



MAR 26 2020

March 18, 2020

Joyce Dudley, District Attorney  
Santa Barbara County  
1112 Santa Barbara Street  
Santa Barbara, CA 93101-2008

Subject:       **NOTIFICATION OF APPLICATION APPROVAL**  
Child Advocacy Center Program  
Subaward #: KC19 03 0420, Cal OES ID: 083-00000

Dear Ms. Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$200,000, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at [www.caloes.ca.gov](http://www.caloes.ca.gov).

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file

Ag 60M

Cal OES #	083-06000-16	FIPS #	083-00000	VS#		Subaward #	KC19 03 0420
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## CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES GRANT SUBAWARD FACE SHEET

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

1. Subrecipient: County of Santa Barbara 1a. DUNS#: 131851219
2. Implementing Agency: Santa Barbara County - District Attorney's Office 2a. DUNS#: 131851219
3. Implementing Agency Address: 1112 Santa Barbara Street Santa Barbara 93101-2008  
(Street) (City) (Zip+4)
4. Location of Project: Santa Barbara Santa Barbara 93101-4305  
(City) (County) (Zip+4)
5. Disaster/Program Title: Child Advocacy Center Program 6. Performance Period: 04/01/20 to 03/31/21  
(Start Date) (End Date)
7. Indirect Cost Rate: 10% de minimis Federally Approved ICR (if applicable): \_\_\_\_\_ %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2018	VOCA		\$200,000		\$10,000		\$10,000	\$210,000
9.	Select	Select							
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
<b>Total</b>	<b>Project</b>	<b>Cost</b>		\$200,000	\$200,000	\$10,000		\$10,000	\$210,000

13. **Certification** - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. **CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

15. **Official Authorized to Sign for Subrecipient:**

Name: Joyce Dudley Title: District Attorney  
 Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008  
 Signature: [Signature] Date: 2-11-20  
 16. Federal Employer ID Number: 956002833

(FOR Cal OES USE ONLY)

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

[Signature] 3/11/2020 [Signature] 3-16-2020  
 (Cal OES Fiscal Officer) (Date) (Cal OES Director or Designee) (Date)

ENY: 2019-20 Chapter: 23 SL: 18408  
 Item: 0690-102-0890 Pgm: 0385  
 FAIN #: 2018-V2-GX-0029 10/01/17-09/30/21  
 Fund: Federal Trust AL#: 16.575  
 Program: Child Advocacy Center Program  
 Match Req.: 20%, C/IK based on TPC-Partial Match Waiver  
 Project ID: OES18VOCA000012  
 SC: 2019-18408 Amount: \$ 200,000

FEB 14 2020  
ML# 700662

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**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

**1. Cal OES Contact Information Section:**

Governor's Office of Emergency Services  
 Mark S. Ghilarducci, Director  
 3650 Schriever Avenue  
 Mather, CA 95655  
 (916) 845-8506 (phone)

**2. Federal Awarding Agency Section:**

<b>Fund Year</b>	<b>Federal Program Fund / AL#</b>	<b>Federal Awarding Agency</b>	<b>Total Federal Award Amount</b>	<b>Total Local Assistance Amount</b>
2018	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$396,642,274	\$380,776,583
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

**3. Project Description Section:**

- Project Acronym (Please choose from drop down):  
Child Advocacy Center Program (KC)
- Project Description (Please type the Project Description):  
Provides child abuse victims with an age-appropriate forensic interview in a family-friendly location and ongoing victim services for the child and family unit.

**4. Research & Development Section:**

- Is this Subaward a Research & Development grant?  
 Yes                       No

## PROJECT CONTACT INFORMATION

☞ Subrecipient: Santa Barbara County District Attorney's Office Subaward #: KC19 03 0420

Provide the name, title, address, telephone number, and e-mail address for the project contacts named below.

1. The **Project Director** for the project:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

2. The **Financial Officer** for the project:

Name: Michael Soderman Title: Administrative Director

Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

3. The **person** having **Routine Programmatic** responsibility for the project:

Name: Megan Rheinschild Title: Victim Witness Program Director

Telephone #: (805) 568-2408 Email Address: mriker@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

4. The **person** having **Routine Fiscal** responsibility for the project:

Name: Nicole Lee-Rodriguez Title: Business Manager

Telephone #: (805) 568-2413 Email Address: nleerod@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

5. The **Executive Director** of a Community Based Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

6. The **Official Designated** by the Governing Board to enter into the Grant Subaward for the City/County or Community-Based Organization, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

☞ Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara, CA 93101 - 2008

7. The **Chair** of the **Governing Body** of the Subrecipient:

Name: Gregg Hart Title: Chair, Board of Supervisors

Telephone #: (805) 568-2191 Email Address: ghart@countyofsb.org

☞ Address/City/Zip + 4: 105 East Anapamu Street, Santa Barbara, CA 93101 - 2063



**SIGNATURE AUTHORIZATION**

Subaward #: KC19 03 0420

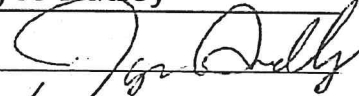
Subrecipient: Santa Barbara County


Implementing Agency: District Attorney's Office - Santa Barbara County  
- Office of the District Attorney

\*The **Project Director** and **Financial Officer** are **REQUIRED** to sign this form.

