



May 19, 2021

Joyce Dudley, District Attorney  
Santa Barbara County  
1112 Santa Barbara Street  
Santa Barbara, CA 93101-2008

Subject:       **NOTIFICATION OF APPLICATION APPROVAL**  
County Victim Services Program  
Subaward #: XC20 03 0420, Cal OES ID: 083-00000

Dear Ms. Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$239,272, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at [www.caloes.ca.gov](http://www.caloes.ca.gov).

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file

Cal OES #	083-00000-16	FIPS #	83-00000	VS#		Subaward #	XC20 03 0420
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**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
GRANT SUBAWARD FACE SHEET**

SMC

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:


- 1. **Subrecipient:** Santa Barbara County **1a. DUNS#:** 131851219 ✓
- 2. **Implementing Agency:** Santa Barbara County Office of the District Attorney **2a. DUNS#:** 131851219
- 3. **Implementing Agency Address:** 1112 Santa Barbara Street Santa Barbara 93101-2008  
(Street) (City) (Zip+4)
- 4. **Location of Project:** Santa Barbara Santa Barbara 93101-2008  
(City) (County) (Zip+4)
- 5. **Disaster/Program Title:** County Victim Services ~~MC~~ Program **6. Performance** 1/1/2021 to 12/31/2021  
Period: (Start Date) (End Date)
- 7. **Indirect Cost Rate:** 10% de minimis **Federally Approved ICR (if applicable):** \_\_\_\_\_ %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2018	VOCA		\$119,636					\$119,636
9.	2019	VOCA		\$119,636					\$119,636
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
<b>Total Project Cost</b>				\$239,272	\$239,272				\$239,272

**13. Certification** - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

**14. CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.


**15. Official Authorized to Sign for Subrecipient:**

Name: Joyce E. Dudley Title: District Attorney  
 Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008  
 Signature:  Date: 5/4/2021

**16. Federal Employer ID Number:** 956002833

**(FOR Cal OES USE ONLY)**

I hereby certify that, to my personal knowledge that budgeted funds are available for the period of the expenditure stated above.

	<u>5/19/2021</u>		<u>5/19/2021</u>
(Cal OES Director or Designee)	(Date)	(Cal OES Director or Designee)	(Date)

ENY: 2020-21 Chapter: 6 SL: 18408  
 Item: 0690-102-0890 Pgm: 0385  
 FAIN #: 2018-V2-GX-0029 10/01/17-09/30/21  
 Fund: Federal Trust AL#: 16.575  
 Program: County Victim Services Program  
 Match Req.: 20%, C/IK based on TPC-Match Waived  
 Project ID: OES18VOCA000012  
 SC: 2020-18408 Amount: \$119,636

DS  
JH

ENY: 2020-21 Chapter: 6 SL: 18409  
 Item: 0690-102-0890 Pgm: 0385  
 FAIN #: 2019-V2-GX-0053 10/01/18-09/30/22  
 Fund: Federal Trust AL#: 16.575  
 Program: County Victim Services Program  
 Match Req.: 20%, C/IK based on TPC- Match Waived  
 Project ID: OES19VOCA000012  
 SC: 2020-18409 Amount: \$119,636



## SPECIAL CONDITION

Grant Subaward No. XC20 03 0420 is hereby approved with the following condition:

- The 2018 VOCA Funds in the amount of \$ 119,630 must be expended by 07/31/2021 and the final 2-201 must be received by Cal OES by 08/31/2021.
- The 2018 VOCA funds in the amounts of \$ 119,630 must be expended before the 2019 VOCA funds.

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the Grant Subaward and/or the denial of future grant funds.

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**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

**1. Cal OES Contact Information Section:**

Governor's Office of Emergency Services  
 Mark S. Ghilarducci, Director  
 3650 Schriever Avenue  
 Mather, CA 95655  
 (916) 845-8506 (phone)

**2. Federal Awarding Agency Section:**

Fund Year	Federal Program Fund / AL#	Federal Awarding Agency	Total Federal Award Amount	Total Local Assistance Amount
2019	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$266,680,824	\$256,013,591
2018	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$396,642,274	\$380,776,583
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

**3. Project Description Section:**

- Project Acronym (Please choose from drop down):  
County Victim Services Program (XC)
- Project Description (Please type the Project Description):  
Provides one-time federal VOCA funding to each of California's 58 counties and the City of Los Angeles to help fill self-identified victim services gaps/needs.

**4. Research & Development Section:**

- Is this Subaward a Research & Development grant?  
 Yes                       No



## Grant Subaward Contact Information

Grant Subaward #: XC20 03 0420

Subrecipient: Santa Barbara County

1. **Grant Subaward Director:**

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

2. **Financial Officer:**

Name: Michael Soderman Title: Chief Financial Officer

Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

3. **Programmatic Point of Contact:**

Name: Megan Rheinschild Title: Victim Witness Program Director

Telephone #: (805) 568-2408 Email Address: mriker@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

4. **Financial Point of Contact:**

Name: Michael Soderman Title: Chief Financial Officer

Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

5. **Executive Director** of a Non-Governmental Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

6. **Official Designee**, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

7. **Chair** of the **Governing Body** of the Subrecipient:

Name: Gregg Hart Title: Chair, Board of Supervisors

Telephone #: (805) 568-2191 Email Address: ghart@countyofsb.org

Address/City/ Zip Code (9-digit): 105 East Anapamu, Santa Barbara, CA 93101 -2000



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

### Grant Subaward Signature Authorization

Grant Subaward #: XC20 03 0420

Subrecipient: Santa Barbara County

Implementing Agency: Santa Barbara County Office of the District Attorney

The **Grant Subaward Director** and **Financial Officer** are **REQUIRED** to sign this form.

