

**Attachment C:
Community Solutions
Incorporated
FY 2018-2019
Agreement**

Board Contract Summary

BC _____

For use with Expenditure Contracts submitted to the Board for approval. Complete information below, print, obtain signature of authorized departmental representative, and submit this form, along with attachments, to the appropriate departments for signature. See also: Auditor-Controller Intranet Policies->Contracts.

D1.	Fiscal Year	2018-2019
D2.	Department Name	Probation
D3.	Contact Person	Tanja Heitman
D4.	Telephone	(805) 739-8537

K1.	Contract Type (check one): <input checked="" type="checkbox"/> Personal Service <input type="checkbox"/> Capital	
K2.	Brief Summary of Contract Description/Purpose	Cognitive behavioral treatment and employability development services.
K3.	Department Project Number	
K4.	Original Contract Amount	\$ 438,151
K5.	Contract Begin Date	07/01/2018
K6.	Original Contract End Date	06/30/2019
K7.	Amendment? (Yes or No)	No
K8.	- New Contract End Date	
K9.	- Total Number of Amendments	
K10.	- This Amendment Amount	\$
K11.	- Total Previous Amendment Amounts	\$
K12.	- Revised Total Contract Amount	\$

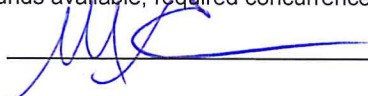
B1.	Intended Board Agenda Date	
B2.	Number of Workers Displaced (if any)	
B3.	Number of Competitive Bids (if any)	
B4.	Lowest Bid Amount (if bid)	
B5.	If Board waived bids, show Agenda Date	
	and Agenda Item Number	
B6.	Boilerplate Contract Text Changed? (If Yes, cite Paragraph)	

F1.	Fund Number	0001
F2.	Department Number	022
F3.	Line Item Account Number	7460
F4.	Project Number (if applicable)	
F5.	Program Number (if applicable)	4099
F6.	Org Unit Number (if applicable)	4410 / 4430
F7.	Payment Terms	Net 30

V1.	Auditor-Controller Vendor Number	013654
V2.	Payee/Contractor Name	Community Solutions Incorporated
V3.	Mailing Address	340 West Newberry Rd
V4.	City State (two-letter) Zip (include +4 if known)	Bloomfield, CT 06002
V5.	Telephone Number	(860) 683-7100
V6.	Vendor Contact Person	Robert D. Pidgeon
V7.	Workers Comp Insurance Expiration Date	07/01/2018
V8.	Liability Insurance Expiration Date	GL 09/01/2018; PL 09/01/2018
V9.	Professional License Number	
V10.	Verified by (print name of county staff)	

V11 Company Type (Check one): Individual Sole Proprietorship Partnership Corporation

I certify information is complete and accurate; designated funds available; required concurrences evidenced on signature page.

Date: 4/27/18 Authorized Signature: 

AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

THIS AGREEMENT (hereafter Agreement) is made by and between the County of Santa Barbara, a political subdivision of the State of California (hereafter COUNTY) and Community Solutions Incorporated with an address at 340 West Newberry Rd. Bloomfield, CT 06002 (hereafter CONTRACTOR) wherein CONTRACTOR agrees to provide and COUNTY agrees to accept the services specified herein.

WHEREAS, CONTRACTOR represents that it is specially trained, skilled, experienced, and competent to perform the special services required by COUNTY and COUNTY desires to retain the services of CONTRACTOR pursuant to the terms, covenants, and conditions herein set forth;

NOW, THEREFORE, in consideration of the mutual covenants and conditions contained herein, the parties agree as follows:

1. DESIGNATED REPRESENTATIVE

Kimberly Shean, Deputy Chief Probation Officer, at phone number (805) 882-3675 is the representative of COUNTY and will administer this Agreement for and on behalf of COUNTY. Fernando Muniz at phone number (860) 683-7100 is the authorized representative for CONTRACTOR. Changes in designated representatives shall be made only after advance written notice to the other party.

2. NOTICES

Any notice or consent required or permitted to be given under this Agreement shall be given to the respective parties in writing, by personal delivery or facsimile, or with postage prepaid by first class mail, registered or certified mail, or express courier service, as follows:

To COUNTY: Santa Barbara County Probation Department
 117 E. Carrillo St.
 Santa Barbara, CA 93101-2061
 Attention: Kimberly Shean, Deputy Chief Probation Officer

To CONTRACTOR: Community Solutions Incorporated
 340 West Newberry Rd.
 Bloomfield, CT 06002
 Attention: Fernando Muniz

or at such other address or to such other person that the parties may from time to time designate in accordance with this Notices section. If sent by first class mail, notices and consents under this section shall be deemed to be received five (5) days following their deposit in the U.S. mail. This Notices section shall not be construed as meaning that either party agrees to service of process except as required by applicable law.

3. SCOPE OF SERVICES

CONTRACTOR agrees to provide services to COUNTY in accordance with EXHIBIT A attached hereto and incorporated herein by reference.

4. TERM

CONTRACTOR shall commence performance on July 1, 2018 and end performance upon completion, but no later than June 30, 2019 unless otherwise directed by COUNTY or unless earlier terminated.

5. COMPENSATION OF CONTRACTOR

In full consideration for CONTRACTOR's services, CONTRACTOR shall be paid for performance under this Agreement in accordance with the terms of EXHIBIT B attached hereto and incorporated herein by reference. Billing shall be made by invoice, which shall include the contract number assigned by COUNTY and which is delivered to the address given in Section 2 NOTICES above following completion of the increments identified on EXHIBIT B. Unless otherwise specified on EXHIBIT B, payment shall be net thirty (30) days from presentation of invoice.

6. INDEPENDENT CONTRACTOR

It is mutually understood and agreed that CONTRACTOR (including any and all of its officers, agents, and employees), shall perform all of its services under this Agreement as an independent contractor as to COUNTY and not as an officer, agent, servant, employee, joint venturer, partner, or associate of COUNTY. Furthermore, COUNTY shall have no right to control, supervise, or direct the manner or method by which CONTRACTOR shall perform its work and function. However, COUNTY shall retain the right to administer this Agreement so as to verify that CONTRACTOR is performing its obligations in accordance with the terms and conditions hereof. CONTRACTOR understands and acknowledges that it shall not be entitled to any of the benefits of a COUNTY employee, including but not limited to vacation, sick leave, administrative leave, health insurance, disability insurance, retirement, unemployment insurance, workers' compensation and protection of tenure. CONTRACTOR shall be solely liable and responsible for providing to, or on behalf of, its employees all legally-required employee benefits. In addition, CONTRACTOR shall be solely responsible and save COUNTY harmless from all matters relating to payment of CONTRACTOR's employees, including compliance with Social Security withholding and all other regulations governing such matters. It is acknowledged that during the term of this Agreement, CONTRACTOR may be providing services to others unrelated to the COUNTY or to this Agreement.

7. STANDARD OF PERFORMANCE

CONTRACTOR represents that it has the skills, expertise, and licenses/permits necessary to perform the services required under this Agreement. Accordingly, CONTRACTOR shall perform all such services in the manner and according to the standards observed by a competent practitioner of the same profession in which CONTRACTOR is engaged. All products of whatsoever nature, which CONTRACTOR delivers to COUNTY pursuant to this Agreement, shall be prepared in a first class and workmanlike manner and shall conform to the standards of quality normally observed by a person practicing in CONTRACTOR's profession. CONTRACTOR shall correct or revise any errors or omissions, at COUNTY'S request without additional compensation. Permits and/or licenses shall be obtained and maintained by CONTRACTOR without additional compensation.

8. DEBARMENT AND SUSPENSION

CONTRACTOR certifies to COUNTY that it and its employees and principals are not debarred, suspended, or otherwise excluded from or ineligible for, participation in federal, state, or county government contracts. CONTRACTOR certifies that it shall not contract with a subcontractor that is so debarred or suspended.

9. TAXES

CONTRACTOR shall pay all taxes, levies, duties, and assessments of every nature due in connection with any work under this Agreement and shall make any and all payroll deductions required by law. COUNTY shall not be responsible for paying any taxes on CONTRACTOR's behalf, and should COUNTY be required to do so by state, federal, or local taxing agencies, CONTRACTOR agrees to promptly reimburse COUNTY for the full value of such paid taxes plus interest and penalty, if any. These taxes shall include, but not be limited to, the following: FICA (Social Security), unemployment insurance contributions, income tax, disability insurance, and workers' compensation insurance.

10. CONFLICT OF INTEREST

CONTRACTOR covenants that CONTRACTOR presently has no employment or interest and shall not acquire any employment or interest, direct or indirect, including any interest in any business, property, or source of income, which would conflict in any manner or degree with the performance of services required to be performed under this Agreement. CONTRACTOR further covenants that in the performance of this Agreement, no person having any such interest shall be employed by CONTRACTOR. CONTRACTOR must promptly disclose to COUNTY, in writing, any potential conflict of interest. COUNTY retains the right to waive a conflict of interest disclosed by CONTRACTOR if COUNTY determines it to be immaterial, and such waiver is only effective if provided by COUNTY to CONTRACTOR in writing.

