Application Report

Applicant Organization:	Santa Barbara		
Application:	FY 26-27 Santa Barbara District Attorney - LACPP		
App ID:	App-26-0460		
Funding Announcement:	FY 26-27 Life and Annuity Consumer Protection Program		
Requested Amount:	$96,700.00		

Project Summary: 26-27 Santa Barbara District Attorney Life and Consumer Protection Program

Authorized Certifying Official:	Anne Nudson	anudson@countyofsb.org	805-346-7535
Project Director/Manager:	Brian Cota	bcota@countyofsb.org	805-568-2424
Case Statistics / Data Reporter:	Anne Nudson	anudson@countyofsb.org	805-346-7535
Compliance/Fiscal Officer:	Caressa Stevenson	castevenson@countyofsb.org	805-450-8230

APPLICANT QUESTIONS

Section Name: Overview Questions

Sub-Section Name: General Information

1. APPLICANT QUESTION: MULTI-COUNTY GRANT

Is this a multi-county grant application request? If Yes, select the additional counties.

Applicant Response:

No

2. APPLICANT QUESTION: FY 2024-25 UNEXPENDED FUNDS

Excluding interest, what was the amount of your FY 2024-25 Unexpended Funds? If none, enter “0”.

Applicant Response:

0

---

3. APPLICANT QUESTION: FY 2024-25 UNEXPENDED FUNDS PERCENTAGE OF FY 2024-25 AWARD

Your FY 2024-25 Unexpended Funds are what percentage of your FY 2024-25 total award? If none, enter "0".

Total Award excludes interest earned and incoming carryover. To calculate percentage, divide your audited unexpended funds by your total award. Round to the nearest whole number.

Example:

FY 2024-25 Total Award: $100,000

FY 2024-25 Unexpended Funds: $23,750

FY 2024-25 Unexpended Funds Percentage: 24%

Applicant Response:

0

---

4. APPLICANT QUESTION: INTERNAL QUALITY CONTROLS AND BUDGET MONITORING PROCEDURES

What internal quality controls and budget monitoring procedures will be used by the county to ensure grant funds are spent appropriately?

Applicant Response:

Key Personnel for all finance related matters include the CFO, Business Manager, Cost Analyst, Department Business Specialist and the Financial Office Professional.

The Cost Analyst is responsible for tracking the grant expenditures and reimbursements on the accounting records. The Business Manager and CFO are responsible for reviewing the budget for necessary modifications. The department follows the Auditor-Controller's policies regarding internal controls to ensure assets (cash and equipment) are protected. The Business Manager and CFO review the grant reconciliations on a monthly basis and prior to submission.

All grant reconciliation is based on actual expenditures incurred. Reconciliation is prepared after the billing period has closed. A report is run which indicates the expenditures that posted to the County's Financial Information Network (FIN) during the billing period. If services are performed during a given period, the department ensures that those services are paid for during that same period. Unallowable costs are noted on the grant reconciliation spreadsheet. Unallocable costs are not directly coded to the grant project. All unallocable costs are captured under the 10% administrative cost allocation. This 10% administrative cost methodology is used because the office's approved ICRP rate is not approved by a federal cognizant agency.

The Department Business Specialist is responsible for procuring goods and services for the performance of the grant. The allowable goods and services are included in the approved program budget, and are purchased in accordance with proper procurement policy. The Department Business Specialist is responsible for obtaining quotes for procurement. A quote is obtained for the goods and/or services. If over $3,500, a purchasing requisition is prepared and submitted to the County Purchasing Division. The vendor is vetted through the County Purchasing Division to ensure they are not a disqualified/debarred vendor. If required, a request for non-competitive bid is prepared and submitted to the County Purchasing Division. The County has maintained documentation to support all procurements conducted using grant funds.

Checks are prepared on a daily basis by the Auditor-Controller's Office. The department processes invoices upon receipt. The Financial Office Professional processes the invoice, which is then reviewed and approved by the Department Business Specialist, the Cost Analyst and the Business Manager. Certain invoices also require CFO approval. The claim is then reviewed by the Auditor-Controller's office. Payment is only processed with proper supporting documentation.

Deposit journals are entered by the Financial Office Professional or the Department Business Specialist into FIN. Each journal is then reviewed by the Cost Analyst and the Business Manager to ensure accuracy.

5. APPLICANT QUESTION: CONTACT UPDATES

Has your county's Admin User updated the Contacts and Users for your Program?