**Grant Subaward Director:**

Printed Name: Joyce Dudley

Signature:  DocuSigned by:  
1992EA10884741B

Date: 5/4/2021

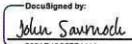
**Financial Officer:**

Printed Name: Michael Soderman

Signature:  DocuSigned by:  
8E38084DC74043F

Date: 5/4/2021

The following persons are authorized to sign for the **Grant Subaward Director:**

Signature:  DocuSigned by:  
909AD48C570141A

Printed Name: John Savrnoch

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

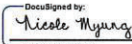
Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

The following persons are authorized to sign for the **Financial Officer:**

Signature:  DocuSigned by:  
8786815EFD249D

Printed Name: Nicole Myung

Signature:  DocuSigned by:  
9548940739407

Printed Name: Megan Rheinschild

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

**CERTIFICATION OF ASSURANCE OF COMPLIANCE**  
**Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program**

I, Joyce E. Dudley hereby certify that  
(official authorized to sign; same person as Section 15 on Grant Subaward Face Sheet)

Subrecipient: Santa Barbara County

Implementing Agency: ~~District Attorney's Office~~ Santa Barbara County - Office of the District Attorney *MR*

Project Title: County Victim Services (XG) Program *MR*

is responsible for reviewing the *Subrecipient Handbook* and adhering to all of the Grant Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

**I. Federal Grant Funds**

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to Office of Management & Budget (OMB) Uniform Guidance 2 Code of Federal Regulations (CFR) Part 200, Subpart F and are allowed to utilize federal grant funds to budget for the audit costs. See Section 8000 of the *Subrecipient Handbook* for more detail.

- The above named Subrecipient receives \$750,000 or more in federal grant funds annually.
- The above named Subrecipient does not receive \$750,000 or more in federal grant funds annually.

**II. Equal Employment Opportunity – (Subrecipient Handbook Section 2151)**

It is the public policy of the State of California to promote equal employment opportunity (EEO) by prohibiting discrimination or harassment in employment because of race, color, religion, religious creed (including religious dress and grooming practices), national origin, ancestry, citizenship, physical or mental disability, medical condition (including cancer and genetic characteristics), genetic information, marital status, sex (including pregnancy, childbirth, breastfeeding, or related medical conditions), gender, gender identity, gender expression, age, sexual orientation, veteran and/or military status, protected medical leaves (requesting or approved for leave under the Family and Medical Leave Act or the California Family Rights Act), domestic violence victim status, political affiliation, and any other status protected by state or federal law. **Cal OES-funded projects certify that they will comply with all state and federal requirements regarding equal employment opportunity, nondiscrimination and civil rights.**

Please provide the following information:

Equal Employment Opportunity Officer: Henry Ventura

Title: Equal Opportunity Manager

Address: 1226 Anacapa Street, Santa Barbara, CA 93101

Phone: (805) 568-2805

Email: hventura@co.santa-barbara.ca.us

**III. Drug-Free Workplace Act of 1990 – (Subrecipient Handbook, Section 2152)**

The State of California requires that every person or organization subawarded a grant or contract shall certify it will provide a drug-free workplace.

**IV. California Environmental Quality Act (CEQA) – (Subrecipient Handbook, Section 2153)**

The California Environmental Quality Act (CEQA) (*Public Resources Code, Section 21000 et seq.*) requires all Cal OES-funded projects to certify compliance with CEQA. Projects receiving funding must coordinate with their city or county planning agency to ensure that the project is compliance with CEQA requirements.

**V. Lobbying – (Subrecipient Handbook Section 2154)**

Cal OES grant funds, grant property, or grant-funded positions shall not be used for any lobbying activities, including, but not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

**VI. Debarment and Suspension – (Subrecipient Handbook Section 2155)**

*(This applies to federally-funded grants only.)*

Cal OES-funded projects must certify that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department of agency.

**VII. Proof of Authority from City Council/Governing Board – (Subrecipient Handbook Section 1350)**

The above-named organization (Applicant) accepts responsibility for and must comply with the requirement to obtain a signed resolution from the City Council/Governing Board in support of this Program. The Applicant must provide all matching funds required for said project (including any amendment thereof) under the Program and the funding terms and conditions of Cal OES, and that any cash match will be appropriated as required. It is agreed that any liability arising out of the performance of this Grant Subaward, including civil court actions for damages, shall be the responsibility of the grant Subrecipient and the authorizing agency. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also agreed that grant funds received from Cal OES shall not be used to supplant expenditures controlled by the City Council/Governing Board.



The Applicant is required to obtain written authorization from the City Council/Governing Board that the official executing this Grant Subaward is, in fact, authorized to do so. The Applicant is also required to maintain said written authorization on file and readily available upon demand.

### **VIII. Civil Rights Compliance**

The Subrecipient complies with all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

### **IX. Special Condition for Grant Subaward with Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program Funds**

#### **1. Applicability of Part 200 Uniform Requirements**

The Subrecipient must comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2019 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2019 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2019 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and Subawards ("Subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the Subrecipient must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the Subrecipient must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the Subrecipient is to contact OJP promptly for clarification.