11. OWNERSHIP OF DOCUMENTS AND INTELLECTUAL PROPERTY

COUNTY shall be the owner of the following items incidental to this Agreement upon production, whether or not completed: all data collected, all documents of any type whatsoever, all photos, designs, sound or audiovisual recordings, software code, inventions, technologies, and other materials, and any material necessary for the practical use of such items, from the time of collection and/or production whether or not performance under this Agreement is completed or terminated prior to completion. CONTRACTOR shall not release any of such items to other parties except after prior written approval of COUNTY.

Unless otherwise specified in Exhibit A, CONTRACTOR hereby assigns to COUNTY all copyright, patent, and other intellectual property and proprietary rights to all data, documents, reports, photos, designs, sound or audiovisual recordings, software code, inventions, technologies, and other materials prepared or provided by CONTRACTOR pursuant to this Agreement (collectively referred to as "Copyrightable Works and Inventions"). COUNTY shall have the unrestricted authority to copy, adapt, perform, display, publish, disclose, distribute, create derivative works from, and otherwise use in whole or in part, any Copyrightable Works and Inventions. CONTRACTOR agrees to take such actions and execute and deliver such documents as may be needed to validate, protect and confirm the rights and assignments provided hereunder. CONTRACTOR warrants that any Copyrightable Works and Inventions and other items provided under this Agreement will not infringe upon any intellectual property or proprietary rights of any third party. CONTRACTOR at its own expense shall defend, indemnify, and hold harmless COUNTY against any claim that any Copyrightable Works or Inventions or other items provided by CONTRACTOR hereunder infringe upon intellectual or other proprietary rights of a third party, and CONTRACTOR shall pay any damages, costs, settlement amounts, and fees (including attorneys' fees) that may be incurred by COUNTY in connection with any such claims. This Ownership of Documents and Intellectual Property provision shall survive expiration or termination of this Agreement.

12. NO PUBLICITY OR ENDORSEMENT

CONTRACTOR shall not use COUNTY's name or logo or any variation of such name or logo in any publicity, advertising or promotional materials. CONTRACTOR shall not use COUNTY's name or logo in any manner that would give the appearance that the COUNTY is endorsing CONTRACTOR. CONTRACTOR shall not in any way contract on behalf of or in the name of COUNTY. CONTRACTOR shall not release any informational pamphlets, notices, press releases, research reports, or similar public notices concerning the COUNTY or its projects, without obtaining the prior written approval of COUNTY.

13. COUNTY PROPERTY AND INFORMATION

All of COUNTY's property, documents, and information provided for CONTRACTOR's use in connection with the services shall remain COUNTY's property, and CONTRACTOR shall return any such items whenever requested by COUNTY and whenever required according to the Termination section of this Agreement. CONTRACTOR may use such items only in connection with providing the services. CONTRACTOR shall not disseminate any COUNTY property, documents, or information without COUNTY's prior written consent.

14. RECORDS, AUDIT, AND REVIEW

CONTRACTOR shall keep such business records pursuant to this Agreement as would be kept by a reasonably prudent practitioner of CONTRACTOR's profession and shall maintain such records for at least four (4) years following the termination of this Agreement. All accounting records shall be kept in accordance with generally accepted accounting principles. COUNTY shall have the right to audit and review all such documents and records at any time during CONTRACTOR's regular business hours or upon reasonable notice. In addition, if this Agreement exceeds ten thousand dollars (\$10,000.00), CONTRACTOR shall be subject to the examination and audit of the California State Auditor, at the request of the COUNTY or as part of any audit of the COUNTY, for a period of three (3) years after final payment under the Agreement (Cal. Govt. Code Section 8546.7). CONTRACTOR shall participate in any audits and reviews, whether by COUNTY or the State, at no charge to COUNTY.

If federal, state or COUNTY audit exceptions are made relating to this Agreement, CONTRACTOR shall reimburse all costs incurred by federal, state, and/or COUNTY governments associated with defending against the audit exceptions or performing any audits or follow-up audits, including but not limited to: audit fees, court costs, attorneys' fees based upon a reasonable hourly amount for attorneys in the community, travel costs, penalty assessments and all other costs of whatever nature. Immediately upon notification from COUNTY, CONTRACTOR shall reimburse the amount of the audit exceptions and any other related costs directly to COUNTY as specified by COUNTY in the notification.

15. INDEMNIFICATION AND INSURANCE

CONTRACTOR agrees to the indemnification and insurance provisions as set forth in EXHIBIT C attached hereto and incorporated herein by reference.

16. NONDISCRIMINATION

COUNTY hereby notifies CONTRACTOR that COUNTY's Unlawful Discrimination Ordinance (Article XIII of Chapter 2 of the Santa Barbara County Code) applies to this Agreement and is incorporated herein by this reference with the same force and effect as if the ordinance were specifically set out herein and CONTRACTOR agrees to comply with said ordinance.

17. NONEXCLUSIVE AGREEMENT

CONTRACTOR understands that this is not an exclusive Agreement and that COUNTY shall have the right to negotiate with and enter into contracts with others providing the same or similar services as those provided by CONTRACTOR as the COUNTY desires.

18. NON-ASSIGNMENT

CONTRACTOR shall not assign, transfer or subcontract this Agreement or any of its rights or obligations under this Agreement without the prior written consent of COUNTY and any attempt to so assign, subcontract or transfer without such consent shall be void and without legal effect and shall constitute grounds for termination.

19. TERMINATION

A. By COUNTY. COUNTY may, by written notice to CONTRACTOR, terminate this Agreement in whole or in part at any time, whether for COUNTY's convenience, for nonappropriation of funds, or because of the failure of CONTRACTOR to fulfill the obligations herein.

1. **For Convenience.** COUNTY may terminate this Agreement in whole or in part upon thirty (30) days written notice. During the thirty (30) day period, CONTRACTOR shall, as directed by COUNTY, wind down and cease its services as quickly and efficiently as reasonably possible, without performing

unnecessary services or activities and by minimizing negative effects on COUNTY from such winding down and cessation of services.

2. **For Nonappropriation of Funds.** Notwithstanding any other provision of this Agreement, in the event that no funds or insufficient funds are appropriated or budgeted by federal, state or COUNTY governments, or funds are not otherwise available for payments in the fiscal year(s) covered by the term of this Agreement, then COUNTY will notify CONTRACTOR of such occurrence and COUNTY may terminate or suspend this Agreement in whole or in part, with or without a prior notice period. Subsequent to termination of this Agreement under this provision, COUNTY shall have no obligation to make payments with regard to the remainder of the term.
 3. **For Cause.** Should CONTRACTOR default in the performance of this Agreement or materially breach any of its provisions, COUNTY may, at COUNTY's sole option, terminate or suspend this Agreement in whole or in part by written notice. Upon receipt of notice, CONTRACTOR shall immediately discontinue all services affected (unless the notice directs otherwise) and notify COUNTY as to the status of its performance. The date of termination shall be the date the notice is received by CONTRACTOR, unless the notice directs otherwise.
- B. **By CONTRACTOR.** Should COUNTY fail to pay CONTRACTOR all or any part of the payment set forth in EXHIBIT B, CONTRACTOR may, at CONTRACTOR's option terminate this Agreement if such failure is not remedied by COUNTY within thirty (30) days of written notice to COUNTY of such late payment.
- C. Upon termination, CONTRACTOR shall deliver to COUNTY all data, estimates, graphs, summaries, reports, and all other property, records, documents or papers as may have been accumulated or produced by CONTRACTOR in performing this Agreement, whether completed or in process, except such items as COUNTY may, by written permission, permit CONTRACTOR to retain. Notwithstanding any other payment provision of this Agreement, COUNTY shall pay CONTRACTOR for satisfactory services performed to the date of termination to include a prorated amount of compensation due hereunder less payments, if any, previously made. In no event shall CONTRACTOR be paid an amount in excess of the full price under this Agreement nor for profit on unperformed portions of service. CONTRACTOR shall furnish to COUNTY such financial information as in the judgment of COUNTY is necessary to determine the reasonable value of the services rendered by CONTRACTOR. In the event of a dispute as to the reasonable value of the services rendered by CONTRACTOR, the decision of COUNTY shall be final. The foregoing is cumulative and shall not affect any right or remedy which COUNTY may have in law or equity.

20. SECTION HEADINGS

The headings of the several sections, and any Table of Contents appended hereto, shall be solely for convenience of reference and shall not affect the meaning, construction or effect hereof.