\*Project Director: Joyce Dudley

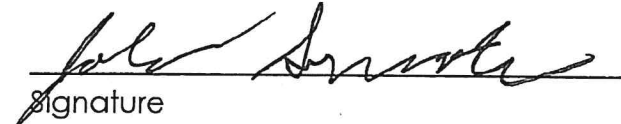
\*Financial Officer: Michael Soderman

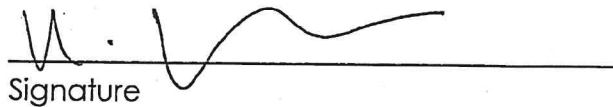
Signature:   
Date: 2/10/20

Signature:   
Date: 2/10/20

The following persons are authorized to sign for the **Project Director**

The following persons are authorized to sign for the **Financial Officer**

  
Signature  
John Savnoch

  
Signature  
Nicole Lee-Rodriguez

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

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Signature

Printed Name

Printed Name

**CERTIFICATION OF ASSURANCE OF COMPLIANCE**  
**Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program**

I, Joyce E. Dudley hereby certify that  
(official authorized to sign; same person as Section 15 on Grant Subaward Face Sheet)

Subrecipient: Santa Barbara County

Implementing Agency: District Attorney's Office Santa Barbara County - Office of the District Attorney

Project Title: Child Advocacy Center (KIC) Program

is responsible for reviewing the *Subrecipient Handbook* and adhering to all of the Grant Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

**I. Federal Grant Funds**

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to Office of Management & Budget (OMB) Uniform Guidance 2 Code of Federal Regulations (CFR) Part 200, Subpart F and are allowed to utilize federal grant funds to budget for the audit costs. See Section 8000 of the *Subrecipient Handbook* for more detail.

The above named Subrecipient receives \$750,000 or more in federal grant funds annually.

The above named Subrecipient does not receive \$750,000 or more in federal grant funds annually.

**II. Equal Employment Opportunity – (*Subrecipient Handbook* Section 2151)**

It is the public policy of the State of California to promote equal employment opportunity (EEO) by prohibiting discrimination or harassment in employment because of race, color, religion, religious creed (including religious dress and grooming practices), national origin, ancestry, citizenship, physical or mental disability, medical condition (including cancer and genetic characteristics), genetic information, marital status, sex (including pregnancy, childbirth, breastfeeding, or related medical conditions), gender, gender identity, gender expression, age, sexual orientation, veteran and/or military status, protected medical leaves (requesting or approved for leave under the Family and Medical Leave Act or the California Family Rights Act), domestic violence victim status, political affiliation, and any other status protected by state or federal law. **Cal OES-funded projects certify that they will comply with all state and federal requirements regarding EEO, nondiscrimination, and civil rights.**

Equal Employment Opportunity Officer: Henry Ventura

Title: Equal Opportunity Manager

Address: 1226 Anacapa Street, Santa Barbara, CA 93101-2010

Phone: (805) 568-2805

Email: hventura@sbcountyhr.org

**III. Drug-Free Workplace Act of 1990 – (Subrecipient Handbook, Section 2152)**

The State of California requires that every person or organization subawarded a grant or contract shall certify it will provide a drug-free workplace.

**IV. California Environmental Quality Act (CEQA) – (Subrecipient Handbook, Section 2153)**

The California Environmental Quality Act (CEQA) (*Public Resources Code, Section 21000 et seq.*) requires all Cal OES-funded projects to certify compliance with CEQA. Projects receiving funding must coordinate with their city or county planning agency to ensure that the project is compliance with CEQA requirements.

**V. Lobbying – (Subrecipient Handbook Section 2154)**

Cal OES grant funds, grant property, or grant-funded positions shall not be used for any lobbying activities, including, but not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

**VI. Debarment and Suspension – (Subrecipient Handbook Section 2155)**

*(This applies to federally-funded grants only.)*

Cal OES-funded projects must certify that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department of agency.

**VII. Proof of Authority from City Council/Governing Board – (Subrecipient Handbook Section 1350)**

The above-named organization (Applicant) accepts responsibility for and must comply with the requirement to obtain a signed resolution from the City Council/Governing Board in support of this Program. The Applicant must provide all matching funds required for said project (including any amendment thereof) under the Program and the funding terms and conditions of Cal OES, and that any cash match will be appropriated as required. It is agreed that any liability arising out of the performance of this Grant Subaward, including civil court actions for damages, shall be the responsibility of the grant Subrecipient and the authorizing agency. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also agreed that grant funds received from Cal OES shall not be used to supplant expenditures controlled by the City Council/Governing Board.

The Applicant is required to obtain written authorization from the City Council/Governing Board that the official executing this Grant Subaward is, in fact, authorized to do so. The Applicant is also required to maintain said written authorization on file and readily available upon demand.

### **VIII. Civil Rights Compliance**

The Subrecipient complies with all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

### **IX. Special Condition for Grant Subaward with Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program Funds**

#### **1. Applicability of Part 200 Uniform Requirements**

The Subrecipient must comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2019 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2019 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2019 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and Subawards ("Subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the Subrecipient must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the Subrecipient must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the Subrecipient is to contact OJP promptly for clarification.



2. Compliance with DOJ Grants Financial Guide

The Subrecipient must to comply with the DOJ Grants Financial Guide. References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The Subrecipient must comply with the DOJ Grants Financial Guide.

3. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP authority to terminate award)

The Subrecipient must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipients, Subrecipients ("Subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the Subrecipient or of any Subrecipient.

