

2006-11 ANNUAL ACTION PLAN AMENDMENTS
Santa Barbara County HOME Consortium & CDBG Urban County Partnership
August 2011

I. Introduction

The purpose of this amendment is to update the funding allocations proposed in the Santa Barbara County HOME Consortium and CDBG Urban County Partnership 2011 Annual Action Plan (Action Plan), and reprogram funds from the 2006, 2007, 2008, 2009 and 2010 Action Plans that were reserved for projects that did not move forward. The County of Santa Barbara is the lead agency of the Urban County Partnership which also includes the cities of Buellton, Carpinteria, Lompoc and Solvang, and is also the lead agency of the HOME Consortium which includes the members of the Urban County as well as the cities of Goleta and Santa Maria. To receive a final reservation of funds, all projects must undergo an application process, staff review, evaluations by review committees, and approval by city councils and the County Board of Supervisors. The 2006-2011 amendments, including the deobligation and reprogramming of prior year reservations, are subject to approval by the County Board of Supervisors.

The United States Department of Housing and Urban Development (HUD) awards federal grant funding annually to the Santa Barbara County HOME Consortium and Urban County Partnership through three programs administered by HUD. The HOME Investment Partnerships (HOME) program provides limited subsidies designed to leverage public and private funds necessary to enable the financial feasibility of affordable housing projects. The Community Development Block Grant (CDBG) program provides funding to develop viable communities and assist low and moderate income persons. The Emergency Shelter Grants (ESG) program provides homeless persons with basic shelter and essential services.

In May of 2011, HUD published its 2011 allocations for the HOME, CDBG and ESG programs. This included an 11.7% reduction to the HOME program, and a 16.5% reduction to the CDBG program. The ESG program allocation has increased for 2011 and is being issued in two phases; the first phase includes a slight reduction from the estimated allocation, and the second phase will provide additional funding, though the second phase will be implemented later in the program year with the finalization of the Homeless Emergency and Rapid Transition to Housing (HEARTH) Act regulations. The following table provides the estimated amounts identified in the Action Plan that was published prior to HUD issuing the 2011 allocations, along with the actual amounts of HOME, CDBG and ESG that were allocated to the Santa Barbara County HOME Consortium and CDBG Urban County Partnership in 2011:

Table A-1

2011 Santa Barbara County HOME Consortium and CDBG Urban County Partnership Allocations		
<i>Federal Program</i>	<i>Estimated Amount</i>	<i>Actual Amount</i>
HOME	\$1,707,771	\$1,561,186
CDBG	\$2,187,842	\$1,820,370
ESG	\$88,765	\$88,475*
Totals:	\$3,984,378	\$3,470,031

* Table A-1 - ESG Allocation Phase 1

Funds available in 2011 to partner jurisdictions reflect their participation and utilization of funds in current and prior program years. The following Table A-2 and Table A-3 highlight the participating jurisdictions allocations originally specified in the 2011 Action Plan along with the revised amounts and include funds reprogrammed from projects that did not move forward.

Table A-2

2011 HOME Funds Available for Projects		
Jurisdiction	Initial Amount	Final Amount
County	\$1,026,335	\$971,746 [†]
Santa Maria	\$405,000	\$357,597
Goleta	\$35,000	\$35,000 [‡]
Lompoc	\$210,000	\$186,662
Carpinteria	\$76,000	\$76,000 [§]
Totals:	\$1,752,335	\$1,627,005

Table A-3

2011 CDBG Funds Available for Projects and Programs				
Jurisdiction	Capital Projects		Public Services	
	Initial Amount	Final Amount	Initial Amount	Final Amount
County	\$839,598	\$839,598 ^{**}	\$191,884	\$157,536
Lompoc	\$360,000	\$349,765 ^{††}	\$80,000	\$68,264
Carpinteria	\$125,000	\$125,000 ^{‡‡}	\$28,764	\$22,309
Buellton	\$100,000	\$100,000	\$12,252	\$11,232
Solvang	–		\$12,776	\$11,712
Totals:	\$1,424,598	\$1,414,363	\$325,676	\$271,053

[†] Table A-2 – County HOME amounts reflect deductions for administration and implementation costs, and include \$292,191 in County reprogrammed HOME funds, \$16,818 of unutilized Buellton HOME funds, \$22,692 in unutilized Solvang HOME funds and \$88,871 in unutilized Goleta HOME funds.

[‡] Table A-2 – Goleta HOME amount reflects a *portion* of the Goleta allocation, which will be utilized for a project located in the City. The 2011 adjusted grant allocation for Goleta is \$123,871, versus the initial estimate of \$140,315. As mentioned above, unutilized Goleta HOME funds (\$88,871) are included in the County’s allocation.