21. SEVERABILITY

If any one or more of the provisions contained herein shall for any reason be held to be invalid, illegal or unenforceable in any respect, then such provision or provisions shall be deemed severable from the remaining provisions hereof, and such invalidity, illegality or unenforceability shall not affect any other provision hereof, and this Agreement shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein.

22. REMEDIES NOT EXCLUSIVE

No remedy herein conferred upon or reserved to COUNTY is intended to be exclusive of any other remedy or remedies, and each and every such remedy, to the extent permitted by law, shall be cumulative and in addition to any other remedy given hereunder or now or hereafter existing at law or in equity or otherwise.

23. TIME IS OF THE ESSENCE

Time is of the essence in this Agreement and each covenant and term is a condition herein.

24. NO WAIVER OF DEFAULT

No delay or omission of COUNTY to exercise any right or power arising upon the occurrence of any event of default shall impair any such right or power or shall be construed to be a waiver of any such default or an acquiescence therein; and every power and remedy given by this Agreement to COUNTY shall be exercised from time to time and as often as may be deemed expedient in the sole discretion of COUNTY.

25. ENTIRE AGREEMENT AND AMENDMENT

In conjunction with the matters considered herein, this Agreement contains the entire understanding and agreement of the parties and there have been no promises, representations, agreements, warranties or undertakings by any of the parties, either oral or written, of any character or nature hereafter binding except as set forth herein. This Agreement may be altered, amended or modified only by an instrument in writing, executed by the parties to this Agreement and by no other means. Each party waives their future right to claim, contest or assert that this Agreement was modified, canceled, superseded, or changed by any oral agreements, course of conduct, waiver or estoppel.

26. SUCCESSORS AND ASSIGNS

All representations, covenants and warranties set forth in this Agreement, by or on behalf of, or for the benefit of any or all of the parties hereto, shall be binding upon and inure to the benefit of such party, its successors and assigns.

27. COMPLIANCE WITH LAW

CONTRACTOR shall, at its sole cost and expense, comply with all County, State and Federal ordinances and statutes now in force or which may hereafter be in force with regard to this Agreement. The judgment of any court of competent jurisdiction, or the admission of CONTRACTOR in any action or proceeding against CONTRACTOR, whether COUNTY is a party thereto or not, that CONTRACTOR has violated any such ordinance or statute, shall be conclusive of that fact as between CONTRACTOR and COUNTY.

28. CALIFORNIA LAW AND JURISDICTION

This Agreement shall be governed by the laws of the State of California. Any litigation regarding this Agreement or its contents shall be filed in the County of Santa Barbara, if in state court, or in the federal district court nearest to Santa Barbara County, if in federal court.

29. EXECUTION OF COUNTERPARTS

This Agreement may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the parties shall preserve undestroyed, shall together constitute one and the same instrument.

30. AUTHORITY

All signatories and parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, CONTRACTOR hereby warrants that it shall not have breached the terms or conditions of any other contract or agreement to which CONTRACTOR is obligated, which breach would have a material effect hereon.

31. SURVIVAL

All provisions of this Agreement which by their nature are intended to survive the termination or expiration of this Agreement shall survive such termination or expiration.

32. PRECEDENCE

In the event of conflict between the provisions contained in the numbered sections of this Agreement and the provisions contained in the Exhibits, the provisions of the Exhibits shall prevail over those in the numbered sections.

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Agreement for Services of Independent Contractor between the **County of Santa Barbara** and **Community Solutions Incorporated**.

IN WITNESS WHEREOF, the parties have executed this Agreement to be effective on the date executed by COUNTY.

ATTEST:

Mona Miyasato
County Executive Officer
Clerk of the Board

COUNTY OF SANTA BARBARA:

Das Williams
Board of Supervisors

By: _____
Deputy Clerk

By: _____
Chair, Board of Supervisors

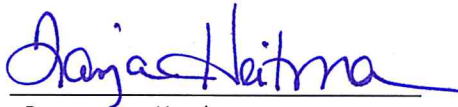
Date: _____

RECOMMENDED FOR APPROVAL:

Tanja Heitman, Chief
Santa Barbara County Probation

CONTRACTOR:

Community Solutions Incorporated
Fernando Muniz

By: 
Department Head

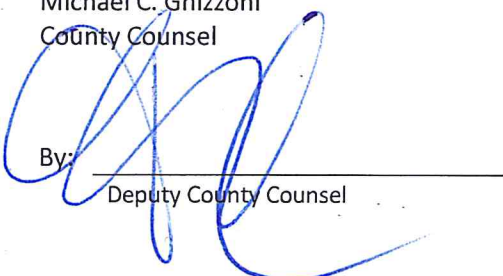
By: 
Authorized Representative

Name: Fernando J. Muñiz

Title: C.E.O.


APPROVED AS TO FORM:

Michael C. Ghizzoni
County Counsel

By: 
Deputy County Counsel

APPROVED AS TO ACCOUNTING FORM:

Theodore A. Fallati, CPA
Auditor-Controller

By: 
Deputy

APPROVED AS TO FORM:

Risk Management

By: 
Risk Management

EXHIBIT A

STATEMENT OF WORK

- I. **CONTRACTOR shall provide Reasoning & Rehabilitation (R & R II), a cognitive behavioral treatment program and related recovery and re-entry services for Post Release Community Supervision (PRCS), Post Sentence Supervision (PSS) and/or clients under jail supervision in Santa Barbara and Santa Maria, funded through AB109 (Realignment)to include:**

A. Service Component:

1. CONTRACTOR shall provide group R & R II sessions to PRCS, PSS and/or clients under jail supervision in Santa Barbara and Santa Maria referred by COUNTY. R & R II is a cognitive behavioral treatment program focused on addressing criminogenic risk factors, defined as characteristics, traits, problems, or issues of an individual that directly relate to the individual's likelihood to re-offend and commit another crime, and providing skills to enable offenders to react more appropriately to situations that trigger their criminal behavior. R & R II teaches offenders the following cognitive and behavioral skills:
 - a. social skills;
 - b. lateral thinking;
 - c. critical thinking;
 - d. values education;
 - e. assertiveness training;
 - f. negotiation skills;
 - g. interpersonal training; and
 - h. social perspective.

B. Description of Component:

1. CONTRACTOR shall provide, at each service location, R & R II in a 14 lesson curricula, twice weekly for 1.5 - 2 hours per group. A group consists of no more than (15) clients. Groups will begin every 3 ½ weeks to minimize wait time in starting a group. If a group reaches capacity the CONTRACTOR will work in collaboration with COUNTY to start another group as soon as possible. If all lessons are attended, the course can be completed in seven (7) weeks. In keeping with the evidence-based model's fidelity, these shall be closed groups (meaning that once the number of enrollees reaches the requisite number, no additional participants shall be added to that particular group). CONTRACTOR shall also hold make-up sessions and conduct individual sessions. CONTRACTOR staff shall meet with individual clients every other week for "check-ins". "Check-ins" allow for a brief meeting to get/give feedback on a client's performance and level of understanding.
2. In order to attain optimal group participation, program outcomes and model fidelity COUNTY will endeavor to refer a minimum of 8 clients a month. Should referrals be less than an average of 8 per month for two consecutive months, CONTRACTOR and COUNTY will convene a meeting to discuss strategies to increase referrals.

3. Group times will be determined by COUNTY and will be staffed by an R & R certified staff person employed by CONTRACTOR.
4. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days. Due to the nature of this evidenced based program (closed group), the CONTRACTOR will provide regular and consist contact with the client in an effort to maintain engagement, through providing basic case management services that meet the unique needs of each client.
5. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
6. CONTRACTOR shall notify COUNTY of any staffing changes.
7. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.
8. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

C. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

E. Treatment Position(s) Title:

1. Intervention Specialist

F. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

II. CONTRACTOR shall provide Aggression Replacement Training® (ART®) related recovery and re-entry services for PRCS, PSS and/or clients under jail supervision in Santa Barbara and Santa Maria, funded through Realignment:

A. Service Component:

1. CONTRACTOR shall provide ART® consisting of a 10-week, 30-hour treatment administered to groups of 8 to 12 offenders two times per week. ART® relies on repetitive learning and transfer training techniques teaching clients to control impulsiveness and anger. CONTRACTOR shall also provide guided group discussion to correct antisocial thinking. The program consists of three interrelated components, all of which come together to promote a comprehensive aggression-reduction curriculum: Structured Learning Training, Anger Control Training, and Moral Reasoning. Each component focuses on a specific prosocial behavioral technique: action, affective/emotional, or thought/values.