2. Compliance with DOJ Grants Financial Guide

The Subrecipient must to comply with the DOJ Grants Financial Guide. References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The Subrecipient must comply with the DOJ Grants Financial Guide.

3. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP authority to terminate award)

The Subrecipient must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipients, Subrecipients ("Subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the Subrecipient or of any Subrecipient.

The details of the Subrecipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by Subrecipients and Subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

4. Civil Rights and Nondiscrimination

The Subrecipient understands that the federal statutes and regulations pertaining to civil rights and nondiscrimination and, in addition:

- a. The Subrecipient understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
- b. The Subrecipient understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110 (e)) ; section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13), which will apply to all awards made by the Office of Violence Against Women, also may apply to an award made otherwise; and

c. The Subrecipient understands they must comply with the specific assurances set out in 29 C.F.R. §§ 42.105 and 42.204.

5. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events

The Subrecipient must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

6. Compliance with General Appropriations-Law Restrictions on the Use of Federal Funds (FY 2019)

The Subrecipient must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2019, are set out at <https://ojp.gov/funding/Explore/FY19AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of an appropriations-law restriction, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

7. Reporting Potential Fraud, Waste, & Abuse

The Subrecipient must promptly refer to DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, Subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award -- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct. Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: Office of the Inspector General, U.S. Department of Justice, Investigations Division, 1425 New York Avenue, N.W. Suite 7100, Washington, DC

20530; and/or (3) by facsimile directed to the DOJ OIG Fraud Detection Office (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

8. Restrictions and Certifications Regarding Non-Disclosure Agreements and Related Matters

No Subrecipient under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

a. In accepting this award, the Subrecipient:

- o Represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- o Certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

b. If the Subrecipient does or is authorized under this award to make Subawards, procurement contracts, or both:

- o It represents that (1) it has determined that no other entity that the Subrecipient's application proposes may or will receive award funds (whether through a Subaward, procurement contract, or subcontract under a procurement contract) either requires or has required internal

confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

- o It certifies that, if it learns or is notified that any Subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

9. Encouragement of Policies to Ban Text Messaging while Driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Subrecipient understands that DOJ encourages Subrecipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

10. OJP Training Guiding Principles

Any training or training materials that the Subrecipient develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>.

11. Requirement to report actual or imminent breach of personally identifiable information (PII)

The Subrecipient must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it – (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The Subrecipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

12. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The Subrecipient must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a Subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at

<https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

13. Requirement for Data on Performance and Effectiveness Under the Award

The Subrecipient must collect and maintain data that measure the performance and effectiveness of activities under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.

14. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The Subrecipient must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The Subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the Subrecipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

15. Victims of Crime Act Requirements

The Subrecipient must comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required.

16. Demographic Data

The Subrecipient must collect and maintain information on race, sex, national origin, age, and disability of victims receiving assistance, where such information is voluntarily furnished by the victim.

17. Performance Reports

The Subrecipient must submit quarterly performance reports on the performance metrics identified by OVC, and in the manner required by OVC. This information on the activities supported by the award funding will assist in assessing the effects that VOCA Victim Assistance funds have had on services to crime victims within the jurisdiction.

18. Access to Records

The Subrecipient must authorize the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, paper, or documents related to the VOCA grant.

19. All Subawards ("Subgrants") must have specific federal authorization

The Subrecipient must comply with all applicable requirements for authorization of any Subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "Subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any Subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All Subawards ("Subgrants") must have specific federal authorization), and are incorporated by reference here.

20. Unreasonable restrictions on competition under the award; association with federal government

SCOPE. This condition applies with respect to any procurement of property or services that is funded (in whole or in part) by this award regardless of the dollar

amount of the purchase or acquisition, the method of procurement, or the nature of any legal instrument used.

- a. No discrimination, in procurement transactions, against associates of the federal government

Consistent with the (DOJ) Part 200 Uniform Requirements -- including as set out at 2 C.F.R. 200.300 (requiring awards to be "manage[d] and administer[ed] in a manner so as to ensure that Federal funding is expended and associated programs are implemented in full accordance with U.S. statutory and public policy requirements") and 200.319(a) (generally requiring "[a]ll procurement transactions [to] be conducted in a manner providing full and open competition" and forbidding practices "restrictive of competition," such as "[p]lacing unreasonable requirements on firms in order for them to qualify to do business" and taking "[a]ny arbitrary action in the procurement process") -- no Subrecipient may (in any procurement transaction) discriminate against any person or entity on the basis of such person or entity's status as an "associate of the federal government" (or on the basis of such person or entity's status as a parent, affiliate, or subsidiary of such an associate), except as expressly set out in 2 C.F.R. 200.319(a) or as specifically authorized by USDOJ.

- b. Monitoring

The Subrecipient's monitoring responsibilities include monitoring of compliance with this condition.

- c. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

- d. Rules of construction

1) The term "associate of the federal government" means any person or entity engaged or employed (in the past or at present) by or on behalf of the federal government -- as an employee, contractor or subcontractor, grant Subrecipient or -Subrecipient, agent, or otherwise -- in undertaking any work, project, or activity for or on behalf of (or in providing goods or services to or on behalf of) the federal government, and includes any applicant for such employment or engagement, and any person or entity committed by legal instrument to undertake any such work, project, or activity (or to provide such goods or services) in future.



