

**PART I: SACPA COUNTY PLAN**

*This part must be completed to be considered for distribution of SACPA funds.*

1. The county's FY 2006-07 County Plan is currently on file at ADP. That plan is current for FY 2007-08 except as noted in question #2 below. Yes  No

*Note: If the answer is "NO" then an updated county plan must be submitted.*

2. **Plan Changes from FY 2006-07 County Plan.** Identify any changes from the FY 2006-07 county plan to FY 2007-08 county plan in the following areas.

a) **Drug Treatment Services.** Describe changes in *drug treatment services* from the previous plan year. In consideration of changes, will the assessment process or assessment tools utilized change or be modified? Has the county increased capacity or length of treatment services for outpatient, residential or Narcotic Replacement Therapy (NRT) services? Is drug testing still utilized as a measure to modify a client's treatment needs? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of treatment staff, length of treatment, treatment options, treatment capacity, etc.)

1) **Santa Barbara County has been proactive in providing more culturally competent treatment services. Outpatient treatment services have been added in Guadalupe, and a significant amount of new treatment services are planned for the Lompoc and Santa Maria Areas. In Lompoc, a six (6) bed social detoxification facility and perinatal residential services are being developed at the time of this writing. In Santa Maria, male residential services are being planned that would serve twelve (12) clients at any given time. Shorter and more efficient assessment tools may be incorporated to either replace or streamline our current ASI process. Additional screening tools such as Dr. Douglas Marlowe's RANT, ASAM and a unique Level of Care and Recovery Inventory are explored to make the assessment process more sensitive and efficient to a broad range of cultural and clinical issues. Motivational Interviewing, trauma informed services, and specific treatments for methamphetamine addiction are being used and developed to enhance engagement, retention and positive outcomes.**

**However, the reduction in State of CA allocation will impact the level of treatment services. Santa Barbara County anticipates a 25% reduction in treatment length and provider funding during this fiscal year. Santa Barbara County has decided not to deny services to eligible offenders or to place clients on waiting lists. It is expected that these changes may pose significant challenges to the administration of our contracts and revisions of current treatment protocols.**

b) **Other Services:** Describe changes in *additional services* supplemental to treatment from the previous plan year. Additional services include: vocational training, literacy training, family counseling, etc. Has the county expanded vocational opportunities for clients or collaborated with community resources to provide additional needs as assessed? Are there notable changes to services for persons with co-occurring disorders? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of staff, providers of services, etc.)

The County of Santa Barbara has expanded vocational services for SACPA clients and will continue to contract with experienced vocational rehabilitation counselors to provide vocational services. In addition, Criminal Justice Liaisons (CJL) have been hired in Santa Barbara, Lompoc and Santa Maria to assist SACPA clients with co-occurring disorders access and succeed in treatment. Specific Duties of the CJLs include assessment and diagnosis, case management, advocacy and referral. The CJLs assure that clients have continued access to medications while in jail, and have a smooth (seamless) transition for clients coming out of jail into treatment.

- c) **Criminal Justice Activities:** Describe changes in *criminal justice activities* from the previous plan year. Has the county modified involvement of criminal justice entities for supervision, case management approach or similar activity? Do expenditures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; explain changes related to addition/reduction of staff, changes in cj process or program involvement, etc.)
- l) **Santa Barbara actively employs the strategy of enhancing criminal justice supervision. Since July 1, 2007, Probation Assistants have been hired and are currently working on monitoring, tracking and retaining SACPA clients. The Probation Department has already reported that this activity is proving to be highly successful in retaining clients. Dependent on funding, Recovery Assistant duties have been identified to help reduce treatment delays. RA's would work within the Public Defender's office and be responsible for orienting and transporting clients from the legal to the treatment system.**
- d) **Client Referral Projections:** Describe changes in *referrals* from the previous plan year. Explain the reasons for increases and decreases in the number of offenders referred to SACPA by both court/probation and parole. Do figures submitted in SRIS reflect increases or decreases? If so, an explanation is required. (For example; change in process to capture information, increased cj support and collaboration, etc.)
- l) **Referrals are fairly constant in Santa Barbara, with a projected growth rate of approximately eight percent (8%) for the 07-08 FY. There are several reasons for this increase. First there is an increase are an increase in total county population. Second the continued methamphetamine pandemic is bringing more people into treatment. Third, there is an increased collaboration among the court team and stakeholders involved. Law enforcement officers in Santa Barbara County see drug-related arrests as a way of getting individuals into treatment. The Therapeutic Justice System makes a concerted effort to capture as many individuals who need treatment and who are Proposition 36 eligible as possible. The referral process will be unchanged this year.**

## SACPA REPORTING INFORMATION SYSTEM-DATA ELEMENTS

To complete this portion, you must access SRIS and upload information. Refer to the plan/application guide for assistance in completing this section.