B. Description of Component:

1. ART® will be available to one (1) group of up to twelve (12) clients, at each location. Frequency of groups will be in compliance with the fidelity of the model and group times will be determined by COUNTY and will be staffed by an ART® certified staff person that is employed by CONTRACTOR. CONTRACTOR shall provide individual make-up sessions when needed. CONTRACTOR shall meet with clients individually every other week for "check-ins". "Check-ins" will include a brief meeting to receive and provide feedback on the clients performance and level of understanding in the development of skills.
2. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days.
3. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
4. CONTRACTOR shall notify COUNTY of any staffing changes.
5. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.
6. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

C. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

E. Position(s) Title:

1. Intervention Specialist

F. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

III. CONTRACTOR shall provide Cognitive Behavioral Interventions for Offenders Seeking Employment (CBI-EMP) and related re-entry services to PRCS, PSS and/or clients under jail supervision in Santa Barbara and Santa Maria who are moderate to high need in the area of employment. This service is funded through Realignment.

A. Service Component:

1. CONTRACTOR will provide Cognitive Behavioral Interventions for Offenders Seeking Employment (CBI-EMP) to PRCS, PSS and/or clients under jail supervision in Santa Barbara and Santa Maria who are moderate to high need in the area of employment. The curriculum integrates cognitive-behavioral interventions with more traditional employment approaches. The program teaches individuals how to identify and manage high risk situations related to obtaining and maintaining employment. Heavy emphasis is placed on skill building activities to assist with cognitive, social, emotional, and coping skill development for the work environment. Using a modified open group format with multiple entry points, the curriculum is designed to allow for flexibility across various service settings and intervention lengths. CBI-EMP is a 5 module, 31 session curriculum.

B. Description of Component:

1. CONTRACTOR will provide one (1) group of up to twelve (12) clients at each location. Frequency of groups will be in compliance with the fidelity of the model and group times will be determined by COUNTY and staffed by a trained staff person that is employed by the CONTRACTOR. If a group reaches capacity, the CONTRACTOR will work in collaboration with probation staff to start another group as soon as possible. CONTRACTOR shall hold make-

up sessions and conduct individual case management specific to employment readiness. CONTRACTOR staff shall meet individually every other week with participants for case management services to facilitate overcoming employment related barriers.

2. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days.
3. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
4. CONTRACTOR shall notify COUNTY of any staffing changes.
5. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.
6. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

C. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between the hours of 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

E. Position(s) Title:

1. Intervention Specialist

F. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

IV. CONTRACTOR shall provide Reasoning & Rehabilitation (R & R II), a cognitive behavioral treatment program and related recovery and re-entry services for high risk offenders supervised on felony probation in Santa Barbara and Santa Maria, funded through SB678:

A. Service Component:

1. CONTRACTOR shall provide group R & R II sessions to PRCS, PSS and/or clients under jail supervision in Santa Barbara and Santa Maria referred by COUNTY. R & R II is a cognitive behavioral treatment program focused on addressing criminogenic risk factors, defined as characteristics, traits, problems, or issues of an individual that directly relate to the individual's likelihood to re-offend and commit another crime, and providing skills to enable offenders to react more appropriately to situations that trigger their criminal behavior. R & R II teaches offenders the following cognitive and behavioral skills:
 - a. social skills;
 - b. lateral thinking;
 - c. critical thinking;
 - d. values education;
 - e. assertiveness training;
 - f. negotiation skills;
 - g. interpersonal training; and
 - h. social perspective.

B. Description of Component:

1. CONTRACTOR shall provide, at each service location, R & R II in a 14 lesson curricula, twice weekly for 1.5 - 2 hours per group. A group consists of no more than fifteen (15) clients. Groups will begin every 3 ½ weeks to minimize wait time in starting a group. If a cohort reaches capacity the CONTRACTOR will work in collaboration with probation staff to start another cohort as soon as possible. CONTRACTOR shall also hold make-up sessions and conduct individual sessions. CONTRACTOR staff shall meet with individual clients every other week for "check-ins". "Check-ins" allow for a brief meeting to get/give feedback on a client's performance and level of understanding.
2. Group times will be determined by COUNTY and will be staffed by a R & R certified staff person employed by CONTRACTOR.
3. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days.
4. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
5. CONTRACTOR shall notify COUNTY of any staffing changes.
6. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.

7. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

C. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

E. Treatment Position(s) Title:

1. Intervention Specialist

F. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

V. CONTRACTOR shall provide ART® related recovery and re-entry services for high risk offenders supervised on felony probation in Santa Barbara and Santa Maria, funded through SB678:

A. Service Component:

1. CONTRACTOR shall provide ART® consisting of a 10-week, 30-hour treatment administered to groups of 8 to 12 offenders two times per week. ART® relies on repetitive learning and transfer training techniques teaching clients to control impulsiveness and anger. CONTRACTOR shall also provide guided group discussion to correct antisocial thinking. The program consists of three interrelated components, all of which come together to promote a comprehensive aggression-reduction curriculum: Structured Learning Training, Anger Control Training, and Moral Reasoning. Each component focuses on a specific prosocial behavioral technique: action, affective/emotional, or thought/values.

B. Description of Component:

1. ART® will be available to one (1) group of up to twelve (12) clients, at each location. Frequency of groups will be in compliance with the fidelity of the model and group times will be determined by COUNTY and staffed by an ART® certified staff person that is employed by CONTRACTOR. CONTRACTOR shall provide make-up sessions and conduct individual sessions. CONTRACTOR shall meet with clients individually every other week for "check-ins". "Check-ins" allow for a brief meeting to get/give feedback on the clients performance and level of understanding in the development of skills.
2. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days.
3. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
4. CONTRACTOR shall refer pregnant clients to Perinatal specialized services, as clinically indicated.
5. CONTRACTOR shall notify COUNTY of any staffing changes.
6. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.
7. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

C. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

E. Position(s) Title:

1. Intervention Specialist

F. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

VI. CONTRACTOR shall provide Cognitive Behavioral Interventions for Offenders Seeking Employment (CBI-EMP) and related re-entry services to high risk offenders supervised on felony probation in Santa Barbara and Santa Maria who are moderate to high need in the area of employment. This service is funded through SB678.

A. Service Component:

1. CONTRACTOR will provide CBI-EMP to high risk offenders supervised on felony in Santa Barbara and Santa Maria who are moderate to high need in the area of employment. The curriculum integrates cognitive-behavioral interventions with more traditional employment approaches. The program teaches individuals how to identify and manage high risk situations related to obtaining and maintaining employment. Heavy emphasis is placed on skill building activities to assist with cognitive, social, emotional, and coping skill development for the work environment. Using a modified closed group format with multiple entry points, the curriculum is designed to allow for flexibility across various service settings and intervention lengths. CBI-EMP is a 5 module, 31 session curriculum.

B. Description of Component:

1. CONTRACTOR will provide one (1) group of up to twelve (12) clients at each location. Frequency of groups will be in compliance with the fidelity of the model and group times will be determined by the COUNTY and staffed by a trained staff person that is employed by the CONTRACTOR. If a cohort reaches capacity the CONTRACTOR will work in collaboration with probation staff to start another cohort as soon as possible. CONTRACTOR shall hold make-up sessions and conduct individual case management specific to employment readiness. CONTRACTOR staff shall meet individually every other week with participants for case management services to facilitate overcoming employment related barriers.
2. CONTRACTOR will accept all referrals and upon receipt of referral will enroll the client within two (2) business days.
3. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
4. CONTRACTOR shall notify COUNTY of any staffing changes.

5. CONTRACTOR will adhere to Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable effort to secure written and/or electronic client information.
6. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by COUNTY.

G. Location of Service:

1. Santa Barbara PRRC, 4500 Hollister Avenue, Santa Barbara CA 93110
2. Santa Maria PRRC, 124 W. Carmen Lane, Santa Maria, CA 93458.

H. Hours of Operation:

1. Monday through Friday between 8:30 a.m. and 8:00 p.m., and on Saturday between 8:30 a.m. and 12:00 p.m.

I. Position(s) Title:

1. Intervention Specialist

J. Qualifications of Position:

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation. Considerable oral and written communication skills. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

VII. CONTRACTOR shall provide Batterer's Intervention Programming (BIP), a 52 week mandatory intervention for re-aligned domestic violence offenders, and others as referred by the COUNTY.

A. Service Component:

1. CONTRACTOR shall provide BIP sessions at the Santa Maria PRRC to the re-aligned population and other indigent clients on probation that COUNTY deems appropriate. BIP is a 52 week intervention program targeted to ensure compliance with the statutory requirements as ordered by the court. BIP is a targeted intervention with the goal of rehabilitating the client and strengthen the family unit. The sessions are targeted to increase responsibility for the domestic violent act by the client, gain awareness on how the

client's behavior impacts the entire family, and increase empathy for the victim(s) of the violence.