- 2) Nothing in this condition shall be understood to authorize or require any Subrecipient or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

21. Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ, the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award is to benefit a set of individuals under 18 years of age.

The Subrecipient must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

22. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination – 28 C.F.R. Part 42

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

23. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

24. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to

Subrecipient organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to Subrecipients and Subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

#### 25. Restrictions on "Lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the Subrecipient, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the Subrecipient to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, Subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of these prohibitions, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

#### 26. Subgrant Award Report (SAR)

The Subrecipient must submit a SAR to OVC for each Subrecipient of the VOCA victim assistance funds, within ninety (90) days of awarding funds to the Subrecipient. Subrecipients must submit this information through the automated system.

#### 27. Effect of Failure to Address Audit Issues

The Subrecipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the Subrecipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other

outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

28. Additional DOJ Awarding Agency Requirements

The Subrecipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the Subrecipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

29. Hiring Documents

The Subrecipient must keep, maintain, and preserve all documentation (such as Form I-9s or equivalents) regarding the eligibility of employees hired using the funds.



All appropriate documentation must be maintained on file by the project and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for Subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

**CERTIFICATION**

I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Authorized Official's Signature: Joyce E. Dudley  
 Authorized Official's Typed Name: Joyce E. Dudley  
 Authorized Official's Title: District Attorney  
 Date Executed: 9/8/2020  
 Federal Employer ID #: 95-6002833 Federal DUNS #: 131851219  
 Current System for Award Management (SAM) Expiration Date: 11/23/21  
 Executed in the City/County of: Santa Barbara

**AUTHORIZED BY:** (not applicable to State agencies)

- City Financial Officer
- City Manager
- Governing Board Chair
- County Financial Officer
- County Manager

Signature: [Signature]  
 Typed Name: Gregg Hart  
 Title: Chair, Santa Barbara County Board of Supervisors



**Grant Subaward Budget Pages**  
Multiple Fund Sources

<b>Subrecipient: County of Santa Barbara</b>		<b>Grant Subaward #:XC20 03 0420</b>	
<b>A. Personnel Costs - Line-item description and calculation</b>	<b>18 VOCA</b>	<b>19 VOCA</b>	<b>Total Amount Allocated</b>
<b>0.70 FTE Victim/Witness Program Advocate II</b>			
(\$2,259.2 x 26 PP x 0.70 FTE) \$41,117.44	\$41,117		\$41,117
Retirement 37.976% of Salary	\$15,615		\$15,615
FICA/MediCare 7.65% of Salary	\$3,145		\$3,145
Health Ins 17.5% of Salary	\$7,196		\$7,196
<b>Stand By On Call Costs Two Advocates</b>			
\$3/hour x 104 hours/weekly x 2 x 52 weeks	\$32,448		\$32,448
32.2 x 3 hours x 12 call outs/month x 12	\$13,910		\$13,910
<b>1.0 FTE Victim/Witness Admin Office Professional II</b>			
(\$2,419.2 x 26 PP x 1.0 FTE ) \$62,899.20	\$6,205	\$56,694	\$62,899
Retirement 34.32% of Salary		\$21,588	\$21,588
FICA/MediCare 7.65% of Salary		\$4,812	\$4,812
Health Ins 17.5% of Salary		\$11,007	\$11,007
<b>Personnel Costs Fund Source Totals</b>	✓ \$119,636	✓ \$94,101	✓ \$213,737
<b>PERSONNEL COSTS CATEGORY TOTAL</b>			\$213,737

MR



**Grant Subaward Budget Pages**  
Multiple Fund Sources

<b>Subrecipient: County of Santa Barbara</b>		<b>Grant Subaward #:XC20 03 0420</b>		
<b>B. Operating Costs</b> - Line-item description and calculation	<b>18 VOCA</b>	<b>19 VOCA</b>	<b>Total Amount Allocated</b>	
<p><b>Victim Advocate Training</b> Lodging x 4 Advocates x 3 nights @ \$125 = \$1500</p> <p>Per Diem: \$46/day x 3 days x 4 ps = \$552 Airfare: \$375 x 4 Advocates = \$1500</p>			\$3,552	\$3,552
<p><b>Resource Card/Brochures</b></p>			\$231	\$231
<p><b>Indirect @10% de minimis (MTDC)</b> =(S&amp;B (\$213,738) + Operating Costs (\$3,782)) x 10%</p>			\$21,752	\$21,752
<b>Operating Costs Fund Source Totals</b>	✓		✓ \$25,535	✓ \$25,535
<b>OPERATING COSTS CATEGORY TOTAL</b>				\$25,535

MR



**Grant Subaward Budget Pages**  
Multiple Fund Sources

<b>Subrecipient: County of Santa Barbara</b>	<b>Grant Subaward #:XC20 03 0420</b>		
<b>C. Equipment Costs</b> - Line-item description and calculation	<b>18 VOCA</b>	<b>19 VOCA</b>	<b>Total Amount Allocated</b>
Equipment Costs Fund Source Totals			
<b>EQUIPMENT COSTS CATEGORY TOTAL</b>			