- ***Contacts*** *are those, such as your elected District Attorney, who need to be identified but do not need access to GMS.*
- ***Users*** *are those individuals who will be entering information/uploading into GMS for the application.* ***Confidential Users*** *have access to everything in all your grant applications.* ***Standard Users*** *do not have access to the Confidential Sections where Investigation Activity is reported. Typical Standard Users are budget personnel.*

Applicant Response:

Yes

6. APPLICANT QUESTION: PROGRAM CONTACTS

Identify the individuals who will serve as the Program Contacts and your Elected District Attorney. Your Program Contacts must be entered as a User and your Elected District Attorney may be a Contact or User in GMS. Contact your county's Admin User if an individual needs to be added or updated.

On the final submission page, you will link your Program Contacts to the application.

Project Director/Manager *is the individual ultimately responsible for the program. This person must be a Confidential User.*

Case Statistics/Data Reporter *is the individual responsible for entering the statistics into the DAR (District Attorney Program Report). This person should be a Confidential User.*

Compliance/Fiscal Officer *is the individual responsible for all fiscal matters relating to the program. This person is usually a Standard User.*

Elected District Attorney *is your county's elected official. This person must be entered as a Contact or a User.*

Applicant Response:

Program Contacts	Name
Project Director / Manager	Brian Cota
Case Statistics / Data Reporter	Anne Nudson
Compliance / Fiscal Officer	Caressa Stevenson
Elected District Attorney	John Savrnoch

7. APPLICANT QUESTION: REQUIRED DOCUMENTS UPLOAD

Have you reviewed the Application Upload List and properly named and uploaded the documents into your Document Library?

To view/download the Application Upload List: go the Announcement, click View, and at the top of the page select Attachments. The Application Upload List is 4d. Items must be uploaded into the Document Library before you can attach them to the upcoming questions.

Applicant Response:

Yes

Sub-Section Name: BOS Resolution

8. APPLICANT QUESTION: BOS RESOLUTION

Have you uploaded a Board of Supervisors (BOS) Resolution to the Document Library and attached it to this question?

A BOS Resolution for the new grant period must be uploaded to GMS to receive funding for the 2026-27 Fiscal Year. If the resolution cannot be submitted with the application, it must be emailed to LAU@insurance.ca.gov no later than December 31, 2026. There is a sample with instructions located in the Announcement Attachments, 3b.

Applicant Response:

No

9. APPLICANT QUESTION: DELEGATED AUTHORITY DESIGNATION

Choose from the selection who will be the person submitting this application, signing the Grant Award Agreement (GAA), and approving any amendments thereof.

The person selected must be a Confidential User, who will attest their authority and link their contact record on the submission page of this application. Must be a direct email address; No generic/group email address allowed. A sample Delegated Authority Designation Letter is located in the Announcement Attachments, 3a. CDI encourages the contact named as Project Director/Manger be the designated authority.

Applicant Response:

Designated Person named in Attached Letter

Documents:

26 -27 Santa Barbara Designated Authority Letter.Pdf

Section Name: County Plan

Sub-Section Name: Qualifications and Successes

10. APPLICANT QUESTION: SUCCESSES

What areas of your life and annuity consumer protection program were successful and why?

Detail your program's successes for ONLY the 2024-25 and 2025-26 Fiscal Years. It is not necessary to list every case. Please do not include confidential case information in your response.

Applicant Response:

The Santa Barbara Life and Annuity Consumer Protection Program has historically had a low number of cases, but these cases have been large, complex, and with either a number of victims, substantial financial damages, or both. Life Insurance and Annuity Fraud cases have taken years to fully investigate and prosecute due to the nature of complex financial fraud involving insurance policies and documentation. Despite these difficulties, our program has been successful in achieving significant convictions and securing restitution.

Over $250,000 has been secured in restitution in the case of the People v. Wendy Foster and Erica Salda, and payments have continued to be made to the estate while the defendants were on probation.

The case of the People v. Brett Lovett was taken to trial in the 2023-2024 year, with final sentencing occurring at the tail end of the 2024 fiscal year. The trial, which took months to complete, resulted in 29 felony convictions, including securities fraud, identity theft, and grand theft. The defendant is currently sentenced to prison, with restitution ordered to his victims.

These cases represent successfully investigations and prosecutions of our LACPP program. Moreover, the expertise and knowledge gained from our partnerships with agencies like the California Department of Insurance, as well as the private carriers with whom we worked closely in these matters, has allowed our office to gain skills necessary to identifying and pursuing similarly complex financial frauds involving California's often byzantine insurance landscape.