- SACPA/SATTA Expenditures by Entity
- Service/Activity Report
- Client Projections (Referrals)

**PART II: OTP APPLICATION**

Counties applying for OTP funds must establish a separate funding expenditure code for OTP costs and cannot place the funds in the Substance Abuse Treatment Trust Fund (SATTF). Counties must track FY 2006-07 funds separately from FY 2007-08 funds.

1. Will the county apply for OTP funds?  Yes  No

*If yes, continue completion of Part II to be considered for receiving OTP funds. REQUIRED ELEMENTS MUST BE MET TO QUALIFY FOR OTP FUNDS AND ESTABLISH COUNTY ELIGIBILITY. If you are unsure if the county meets eligibility requirements, contact your county liaison.*

*If no, skip the remainder of Part II and complete Exhibit B: OTP Decline of Funds in addition to Part III, Board of Supervisors Approval for the SACPA funds you are requesting.*

**See plan/application guide for assistance in completing this section.**

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**Goals**

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1. **X** Enhance treatment services for offenders assessed to need them, including residential treatment and narcotic replacement therapy.

*Describe what treatment services will be enhanced, and how enhancement will be connected to assessed need. Describe which strategies from the list above will be used to meet this goal.*

- I) Treatment will be enhanced as much as our budget will allow. The Matrix Institute System is being adopted county-wide to provide best services for methamphetamine abusing and addicted clients. Trauma-informed treatment services are being adopted, especially for female clients. The County of Santa Barbara is adopting the Seeking Safety model for addressing clients with Concurrent Substance Use and Post Traumatic Stress Disorders. An entire sixteen (16) hour treatment course on Motivational Interviewing has been developed and given to over two hundred and fifty (250) probation officers and treatment counselors. The MI model focused on enhancing assessment and engaging clients in treatment. MI theory and practice is spreading throughout entire departments throughout the County. Specific trainings and technical assistance have been and will continue to be provided in Treatment Planning, Co-Occurring Disorders, Ethics and Counter transference. SMART Recovery® will be introduced throughout the Santa Barbara treatment community with the expectation that SMART groups will begin to be established as alternatives and compliments to the current 12 Step system. Treatment Planning is becoming more individualized. It is expected that ASAM Criteria will be used in addition to the ASI to enhance assessment. Finally, the County of Santa Barbara has developed its own Level of Care and Recovery Inventory to help assess, place and treat Prop 36 with Co-Occurring disorders. It is expected that these services will not only improve the quality of SACPA services, but also make them more efficient and cost effective.**

**As mentioned earlier, the County of Santa Barbara is currently establishing a broad range of new services to fill identified gaps in its continuum of care. In the Lompoc Valley, a six (6) bed residential detoxification center is being established. The site**

has been determined, the curriculum established and staff identified. Also in Lompoc, perinatal services are being established and are in the same stage of development as the detoxification program. Both the detoxification and perinatal programs are waiting for the final permits to open their doors for clients. A twelve (12) bed men's residential treatment program is being planned for the Santa Maria area. It is expected that these new services will significantly improve treatment services, not only in the Lompoc and Santa Maria regions, but throughout the County.

Collaboration and development of a Day Reporting Center (DRC) is underway to enhance criminal justice supervision of offenders, maintain appropriate levels of criminal justice and treatment oversight and supervision, and use intermediate sanctions of graduating severity for problematic or recalcitrant offenders. The DRC is envisioned to allow 30-60 Prop 36 clients who have violated probation and have become non-compliant to be released from custody and placed into an intensive monitored treatment regimen. The DRC will thus be a unique service system in between jail and established treatment systems, for clients who are not a risk to the public but who need more sanctions and monitoring than treatment providers are able to provide.

2. X Increase the proportion of sentenced offenders who enter, remain in, and complete treatment, through activities and approaches such as co-location of services, enhanced supervision of offenders, and enhanced services determined necessary through the use of existing drug test results.

*Describe each county-specific activity to increase the proportion of client admissions, client retention, and completions. Describe which strategies from the list above will be used to meet this goal.*

- 1) Santa Barbara County is fully committed to engaging and retaining clients in treatment. Positive outcomes will be the inevitable result of retention and engagement. The following is a list of some of the strategies Santa Barbara County uses for engagement, retention and outcomes:

- \* Locate assessment units or centers in or near the court.
- \* Intensify and add services as indicated by drug test results.
- \* Conduct assessment and placement in a single visit.
- \* Expand capacity of treatment services including sober living tied to ODF.
- \* Enhance criminal justice supervision of clients.