<b>Grant Subaward Totals</b> - Totals must match the Grant Subaward Face Sheet	<b>18 VOCA</b>	<b>19 VOCA</b>	<b>Total Project Cost</b>
<b>Fund Source Totals</b>	✓\$119,636	✓ \$119,636	✓ \$239,272

MR

## VSPS Budget Summary Report

**XC20 County Victim Services Program**  
 Santa Barbara County  
 County Victim Services Program

**Subaward #: XC20 03 0420**  
**Performance Period: 01/01/21 - 12/31/21**  
**Latest Request: , Not Final 201**

### A. Personal Services - Salaries/Employee Benefits

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	18VOCA	119,636	0	119,636	0	119,636
F	19VOCA	94,101	0	94,101	0	94,101
<b>Total A. Personal Services - Salaries/Employee Benefits:</b>		<b>213,737</b>	<b>0</b>	<b>213,737</b>	<b>0</b>	<b>213,737</b>

### B. Operating Expenses

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	18VOCA	0	0	0	0	0
F	19VOCA	25,535	0	25,535	0	25,535
<b>Total B. Operating Expenses:</b>		<b>25,535</b>	<b>0</b>	<b>25,535</b>	<b>0</b>	<b>25,535</b>

### C. Equipment

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	18VOCA	0	0	0	0	0
F	19VOCA	0	0	0	0	0
<b>Total C. Equipment:</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
<b>Total Local Match:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Funded:</b>	<b>239,272</b>	<b>0</b>	<b>239,272</b>	<b>0</b>	<b>239,272</b>
<b>Total Project Cost:</b>	<b>239,272</b>	<b>0</b>	<b>239,272</b>	<b>0</b>	<b>239,272</b>

F/S/L (Funding Types): F=Federal, S=State, L=Local Match

Paid/Expended=posted in ledger w/Claim Schedule, Pending=Processed, but not yet in Claim Schedule

05/19/21





## Grant Subaward Budget Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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### Budget Narrative

The budget for the XC Program incorporates the equivalent of salary and benefit costs totaling \$104,016 (salary) and \$63,364 (benefits) for .70 FTE Victim Witness Program Advocate, and one 1.0 FTE Victim Witness Administrative Office Professional for the grant period. The Advocates and the Administrative Office Professional have previous experience and familiarity with the criminal justice system. The Administrative Office Professional (AOP) is a journey-level position in the Administrative series assigned complex administrative functions and general tasks as required by the division. The VW AOP II reviews and inputs Victim-Witness cases into the DA case management system. She makes advocate case assignments and enters demographic data into the case management system to insure accurate PMT reporting. The AOP makes immediate contact to victims of with crime, provides a basic orientation to the criminal justice system and solicits information regarding the victims' losses for restitution determination prior to arraignment. Her role is critical since the probation department does not proactively outreach to victims to obtain victim impact information or determine restitution information or enforce collection for unsupervised misdemeanor



## Grant Subaward Budget Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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defendants. This expedited contact with victims has facilitated case resolution since the DDA and the court has access to accurate restitution amounts and victim impact early. Since the court is equipped with the restitution amounts and related documentation at the outset, defendants often plead at arraignment and pay the restitution order up front to avoid lengthy litigation, attorney costs and probation supervision. The program insures staffing of bilingual Spanish/English speaking advocates who meets the job specifications and have an education in the social sciences or criminal justice and experience working with victims of crime.

The advocate and administrative staff possess a working knowledge of the community and its resources and will complete the required OES mandated advocate training which provides a framework for Advocacy work within a Victim-Witness Program including a thorough overview of Mandated and Optional Services. The Advocates will be responsible for all direct provision of comprehensive Victim/Witness services. Additionally we budgeted \$46,358 to compensate advocate staff for Stand By on call to respond to crime victims and provide services after hours. Increased access to Victim Advocate Staff has become more important during COVID Lock-Down as crime victims are less likely to report and/or access in person services. The countywide Call Line is a Resource



## Grant Subaward Budget Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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Line for potential victims of crime seeking information and referrals. Probation Pre-Trial Services uses this 24/7 line to reach Victim/Witness Staff to coordinate immediate contact with victims whose offenders are in custody. Often the advocate is providing safety planning and information and referrals to victims after hours who are in crisis. Advocates are also available for call-outs for SART accompaniment in South County as Rape Crisis Advocates are not responding in person due to COVID.

Operating costs of \$3,552 is budgeted to insure training during the duration of the grant for the two assigned staff. The training will include the mandatory VW training if not already completed and additional TBD training for advocates. Due to COVID and depending upon the availability of in-person Training, the projection may change and we will do a budget modification.

An additional \$230 is budgeted to produce outreach materials including a community resource cards with helpful phone numbers and contacts to distribute to victims. The District Attorney's Office Administrative Office requires \$21,752 to offset the costs of administrative grant and fiscal reporting.



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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### Project Narrative

#### The Original Problem and Plan is unchanged

##### **Problem Statement**

##### **County Demographics**

The United States Census Bureau estimates the population of Santa Barbara County as being 435,697 (2013). Santa Barbara County's demographics are approximately 46% White, 44% Hispanic, 6% Asian-American, 2% African-American and 2% Native American. Santa Barbara County has two major population centers at opposite ends of the county, the greater Santa Barbara area (south) and the Santa Maria Valley (north), along with population centers within central county area (Lompoc and Santa Ynez valley areas). The City of Santa Maria is the largest and fastest growing incorporated city within Santa Barbara County, with an estimated population of 102,216 (2013). Santa Maria and Lompoc have many socio economic factors which contribute to the highest rates of crime. According to District Attorney's Office statistics there has been a 42% increase in violent crime case referrals from 2012 to 2015. Violent offenses include Rape, Aggravated Assault, Manslaughter and Homicide.