B. Description Component:

1. CONTRACTOR shall provide 52 week BIP programming to have no more than three (3) individual sessions one (1) time per week for two (2) hours.
2. Group will be facilitated by certified BIP facilitators employed by CONTRACTOR.
3. CONTRACTOR will accept all referrals and upon receipt of a referral will enroll the client within three (3) business days.
4. CONTRACTOR shall be trained in and utilize Motivational Interviewing techniques.
5. CONTRACTOR shall notify COUNTY of any staffing changes
6. CONTRACTOR shall adhere to the Health Insurance Portability and Accountability ACT (HIPAA) of 1996 regulations, develop and maintain comprehensive patient confidentiality policies and procedures, and demonstrate reasonable efforts to secure written and/or electronic client information.
7. CONTRACTOR shall cooperate in making available necessary witnesses for court hearings and trials, including staff that have provided treatment to a client referred by the COUNTY.

C. Service Location:

1. Santa Maria PRRC, 124 W. Carmen Lane Santa Maria, CA 93458.

D. Hours of Operation:

1. Monday through Friday between 8:30 A.M. And 8:00 P.M., and on Saturday between 8:30 A.M. And 12:00 P.M.

E. Treatment Position Title:

1. Intervention Specialist

F. Qualifications of the position :

1. CONTRACTOR shall ensure that all staff providing services are fully trained and certified in the specific curriculum being utilized. CONTRACTOR will also ensure that all staff receive appropriate clinical supervision.
2. Master's degree plus one (1) year experience, or Bachelor's Degree plus two (2) years' experience in social services field. Two (2) years' experience in teaching, training, and/or group facilitation is preferable. Considerable oral and written communication skills are required. Education may be substituted with experience on a year-by-year basis, in consultation with COUNTY.

G. CONTRACTOR shall conduct an individual intake and discharge appointment with the client.

H. CONTRACTOR will process weekly individual progress notes on each client.

- I. CONTRACTOR will ensure that each client signs Consent to Release Information and Consent to Treatment/Follow-Up forms.
- J. CONTRACTOR will be responsible for documenting group participation in participant files for all attendees and will also have a group sign-in log that will be provided to COUNTY with each monthly invoice.
- K. Discharge planning shall be conducted by CONTRACTOR. The plan shall include recommendations for post-discharge, linkages to other services, if appropriate, and reason for discharge.
- L. On a case-by-case basis, the following may be cause for client exclusion from the program: client threat of or actual violence, or rude or disruptive behavior that cannot be redirected.

VIII. PERFORMANCE MEASURES:

- A. Eighty-five (85%) of individual intake appointments with clients by CONTRACTOR will occur within one week of referral from COUNTY.
- B. Ninety percent (90%) of clients that are successfully discharged from an intervention will receive a written discharge/plan from CONTRACTOR two (2) weeks before discharge.
- C. Data correlating to these performance measures will be provided to the COUNTY on a quarterly basis, beginning October 1, 2018.

IX. OTHER REQUIREMENTS FOR SERVICE DELIVERY STAFF:

- A. Criminal Records Check
 - 1. CONTRACTOR shall ensure that all existing staff and prospective staff and volunteers performing services as part of, related to, or in connection with this Agreement whose duties **do not** require his/her presence at the herein referenced locations have a criminal record check and pay for any and all associated costs. The criminal record check shall be through one of the local law enforcement agencies and consist of a local law enforcement record check, a California Department of Motor Vehicle check, and a Live Scan submitted to the California Department of Justice (CDOJ). CONTRACTOR shall complete and submit the Staff Records Check form (attached hereto as ATTACHMENT A-1) as appropriate for existing and prospective staff or volunteers.

For existing staff and prospective staff and volunteers performing services as part of, related to, or in connection with this Agreement whose duties require his/her physical presence at the herein referenced locations, COUNTY will conduct a criminal records check.

2. Prospective CONTRACTOR staff or volunteer may commence services only after the results of the live scan have been received and the person is deemed suitable for work by COUNTY.
3. Failure by CONTRACTOR to comply with the criminal records check requirements may result in withholding of invoice payments until compliant.

B. CLETS Confidentiality

1. CONTRACTOR shall certify it has read and is familiar with the contents of Federal Bureau of Investigation (FBI), the NCIC 200 Operating Manual, the Policy and Reference Manual, the CJIS Security Policy, and Title 28, Code of Federal Regulations, Part 20, and agree to be bound by their provisions (ATTACHMENT A-2).
2. CONTRACTOR shall ensure that each existing staff and prospective staff and volunteers assigned to this Agreement sign the CLETS Private Contractor Management Control Agreement (ATTACHMENT A-3) and provide a copy of the signed CLETS Private Contractor Management Control Agreement to COUNTY within three (3) business days of signature.
3. Failure by CONTRACTOR to comply with the FBI Criminal Justice Information Services Security Addendum and the CLETS Private Contractor Management Control Agreement may result in withholding of invoice payments until compliant.

C. Staff Professional Standards

1. Warrant that all employees and volunteers under this contract have background, training, work experience, licenses, and supervision necessary for the performance of services in a manner of, and according to the standards observed by, a practitioner of the same profession and in keeping with all Federal, State and County Laws. CONTRACTOR shall provide a list of current employees and copies of permits, licenses, certifications or other documents certifying staff training and qualifications upon demand from COUNTY.

D. Drugs and Alcohol

1. CONTRACTOR shall not allow the use or possession of drugs, including alcohol, in the workplace or facility.

E. Incident Reporting

1. CONTRACTOR shall report to COUNTY, within 24 hours (excluding holidays and weekends), any notable incidents occurring while clients are receiving services under this contract pursuant to the following outline:
 - a. Physical confrontation between staff and client, between clients, clients and non-staff, between staff and non-staff, and any threats of violence, including self-inflicted violence.
 - b. Any law violation.
 - c. Possession of any illegal drugs, paraphernalia, weapons or other contraband.

- d. Failure or refusal to participate in program.
- e. Participant discharge or disqualified from program and reasons for said discharge or disqualification.

F. Confidentiality

- 1. CONTRACTOR agrees to maintain the confidentiality of client records and/or client information pursuant to: Title 42 United States Code (USC) Section 290 dd-2; Title 42 Code of Federal Regulations (CFR), Part 2; Title 22 California Code of Regulations (CCR) Section 51009; Welfare & Institutions Code (W&IC) Sections 14100.2 and 5328; Health and Safety Code (HSC) Sections 11812 and 11845.5; Civil Code Sections 56 – 56.37, 1798.80 – 1798.82, and 1798.85; and Penal Code (PC) Sections 11140, 11142 and 13303. Client records and/or information must comply with all appropriate State and Federal requirements. CONTRACTOR shall ensure that no list of persons receiving services under this Agreement is published, disclosed, or used for any purpose except for the direct administration of these services or other uses authorized by law that are not in conflict with requirements for confidentiality contained in the preceding codes.

G. Status Reports

- 1. CONTRACTOR shall, upon request, provide written status reports to COUNTY, on forms provided by COUNTY and delivered to such places and times as directed by COUNTY.

H. Aggregate Outcomes

- 1. CONTRACTOR must be mindful and work toward the following aggregate outcomes of the PRRC/Re-entry Program which include: reducing or eliminating anti-social behavior and ideation, as well as reducing or eliminating criminal behavior.

I. Meetings

- 1. Participate in meetings held by COUNTY or COUNTY's designee as related to the PRRC/Re-entry program and (if applicable) cooperate in the data collection for CONTRACTOR's particular component and will provide data as requested by the COUNTY Program Evaluator.

J. Training

- 1. Contractor will insure all employees maintain a valid First Aid and CPR certification.
- 2. Contractor will participate in at least one (1) training on Evidence-Based Practices per year.



FEDERAL BUREAU OF INVESTIGATION
CRIMINAL JUSTICE INFORMATION SERVICES
SECURITY ADDENDUM

CERTIFICATION

I hereby certify that I have read and am familiar with the contents of (1) the Security Addendum, (2) the NCIC 2000 Operating Manual, (3) the Policy and Reference Manual, (4) the CJIS Security Policy, and (5) Title 28, Code of Federal Regulations, Part 20, and agree to be bound by their provisions.

I recognize that criminal history record information and related data, by its very nature, is sensitive and has potential for great harm if misused. I acknowledge that access to criminal offender record information and related data is therefore limited to the purpose(s) for which a government agency entered into the contract incorporating this Security Addendum. I understand that misuse of the system by, among other things, accessing it without authorization, accessing it by exceeding authorization, accessing it for an improper purpose, using, disseminating, or re-disseminating information received for another purpose other than the execution of the contract also constitutes misuse. I further understand the occurrence of misuse does not depend upon whether I receive additional compensation for such authorized activity. Such exposure for misuse include, but is not limited to, suspension or loss of employment and prosecution for state and federal crimes.

Signature of Contractor Employee

Date

Signature of Contractor Representative

Date

Organization and Title



**CLETS PRIVATE CONTRACTOR
MANAGEMENT CONTROL AGREEMENT**

Agreement to allow California Law Enforcement Telecommunications System (CLETS) access by

_____ (Public law enforcement/criminal justice agency) , _____ (ORI)

to _____ (Private Contractor)

to perform _____ (Type of service) services on its behalf.