The details of the Subrecipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by Subrecipients and Subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

4. Civil Rights and Nondiscrimination

The Subrecipient understands that the federal statutes and regulations pertaining to civil rights and nondiscrimination and, in addition:

- a. The Subrecipient understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
- b. The Subrecipient understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110 (e)) ; section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13)), which will apply to all awards made by the Office of Violence Against Women, also may apply to an award made otherwise; and

c. The Subrecipient understands they must comply with the specific assurances set out in 29 C.F.R. §§ 42.105 and 42.204.

5. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events

The Subrecipient must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

6. Compliance with General Appropriations-Law Restrictions on the Use of Federal Funds (FY 2019)

The Subrecipient must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2019, are set out at <https://ojp.gov/funding/Explore/FY19AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of an appropriations-law restriction, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

7. Reporting Potential Fraud, Waste, & Abuse

The Subrecipient must promptly refer to DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, Subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award – (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct. Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by—(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: Office of the Inspector General, U.S. Department of Justice, Investigations Division, 1425 New York Avenue, N.W. Suite 7100, Washington, DC

20530; and/or (3) by facsimile directed to the DOJ OIG Fraud Detection Office (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

8. Restrictions and Certifications Regarding Non-Disclosure Agreements and Related Matters

No Subrecipient under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

a. In accepting this award, the Subrecipient:

- o Represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- o Certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

b. If the Subrecipient does or is authorized under this award to make Subawards, procurement contracts, or both:

- o It represents that (1) it has determined that no other entity that the Subrecipient's application proposes may or will receive award funds (whether through a Subaward, procurement contract, or subcontract under a procurement contract) either requires or has required internal



confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

- o It certifies that, if it learns or is notified that any Subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

9. Encouragement of Policies to Ban Text Messaging while Driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Subrecipient understands that DOJ encourages Subrecipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

10. OJP Training Guiding Principles

Any training or training materials that the Subrecipient develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>.

11. Requirement to report actual or imminent breach of personally identifiable information (PII)

The Subrecipient must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it – (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The Subrecipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.



12. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The Subrecipient must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a Subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at

<https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

13. Requirement for Data on Performance and Effectiveness Under the Award

The Subrecipient must collect and maintain data that measure the performance and effectiveness of activities under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.

14. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The Subrecipient must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The Subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the Subrecipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

## 15. Victims of Crime Act Requirements

The Subrecipient must comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required.

## 16. Demographic Data

The Subrecipient must collect and maintain information on race, sex, national origin, age, and disability of victims receiving assistance, where such information is voluntarily furnished by the victim.

## 17. Performance Reports

The Subrecipient must submit quarterly performance reports on the performance metrics identified by OVC, and in the manner required by OVC. This information on the activities supported by the award funding will assist in assessing the effects that VOCA Victim Assistance funds have had on services to crime victims within the jurisdiction.

## 18. Access to Records

The Subrecipient must authorize the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, paper, or documents related to the VOCA grant.

## 19. All Subawards ("Subgrants") must have specific federal authorization

The Subrecipient must comply with all applicable requirements for authorization of any Subaward. This condition applies to agreements that – for purposes of federal grants administrative requirements – OJP considers a "Subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any Subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All Subawards ("Subgrants") must have specific federal authorization), and are incorporated by reference here.

## 20. Unreasonable restrictions on competition under the award; association with federal government

SCOPE. This condition applies with respect to any procurement of property or services that is funded (in whole or in part) by this award regardless of the dollar

amount of the purchase or acquisition, the method of procurement, or the nature of any legal instrument used.

a. No discrimination, in procurement transactions, against associates of the federal government

Consistent with the (DOJ) Part 200 Uniform Requirements -- including as set out at 2 C.F.R. 200.300 (requiring awards to be "manage[d] and administer[ed] in a manner so as to ensure that Federal funding is expended and associated programs are implemented in full accordance with U.S. statutory and public policy requirements") and 200.319(a) (generally requiring "[a]ll procurement transactions [to] be conducted in a manner providing full and open competition" and forbidding practices "restrictive of competition," such as "[p]lacing unreasonable requirements on firms in order for them to qualify to do business" and taking "[a]ny arbitrary action in the procurement process") -- no Subrecipient may (in any procurement transaction) discriminate against any person or entity on the basis of such person or entity's status as an "associate of the federal government" (or on the basis of such person or entity's status as a parent, affiliate, or subsidiary of such an associate), except as expressly set out in 2 C.F.R. 200.319(a) or as specifically authorized by USDOJ.

b. Monitoring

The Subrecipient's monitoring responsibilities include monitoring of compliance with this condition.

c. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

d. Rules of construction

- 1) The term "associate of the federal government" means any person or entity engaged or employed (in the past or at present) by or on behalf of the federal government -- as an employee, contractor or subcontractor, grant Subrecipient or -Subrecipient, agent, or otherwise -- in undertaking any work, project, or activity for or on behalf of (or in providing goods or services to or on behalf of) the federal government, and includes any applicant for such employment or engagement, and any person or entity committed by legal instrument to undertake any such work, project, or activity (or to provide such goods or services) in future.



- 2) Nothing in this condition shall be understood to authorize or require any Subrecipient or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

21. Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ, the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award is to benefit a set of individuals under 18 years of age.

The Subrecipient must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

22. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination – 28 C.F.R. Part 42

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

23. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

24. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to



Subrecipient organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to Subrecipients and Subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

## 25. Restrictions on "Lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the Subrecipient, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the Subrecipient to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, Subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of these prohibitions, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

## 26. Subgrant Award Report (SAR)

The Subrecipient must submit a SAR to OVC for each Subrecipient of the VOCA victim assistance funds, within ninety (90) days of awarding funds to the Subrecipient. Subrecipients must submit this information through the automated system.

