



BOARD OF SUPERVISORS
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

Department Name: Social Services
Department No.: 044
For Agenda Of: April 2, 2019
Placement: Administrative
Estimated Time: N/A
Continued Item: No
If Yes, date from:
Vote Required: Majority

TO: Board of Supervisors
FROM: Department Daniel Nielson, Social Services Director
Director(s) (805) 346-7101
Contact Info: Christina Groppetti, CalWIN/Automation Support Manager
(805) 346-7116
SUBJECT: **Agreement and Memorandum of Understanding with the California Statewide Automated Welfare System Consortium for the approval of the Joint Exercise of Powers**

County Counsel Concurrence:

As to form: Yes

Auditor-Controller Concurrence:

As to form: Yes

Other Concurrence: Risk Management

As to form: Yes

Recommended Actions:

That the Board of Supervisors:

- a) Approve and authorize the Chair to execute the Second Amended and Restated Joint Exercise of Powers Agreement among the fifty-eight California counties;
- b) Approve and authorize the Chair to execute the Memorandum of Understanding between the California Statewide Automated Welfare System Consortium and the County of Santa Barbara Department of Social Services; and
- c) Determine that the activity is not a "Project" subject to California Environmental Quality Act (CEQA) review per CEQA Guideline Section 15378(b)(5), since the activity is an organizational or administrative activity of government that will not result in direct or indirect physical changes in the environment.

Summary Text:

This item is on the agenda to approve and authorize the Chair to execute the Second Amended and Restated Joint Exercise of Powers Agreement (JPA Agreement) made by and among the fifty-eight (58) California counties whereby an automated welfare system is designed, developed, implemented, operated and maintained to be used by each of the 58 counties. Additionally, this item includes approval and execution of the Memorandum of Understanding (MOU) between the California Statewide Automated Welfare System (CalSAWS) Consortium and the County of Santa Barbara Department of Social Services (DSS) for the purpose of delineating the areas of understanding and agreement surrounding the various vendor agreements and other areas of mutual interest (including without limitation human resourcing, compensation and other personnel matters) in fulfillment of the CalSAWS Consortium's mission, vision and objectives. The JPA Agreement shall operate under the MOU with each member county.

DSS recommends approval of the MOU and the JPA Agreement.

Background:

The Statewide Automated Welfare Systems (SAWS) are the case management systems that are currently managed and operated by two consortia representing the 58 counties of the State of California. SAWS provides a case management system for CalWORKs, Welfare to Work, CalFresh, Medi-Cal, Foster Care, Refugee Assistance, County Medical Services Program, and General Assistance/General Relief to children, families and individuals in all 58 counties. The purpose of SAWS is to provide eligibility determination, benefit calculation, benefit issuance, case management, and reporting.

Currently, there are three separate SAWS in California, managed by two separate consortia of counties, as follows:

- Welfare Client Data System (WCDS) Consortium maintains and operates the California Work Opportunity and Responsibility to Kids Information Network (CalWIN) system supporting 18 counties (including Santa Barbara County).
- California Automated Consortium Eligibility System (CalACES) Consortium, established in September 2017 maintains and operates the Leader Replacement System (LRS) supporting Los Angeles County, and C-IV system supporting 39 counties.

For the State to continue to receive federal financial participation for the SAWS, and to comply with state and federal technology architecture standards, the Centers for Medicare and Medicaid Services and the Food and Nutrition Services agencies of the United States Department of Agriculture directed California to move to a single SAWS by 2023. In moving toward that goal, representatives from County Welfare Directors Association (CWDA), CalACES, and WCDS formed a CalSAWS Leadership Team to finalize a formal JPA Agreement, governance structure and make recommendations for cross-consortia issues.

In prior Board action, on August 14, 2018, an MOU between CalACES and WCDS was approved and executed in order to move forward with detailed planning, procurement, and execution of contracts with vendor for shared services that were needed prior to the formation of a 58-county governance structure.

The CalSAWS Leadership Team has been meeting for over a year as the governance documents have been developed. The team sanctioned the formation of an attorney work group made up of several county counsels to review the draft governance documents and provide input to the document and process prior

to the documents being sent to all 58 county counsels for review and comment. All 58 County Counsels have reviewed these documents.

The CalSAWS Consortium shall be a public entity separate and apart from the individual counties and shall be formed in accordance with the provisions of Article 1, Chapter 5, Division 7 of Title 1 of the Government Code of the State of California, commencing with §6500, et seq. The CalSAWS Consortium shall be organized into a governance structure of six (6) regions comprising of one or more counties. Each County will have one member representative with the exception of Los Angeles, which has three representatives to reflect its proportion of the state population. The CalSAWS Consortium will be governed and administered by a 12-member board of directors appointed by member representatives from their region, who will represent their regions. Representation for each region is based upon aided person counts in public assistance programs. Regions 1 & 4 will have two (2) representatives each, Regions 2 & 3 will have one (1) representative each and Regions 5 & 6 will have three (3) representatives each. Each director on the 12-member board has one (1) vote each. Santa Barbara County is included in Region 5.

The CalSAWS Board of Directors, CalSAWS Executive Director, and WCDS Executive Director shall govern and manage multiple technology projects and parallel operations in the CalACES portfolio, including LRS and C-IV Maintenance and Operations (M&O), the WCDS/CalWIN M&O portfolio and the CalSAWS Design, Development and Implementation (DD&I) Project until the 40 CalACES counties have migrated to the single statewide system and, subsequently, the 18 WCDS/CalWIN counties have migrated to the single system. Preliminary planning estimates Santa Barbara County will migrate into CalSAWS in 2022 in the third of six waves of CalWIN counties migrating into the single statewide system.

The JPA Agreement also has proposed revised CalSAWS Bylaws that it will consider adopting for the conduct of its government business once the CalSAWS JPA is effective. The CalSAWS Board of Directors may amend or revise such Bylaws from time to time for the conduct and performance of its business and operations. The CalSAWS JPA Bylaws will be amended and adopted after the formation of the new CalSAWS JPA Board (scheduled to be effective on June 28, 2019).

As this required automation effort progresses, and to comply with state and federal mandates, DSS will bring other necessary items before the Board of Supervisors on the administrative or departmental agenda, as appropriate for the item. DSS has preliminary plans to present a comprehensive CalSAWS project update to the Board in early 2020.

Fiscal and Facilities Impacts:

Budgeted: No

Fiscal Analysis:

There is no fiscal or facilities impact.

Key Contract Risks:

The JPA Agreement contains an indemnity provision. This requires each member county to indemnify, defend, and hold harmless the other member counties for the acts, errors, or omissions of the indemnifying member county.

Staffing Impacts:

Legal Positions:
0

FTEs:
0

Special Instructions:

Please scan, email and send four (4) original copies of both the JPA Agreement and the MOU, and one copy of the minute order to:

DSS Contracts Unit

C/O Ismelda Vazquez

2125 S. Centerpointe Parkway, 3rd Floor

Santa Maria, CA 93455

I.Vazquez@sbcsocialserv.org

Attachments:

1. Attachment 1 – CalSAWS Consortium – Second Amended and Restated Joint Exercise of Powers Agreement
2. Attachment 2 – CalSAWS – Memorandum of Understanding Between the CalSAWS Consortium and the County of Santa Barbara
3. Attachment 3 – CalSAWS Consortium – Draft CalSAWS Bylaws – Fourth Amended

Authored by:

Christina Groppetti, CalWIN/Automation Support Manager

Ismelda Vazquez, Contracts Coordinator