11. APPLICANT QUESTION: PERSONNEL CONTINUITY

Explain what your county is doing to achieve and preserve life and annuity fraud institutional knowledge in your grant program. Also detail and explain the turnover or continuity of personnel assigned to your life and annuity fraud program. Include any rotational policies your county may have.

Applicant Response:

As in previous years, the Santa Barbara District Attorney's Office continues to provide unfunded support to ensure the success of the Life and Annuity Consumer Protection Program. Our office uses general fund personnel to assist in the investigation and prosecution of Life and Annuity fraud cases. Additionally, our office provides digital case storage and management, technology support services, and office space.

12. APPLICANT QUESTION: FROZEN ASSETS DISTRIBUTION

Were any frozen assets distributed in FY 2025-26?

If yes, please describe. Assets may have been frozen in previous years.

Applicant Response:

No

13. APPLICANT QUESTION: INVESTIGATION RELATED STATISTICS

Complete the grid; if you have no County generated stats for the box enter "0."

Vertical Prosecution does NOT count as an Investigation.

Applicant Response:

Fiscal Year	Search Warrants Received/Approved	Cases Received/Pending Filing Decision	Felony Arrest Warrants Issued	Misdemeanor Arrest Warrants Issued	Total CDI Referrals Rejected for Prosecution
FY 2025-26	0.00	0.00	0.00	0.00	0.00
FY 2024-25	0.00	0.00	0.00	0.00	0.00
FY 2023-24	0.00	0.00	0.00	0.00	0.00
	Total: 0.00	Total: 0.00	Total: 0.00	Total: 0.00	Total: 0.00

14. APPLICANT QUESTION: PROSECUTION STATISTICS

Complete the grid; if you have no County generated stats for the box enter "0."

Applicant Response:

Fiscal Year	CDI Cases Filed	Number of Suspects	Number of Victims	Chargeable Fraud Amount	Total Ongoing Filed Cases

FY 2025-26	0.00	0.00	0.00	$0.00	0.00
FY 2024-25	0.00	0.00	0.00	$0.00	0.00
FY 2023-24	0.00	0.00	0.00	$0.00	0.00
	Total: 0.00	Total: 0.00	Total: 0.00	Total: $0.00	Total: 0.00

15. APPLICANT QUESTION: COURT AND SENTENCING STATISTICS

Complete the grid; if you have no County generated stats for the box enter "0.".

Applicant Response:

Fiscal Year	Preliminary Hearing(s) Concluded	Trials(s) Concluded	Felony Convictions	Misdemeanor Convictions	Sentencing Concluded
FY 2025-26	0.00	0.00	0.00	0.00	0.00
FY 2024-25	0.00	0.00	0.00	0.00	0.00
FY 2023-24	0.00	1.00	29.00	0.00	0.00
	Total: 0.00	Total: 1.00	Total: 29.00	Total: 0.00	Total: 0.00

Sub-Section Name: Staffing

16. APPLICANT QUESTION: STAFFING LIST

Complete the chart and list the individuals working the program. Include prosecutor(s), investigator(s), and support staff and any vacant positions to be filled.

All staff listed in your application budget must be included in the chart in addition to unfunded staff that work on the grant.

For each person, list the percentage of time dedicated to the program and the start and end dates the individual is in the program. The entry in the "% Time" field must be a whole number, i.e., an employee who dedicates 80% of their time to the program but is only billed 20% to the program, would be entered as "80" in the "% Time Dedicated to the Program" column.

Applicant Response:

Name	Role	Start Date	End Date (leave blank if N/A)	% Time Dedicated to the Program
Brian Cota	Supervising Senior Deputy District Attorney	07/01/2019		10.00
Anne Nudson	Deputy District Attorney	01/01/2026		15.00
Glenna Conover	Legal Office Professional	04/01/2023		5.00

17. APPLICANT QUESTION: FTE AND POSITION COUNT

The staff and FTE included in the chart below MUST MATCH the staff and FTE listed in your application budget. Do not include unfunded personnel.

The "# of Positions" field represents people and must be entered in whole numbers. The "FTE" field must be entered as a decimal and represents the Full Time Equivalent (FTE) for all budgeted personnel in that position.

E.g., Two Attorneys who are billed to the program at 80% each would be entered as "2" in the # of Positions field and "1.60" in the FTE field.
Reminder: This chart MUST match your application budget.