## 27. Effect of Failure to Address Audit Issues

The Subrecipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the Subrecipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other

outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

28. Additional DOJ Awarding Agency Requirements

The Subrecipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the Subrecipient is designated as "high- risk" for purposes of the DOJ high-risk grantee list.

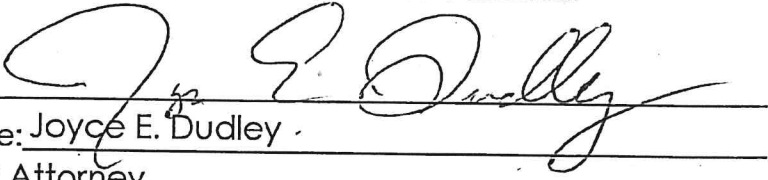
29. Hiring Documents

The Subrecipient must keep, maintain, and preserve all documentation (such as Form I-9s or equivalents) regarding the eligibility of employees hired using the funds.

All appropriate documentation must be maintained on file by the project and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for Subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

**CERTIFICATION**

I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Authorized Official's Signature: 

Authorized Official's Typed Name: Joyce E. Dudley

Authorized Official's Title: District Attorney

Date Executed: 1/31/2020

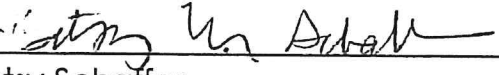
Federal Employer ID #: 95-6002833 Federal DUNS #: 131851219

Current System for Award Management (SAM) Expiration Date: ~~2/12/2020~~ 12/25/2020

Executed in the City/County of: Santa Barbara / Santa Barbara

**AUTHORIZED BY:** (not applicable to State agencies)

City Financial Officer       County Financial Officer  
 City Manager                       County Manager  
 Governing Board Chair

Signature: 

Typed Name: Betsy Schaffer

Title: Auditor-Controller

**BUDGET CATEGORY AND LINE ITEM DETAIL**

2

Subrecipient: Santa Barbara County <del>District Attorney's Office</del>		Subaward #: KC19 03 0420	
A. Personal Services – Salaries/Employee Benefits	VOCA 18	VOCA 18 Match	COST
<b>0.1 FTE Victim Assistance Director</b> Salary (\$3,469 x 26 PP) 90,194 x 0.1FTE Retirement x 36.5% of 0.1 FTE Fica/Medicare: 6.5% of 0.1 FTE Health Ins: 15.5% of 0.1 FTE  Plans, coordinates, monitors, and evaluates the operational activities for the Victim Witness grants. Provides Administrative Oversight to the SART/KC Program and SART coordinator supervision. Selects and provides training and consultation to law enforcement and other victim service providers regarding the SART/KC Program.	\$2,706 \$988 \$182 \$419	\$6,313 \$2,304 \$404 \$979	\$9,019 \$3,292 \$586 \$1,398
<b>Personal Section Totals</b>	<b>\$4,295</b>	<b>\$10,000</b>	<b>\$14,295</b>
<b>PERSONAL SECTION TOTAL</b>			<b>\$14,295</b>



BUDGET CATEGORY AND LINE ITEM DETAIL

Subrecipient: Santa Barbara County District Attorney's Office		Subaward #: KC19 03 0420	
B. Operating Expenses	VOCA 18	VOCA 18 Match	COST
<b>Second Tier operation Agreement</b>			
CALM SART Coordinator 1 Consultant (\$30.90/hr for 30 hrs/week x 52 weeks) · Benefits @28% = \$13,497 (18% Health Ins, 2% pension, 1% WC, 1% Unemployment Ins, 6% Payroll cost) On Call Standby @\$3/hr x 138/week x 52 = \$21,528 ·	\$83,229		\$83,229
CALM Consultant Therapist 1 Consultant 1,144.43 hrs x \$41.84/hr fee ·	\$47,883		\$47,883
Consultant Supervisor 1 Consultant 208 hrs x \$52.29/hr ·	\$10,876		\$10,876
CALM Consultant Forensic Interviewer 1 Consultant 440 hrs x \$45.10/hr ·	\$19,844		\$19,844
Consultant Operating Costs:	\$13,689		\$13,689
Interview Mileage Lompoc to Santa Maria Roundtrip = 69.57 mi x 150 Interview/yr = 10,435 mi x .575/mi = \$6,000 ✓ Interview Mileage SM Office to SB SART roundtrip = 127 · mi x 28 interviews/yr = 3,556 mi x .575/mi = \$2,045 ✓ Program Expenses: \$2,444. Training/Conferences: \$2,500 · Telephone: \$700 ·			
CEQA Filing Fee	\$50		\$50
Training/Conferences:	\$4,797		\$4,797
9th annual SART Summit sponsored by CCFMTC & CDA Hotel: (\$125)/night X 3 nights X 4 staff=\$1,500 · Mileage: 432 mi x \$0.575 = \$248 ✓ Mileage: 558 mi x \$0.575 = \$321 ✓ Meals \$46/day x 3 days = \$138/person x 4 staff = \$552 · Tuition Fee: \$175/person X 4 staff=\$700 · SART Training TBD Hotel: (\$125)/night x 2 night x 3 staff = \$750 · Per Diem 46/day x 2 days x 3 staff = \$276 · Tuition Fee: \$150 x 3 staff = \$450 ·			
9th Annual SART Summit: to be updated about current best practice and knowledge regarding Sexual Assault Response Teams, child abuse and sexual assault. Geared to multidisciplinary teams of law enforcement, prosecution, child advocacy centers and medical professionals. ·			
SART Training TBD: to gain additional information and knowledge on how to approach and assist victims Lompoc SART Location ·	\$5,000		\$5,000
Sitting room chairs: 10 x \$250 = \$2,500 · Sitting room tables: 2 x \$875 = \$1,750 · Monitor: 5 x \$150 = \$750 ·			
Intranet Site Costs (For 1 year) 2 Business Internet Delux 75 75 mbp 15mbps: \$205.4 x 2 x 12 months= \$4,930 ·	\$4,930		\$4,930
MTDC @ 10% De Minimis ((Personal (\$14,295) + Operating (\$39,777) x 10% = \$5,407 10% Used for Overhead Costs, Administrative Salary, ·	\$5,407		\$5,407
Utilities, IT Software, Office Suplies, Printing and Others. ·			
Operating Section Totals	\$195,705		\$195,705
<b>OPERATING SECTION TOTAL</b>			<b>\$195,705</b>