[§] Table A-2 – Carpinteria HOME amounts include an additional \$8,285 in County HOME funds, used to cover Carpinteria’s 2011 HOME reservation shortfall.

^{**} Table A-3 – County CDBG Capital Project amounts reflect deduction for administration, and include \$157,507 in County reprogrammed CDBG funds.

^{††} Table A-3 – Lompoc CDBG Capital Project amounts include \$53,955 in Lompoc reprogrammed CDBG funds.

^{‡‡} Table A-3 – Carpinteria CDBG Capital Project amounts include an additional \$17,658 of County CDBG reprogrammed funds, used to cover Carpinteria’s 2011 CDBG Capital Project shortfall.

As a result of funding reductions to the HOME and CDBG programs, and to reprogram funds from projects that have not moved forward, the County and its partner jurisdictions have amended its 2011 Action Plan. The intended changes in funding allocations for projects reserved funds through the Santa Barbara County HOME Consortium and CDBG Urban County Partnership 2011 Action Plan are detailed below.

II. Changes to HOME Project Funding Reservations

Table A-4

2011 County of Santa Barbara HOME Funding Reservations				
<i>Project</i>	<i>Location</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Final Reservation</i>
Santa Rita Village	Lompoc	Santa Barbara County Housing Authority / Surf Development	\$946,059	\$891,470
Security Deposit Move-in Cost Program	Countywide	Coalition for Housing Accessibility	\$80,276	\$80,276 (No Change)
Total County of Santa Barbara HOME Project Funds Reserved:			\$1,026,335	\$971,746

Table A-5

2011 City of Santa Maria HOME Funding Reservations				
<i>Project</i>	<i>Location</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Final Reservation</i>
Los Adobes de Maria III	Santa Maria	Peoples' Self-Help Housing Corporation	\$305,000	\$257,597
Tenant Based Rental Assistance Program	Santa Maria	City of Santa Maria	\$100,000	\$100,000 (No Change)
Total City of Santa Maria HOME Project Funds Reserved:			\$405,000	\$357,597

Table A-6

2011 City of Lompoc HOME Funding Reservations				
<i>Project</i>	<i>Location</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Final Reservation</i>
Santa Rita Village	Lompoc	Santa Barbara County Housing Authority / Surf Development	\$210,000	\$186,662
Total City of Lompoc HOME Project Funds Reserved:			\$210,000	\$186,662

All other HOME reservations remain unchanged from the initial 2011 Action Plan. Please reference page 7 of the 2011 Action Plan for final reservations of Carpinteria and Goleta HOME funds.

III. Changes to CDBG Capital Project Funding Reservations

Table A-7

2011 City of Lompoc CDBG Capital Project Funding Reservations				
<i>Project</i>	<i>Location</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Final Reservation</i>
Public Facilities Improvements Program	Lompoc	City of Lompoc	\$281,090	\$0
Emergency Repair Grant Program	Lompoc	Catholic Charities	\$40,000	\$40,000 (No Change)
Code Enforcement	Lompoc	City of Lompoc	\$38,910	\$0
Lompoc Library Renovations	Lompoc	City of Lompoc	\$0	\$247,965
Park Restroom ADA Improvements	Lompoc	City of Lompoc	\$0	\$37,800
Lompoc Animal Shelter Rehabilitation	Lompoc	County of Santa Barbara Public Health Department	\$0	\$24,000
Total City of Lompoc CDBG Capital Project Funds Reserved:			\$360,000	\$349,765

All other CDBG Capital Project reservations remain unchanged from the initial 2011 Action Plan. Please reference pages 10 and 11 of the 2011 Action Plan for final reservations of County, Carpinteria and Buellton CDBG Capital Project funds.