Applicant Response:

Salary by Position	# of Positions (whole numbers)	FTE (1.00 = 2080 hours/year)

Supervising Attorneys	1.00	0.10
Attorneys	1.00	0.15
Supervising Investigators		
Investigators (Sworn)		
Investigators (Non-Sworn)		
Investigative Assistants		
Forensic Accountant/Auditor		
Support Staff Supervisor		
Paralegal/Analyst/Legal Assistant/etc.		
Clerical Staff	1.00	0.05
Student Assistants		
Over Time: Investigators		
Over Time: Other Staff		
Salary by Position, other		
	Total: 3.00	**Total: 0.30**

18. APPLICANT QUESTION: ORGANIZATIONAL CHART

Upload and attach to this question an Organizational Chart; label it "26-27 LACPP (county name) Org Chart".

The organizational chart should outline:

- *All personnel assigned to the program, including unfunded staff. Identify their name, position, title, and placement in the lines of authority to the elected district attorney.*
- *The placement of the program staff and their program responsibility.*

Counties are required to email LAU a new copy of the organizational chart if it changes during the year.

Applicant Response:

26-27 Santa Barbara Org Chart.Pdf

Sub-Section Name: Problem Statement & Program Strategy

19. APPLICANT QUESTION: PROBLEM STATEMENT

Describe the types and magnitude of life and annuity fraud (e.g., advanced commissions, churning and twisting, financial elder abuse, forgery, identity theft, material misstatements, monetary theft and embezzlement, stranger owned life insurance transactons, and/or sale of unregistered security/investment products) relative to the extent of the problem specific to your county.

Use local data or other evidence to support your description.

Applicant Response:

Annuity fraud and life insurance fraud are crimes that often target elderly victims. The cities of Santa Barbara and Montecito have a significantly higher population of elderly individuals compared to the state average. According to the 2020 census, 33.7% of Montecito's population is over the age of 65, along with 19% of Santa Barbara City also over the age of 65, in contrast to the state average of 15%. Additionally, both Montecito and Santa Barbara have numerous high net-worth households. Given these statistics, Santa Barbara County faces an elevated risk of financial elder abuse fraud and identity theft.

Life insurance and annuity fraud can take various forms, such as misrepresenting existing health conditions (known as "clean sheeting"), selling fake policies either by impersonating an insurance agent or by an actual licensed agent stealing premiums, and a range of identity theft-related schemes. Insurance fraud, such as "twisting" or "churning," involves agents convincing clients to repeatedly sell and replace policies for commissions. This can financially devastate victims who trust the agent's expertise. In Santa Barbara County, there have been multiple instances of these crimes in recent years.

Combating these crimes requires familiarity with the insurance system and the various state and local agencies and private companies involved in insurance-related investigations. These include the California Department of Insurance, which has investigative teams that serve as a resource and partner in investigations, Adult Protective Services, which maintains a multidisciplinary team coordinating with local law enforcement and social services to identify vulnerable victims likely to be targets of scams, and the insurance carriers themselves, who provide crucial documentation and expertise. Santa Barbara has previously collaborated closely with all these organizations and will continue to do so in the 2026-2027
Fiscal Year.

---

20. APPLICANT QUESTION: INVESTIGATION PLAN

Does your agency anticipate using the funds for the investigation of life and annuity financial abuse against any target population, such as seniors or the economically disadvantaged?

If so, describe the reasoning for the selection of this population and include any relevant demographic information for your county.

Applicant Response:

General funds, as well as coordination with the California Department of Insurance and local law enforcement, are used toward the investigation of life and annuity fraud-related crimes. However, funds allocated to the Life and Annuity Consumer Protection Program grant have been instrumental in engaging the services of experts in financial and insurance related matters, to untangle the complex mechanisms of deceit used by fraudulent actors.

Forensic accountants have been instrumental in analyzing financial records related to LACPP-related fraud cases in Santa Barbara County. Expert witnesses have prepared reports and testified in hearings in the last few years, clarifying nuanced and specialized areas of insurance policy for the court. LACPP funds have been instrumental for this purpose.

---

21. APPLICANT QUESTION: COLLABORATIVE EFFORTS PLAN

Describe how your agency will facilitate collaborative efforts with CDI's Investigation Division to prosecute Life and Annuity Fraud Cases.

Applicant Response:

The Santa Barbara District Attorney's Office maintains close communication with the Valencia regional office of the California Department of Insurance. Investigators and Detectives from the Department of Insurance regularly contact the District Attorney's Office to clarify filing requirements, discuss applicable legal and evidentiary issues, and coordinate search warrant reviews. In prior years we have coordinated joint trainings with the California Department of Insurance
Investigation Division to educate local law enforcement on the nature of white collar insurance fraud related cases, and intend to continue to maintain close connections with CDI this year to provide resources to Santa Barbara Police and Sheriff detectives investigating white collar crimes.