cc Subrecipient: Santa Barbara County Subaward #: KC19 03 0420

### Project Narrative

#### 1. Problem Statement

According to the local non-profit affiliate, Child Abuse Listening and Mediation (CALM), approximately 4,500-5,000 children annually are referred to this agency for services. Of those, approximately 8% or 400 of those involve child sexual abuse. Sadly, about 1 in 3 of these children have a second abuse allegation within a year of the first. Overall, the incidence of child abuse and neglect in Santa Barbara County was nearly 800 children in 2010, a rate of approximately 7.6 per 1,000 children, with Child Welfare Services investigating nearly 3,200 child abuse and neglect referrals (Source: Santa Barbara County 2010 Children's Scorecard).

Annually, Santa Barbara County has approximately 180 cases of suspected child sexual abuse or child sexual assault referred to law enforcement. Recently the County has been seeing a dramatic increase in the number of child forensic interviews requested. The cause of this increase is unclear at this time but the increase is most notable in North County, Santa Maria and Lompoc specifically. For each of these suspected cases, law enforcement, often with the cooperation of Child Welfare Services, requests the services of a specially trained child forensic interviewer to conduct the interviews of affected children. Without the services of a trained child forensic interviewer, untrained law enforcement officials can unwittingly re-traumatize or compound the trauma experienced by these children. These services are

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currently being provided by CALM on contract to Santa Barbara County under the County District Attorney's Office Victim Witness Assistance Program and its Sexual Assault Response Team (SART) Program. Once children have completed a child forensic interview, additional follow-up therapeutic services are warranted to help the child cope with the potential effects of their experiences.

## 2. Plan

a. The District Attorney's Office, Public Health Department, Child Welfare Services, Law Enforcement, and CALM first collaborated to form the Santa Barbara SART program in 1988. At that time there was not a coordinated system of response to child abuse and a lack of experienced forensic medical or legal examiners. Since then, the program has grown into a collaborative of 19 agencies including law enforcement, the District Attorney's office, local hospitals, public health, child welfare services, rape crisis, Vandenberg Air force base, the Federal Prison system and local Non-Profit providers. The SART program provides services to all incorporated cities in the County of Santa Barbara and the unincorporated areas of the County.

The SART Program coordinates and provides expert child forensic interviewers at the request of Law Enforcement and Child Welfare Services when there has been an allegation of abuse reported of a minor or an



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adult with a developmental disability. Suspected abuse includes physical child abuse, sexual abuse, and severe neglect. Witnesses may also be interviewed by an expert forensic interviewer when requested. Expert forensic interviewers will generally be called upon to interview children (age 12 years and under), Spanish-speaking minors, or persons with developmental disabilities.

Our goal is to provide uninterrupted service and be available to conduct child forensic interviews by trained professionals on an as needed basis by securing sustainable funding which would enable guaranteed service. The objective is to provide funding for our current trained Forensic Interviewers to conduct interviews of children referred to the SART program by Law Enforcement and Child Welfare Services, as well as provide some new funding to assist with provision of child therapeutic services after the interviews. The strategy is to insure sustainability of the program by securing funding for forensic interviews of children who are suspected victims of child abuse and for supplemental therapeutic counseling as services are requested.

At the time of a child forensic interview, additional services and referrals are provided to family members and child guardians through the District Attorney's Victim Witness Assistance Program, CALM and the local Rape Crisis Centers, depending on the age of the individual child. These associated services and referrals can help stabilize families and address the

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trauma and negative effects of child abuse. In addition, use of trained child forensic interviewers (as opposed to law enforcement alone) has proven to be more effective in soliciting information from children in the case of suspected child abuse, which, by extension, has led to better conviction rates for perpetrators.

b. The funds will be used to reimburse the services of expert Forensic Interviewers, and for supplemental post-interview therapeutic services. Funds will also be used for supervision of the Forensic Interviewers and time dedicated to peer review and ongoing on the job training. The SART program currently has seven Child Forensic Interviewers available countywide and five trained to conduct bilingual forensic interviews for children who are suspected victims of child abuse. They are employed by CALM (Child Abuse Listening and Mediation) which is a part of the SART program's multidisciplinary team. Although these six expert Forensic Interviewers are readily available during normal business hours, the SART Program's ability to keep up with the demand and also provide these interviews after-hours and on weekends is impeded by lack of funding relative to the demand. KC Grant Funding will allow for on-call standby in cases that require an interviewer to respond.