Access to the CLETS is authorized to public law enforcement and criminal justice agencies (*hereinafter referred to as the CLETS subscribing agency*) only, which may delegate the responsibility of performing the administration of criminal justice functions (e.g., dispatching functions or data processing/information services) in accordance with the Federal Bureau of Investigation's (FBI) Criminal Justice Information Services (CJIS) Security Addendum to a private contractor. The private contractor may access systems or networks that access the CLETS on behalf of the CLETS subscribing agency to accomplish the above-specified service(s). This agreement must be received by the California Department of Justice (CA DOJ) prior to the subscribing agency permitting access to the CLETS. The performance of such delegated services does not convert that agency into a public criminal justice agency, not automatically authorize access to state summary criminal history information. Information from the CLETS is confidential and may be used only for the purpose(s) for which it is authorized. Violation of confidentiality requirements or access authorizations may be subject to disciplinary action or criminal charges.

Pursuant to the policies outlined in the *CLETS Policies, Practices, and Procedures (PPP)* and the Federal Bureau of Investigation's (FBI) *CJIS Security Policy*, it is agreed the CLETS subscribing agency will maintain responsibility for security control as it relates to the CLETS access. Security control is defined as the ability of the CLETS subscribing agency to set, maintain, and enforce:

1. Standards for the selection, supervision, and termination of personnel. This does not grant hiring/firing authority to the CLETS subscribing agency, only the authority to grant CLETS access to personnel who meet these standards and deny it to those who do not.
2. Policies governing the operation of computers, access devices, circuits, hubs, routers, firewalls, and other components that make up and support a telecommunications network and related CA DOJ criminal justice databases used to process, store, or transmit criminal justice information, guaranteeing the priority, integrity, and availability of service needed by the criminal justice community.

Security control includes, but is not limited to, the supervision of applicable equipment, systems design, programming, and operating procedures associated with the development, implementation, and operation of any computerized message-switching or database systems utilized by the served law enforcement agency or agencies. Computer sites must have adequate physical security to protect against any unauthorized viewing or access to computer terminal, access devices, or stored/printed data.



**CLETS PRIVATE CONTRACTOR
MANAGEMENT CONTROL AGREEMENT**

Additionally, it is the responsibility of the CLETS subscribing agency to ensure that all private contractors receiving information from the CLETS meet the minimum training, certification, and background requirements that are also imposed on the CLETS subscribing agency's staff. The minimum requirements are applicable also to staff having access to record storage areas containing information from the CLETS. The minimum requirements include, but are not limited to:

1. Prior to allowing the CLETS access, train, functionally test, and affirm the proficiency of all the CLETS computer operators to ensure compliance with the CLETS and the FBI's National Crime Information Center (NCIC) policies and regulations, if applicable. Biennially, provide testing and reaffirm the proficiency of all the CLETS operators, if applicable.
2. State and FBI criminal offender record information searches must be conducted prior to allowing access to the CLETS computers, equipment, or information. If the results of the criminal offender record information search reveal a record of any kind, access will not be granted until the CLETS subscribing agency can review the matter to decide if access is appropriate. If a felony conviction of any kind is found, access shall not be granted.
3. Each individual must sign a CLETS Employee/Volunteer Statement form (HDC 0009) prior to operating or having access to CLETS computers, equipment, or information.

In accordance with CLETS/NCIC policies, the CLETS subscribing agency has the responsibility and authority to monitor, audit, and enforce the implementation of this agreement by the private contractor. The private contractor agrees to cooperate with the CLETS subscribing agency in the implementation of this agreement and to accomplish the directives for service under the provisions of this agreement. The CLETS Management Control Agreement (HDC 0004B) shall be updated when the head of either agency changes or immediately upon request from the CA DOJ.

By signing this agreement, the vendors and private contractors certify they have read and are familiar with the contents of (1) the FBI's CJIS Security Addendum, (2) the NCIC 2000 Operating Manual, (3) the FBI's CJIS Security Policy, (4) Title 28, Code of Federal Regulations, Part 20, and (5) the CLETS PPP and agree to be bound by their provisions. Criminal offender record information and related data, by its very nature, is sensitive and has potential for great harm if misused. Access to criminal offender record information and related data is therefore limited to the purpose(s) for which the CLETS subscribing agency has entered into the contract. Misuse of the system by, among other things: accessing it without authorization; accessing it by exceeding authorization; accessing it for an improper purpose; use, dissemination, or secondary dissemination of information received as a result of this contract for a purpose other than that envisioned by the contract, may subject me to administrative and criminal penalties. Accessing the system for an appropriate purpose and then using, disseminating, or secondary dissemination of information received for another purpose other than execution of the contract also constitutes misuse. Such exposure for misuse includes, but is not limited to, suspension or loss of employment and prosecution for state and federal crimes.

Signature (CLETS Subscribing Agency Head)

Signature (Private Contractor Agency Head)

Print Name and Title

Print Name and Title

Date

Date

EXHIBIT B

PAYMENT ARRANGEMENTS Periodic Compensation (with attached Schedule of Fees)

- A. For CONTRACTOR services to be rendered under this Agreement, CONTRACTOR shall be paid a total contract amount, including cost reimbursements, not to exceed \$ 438,151.
- B. Payment for services and /or reimbursement of costs shall be made upon CONTRACTOR's satisfactory performance, based upon the scope and methodology contained in **EXHIBIT A, ATTACHMENT A-1, ATTACHMENT A-2, AND ATTACHMENT A-3** as determined by COUNTY. Payment for services and/or reimbursement of costs shall be based upon the costs, expenses, overhead charges and hourly rates for personnel, as defined in **ATTACHMENT B-1** (Schedule of Fees). Invoices submitted for payment that are based upon **ATTACHMENT B-1** must contain sufficient detail to enable an audit of the charges and provide supporting documentation if so specified in **EXHIBIT A, ATTACHMENT A-1, ATTACHMENT A-2, AND ATTACHMENT A-3**.
- C. Monthly, CONTRACTOR shall submit to the COUNTY DESIGNATED REPRESENTATIVE an invoice or certified claim on the County Treasury for the service performed over the period specified. These invoices or certified claims must cite the assigned Board Contract Number. COUNTY DESIGNATED REPRESENTATIVE shall evaluate the quality of the service performed and if found to be satisfactory and within the cost basis of **ATTACHMENT B-1** shall initiate payment processing. COUNTY shall pay invoices or claims for satisfactory work within 30 days of receipt of correct and complete invoices or claims from CONTRACTOR.
- D. COUNTY's failure to discover or object to any unsatisfactory work or billings prior to payment will not constitute a waiver of COUNTY's right to require CONTRACTOR to correct such work or billings or seek any other legal remedy.
- E. CONTRACTOR MONTHLY INVOICING REQUIREMENTS

- 1. Invoice Format

Monthly invoices shall be in a COUNTY pre-approved format. The invoice shall list costs by staff position (including total hours by position) and operating expense and equipment costs consistent with the line items on the attached ATTACHMENT B-1. All costs claimed by CONTRACTOR for reimbursement by COUNTY shall be identified in the specific format required by COUNTY.

- 2. Invoice Linkage to ATTACHMENT B-1 Budget Positions

Any invoiced costs for staff positions or equipment costs not listed in ATTACHMENT B-1 of this Agreement will not be reimbursed by the COUNTY unless approved in advance by the COUNTY.

3. Invoice Timely Submission

CONTRACTOR shall submit monthly invoices by the thirtieth of each subsequent month to the COUNTY DESIGNATED REPRESENTATIVE (i.e. representative listed in paragraph 2, Notices, of the main body of this Agreement).

4. Invoice Signature

Invoices shall be signed and dated by an authorized CONTRACTOR's Designated Representative, as well as, identifying the name and title of the CONTRACTOR's Designated Representative preparing the invoice.

5. Client Monthly Status Report Format

Client Monthly Status Reports shall be in a COUNTY pre-approved format and shall list client first and last names, PIN, date of referral, date of enrollment, number of individual/group sessions attended, cumulative monthly attendance, date of discharge, exit status, and comments. Client Monthly Status Report shall be provided to COUNTY with each monthly invoice. **IMPORTANT: Monthly invoices will not be considered valid until copies of all required Client Monthly Status Reports are received by the COUNTY.**

6. Copies of Payroll Ledgers, Timecards, and Group/Individual Sign-In Logs

Copies of payroll ledgers and timecards for the invoice service period for each CONTRACTOR's Designated Representative directly claimed on the invoice, as well as group/individual sign-in logs, shall be attached to the invoice. CONTRACTOR shall be notified if any invoice is missing copies of required payroll ledgers, timecards, and group/individual sign-in logs. **IMPORTANT: Monthly invoices will not be considered valid until copies of all required payroll ledgers, timecards, and group/individual sign-in logs are received by the COUNTY.**

7. Administrative/Overhead Costs

Allocated Administrative/Overhead costs shall not be reimbursable and shall not be claimed unless such costs are identified and budgeted in ATTACHMENT B-1 of this Agreement.