IV. Changes to CDBG Public Services Funding Reservations

Table A-8

2011 County of Santa Barbara CDBG Public Services Funding Reservations			
Program	Agency	Initial Reservation	Amended Reservation
Family Support & Strengthening Program	Santa Ynez Valley People Helping People	\$30,000	\$27,398
Warehouse Operations	Foodbank of Santa Barbara County	\$25,000	\$22,831
Senior Nutrition Program	Community Action Commission	\$25,000	\$22,831
Tenant Displacement Support Activities	County Dept. of Housing & Community Development	\$11,884	\$0
Rape Crisis & Child Protection Center	North County Rape Crisis Center	\$12,000	\$10,959
Homeless Outreach Program	Carrillo Counseling Services	\$12,000	\$10,959
Isla Vista Teen Center	Channel Islands YMCA	\$10,000	\$9,133
Necessities of Life	Pacific Pride Foundation	\$10,000	\$9,133
St. Brigid Fellowship Day Center	St. Athanasius Orthodox Church	\$10,000	\$9,133
Sarah House	AIDS Housing	\$9,200	\$8,402
Peer Street Outreach Program	Willbridge of Santa Barbara	\$7,500	\$6,849
Santa Barbara Emergency Shelter ^{§§}	Domestic Violence Solutions	\$7,500	\$0
Long Term Counseling	Santa Barbara Rape Crisis Center	\$6,000	\$5,479
Santa Barbara Street Medicine & Wrap Around Care Program	Doctors Without Walls	\$5,800	\$5,297
Housing Advocacy Project	Legal Aid Foundation	\$5,000	\$4,566
Volunteer Program	Community Partners in Caring	\$5,000	\$4,566
Total County CDBG Public Services Funds Reserved:		\$191,884	\$157,536

^{§§} The Domestic Violence Solutions Santa Barbara Emergency Shelter is being made whole through the second allocation of 2011 ESG funds

Table A-9

2011 City of Lompoc CDBG Public Services Funding Reservations			
<i>Program</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Amended Reservation</i>
Clubhouse Scholarships	Boys & Girls Club	\$12,920	\$12,274
Food Distribution Services	Catholic Charities	\$12,700	\$12,065
Rape Crisis & Child Protection Center – Lompoc Program	North County Rape Crisis Center	\$12,000	\$11,400
Senior Nutrition	Community Action Commission	\$12,000	\$11,400
Community Services	Catholic Charities	\$11,400	\$10,830
Emergency Shelter	Domestic Violence Solutions	\$11,400	\$10,295
Emergency Legal Services	Legal Aid Foundation	\$7,580	\$0
Total City of Lompoc CDBG Public Services Funds Reserved:		\$80,000	\$68,264

Table A-10

2011 City of Carpinteria CDBG Public Services Funding Reservations			
<i>Program</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Amended Reservation</i>
Youth Education Enhancement	Peoples' Self-Help Housing	\$9,632	\$6,405
Carpinteria Club	United Boys & Girls Club of Santa Barbara County	\$9,632	\$6,404
Housing the Homeless	Peoples' Self-Help Housing	\$8,000	\$8,000
Homeless Inmate Jail Discharge Planning Program	Bringing our Community Home	\$1,500	\$1,500
Total City of Carpinteria CDBG Public Services Funds Reserved:		\$28,764	\$22,309

Table A-11

2011 City of Solvang CDBG Public Services Funding Reservations			
<i>Program</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Amended Reservation</i>
Family Support & Strengthening Program / Family Resource Center	Santa Ynez Valley People Helping People	\$9,574	\$8,784
Child Care / Preschool Assistance	Bethania Preschool & After School	\$3,192	\$2,928
Total City of Solvang CDBG Public Services Funds Reserved:		\$12,766	\$11,712

Table A-12

2011 City of Buellton CDBG Public Services Funding Reservations			
<i>Program</i>	<i>Agency</i>	<i>Initial Reservation</i>	<i>Amended Reservation</i>
Jonata After School Program	Santa Ynez Valley People Helping People	\$5,758	\$5,279
Family Support & Strengthening Program / Family Resource Center	Santa Ynez Valley People Helping People	\$3,798	\$3,482
Child Care / Preschool Assistance	Bethania Preschool & After School	\$2,696	\$2,471
Total City of Buellton CDBG Public Services Funds Reserved:		\$12,252	\$11,232

V. Santa Barbara County HOME Consortium & CDBG Urban County Reprogrammed Funds

HUD imposes strict expenditure requirements under its HOME and CDBG Program regulations. The ability to acquire sufficient funding necessary for development, and the identification of ready projects are necessary to avoid deobligation under HOME and CDBG Program expenditure requirements. Therefore, the County may be required to shift funding commitments from one year to another and/or from one project to another to ensure timely expenditure of funds. Tables A-13 and A-14 summarize the HOME and CDBG funds to be reprogrammed from prior years into the 2011 Action Plan, subject to approval by the County Board of Supervisors:

Table A-13

2011 County HOME Reprogrammed Funds			
<i>Project / Program</i>	<i>Year(s) Funded</i>	<i>Funded Amount</i>	<i>Reprogrammed Amount</i>
Casa del Desarrollo	2006, 2007, 2008, 2009	\$387,290	\$387,290
Total 2011 County HOME Reprogrammed Funds:			\$387,290^{***}

Casa del Desarrollo

The County has deobligated \$387,290 of HOME funds reserved for the Casa del Desarrollo project through the 2006, 2007, 2008 and 2009 Action Plans, and has reprogrammed \$292,191 of these funds through its 2011 NOFA. The balance of deobligated funds will be provided to Rancho Hermosa, as discussed below.