✓ The requested funds will facilitate provision of supplemental services by a professional trained in therapeutic counseling for children needed to address the after-effects and trauma experienced by children suspected

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victims of child abuse. Funds will also be used to close the funding gap for the cost of child forensic interviewers needed to meet the increasing demand from Law Enforcement and Child Welfare Services for child forensic interviews (CFIs) associated with suspected cases of child abuse. The grant will also support funding for the .80 FTE SART Coordinator position. The SART Coordinator acts as a go-between for Law Enforcement, the CALM child forensic interviewers, the District Attorney's Office Victim Witness Services program (including the provision of Victim Witness Advocates at each CFI), and other SART partners, and manages the three SART facilities serving Santa Barbara County.

c. The County of Santa Barbara's SART Program was NCA Accredited from 2012 through 2017. The Santa Barbara SART program discontinued paying for accreditation in 2018 due to prohibitive costs associated with the NCA Accreditation process. The Santa Barbara County SART Program maintains NCA accreditation standards, and maintains active membership status with the Child Advocacy Centers of California (CACCC).

d. The County of Santa Barbara's SART Program currently meets all accreditation standards and will continue to maintain those standards through the Grant Subaward performance period ending March 31, 2021.

### 3. Capabilities

a. **The Sexual Assault Response Team (SART)** began in Santa Barbara County in 1986 as an initiative between the Public Health Department,

cc Subrecipient: Santa Barbara County Subaward #: KC19 03 0420<sup>cc</sup>  
~~KC19~~

District Attorney, County Executive Office, Law Enforcement, County Counsel, CALM of Santa Barbara, Santa Barbara Cottage Hospital, the Junior League, and the Department of Social Services. The goal was to employ a multidisciplinary approach to assure that sexual abuse survivors receive care in the most positive therapeutic environment possible through a medical-legal examination, medical treatment, forensic interview, emotional support, advocacy, counseling referrals, and other support services for the victim and his/her family. Over the years, the collaboration has grown to 19 SART partners including law enforcement agencies, the District Attorney's office, local hospitals, public health, child welfare services, rape crisis, Vandenberg Air force base, and Non Profit providers. Together, these partners have created a variety of inter-agency strategies to coordinate a comprehensive response to sexual abuse including a Policy Council, case review meetings, case tracking, advisory committee and SART protocol.

In 2008 the District Attorney's Office Victim-Witness Assistance Program assumed administrative responsibility of the SART Program making significant improvements including improving the funding structure for medical examinations and child forensic interviews, development of a funding strategy to assure sustainable infrastructure, services, centralized service coordination oversight, as well as meeting standards of NCA accreditation in 2012.



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b. The components of the Santa Barbara County SART Program's child-focused setting include the effective delivery of expert forensic interviews in the arena of child abuse investigations conducted in a child-friendly location to minimize further trauma. To the extent possible, the child's needs are accommodated in the interview process, including time, place, means of obtaining the child's presence, and the interview methodology. The use of expert child forensic interviewers is critical in this process. A child forensic interview is a fact-finding interview in which a child who is alleged to be a victim of child abuse is questioned in a developmentally appropriate, non-aggressive, non-suggestive, and forensically defensible manner using the 10-step child-centered approach developed by Tom Lyon.

In the context of child abuse, the purpose of a forensic interview is to obtain information for investigation and prosecution. It is widely recognized that child victims should not endure numerous investigative interviews during the course of a child abuse investigation. As stated in the American Prosecution Research Institute's *Investigation and Prosecution of Child Abuse* manual: "The number of investigative interviews should be limited to one whenever possible. Multiple sessions are stressful for the child, are often unnecessary, and can lead to seemingly inconsistent statements."

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The second major component of the Santa Barbara County SART Program is to provide child forensic interviews in a neutral, child-friendly facility not associated with a police station or other law enforcement facility. SART has grown from one location donated by Cottage Hospital in Santa Barbara to two additional sites donated by Marian Medical Center in Santa Maria and the Lompoc District Hospital in the City of Lompoc. Together these three locations accommodate the vast geographical distribution of the County. Each of these safe locations has been established to accommodate medical examinations, child forensic interviews, and related advocacy services. Two of the locations (Santa Barbara and Lompoc), are located in converted homes in residential areas making the interview setting less intimidating for the child. The Santa Maria SART facility is in more of a clinic/medical setting, but the waiting area is very child friendly with toys for children, and an interview room that is akin to the décor of a small living room.

- c. The SART Coordinator acts as a go-between for law enforcement and the child forensic interviewers, and also facilitates communication and the sharing of information between all 19 SART members. The SART Coordinator implements and facilitates a variety of inter-agency strategies to coordinate a comprehensive response to sexual abuse including a Policy Council, monthly case review meetings, case tracking, an advisory committee, and SART protocol. Volunteers who have

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completed background checks through the District Attorney's Office assist with new case set-up and the tracking of victim data.

Shared information is maintained, communicated and stored in a confidential manner in accordance with the *Santa Barbara County Sexual Assault Response Team Policy and Procedure Manual*. According to the *Manual*, SART shall not divulge confidential information about sexual assault survivors or individuals who have received SART services. The unauthorized release of confidential information may make team members subject to a civil action under the provisions of the California Welfare & Institutions Code, Section 10850, Standard 19949.

Confidentiality is an integral part of the SART policies and procedures. Confidentiality is necessary to maintain the integrity of the medical, legal and criminal justice processes; it is important to the success and public perception of SART in the community; and, most importantly, it is critical to the survivor's well-being.