---

22. APPLICANT QUESTION: CASE FILING REQUIREMENTS

Describe your agency's general case filing requirements for acceptance of cases from CDI's Investigation Division.

Applicant Response:

The Santa Barbara District Attorney's Office reviews every case that is submitted to it from the California Department of Insurance Investigative Division, to determine whether the elements of the submitted offenses are provable, and that there is sufficient evidence to move forward. All cases are reviewed pursuant to the ethical obligations of the Santa Barbara District Attorney's Office, and only cases which possess adequate evidence to secure a conviction at the time of filing are filed. When a case requires additional investigation, the Santa Barbara District Attorney's Office works closely with the investigating agency, and any affiliated organizations, in order to fully pursue any wrongdoing.

23. APPLICANT QUESTION: PLANS TO MEET IC GOALS

What are your plans to meet the announced goals of the Insurance Commissioner?

If these goals are not realistic for your county, please state why they are not, and what goals you can achieve. Include your strategic plan to accomplish these goals. *Copies of the Goals can be found in the Announcement Attachments, 4f.*

Applicant Response:

Public safety is a primary concern for the Santa Barbara District Attorney's Office in all matters. Given the particular vulnerability of the elderly community, the Santa Barbara District Attorney's Office assigns elder abuse cases to dedicated attorneys with expertise in the area. Supervising Deputy District Attorney Brian Cota receives all elder abuse cases involving financial crimes, which are reviewed, and then assigned to Senior Deputy District Attorney Anne Nudson or kept by Supervising Deputy District Attorney Cota.

For the past five years, Supervising District Attroney Cota has remained the had of the unit and has assigned, trained, and worked with all attorneys assigned to the LACPP grant. Seasoned and knowledgeable leadership has allowed for attorneys to maintain and grow their understanding of the underlying topic area, and has assisted our office in receiving favorable results in cases.

In previous years the Santa Barbara District Attorney's Office began collaborating with the California Department of Insurance Investigations Division to provide training to local law enforcement. This training covered a wide array of insurance fraud topics, with a particular focus on raising awareness of the kinds of crimes that patrol officers were likely to see. This year we continued to explore new avenues of outreach, making a foray into the podcasting space with the Santa Barbara County's "Scam Squad" podcast. We dedicated an episode to educating the public on issues related to financial frauds
related to insurance scams, with a particular focus on vulnerable and isolated communities. We also engage in media outreach regarding cases of significant community importance. In prior years we communicated with local news outlets regarding the disposition of LACPP related cases, and upon the conviction of Mr. Lovett we published a press statement detailing the case and its prosecution.

The nature of LACPP cases tend to be of significant community importance. They can often involve many victims, or be related to a scheme or fraud which the public would benefit from being aware of. As a result our office has attempted in this year, and in the past, to raise public awareness through multiple forms of media, from traditional print media, to more recently exploring web-based shows and social media.

24. APPLICANT QUESTION: RESTITUTION AND FINES

Describe the county's efforts and the District Attorney's plan to obtain restitution and fines imposed by the court.

Applicant Response:

Restitution orders made by the court are assigned to the County Probation Office, which oversees their collection. However, where possible the District Attorney's Office endeavors to make collection of restitution a priority. When negotiating any settlement, restitution matters are addressed, with collection of restitution pre-sentence a priority. For
example, restitution was secured in the People v. Wendy Foster and Erica Salda case through a lien secured against Ms. Foster's property, and Ms. Salda was required to pay her full $250,000 restitution order prior to sentencing. In the instance of Mr. Lovett, restitution was ordered in the matter, but given the financial status of Mr. Lovett, and the many years he is sentenced to serve in prison, it seems unlikely that many of his victims will be able to secure meaningful restitution.

25. APPLICANT QUESTION: RESTITUTION NUMBERS

Provide the amount of restitution ordered and collected for the past five fiscal years.

If this information is not available, provide an explanation.

Ensure the numbers reported here match the numbers reported on your Annual Program Reports.