8. Administrative/Overhead Documentation

Annually, COUNTY may require the CONTRACTOR to submit written documentation to support the calculation of the set percentage and basis used to allocate administrative/overhead costs for the fiscal year in question, as well as, identifying all administrative/overhead costs by line item and by staff position for salaries.

9. Board of Directors List

To the first monthly invoice submitted under this Agreement, the CONTRACTOR shall attach a list of the CONTRACTOR's Board of Directors including addresses, phone numbers and titles of officers who are members of the Board. **IMPORTANT: No invoice shall be considered valid until a copy of this list of the Board of Directors is received by the COUNTY.**

F. OTHER FINANCIAL REQUIREMENTS:

1. CPA Prepared Financial Audit Report

CONTRACTOR shall provide a copy of the most recent CONTRACTOR financial audit report and related management letter (prepared by a Certified Public Accountant) to the County along with the first monthly invoice under this Agreement and annually thereafter with the same calendar month invoice if this Agreement covers multiple years. The submission of the aforementioned audit report and management letter shall be a condition precedent for payment for each year covered by this Agreement.

2. Delivery of Service Commitment

CONTRACTOR is expected to deliver the level of services (by fiscal year) as specified on the attached ATTACHMENT B-1. CONTRACTOR understands and acknowledges that the failure to timely expend funds for any given fiscal year of this Agreement may jeopardize the ability to meet performance measures or legal requirements and may raise questions about the need for services and viability of providing funds for these services.

3. Fiscal Records

Maintain adequate fiscal and project books, records, documents, and other evidence pertinent to the CONTRACTOR's performance of the Agreement in accordance with generally accepted accounting principles. Adequate supporting documentation shall be maintained in such detail so as to permit tracing transactions from support documentation to the accounting record to the financial reports and billings. CONTRACTOR shall keep such records pursuant to this Agreement as would be kept by a reasonably prudent practitioner of CONTRACTOR's profession and as required by law and shall maintain such records for the greater of four (4) years following the termination of this Agreement or as otherwise stated by law and shall be subject to examination and audit by authorized State or COUNTY representatives at any time during CONTRACTOR's regular business hours upon reasonable notice.

4. Inspection of Records

Make sure books, records, documents and other evidence is available to the COUNTY, or its Designated Representative, during the term of the Agreement or final audit, and for four (4) years after the termination of this Agreement or as otherwise required by law, whichever is later, and provide suitable facilities for access, monitoring, inspection, and copying thereof.

5. Access to Staff and Facilities

Permit the COUNTY, or its Designated Representative, to have access to CONTRACTOR's staff and facilities wherever CONTRACTOR has been or is performing this Agreement and shall provide proper facilities for access, monitoring and inspection.

**ATTACHMENT B-1
SCHEDULE OF FEES**

AB109 Budget:	<u>Contract Total</u>
DIRECT COSTS	
<u>Salaries & Benefits</u>	
Senior Project Director	13,608
Intervention Specialist (5.0 FTE)	215,078
Benefits	<u>61,906</u>
Total Salaries and Benefits:	290,592
 <u>Operating Costs</u>	
Employee Costs	3,224
Mileage	3,659
Training	4,300
Travel	4,100
Subcontracted Programming/Housing	2,160
Client Training/Reading Materials & Supplies	14,500
Supplies	<u>4,890</u>
Total Operating Costs:	36,833
Total Direct Costs:	<u>327,425</u>
 INDIRECT COSTS	
Indirect Cost @ 10% of Salaries and Benefits	<u>29,059</u>
TOTAL COST:	<u>\$ 356,484</u>

SB678 CBT Budget:

DIRECT COSTS	
<u>Salaries & Benefits</u>	
Senior Project Director	3,408
Intervention Specialist (1.0 FTE)	41,638
Benefits	<u>19,884</u>
Total Salaries and Benefits:	64,930
 <u>Operating Costs</u>	
Employee Costs	1,715
Mileage	246
Training	818
Travel	600
Subcontracted Programming/Housing	2,160
Client Training/Reading Materials & Supplies	3,650
Supplies	<u>1,055</u>
Total Operating Costs:	10,244
Total Direct Costs:	<u>75,174</u>
 INDIRECT COSTS	
Indirect Cost @ 10% of Salaries and Benefits	<u>6,493</u>
TOTAL COST:	<u>\$ 81,667</u>
TOTAL CONTRACT AMOUNT:	<u>\$ 438,151</u>

EXHIBIT C

Indemnification and Insurance Requirements (For Professional Contracts)

INDEMNIFICATION

CONTRACTOR agrees to indemnify, defend (with counsel reasonably approved by COUNTY) and hold harmless COUNTY and its officers, officials, employees, agents and volunteers from and against any and all claims, actions, losses, damages, judgments and/or liabilities arising out of this Agreement from any cause whatsoever, including the acts, errors or omissions of any person or entity and for any costs or expenses (including but not limited to attorneys' fees) incurred by COUNTY on account of any claim except where such indemnification is prohibited by law. CONTRACTOR's indemnification obligation applies to COUNTY's active as well as passive negligence but does not apply to COUNTY's sole negligence or willful misconduct.

NOTIFICATION OF ACCIDENTS AND SURVIVAL OF INDEMNIFICATION PROVISIONS

CONTRACTOR shall notify COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement. The indemnification provisions in this Agreement shall survive any expiration or termination of this Agreement.

INSURANCE

CONTRACTOR shall procure and maintain for the duration of this Agreement insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder and the results of that work by the CONTRACTOR, his agents, representatives, employees or subcontractors.

A. Minimum Scope of Insurance

Coverage shall be at least as broad as:

1. **Commercial General Liability (CGL):** Insurance Services Office (ISO) Form CG 00 01 covering CGL on an "occurrence" basis, including products-completed operations, personal & advertising injury, with limits no less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate.
2. **Automobile Liability:** ISO Form Number CA 00 01 covering any auto (Code 1), or if CONTRACTOR has no owned autos, hired, (Code 8) and non-owned autos (Code 9), with limit no less than \$1,000,000 per accident for bodily injury and property damage.
3. **Workers' Compensation:** as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.
4. **Professional Liability (Errors and Omissions)** Insurance appropriate to the CONTRACTOR'S profession, with limit of no less than \$1,000,000 per occurrence or claim, \$2,000,000 aggregate.

If the CONTRACTOR maintains higher limits than the minimums shown above, the COUNTY requires and shall be entitled to coverage for the higher limits maintained by the CONTRACTOR. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the COUNTY.

B. Other Insurance Provisions

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. **Additional Insured** – COUNTY, its officers, officials, employees, agents and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the CONTRACTOR including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the CONTRACTOR's insurance at least as broad as ISO Form CG 20 10 11 85 or if not available, through the addition of both CG 20 10 and CG 20 37 if a later edition is used).
2. **Primary Coverage** – For any claims related to this Agreement, the CONTRACTOR's insurance coverage shall be primary insurance as respects the COUNTY, its officers, officials, employees, agents and volunteers. Any insurance or self-insurance maintained by the COUNTY, its officers, officials, employees, agents or volunteers shall be excess of the CONTRACTOR's insurance and shall not contribute with it.
3. **Notice of Cancellation** – Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to the COUNTY.
4. **Waiver of Subrogation Rights** – CONTRACTOR hereby grants to COUNTY a waiver of any right to subrogation which any insurer of said CONTRACTOR may acquire against the COUNTY by virtue of the payment of any loss under such insurance. CONTRACTOR agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the COUNTY has received a waiver of subrogation endorsement from the insurer.
5. **Deductibles and Self-Insured Retention** – Any deductibles or self-insured retentions must be declared to and approved by the COUNTY. The COUNTY may require the CONTRACTOR to purchase coverage with a lower deductible or retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention.
6. **Acceptability of Insurers** – Unless otherwise approved by Risk Management, insurance shall be written by insurers authorized to do business in the State of California and with a minimum A.M. Best's Insurance Guide rating of "A- VII".
7. **Verification of Coverage** – CONTRACTOR shall furnish the COUNTY with proof of insurance, original certificates and amendatory endorsements as required by this Agreement. The proof of insurance, certificates and endorsements are to be received and approved by the COUNTY before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the CONTRACTOR's obligation to provide them. The CONTRACTOR shall furnish evidence of renewal of coverage throughout the term of the Agreement. The COUNTY reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

8. **Failure to Procure Coverage** – In the event that any policy of insurance required under this Agreement does not comply with the requirements, is not procured, or is canceled and not replaced, COUNTY has the right but not the obligation or duty to terminate the Agreement. Maintenance of required insurance coverage is a material element of the Agreement and failure to maintain or renew such coverage or to provide evidence of renewal may be treated by COUNTY as a material breach of contract.
9. **Subcontractors** – CONTRACTOR shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and CONTRACTOR shall ensure that COUNTY is an additional insured on insurance required from subcontractors.
10. **Claims Made Policies** – If any of the required policies provide coverage on a claims-made basis:
 - i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.
 - ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of contract work.
 - iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the CONTRACTOR must purchase “extended reporting” coverage for a minimum of five (5) years after completion of contract work.
11. **Special Risks or Circumstances** – COUNTY reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

Any change requiring additional types of insurance coverage or higher coverage limits must be made by amendment to this Agreement. CONTRACTOR agrees to execute any such amendment within thirty (30) days of receipt.