- d. As a matter of practice, SART members are encouraged to notify the SART Coordinator with requests for training and information on other topics, or for information about current events, trends or specific issues. Current active cases are reviewed on a monthly basis. In addition, specific cases may be selected by the SART Coordinator and/or SART Coalition Members for case review and analysis. These monthly meetings enhance the team

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process; increase skills and knowledge base; strengthen the SART Coalition; and provide for in-service training by SART member agencies.

Pursuant to the *Santa Barbara County Sexual Assault Response Team Policy and Procedure Manual*, SART Coalition Member Agencies provide training to their new staff members on the policies and procedures of the Santa Barbara County SART. SART Coalition Member Agencies also provide regular updates to their staff on SART policies and procedures. CALM's child forensic Interviewers attend and complete a mandatory minimum of 32 hours of training. The California Child Forensic Interview training (CFIT) put together by the Northern and Southern California Child Abuse Training and Technical Assistance (CATA) centers meet that criteria. All CALM's Child Forensic Interviews have completed the CFIT training. Additionally, all CALM's Child Forensic Interviewers have either a Master's Degree in Psychology or Social Work.

The District Attorney's Office looks for opportunities for continued education and training on issues related to child forensic interviewing best practices and investigative and prosecutorial techniques/ strategies, and works with partner non-profits to ensure their staff maintains up-to-date training relative to their services and expertise. Key members of the SART Program also attend the annual SART Summit co-sponsored by the California Clinical Forensic Medical Training Center (CCFMTC) and the California District Attorneys Association (CDAA).



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- e. Through its partners, particularly CALM, the Santa Barbara County SART Program coordinates and performs approximately 200 child forensic interviews annually countywide, or approximately four a week. Recently there has been a dramatic increase in requests, averaging 60 requests per quarter for child forensic interview in the period of August 1, 2018 through January 31, 2019.
- f. The SART Program includes a Policy Council which is the formal governing body of the SART, composed of representatives from public and private agencies that are members of the SART Coalition. The purpose of the Policy Council is to share relevant information including: SART Program updates, staffing changes, budget issues and financial updates, upcoming training opportunities, special events, program statistics, discussion of ongoing or emerging medical and/or legal issues, and oversight of SART policies and procedures.

The Case Review and Policy Council Meetings are a venue to share relevant information among the SART members, pursuant to the *Santa Barbara County Sexual Assault Response Team Policy and Procedure Manual*. SART conducts regularly scheduled Case Review meetings to inform key parties of the status of cases, any unmet needs of survivors, and legal considerations related to the case; and to coordinate comprehensive services for survivors of sexual assault and their significant others. Current active cases are reviewed at these meetings and specific

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cases may be selected by the SART Coordinator and/or SART Coalition Members for case review and analysis. SART agencies assign a representative to attend the Case Review who is responsible for providing information on all SART cases in which their agency is involved.

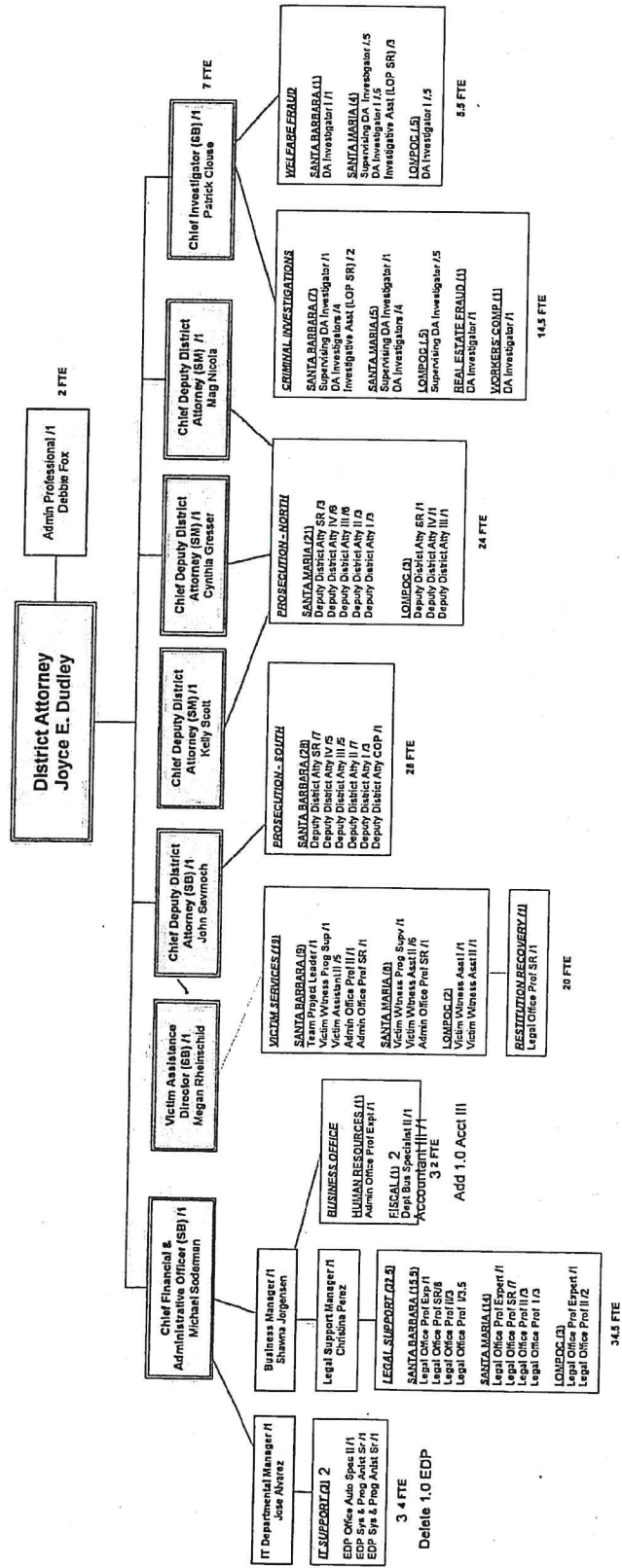
The purpose of the Case Review portion of the meeting is to:

- Share information about active cases; their medical and legal status; and service provision by SART Coalition Members
- Strategize how best to meet particular challenges of a case and provide a comprehensive, coordinated response
- Address procedural issues that might impact any open or future cases
- Enhance the team process; increase skills and knowledge base; strengthen the SART Coalition
- Provide in-service training by SART member agencies

g. The 19 SART Coalition Member Agencies are all party to a Memorandum of Understanding (MOU) dictating the roles, functions and protocol of the Santa Barbara County SART Program. As indicated previously, the 19 members of SART include law enforcement, the District Attorney's office, local hospitals, public health, child welfare services, rape crisis, Vandenberg Air force base, and local Non Profit providers such as CALM and Rape Crisis Centers. By signing the MOU, all SART members have agreed to work together to provide an efficient, effective and sensitive

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response to survivors of sexual assault and abuse, and the SART members have agreed to provide basic services in a collaborative manner that will ensure the success of the SART. Members of the SART, having signed the MOU, also have agreed to abide by the *Santa Barbara County Sexual Assault Response Team Policy and Procedure Manual* that has been established by the Policy Council of the SART. Overall, the MOU ensures a well-functioning team.



DA Positions Org Chart FY 2019-20

140.5 FTE Recommended Budget FY 2019-20\*

\* 141.5 FTE Org Chart Total Includes 1.0 FTE COP



VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM  
**PARTIAL MATCH WAIVER REQUEST**

Cal OES Subrecipients may request up to an 80% partial match waiver. Approval is dependent on a compelling justification. To request a partial match waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2018-V2-GX-0029 ✓
2. Cal OES Subaward Number: KC19 03 0420 ✓
3. Subrecipient's Name: Santa Barbara County ✓
4. Grant Subaward Performance Period 4/1/2020 through 3/31/2021 ✓
5. VOCA Victim Assistance Funds Awarded: \$ 200,000 ✓
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 10,000 ✓
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ 0 ✓
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 10,000 ✓
9. Briefly summarize the services provided:

The KC Program provides victims of child abuse with an age-appropriate forensic interview in a family-friendly location, and ongoing victim services for the child and family unit. In Santa Barbara County, approximately 180 cases of suspected child sexual abuse or assault are referred to the SART Program for a forensic interview and services per year. Funds cover the services of expert forensic interviewers and supplemental post-interview therapeutic services.

10. Describe practical and/or logistical obstacles to providing match:

We are not using volunteers for our in-kind match waiver because volunteer recruitment and retention has been unreliable. Volunteer hours have been sporadic, and cannot be relied upon for a stable in-kind match. The VOCA match requirements create a burden upon the proposed Victim/Witness Assistance Program that hinders our ability to provide critical victim services. Failure to meet this requirement puts our program and its valuable services to victims at risk. ✓

11. Describe any local resource constraints to providing match:

We would like to request a partial match waiver due to the financial impact upon the District Attorney's department budget in an extraordinarily difficult financial environment. We respectfully request a partial waiver of the match requirement in order to mitigate additional impacts on our department budget. ✓

Approved

Denied

Nicole Horn

Unit Chief Name

Nicole Horn

Unit Chief Signature / Date

2/3/2020

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

Subrecipient Santa Barbara <sup>County</sup> District Attorney's Office Duns# 131851219 FIPS# 683 063-00000  
 Disaster/Program Title: Child Advocacy Center (CAC) Program  
 Performance Period: 04/01/20 to 03/31/21 Subaward Amount Requested: \$ 200,000  
 Type of Non-Federal Entity (Check Box):  State Gov.  Local Gov.  JPA  Non-Profit  Tribe


Per Title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, grant manager is the individual who has primary responsibility for day-to-day administration of the grant, bookkeeper/accounting staff means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and organization refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	>5 years
3. How many grants does your organization currently receive?	>10 grants
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 3,300,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	No
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Always
12. How many years do you maintain receipts, deposits, cancelled checks, invoices, etc.?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	N/A

**Certification:** *This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.*

Signature: (Authorized Agent)  Date: 01/31/2020  
 Print Name and Title: Joyce Dudley <sup>District Attorney</sup> Phone Number: (805) 568-2306  
 Cal OES Staff Only: SUBAWARD # KC19030420

## PROJECT SERVICE AREA INFORMATION

1. COUNTY OR COUNTIES SERVED: Enter the name(s) of the county or counties served by the project. Put an asterisk where the project's principal office is located.

☞ Santa Barbara County\*

2. U.S. CONGRESSIONAL DISTRICT(S): Enter the number(s) of the U.S. Congressional District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

☞ 24th Congressional District\*

3. STATE ASSEMBLY DISTRICT(S): Enter the number(s) of the State Assembly District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

☞ 37th State Assembly District\*

4. STATE SENATE DISTRICT(S): Enter the number(s) of the State Senate District(s) that the project serves. Put an asterisk for the district where the project's principal office is located.

☞ 19th State Senate District\*

5. POPULATION OF SERVICE AREA: Enter the total population of the area served by the project.

Population of Santa Barbara County: 446,527