



BOARD OF SUPERVISORS
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

JUN 02 2009

COUNTY OF SANTA BARBARA

Department Name: Social Services

Department No.: 044

For Agenda Of: 6/2/09

Placement: Administrative

Estimated Time:

Continued Item: No

If Yes, date from:

Vote Required: 4/5

0602.34 09.00532

TO: Board of Supervisors

FROM: Department Kathy M. Gallagher, Director
Director(s) Department of Social Services
805 346-7101
Contact Info: Lauren Moore, IT Manager
805 681-4529

JUN 02 2009

SUBJECT: Waiver of Competition for Purchase of an Event Management/Logging System and Upgrade to an existing Document Imaging System

County Counsel Concurrence

As to form: Yes

Auditor-Controller Concurrence

As to form: Yes

Other Concurrence: Risk Management

As to form: Yes

Recommended Actions:

1. Authorize the Purchasing Agent to procure a Security Information and Event Management (SIEM) system for the Department of Social Services and authorize Tri-Geo Network Security as the sole source vendor for the period ending June 30, 2009.
2. Authorize the Purchasing Agent to procure Import 5.0 from DocStar for the Department of Social Services and authorize Document Technology as the sole source vendor for the period ending June 30, 2009.

Summary Text: Department of Social Services (DSS) has entered a Medi-Cal Data Privacy and Security agreement with the California Department of Health Care Services (DHCS) to ensure the privacy and security of Medi-Cal Personally Identifiable Information (PII). This agreement outlines specific mandated security and privacy measures for protection of Personally Identifiable Information accessed by the County from the State's Medi-Cal Eligibility Determination System (MEDS) with the County to be in complete compliance by June 2010. Non-compliance places the State at risk of violating terms of its data sharing agreement with the Social Security Administration (SSA).

Funding for security enhancements to bring DSS into compliance with the Medi-Cal Data Privacy and Security Agreement is to be provided as an augmentation to the County's Fiscal Year 2008-2009 and 2009-2010 Medi-Cal allocation. The agreement stipulates that substantial compliance must be met no later than July 1, 2010. Therefore, any compliance standards not met in the current fiscal year would need to be completed in Fiscal Year 2009-2010.

Background: Security enhancements required by the Agreement encompass both physical and data security measures. These enhancements include upgrades to computer security, encryption of email, hard drives and mobile devices as well as intrusion detection, logging systems and secure transport of data. Solutions identified as part of the MEDS/SSA efforts for fiscal year 2009-2010 requiring Board of Supervisors approval include an event management and logging system and an enhancement to the Department's document imaging system (DocStar).

The Security and Information Event Management (SIEM) system will:

1. Provide the ability to track and log security events and suspicious activity on systems used to process or transport confidential PII data. The systems to be monitored include servers, network components and physical entry systems.
2. Take remedial actions based upon triggering events or thresholds, such as closing an account if activity indicates malicious activity.
3. Generate pre-built and custom reports of events or a breach.

The only event management system available which meets these three requirements and supports our broad range of devices is a Tri-Geo SIEM. This system bundled with three years of maintenance and upgrade support is estimated at \$100,000.00 and is provided solely by the manufacturer, Tri-Geo Network Security.

Import 5.0 licensing from DocStar will allow users to file documents as images directly to the DocStar system from other applications. This will eliminate the need to print documents from Program systems and other applications in order to scan them into DocStar. This eliminates the risk associated with paper documents which otherwise must be filed or shredded in accordance with MEDS/SSA regulations and conserves time and resources. The Import 5.0 licensing for 500 users is estimated at \$42,500.00 from Document Technology. The Department would purchase this licensing as sole source through Document Technology as DocStar recognizes only one supplier per enterprise installation of the DocStar suite. Using another supplier for the Import 5.0 module would necessitate turning over the Department's existing DocStar installation and support to a new vendor. DSS has developed many customizations and utilities in partnership with Document Technology. DSS IT staff coordinates closely with Document Technology and together they form a team well-versed in integrating with CalWORKs Information Network (CalWIN), Child Welfare Services Case Management System (CWS/CMS) and other Program-specific applications. Several years of support history facilitates diagnosis and resolution of problems. It would be prohibitively time-consuming and disruptive to operations to change out to a new DocStar vendor.

Performance Measure: N/A

Fiscal and Facilities Impacts:

Budgeted: Yes **Fiscal Analysis:**

<u>Funding Sources</u>	<u>Current FY Cost:</u>	<u>Annualized On-going Cost:</u>	<u>Total One-Time Project Cost</u>
General Fund			
State	\$ 71,250.00		
Federal	\$ 71,250.00		
Fees			
Other:			
Total	\$ 142,500.00		

Narrative: Funding for these items comes from the Department's FY 08-09 MEDS Security allocation, of which 50% is State and 50% is Federal. There's no additional cost to the General Fund.

Staffing Impacts:

Legal Positions:
0

FTEs:
0

Special Instructions:

After execution by the Chair, please return one (1) copy of the minute order, attention: Judy Doughty

Attachments:

N/A

Authored by: Lauren Moore