Applicant Response:

Fiscal Year	Restitution Ordered	Restitution Collected
2025-26	$0.00	$0.00
2024-25	$436,540.85	$0.00
2023-24	$0.00	$0.00
2022-23	$500,000.00	$250,000.00
2021-22	$0.00	$0.00
	Total: $936,540.85	Total: $250,000.00

26. APPLICANT QUESTION: UTILIZATION PLAN RELATED TO UNEXPENDED FUNDS

If you had any unexpended funds from FY 2024-25 (Overview Questions 2 & 3), address the below question(s). If you did not have any unexpended funds from FY 2024-25, mark N/A.

1) You must address if you are on track to expend all of your Total Funding for FY 2025-26. This includes your FY 2025-26 Awards and Approved Carryover.

2) If you are not on track to expend your Total Funding and you are not asking for a corresponding reduction in your grant request, please explain.

Applicant Response:

Not Applicable

27. APPLICANT QUESTION: UTILIZATION PLAN

Your budget provides the amount of funds requested for Fiscal Year 2026-27.

Provide a brief narrative description of your utilization plan for the Fiscal Year 2026-27 requested funds.

If an increase is being requested, please provide a justification.

Applicant Response:

The Santa Barbara District Attorney's Office is fully committed to pursuing, investigating, and prosecuting Life Insurance and Annuity Fraud, dedicating one veteran prosecutor and an experienced and knowledgeable Supervising Deputy District Attorney to the task. Life Insurance and Annuity Fraud frequently targets vulnerable communities, and the Santa Barbara District Attorney's Office works with Santa Barbara County Adult Protective Services, and Santa Barbara County Department of Social Services, to coordinate with other agencies interacting with high-risk individuals.

Funds will go toward continuing to pay for prosecutors, investigators, and support staff necessary to pursue prosecution of these complex cases, as well as fund outreach and partnership efforts with other agencies, in order to spread awareness of fraud-related crimes.

Funds will also be allocated to securing expert witnesses in the area of financial crimes to facilitate prosecutions related to Life Insurance and Annuity Fraud. Due to the complexity of financial crimes and insurance-related crimes in particular, specialized experts, often in the form of forensic accountants, or other experts in the field of insurance, are necessary to reduce these issues into understandable testimony for judges and juries. Additionally, the Santa Barbara District Attorney's Office hopes to use resources from the grant to provide outreach, education, and training on how to avoid becoming a victim of Life Insurance and Annuity Fraud

Sub-Section Name: Training and Outreach

28. APPLICANT QUESTION: TRAINING RECEIVED

List the <u>insurance fraud</u> training received by each county staff member in the life and annuity consumer protection program during Fiscal Year 2025-26.

If it is a multiple day training/conference (e.g. CDAA, AFA, etc.), only one entry is required; enter the first day for the "Training Date" field. For the "Hour Credit" field, enter the combined total hours of credit for all attendees.

Applicant Response:

Number of Personnel	Training Date	Provider	Location	Topic	Hours Credit (combined total)
1.00	10/07/2025	CDAA	Costa Mesa, CA	CDAA Fraud Symposium	18.75

29. APPLICANT QUESTION: TRAINING AND OUTREACH PROVIDED

Upload and attach the Training and Outreach Provided form in Excel; label it "26-27 LACPP (county name) Training and Outreach Provided". Do not include training *received*; **only list life and annuity insurance fraud training and outreach provided during FY 2025-26** as outlined in the outreach definition below.

- For the number of Attendees / Contacts list only ***numbers***; no other characters. Estimate the number as best you can. The data provided on this Excel sheet is compiled and presented to the Insurance Commissioner as Outreach is a focus of the Commissioner's Goals & Objectives.
- For the purposes of the insurance fraud grant programs, "outreach" is defined as: Any activity undertaken by a grant awardee to inform and educate the public on the nature and consequences of insurance fraud and the training and sharing of best practices with industry stakeholders and allied law enforcement agencies. The results will be crime prevention, the generation of quality referrals from the public, business community, insurance industry, and law enforcement, and improved strategies for the investigation and prosecution of insurance fraud.

- *If, in the form, you listed any "Other, Specify" provide a brief explanation here; other additional comments are optional. The blank form is located in the Announcement Attachments, 1a.*

Applicant Response:

No

30. APPLICANT QUESTION: FUTURE TRAINING AND OUTREACH

Describe what kind of training/outreach you plan to provide in Fiscal Year 2026-27.

Applicant Response:

In this previous year our office has reached out to several insurance carriers with whom we have worked in the past to coordinate a training and education program, as well as to CDI Investigations Division. Though we are early in the process, our hope is to work toward building a coalition to better share information, best practices, and to facilitate referral of cases for investigation.