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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Specifically the City of Santa Maria and Lompoc has experienced a surge of violent crime which has hindered the agencies' ability to investigate and solve violent crimes. In recent years, the city averaged three to four gang-related murders. Lompoc City has also suffered from a rash of violent crime, including an increase in the frequency of gang involved Homicides. Funding constraints and Law Enforcement Personnel attrition have hindered crime suppression and contributed to the uptick in violent crime and victims who are fearful of coming forward. Because of the uptick in violent crime, victims and witnesses are less likely to come forward due to fear of retribution and perceived lack of services to empower or protect.

An additional county characteristic is that Northern Santa Barbara County is primarily agricultural and home to a large Immigrant Spanish and Indigenous speaking agricultural workforce. According to the 2010 census 70 percent of the North County City of Santa Maria, the most populous in the county, was "Hispanic" up from 60% in 2000. This is considerably higher than the county average of 25% and the state percentage of 28%. Northern Santa Barbara County's agricultural economy and population continues to expand due to a steady increase in the production of labor intensive crops. According to a 2010



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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report entitled "*Indigenous Farmworker Study*" commissioned by the California Endowment it is estimated that between 15,000 and 25,000 indigenous immigrants have migrated to work in the area in recent years due to the labor intensive agricultural economy. Indigenous immigrants are distinct from other Latino immigrant populations because they are more socially and culturally isolated, and may not readily admit that they do not speak or understand Spanish fluently. The growing population of immigrant, non-English Speaking immigrants has provided a ready pool of vulnerable victims who are fearful or distrusting of law enforcement, cannot fluently communicate and may fear of revealing their immigration status and deportation. The Northwest area of the city is home to a large population of migrant workers and is a common target for crime. For impoverished victims living in the margins, victimization can be devastating. The violent crime rate increase in the northern part of the county has created a significant gap in service to victims whose case is unresolved, there is no arrest, known suspect or follow up investigation due to lack of viable leads or investigative resources. Unless the law enforcement agency or detectives refer an individual directly to the Victim-Witness Program and the individual calls the program, a victim does not receive victim services to assist in the recovery in the aftermath of a crime. Often the initial contact with law enforcement may be the



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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only opportunity to engage the victim in services. This is a serious problem in the largest north county city of Santa Maria. Lt. Daniel Cohen of the Santa Maria Police Department stated that 90% of the crime victims who report crimes in their jurisdiction do not get referred to the District Attorney's Office because no suspect has been identified.

Additional Victim Advocate personnel affords access to critical services for victims of violent crime in the Northern region of Santa Barbara County. Additional staff would be situated to receive information immediately following the initial report to provide immediate crisis intervention, orientation to the criminal justice process, case/investigative status, resource/referral counseling and emergency financial and victim compensation services. Services would be offered to a population of victims not currently aware of or receiving services.

### Plan

**The participating agencies concur with continuation of XC funding to meet the needs of Victims in Northern Santa Barbara County.**

In preparation for this application the District Attorney's Victim Witness Director and District Attorney coordinated an XC Steering Committee meeting.

The primary gap identified by the Victim Services Steering Committee is that crime victim advocate resources have not kept pace with the rising crime rate in



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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North County, which has increased 42% since 2012. There has been a 69% increase in violent crime in the City of Lompoc and a 39% in the City of Santa Maria. Given these increases, law enforcement has not had the resources to solve these crimes and many victims' cases are unresolved due to lack of investigative leads and no arrest. Thus, the victim isn't referred to the District Attorney's Office for Victim/Witness Assistance Services.

The Advocates are now available to the community 24/7 via telephone to get victim services as close to the time of reporting as possible. The primary advocate focuses attention on victims within the city of Lompoc with the second advocate providing on call assistance at Santa Maria Police Department.

The advocates will be equipped with a laptop computer and a vehicle, enabling the individual to provide field visits to victims who do not have transportation. Also, funding is allocated to enable on-call advocacy to victims of crime outside routine business hours. Victims who work during the day and lack reliable transportation are unable to access services offered through the Victim/Witness Assistance Program. Advocates will be equipped with department issued phones and be available to law enforcement agencies and provide on scene advocacy and services to victims who might not otherwise receive services in the aftermath of the crime.





## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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### **Volunteers**

In order to effectively balance the workload Victims Volunteers will be utilized to make contact with misdemeanor property crime victims and crimes involving minor or no injuries. Volunteers contact victims of some assaults, theft, property crimes, and DUI crimes. The Advocate Volunteer will assess the crime and initiate contact. As time permits and the victim's needs warrant, Advocates will provide field visits and/or transportation assistance to/from our offices. We rely on the local University of California, local community colleges and trade schools for our Volunteers. The volunteers provide a range of services including, orientation to the Criminal Justice System, Case Status, Resource and Referral Counseling, Victim of Crime Claim Assistance, Property Return and restitution assistance. Given funding restrictions, our volunteer pool provides valuable services to carefully selected victims allowing our program staff to keep pace with more serious misdemeanor and felony caseloads. The Volunteers record their time on Volunteer Time Sheets which are submitted to the Program Manager quarterly.

