment Criteria must be met the CDC Work Plan and Budget must be approved An invoice with actual State GF Pan Flu expenditures above the 25% advance amount must be submitted to CDPH Receipt of FY 12-13 Year End Reports All required application documents must be submitted
	Payment	CDPH will pay the Local HPP Entity for actual expenditures above the 25% advance (e.g. - if a local entity submits and invoice for 35%, CDPH will pay them 10% because the local entity already received 25%). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.	CDPH will pay the LHD for actual expenditures above the 25% advance (e.g. - if a local entity submits and invoice for 35%, CDPH will pay them 10% because the local entity already received 25%). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.
3rd Payment	Criteria	<ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met Receipt of FY 13-14 Mid-Year reports An invoice with actual HPP expenditures must be submitted to CDPH 	<ul style="list-style-type: none"> 1st & 2nd Payment Criteria must be met Receipt of FY 13-14 Mid-Year reports An invoice with actual State GF Pan Flu expenditures must be submitted to CDPH
	Payment	CDPH will pay the Local HPP Entity for actual expenditures (above the 2nd payment). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.	CDPH will pay the LHD for actual expenditures (above the 2nd payment). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.
Final Payment	Criteria	<ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met Receipt of required Performance Measure reports An invoice with actual HPP expenditures must be submitted to CDPH 	<ul style="list-style-type: none"> 1st, 2nd & 3rd Payment Criteria must be met An invoice with actual State GF Pan Flu expenditures must be submitted to CDPH
	Payment	CDPH will pay the Local HPP Entity for actual expenditures (above the 3rd payment). All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.	CDPH will pay the LHD for actual expenditures (above the 3rd payment) All contracts or subcontracts above \$5k must be approved by CDPH prior to spending funds or seeking reimbursement.