Our office meets regularly with a county-wide multi-disciplinary team that addresses at-risk communities, with a focus on the elderly and mentally ill. In the past, Adult Protective Services has been a valuable source of information regarding scams targeting the elderly. This team provides a valuable conduit to identify financial fraud schemes targeting these communities and both in the past, and currently, assists in the investigation of complex financial schemes directed at vulnerable victims.

Finally, we intend to continue to expand and develop our direct public outreach campaign through local public interest podcasts, as well as public announcements regarding developments in high profile cases. Our office also has a social media presence, where we speak about issues related to criminal justice. This last we used these platforms to raise awareness of scams targeting the elderly, including insurance related frauds, and we intend to continue and expand upon these efforts this year.

Sub-Section Name: Joint Plan

31. APPLICANT QUESTION: JOINT PLAN

Upload your LACPP Joint Plan and label it "26-27 LACPP (county name) Joint Plan".

Each County is required to develop a Joint Plan with their CDI Regional Office. Please note, the joint plan you upload is a tentative agreement pending execution of a Grant Award Agreement (GAA) signed by the authorized parties. Additional Information is in the Announcement Attachments, 3c, and also copied info the attached instructions to this question.

Applicant Response:

Confirm Joint Plan agreed upon by both parties

Documents:

26-27 LACPP Santa Barbara Joint Plan.Docx

Section Name: Investigation Case Reporting

Sub-Section Name: Upcoming Prosecution Reporting

32. APPLICANT QUESTION: UPCOMING PROSECUTIONS

What Investigation Cases Are You Vertically Prosecuting With CDI That You Expect To File In Court In This Upcoming Fiscal Year? If None, Mark The N/A. Response.

Applicant Response:

Not Applicable

Sub-Section Name: DA Investigation Reporting

33. APPLICANT QUESTION: INVESTIGATION CASE ACTIVITY REPORT (ICAR)

Did the County conduct active life and annuity fraud investigations during the reporting period? If yes, download Announcement Attachment 1bii, label it "26-27 LACPP (county name) ICAR" upload and mark confidential, then attach to this question.

Joint cases should be included, but only include work done by the DA staff. ***Do not include Vertical Prosecution.***

Further details are provided in the instructions attached to this question. The blank report form is located in the Announcement Attachment, Form 1bii.

Applicant Response:

No

Section Name: Acknowledgment

34. APPLICANT QUESTION: ACKNOWLEDGMENT

For purposes of the grant application process and Grant Award Agreement (GAA), the term "application" refers to the grant application and its Funding Announcement Attachments including, but not limited to, the Budget Instructions, Grant Requirements, and Fact Sheets.

Applicant Response:

I acknowledge

BUDGET

Budget Year: 1

Budget Category	Direct	Total
Salary By Position	$62,900.00	$62,900.00
Supervising Attorneys	$23,100.00	$23,100.00
Attorneys	$34,700.00	$34,700.00
Supervising Investigators		
Investigators (Sworn)		
Investigators (Non-Sworn)		
Investigative Assistants		
Forensic Accountant/Auditor		
Support Staff Supervisor		

Paralegal/Analyst/Legal Assistant/etc.		
Clerical Staff	$5,100.00	$5,100.00
Student Assistants		
Over Time: Investigators		
Over Time: Other Staff		
Salary By Position - other		
Benefits	$33,800.00	$33,800.00
▾ Operating Expenses, General	$0.00	$0.00
Grant Indirect Costs - 10% method; plan must be on file and made available to CDI upon request (choose only 1 indirect cost method)		
Grant Indirect Costs - 5% method; plan must be on file and made available to CDI upon request (choose only 1 indirect cost method)		
Audit		
Forensic Accounting Services		
Transcription Services, Interpreter Services, Records Requests		
Expert Consultant Fees		

Witness Fees/Litigation Fees		
Undercover Operation Expenses		
Office Supplies		
Office Space/Facility Fees		
IT Services		
Communications (phone, etc.)		
Membership Dues/Publications		
Operating Expenses, General - other		
▾ Operating Expenses, Detailed	$0.00	$0.00
Outreach (identify details in narrative)		
Insurance (i.e., General Liability, etc.; identify in narrative)		
Motor Pool/Fleet Services (cannot include reserve fund for future purchases; identify number of vehicles)		
Vehicle Fuel and Maintenance (identify number of vehicles in narrative)		