Since 1978, the District Attorney has received partial funding through OES to provide comprehensive services to crime victims in Santa Barbara County. Since that time our Program has evolved to become a three office, County Wide



## Grant Subaward Programmatic Narrative

Grant Subaward #: XC20 03 0420

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Subrecipient: Santa Barbara County

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program offering comprehensive mandatory and optional services to all victims of crime. The Victim Witness Assistance Program is designated by the County Board of Supervisors as the primary provider of Victim Services countywide. The Victim-Witness Assistance Program will receive the funding to provide the outlined services. The program currently serves an average of 3,500 new victims of crime each year. The majority of victims served are victims of crimes which are reported to law enforcement agencies and forwarded the District Attorney's Office for criminal filing consideration. Funding will enable the program to enhance services to crime victims of unfiled cases.

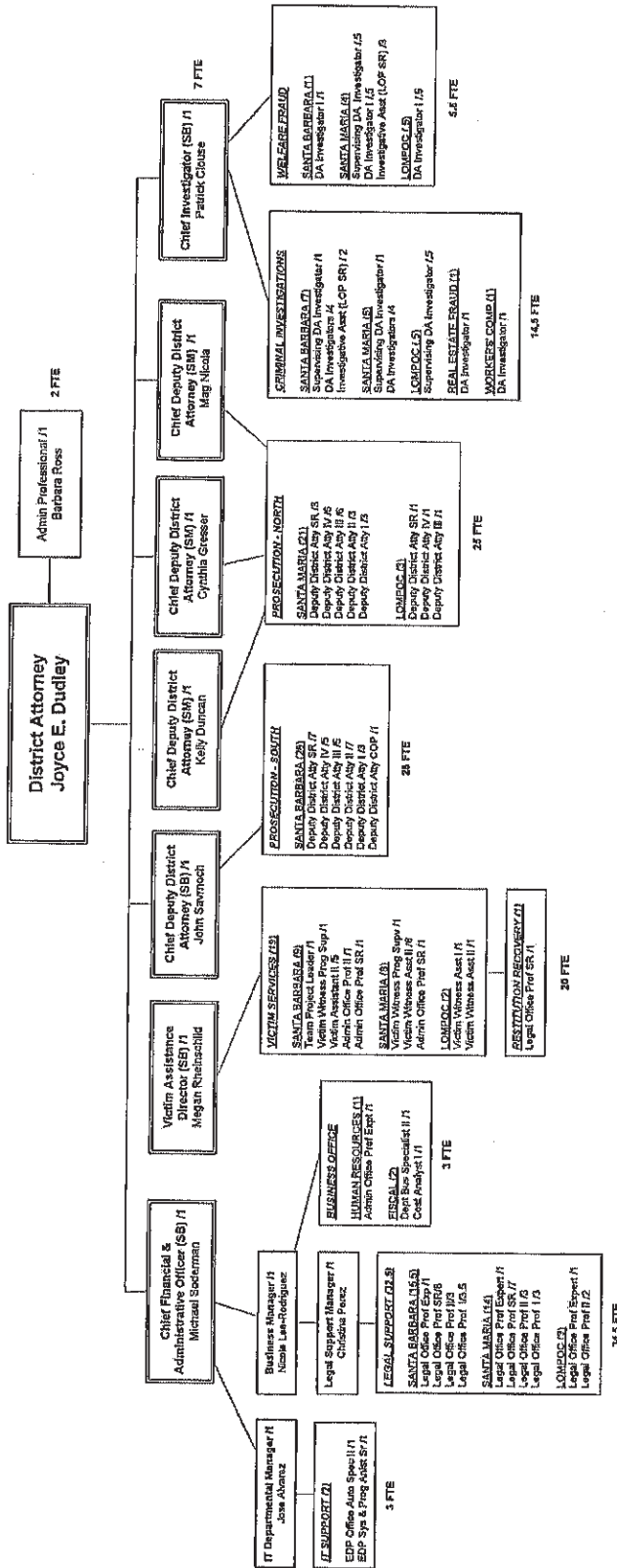


## Operational Agreement Summary

Grant Subaward #: XC20 03 0420

Subrecipient: Santa Barbara County

Participating Agency/Organization/Individual	Date Signed	Time Frame of OA
1. <u>Santa Maria Police Department</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
2. <u>North County Rape Crisis</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
3. <u>Domestic Violence Solutions</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
4. <u>Department of Social Services</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
5. <u>Santa Barbara County Probation</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
6. <u>Lompoc Police Department</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
7. <u>Santa Barbara County Sheriff's Department</u>	<u>01/01/21</u>	<u>01/01/21</u> to <u>12/31/21</u>
8. _____		_____ to _____
9. _____		_____ to _____
10. _____		_____ to _____
11. _____		_____ to _____
12. _____		_____ to _____
13. _____		_____ to _____
14. _____		_____ to _____
15. _____		_____ to _____
16. _____		_____ to _____
17. _____		_____ to _____
18. _____		_____ to _____
19. _____		_____ to _____
20. _____		_____ to _____