Any failure, actual or alleged, on the part of COUNTY to monitor or enforce compliance with any of the insurance and indemnification requirements will not be deemed as a waiver of any rights on the part of COUNTY.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
08/31/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Willis of Connecticut, LLC c/o 26 Century Blvd P.O. Box 305191 Nashville, TN 372305191 USA	CONTACT NAME: PHONE (A/C, No, Ext): 1-877-945-7378 FAX (A/C, No): 1-888-467-2378 E-MAIL ADDRESS: certificates@willis.com	
	INSURER(S) AFFORDING COVERAGE INSURER A: Philadelphia Indemnity Insurance Company	NAIC # 18058
INSURED Community Solutions, Inc 340 West Newberry Road Bloomfield, CT 06002 USA	INSURER B: Insurance Company of the State of Pennsylv 19429	
	INSURER C:	
	INSURER D:	
	INSURER E:	
INSURER F:		

COVERAGES CERTIFICATE NUMBER: W3429078 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		Y	PHPK1704660	09/01/2017	09/01/2018	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000 \$
A	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO OWNED AUTOS ONLY <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS ONLY			PHPK1704660	09/01/2017	09/01/2018	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED <input checked="" type="checkbox"/> RETENTION \$ 10,000			PHUB598601	09/01/2017	09/01/2018	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000 \$
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	No	WC14220297	07/01/2017	07/01/2018	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
A	Professional Liability			PHPK1704660	09/01/2017	09/01/2018	Each Incident Limit \$1,000,000 Aggregate Limit \$2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

Umbrella Liability Follows Form over Auto Liability; General Liability; Professional Liability; Sexual Abuse & Employer's Liability.

Santa Barbara County Probation is included as an Additional Insured as respects to General Liability as required by written contract.

SEE ATTACHED

CERTIFICATE HOLDER CANCELLATION

Santa Barbara County Probation 117 E. Carrillo St. Santa Barbara, CA 93101-0000	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
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ADDITIONAL REMARKS SCHEDULE

AGENCY Willis of Connecticut, LLC		NAMED INSURED Community Solutions, Inc 340 West Newberry Road Bloomfield, CT 06002 USA	
POLICY NUMBER See Page 1			
CARRIER See Page 1	NAIC CODE See Page 1	EFFECTIVE DATE: See Page 1	

ADDITIONAL REMARKS

THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM,
 FORM NUMBER: 25 FORM TITLE: Certificate of Liability Insurance

INSURER AFFORDING COVERAGE: Insurance Company of the State of Pennsylvania NAIC#: 19429
 POLICY NUMBER: WC14220298 EFF DATE: 07/01/2017 EXP DATE: 07/01/2018

TYPE OF INSURANCE:	LIMIT DESCRIPTION:	LIMIT AMOUNT:
Workers Compensation and Employers Liability Per Statute	E.L. Each Accident: E.L. Dis- Each Emp: E.L. Dis- Pol Lmt:	\$1,000,000 \$1,000,000 \$1,000,000

INSURER AFFORDING COVERAGE: Philadelphia Indemnity Insurance Company NAIC#: 18058
 POLICY NUMBER: PHPK1704660 EFF DATE: 09/01/2017 EXP DATE: 09/01/2018

TYPE OF INSURANCE:	LIMIT DESCRIPTION:	LIMIT AMOUNT:
Sexual/Physical Abuse or Molestation	Each Claim Aggregate	\$1,000,000 \$3,000,000

Contract Risk Assessment Worksheet

Contractor Name: Community Solutions Incorporated (CSI)
 Board Contract No.:
 Contracted Service: BIP, CBT, and employment development services.
 Department: Probation
 Grant/Contract Manager: Tanja Heitman

Vendor Number: 013654
 Contract Start Date: 7/1/2018
 Contract End Date: 6/30/2019
 Date: 4/27/2018

Risk Factors	Weight	Low (1)	Medium (3)	High (5)	Comments
1. Contract Size	2	<input type="radio"/> Small (less than \$100k)	<input checked="" type="radio"/> Medium (\$100K - \$500K)	<input type="radio"/> Large (over \$500K)	
2. Performance Period	1	<input checked="" type="radio"/> Less than 2 years	<input type="radio"/> 2 - 5 Years	<input type="radio"/> More than 5 years	
3. Contract Type	2	<input checked="" type="radio"/> Performance Based	<input type="radio"/> Fixed Price	<input type="radio"/> Cost Reimbursable	
4. Complexity of Contract Requirements	3	<input checked="" type="radio"/> Low Complexity	<input type="radio"/> Moderate Complexity	<input type="radio"/> High Complexity	
5. Contract Award	1	<input type="radio"/> Full and open competition with a large # of bidders or simplified acquisition	<input checked="" type="radio"/> Competitive acquisition with limited # of bidders	<input type="radio"/> Sole source	
6. Contractor	2	<input checked="" type="radio"/> Agency has significant experience with contractor and no significant issues	<input type="radio"/> Agency has experience with contractor, only minor issues, or new contractor	<input type="radio"/> Known issues with contractor, such as defaults; suspensions or debarments; past performance issues; significant audit findings on previous contracts; or past or ongoing investigations or lawsuits	
7. Contract Subject	2	<input checked="" type="radio"/> Not mission critical or not highly visible, sensitive, or potentially controversial	<input type="radio"/> Contract is moderately visible, sensitive, or controversial	<input type="radio"/> Contract is mission critical or is highly visible, sensitive, or potentially controversial	
8. Subcontractors	1	<input checked="" type="radio"/> Percent of work subcontracted is less than 25%	<input type="radio"/> Percent of work subcontracted is between 25% and 50%.	<input type="radio"/> Percent of work subcontracted is more than 50%	
9. Contracting Manager and/or County Department	2	<input checked="" type="radio"/> Previous audits or program reviews noted no significant deficiencies with the contract manager and/or department	<input type="radio"/> Previous audits or program reviews noted moderate deficiencies with the contract manager and/or department	<input type="radio"/> Previous audits or program reviews noted significant deficiencies with the contract manager and/or department	
10. Fiscal Responsibility of Contractor	5	<input checked="" type="radio"/> Contractor has submitted AUDITED financial statements and tax returns	<input type="radio"/> Contractor has provided current financial statements and/or tax returns	<input type="radio"/> Contractor is unable to provide current financial statements and/or tax returns	
11. Federal/State or Other Program or Funding Requirements	5	<input type="radio"/> Program not funded by Federal/State (0%). No Federal/State funding & program requirements exist	<input type="radio"/> Program partially funded by Federal/State (less than 50%). Federal/State funding & program requirements exist	<input checked="" type="radio"/> Program mostly funded by Federal/State (50% or more) Federal/State funding & program requirements exist	
12. Availability of Progress Reports	2	<input type="radio"/> Contractor is able to perform good and system-generated performance reports.	<input checked="" type="radio"/> Contractor is able to perform adequate or manually-generated performance reports.	<input type="radio"/> Performance is difficult to measure; or contractor is unable to perform adequate performance reports.	
13. Contract Modifications	1	<input checked="" type="radio"/> No modifications or modifications had little impact on cost and/or period of performance	<input type="radio"/> Modifications moderately increased cost and/or period of performance	<input type="radio"/> Modifications significantly increased cost and/or period of performance	
14. Program Longevity	2	<input checked="" type="radio"/> Existing with no changes within past year	<input type="radio"/> Existing with minimal changes within past year	<input type="radio"/> New or existing with significant changes	
15. Access to Personally Identifiable, Proprietary and/or Classified Information	2	<input checked="" type="radio"/> No requirement to access	<input type="radio"/> Limited requirement to access	<input type="radio"/> Requirement to access	
16. Other Risk Factor	0	<input checked="" type="radio"/> Other- Low Risk	<input type="radio"/> Other- Medium Risk	<input type="radio"/> Other- High Risk	
	33	33-48 = Low Risk	49-79 = Medium Risk	80-165 = High Risk	

Contract Risk =

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