Vehicle Mileage (not to exceed federal standard mileage rate; not allowed for grant purchased or motor pool/fleet vehicles; identify number of vehicles in narrative)		
Vehicle Parking (identify number of vehicles in narrative)		
Software Renewal (identify in narrative)		
Software Purchase (identify and provide justification in narrative)		
Minor Equipment as defined in instructions (Identify equipment and purchase price of each item in narrative)		
Equipment Lease/Maintenance (identify in narrative)		
Operating Expenses, Detailed - other		
▾ Operating Expenses, Travel and Training	$0.00	$0.00
Travel - In CA (Include costs such as hotel, airfare, and rental car associated with investigation and/or training. In narrative identify purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Travel - Out of CA (Include costs such as hotel, airfare, and rental car for out of state travel associated with investigation and/or training. In narrative identify state, purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Training - In CA (Include registration fees. In narrative identify purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Training - Out of CA (Include registration fees. In narrative identify state, purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Operating Expenses, Travel and Training - other		

Equipment	$0.00	$0.00
Computers (provide justification and % billed to each program in narrative)		
Printers/Scanners (provide justification and % billed to each program in narrative)		
Vehicles (provide justification and % billed to each program in narrative)		
Vehicle Code 3 Equipment (provide number and % billed to each program in narrative)		
Equipment - other		
Total	$96,700.00	$96,700.00

Budget Justification

Category Name	Category Calculations	Category Narrative
Salary By Position		
Supervising Attorneys	No. of Positions: 1 Total FTE: 0.1 Total Cost: $23,100.00 Total Requested Amount (Direct): $23,100.00	

Attorneys	No. of Positions: 1 Total FTE: 0.15 Total Cost: $34,700.00 Total Requested Amount (Direct): $34,700.00
Supervising Investigators	
Investigators (Sworn)	
Investigators (Non-Sworn)	
Investigative Assistants	
Forensic Accountant/Auditor	
Support Staff Supervisor	
Paralegal/Analyst/Legal Assistant/etc.	
Clerical Staff	No. of Positions: 1 Total FTE: 0.05 Total Cost: $5,100.00 Total Requested Amount (Direct): $5,100.00
Student Assistants	

Over Time: Investigators	
Over Time: Other Staff	
Salary By Position - other	
Benefits	
▾ Operating Expenses, General	
Grant Indirect Costs - 10% method; plan must be on file and made available to CDI upon request (choose only 1 indirect cost method)	
Grant Indirect Costs - 5% method; plan must be on file and made available to CDI upon request (choose only 1 indirect cost method)	
Audit	
Forensic Accounting Services	
Transcription Services, Interpreter Services, Records Requests	
Expert Consultant Fees	
Witness Fees/Litigation Fees	
Undercover Operation Expenses	
Office Supplies	
Office Space/Facility Fees	
IT Services	
Communications (phone, etc.)	
Membership Dues/Publications	

Operating Expenses, General - other		
▾ Operating Expenses, Detailed		
Outreach (identify details in narrative)		
Insurance (i.e., General Liability, etc.; identify in narrative)		
Motor Pool/Fleet Services (cannot include reserve fund for future purchases; identify number of vehicles)		
Vehicle Fuel and Maintenance (identify number of vehicles in narrative)		
Vehicle Mileage (not to exceed federal standard mileage rate; not allowed for grant purchased or motor pool/fleet vehicles; identify number of vehicles in narrative)		
Vehicle Parking (identify number of vehicles in narrative)		
Software Renewal (identify in narrative)		
Software Purchase (identify and provide justification in narrative)		
Minor Equipment as defined in instructions (Identify equipment and purchase price of each item in narrative)		
Equipment Lease/Maintenance (identify in narrative)		

Operating Expenses, Detailed - other		
▾ Operating Expenses, Travel and Training		
Travel - In CA (Include costs such as hotel, airfare, and rental car associated with investigation and/or training. In narrative identify purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Travel - Out of CA (Include costs such as hotel, airfare, and rental car for out of state travel associated with investigation and/or training. In narrative identify state, purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Training - In CA (Include registration fees. In narrative identify purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Training - Out of CA (Include registration fees. In narrative identify state, purpose, number of staff, and % billed to the program and other source of funding if less than 100%)		
Operating Expenses, Travel and Training - other		
▾ Equipment		
Computers (provide justification and % billed to each program in narrative)		

Printers/Scanners (provide justification and % billed to each program in narrative)		
Vehicles (provide justification and % billed to each program in narrative)		
Vehicle Code 3 Equipment (provide number and % billed to each program in narrative)		
Equipment - other		