DA Positions Org Chart FY 2019-20

140.5 FTE Adopted Budget FY 2019-20\*

\* 141.5 FTE Org Chart Total Includes 1.0 FTE COP

VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM  
MATCH WAIVER REQUEST

Cal OES Subrecipients may request a partial or full match waiver. Approval is dependent on a compelling justification. To request a partial or full match waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2018-V2-GX-0029
2. Cal OES Subaward Number: XC20 03 0420
3. Subrecipient's Name: Santa Barbara County
4. Grant Subaward Performance Period 1/1/2021 through 12/31/2021
5. VOCA Victim Assistance Funds Awarded: \$ 119,636
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 0
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ 0
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 0
9. Briefly summarize the services provided:

The District Attorney's Office identified that unmet needs existed for unserved victims of crime in Northern Santa Barbara County. Since the initial grant award, the District Attorney's Office has increased staffing in Northern Santa Barbara County to provide early access to critical services for victims of violent crime in that region. Additional funding received in 2020 has enabled the District Attorney's Office to expand the scope of the XC program and increase staffing to focus specifically on unmet needs related to property crime restitution.

10. Describe practical and/or logistical obstacles to providing match:

We are not using volunteers for our in-kind match waiver because volunteer recruitment and retention has been unreliable. Volunteer hours have been sporadic, and cannot be relied upon for a stable in-kind match. The VOCA match requirements create a burden upon the proposed Victim/Witness Assistance Program that hinders our ability to provide critical victim services. Failure to meet this requirement puts our program and its valuable services to victims at risk.

11. Describe any local resource constraints to providing match:

We would like to request a match waiver due to the impact of the covid-19 pandemic upon the District Attorney's department budget in an already difficult financial environment. We respectfully request a waiver of the match requirement in order to mitigate additional personnel impacts on our department budget.

Approved

Denied

Cindy Berta  
Unit Chief Name

Cindy Berta 11/12/20  
Unit Chief Signature / Date

VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM  
MATCH WAIVER REQUEST

Cal OES Subrecipients may request a partial or full match waiver. Approval is dependent on a compelling justification. To request a partial or full match waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2019-V2-GX-0053
2. Cal OES Subaward Number: XC20 03 0420
3. Subrecipient's Name: Santa Barbara County
4. Grant Subaward Performance Period 1/1/2021 through 12/31/2021
5. VOCA Victim Assistance Funds Awarded: \$ 119,636
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 0
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ 0
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 0
9. Briefly summarize the services provided:

The District Attorney's Office identified that unmet needs existed for unserved victims of crime in Northern Santa Barbara County. Since the initial grant award, the District Attorney's Office has increased staffing in Northern Santa Barbara County to provide early access to critical services for victims of violent crime in that region. Additional funding received in 2020 has enabled the District Attorney's Office to expand the scope of the XC program and increase staffing to focus specifically on unmet needs related to property crime restitution.

10. Describe practical and/or logistical obstacles to providing match:

We are not using volunteers for our in-kind match waiver because volunteer recruitment and retention has been unreliable. Volunteer hours have been sporadic, and cannot be relied upon for a stable in-kind match. The VOCA match requirements create a burden upon the proposed Victim/Witness Assistance Program that hinders our ability to provide critical victim services. Failure to meet this requirement puts our program and its valuable services to victims at risk.

11. Describe any local resource constraints to providing match:

We would like to request a match waiver due to the impact of the covid-19 pandemic upon the District Attorney's department budget in an already difficult financial environment. We respectfully request a waiver of the match requirement in order to mitigate additional personnel impacts on our department budget.

Approved

Denied

Cindy Berta  
Unit Chief Name

Cindy Berta 11/12/20  
Unit Chief Signature / Date

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

**Subrecipient** Santa Barbara County **Duns#** 131851219 **FIPS#** 83-00000  
**Disaster/Program Title:** County Victim Services (XG) Program *MR*  
**Performance Period:** 01/01/21 to 12/31/21 **Subaward Amount Requested:** \$ 239,272  
**Type of Non-Federal Entity (Check Box):**  State Gov.  Local Gov.  JPA  Non-Profit  Tribe

Per Title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, grant manager is the individual who has primary responsibility for day-to-day administration of the grant, bookkeeper/accounting staff means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and organization refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	3-5 years
3. How many grants does your organization currently receive?	3-10 grants
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 700,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	Yes
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Sometimes
12. How many years do you maintain receipts, deposits, cancelled checks, invoices, etc.?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	Yes

**Certification:** *This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.*

<b>Signature (Authorized Agent)</b> <i>Joyce E. Dudley</i>	<b>Date:</b> <i>10/01/2020</i>
<b>Print Name and Title:</b> <i>Joyce E. Dudley, District Attorney</i>	<b>Phone Number:</b>
<b>Cal OES Staff Only: SUBAWARD #</b> <i>XC20 03 0420</i>	



## Grant Subaward Service Area Information

Grant Subaward #: XC20 03 0420

Subrecipient: County of Santa Barbara

1. County or Counties Served:  
Santa Barbara

County where principal office is located: Santa Barbara

2. U.S. Congressional District(s) Served:  
24th

U.S. Congressional District where principal office is located: 24th

3. State Assembly District(s) Served:  
37th, 35th

State Assembly District where principal office is located: 37th

4. State Senate District(s) Served:  
19th

State Senate District where principal office is located: 19th

5. Population of Service Area: 446,499