Rancho Hermosa

The Rancho Hermosa project was included in the 2008 Action Plan approved by the Board on May 13, 2008, and received reservations of both HOME and CDBG funds. The County has replaced the \$95,099 of CDBG funds reserved for the project with an equal amount of HOME funds, and reprogrammed the CDBG monies through its 2011 NOFA. This exchange of funds will result in more efficient contract preparation and monitoring, as all project funds provided by the County will be included under one contract and will be required to comply with only one set of regulatory requirements. As a result of this exchange, \$95,099 of County HOME funds has been deducted from the total amount available to County projects in the 2011 Action Plan. The total amount of County HOME funds reprogrammed in the 2011 program year is \$387,290 as reflected in Table A-13, while the total amount of reprogrammed HOME funds available to projects in the 2011 Action Plan is \$292,191.

^{***} \$292,191 of this amount is being reprogrammed to 2011 Action Plan projects. The balance of \$95,099 is being reprogrammed to Rancho Hermosa, which was included in the 2008 Action Plan approved by the Board.

Table A-14

2011 County CDBG Reprogrammed Funds			
<i>Project / Program</i>	<i>Year(s) Funded</i>	<i>Funded Amount</i>	<i>Reprogrammed Amount</i>
Rancho Hermosa	2008	\$95,099	\$95,099
Bridgehouse Greenhouses	2009	\$44,221	\$44,221
Bridgehouse Shelter	2010	\$15,000	\$15,000
Mark's House	2010	\$5,000	\$5,000
North County Rape Crisis Center	2008	\$50,000	\$15,845 ⁺⁺⁺
Total 2011 County CDBG Reprogrammed Funds:			\$175,165

Rancho Hermosa

As stated, the County has replaced \$95,099 of CDBG funds dedicated to the Rancho Hermosa project in the 2008 Action Plan with HOME funds. As a result, \$95,099 of County CDBG Capital Project funds have been reprogrammed and added to the total amount available to County projects in the 2011 Action Plan.

Bridgehouse Greenhouses

The County will deobligate \$44,221 of CDBG funds reserved for the Bridgehouse Greenhouses through the 2009 Action Plan and reprogram these funds into the 2011 Action Plan, subject to approval by the County Board of Supervisors.

Bridgehouse Shelter

The County will deobligate \$15,000 of CDBG funds reserved for the Bridgehouse Shelter through the 2010 Action Plan and reprogram these funds into the 2011 Action Plan, subject to approval by the County Board of Supervisors. As no more than 15% of a jurisdiction's annual CDBG allocation may be used for public services, these funds will be added to the total amount available to County CDBG Capital Projects in the 2011 Action Plan.

Mark's House

The County will deobligate \$5,000 of CDBG funds reserved for the Mark's House Transitional Shelter through the 2010 Action Plan and reprogram these funds into the 2011 Action Plan, subject to approval by the County Board of Supervisors. As no more than 15% of a jurisdiction's annual CDBG allocation may be used for public services, these funds have been added to the total amount available to County CDBG Capital Projects in the 2011 Action Plan.

⁺⁺⁺ Table A-14 – Of the \$50,000 of CDBG deobligated from the North County Rape Crisis Center, only \$15,845 is being reprogrammed into the 2011 Action Plan. The remaining balance of \$34,155 will be utilized for other future eligible CDBG Capital Projects.

North County Rape Crisis Center

The County will deobligate \$50,000 of CDBG funds reserved for the North County Rape Crisis Center through the 2008 Action Plan and reprogram \$15,845 of these funds into the 2011 Action Plan, subject to approval by the County Board of Supervisors. The remaining balance of \$34,155 will be utilized for other future eligible CDBG Capital Projects.

Table A-15

2011 Lompoc CDBG Reprogrammed Funds			
<i>Project / Program</i>	<i>Year(s) Funded</i>	<i>Funded Amount</i>	<i>Reprogrammed Amount</i>
Housing Rehab Loan Program	2010	\$53,955	\$53,955
Total 2011 Lompoc CDBG Reprogrammed Funds:			\$53,955

Housing Rehab Loan Program

The City of Lompoc has deobligated \$53,955 of CDBG funds reserved for its Housing Rehab Loan Program through the 2010 Action Plan and has reprogrammed these funds into its 2011 NOFA. The aggregate amounts of CDBG Capital Project funds available to City of Lompoc projects in the 2011 Action Plan total \$349,765, and include its 2011 CDBG Capital Project allocation of \$295,810 and the \$53,955 of reprogrammed CDBG monies.