Through a co-location arrangement, the Probation Department and ADP conduct risk evaluation and addiction assessments. Masters Level or Licensed assessment staffs utilize the Addiction Severity Index (ASI) combined with motivational interviewing skills to assess each client and make recommendations for appropriate treatment placement. In addition, assessment staff may also include a recommendation for additional support services. Along with the risk evaluation report provided by Probation, the Court reviews the recommendations for treatment and makes an appropriate probation order. The initial pre-placement assessment with treatment recommendations is forwarded to the agency providing treatment. Santa Barbara County continues to meet the time limits as indicated by SACPA 2000.

Several levels of assessment are conducted to ensure individual client needs are addressed. As mentioned above, a full ASI biopsychosocial assessment is administered by ADMHS staff before the client enters treatment. Every client is then reassessed the same way by the treatment providers after the client's initial thirty (30) days of treatment. This second biopsychosocial assessment ensures accuracy and that all of the client's treatment needs are known and met. With proper assessment and access to a continuum of treatment services, non-violent offenders can reduce the risk substance abuse presents in their lives and achieve self-sufficiency/recovery. The use of incentives and appropriate supportive actions are viewed as critical components for assisting the SACPA participants in their journeys through the treatment experience. It is Santa Barbara County's commitment to treatment that led the implementation team to recommend that assessment occur as early as possible in the criminal process following the determination of a plea. Appropriate assessments conducted by qualified substance abuse professionals for identified SACPA eligible defendants helps engage and retain clients in treatment.

Participants receive initial addiction and risk evaluation assessments from ADMHS staff utilizing the ASI and preliminary placement recommendations are presented to the treatment team. The team also receives additional information from probation, mental health and other interested team staff to make treatment recommendations and, if indicated, further evaluation for vocational needs, literacy, special education, Trauma, and mental health. As ancillary service assessments are made, recommendations are provided to the treatment team for treatment adjustments. Treatment plans are completed by the provider at intake and subsequently revised and updated every 90 days thereafter. The needs of clients are addressed as thoroughly as resources allow. During the SACPA monitoring visits, client assessments and service plans are reviewed for appropriateness of treatment services. When a client is doing poorly in treatment, the treatment team reviews the case and makes treatment adjustments accordingly. Per Santa Barbara County policy, a positive drug test initiates a review of the client's treatment plan. Confirmatory testing is now provided through an independent lab should a client deny usage. The results of drug testing may lead to modifications to the treatment that the SACPA participant receives and may require a change in treatment level, additional self help meetings, an increase in the number of drug tests, increased counseling services, and other additional support services including mental health services. Finally Probation Assistants will be used to get clients back into treatment once they have fallen out of treatment.

3.  Reduce delays in the availability of appropriate treatment services.

*Describe existing delays, including details of how the delay is measured, and the specific activity or practice the county will employ to reduce delays. Describe which strategies from the list above will be used to meet this goal.*

- 1) The County of Santa Barbara has neither delays nor waiting lists for SACPA clients to gain access to treatment. Where indicated, clients are placed into detoxification and residential treatment services to improve engagement and successful outcomes.

4. **X** Employ a drug court model, including dedicated court calendars with regularly scheduled reviews of treatment progress, and strong collaboration by the courts, probation, and treatment.

*Describe existing court practices, and specific activity or practice the county will employ to achieve a drug court model. Describe which strategies from the list above will be used to meet this goal.*

- 1) The County of Santa Barbara has always imposed a Drug Court model on its Proposition 36 referral and treatment process. In other words, Proposition 36 and Drug Court are similar in Santa Barbara County. The only differences between the County of Santa Barbara Drug Courts and Proposition 36 courts are the eligibility criteria and, in some instances, the length of treatment. Assessors are co-located in probation departments in all three areas of Santa Barbara County – Santa Barbara, Lompoc and Santa Maria. Assessors regularly go into the jails to administer assessments. All Proposition 36 clients are regularly reviewed in court to assess progress or lack thereof. Treatment and monitoring is adjusted accordingly. The County of Santa Barbara has addressed and uses most of the strategies identified in the current GUIDE to the Preparation, Submission and Review of this SACPA plan. Our assessment units are located in the courts, probation offices and the jails to ensure that clients are assessed and triaged quickly and efficiently. The multidisciplinary treatment team model is identical for drug court and Prop 36 clientele. All Proposition 36 clients are supervised by specific designated probation officers who are trained and experienced in substance use disorders.**

**Collaboration has never been an issue in Santa Barbara County. The mission of the Santa Barbara Therapeutic Justice System (TJS) is to provide a coordinated approach by the criminal justice and treatment agencies of Santa Barbara County for the implementation and ongoing management of local treatment court services. The mission of the Santa Barbara Therapeutic Justice System (TJS) Policy Council is to provide a coordinated approach by the criminal justice and treatment agencies of Santa Barbara County for the implementation and ongoing management of local treatment court services, including the Substance Abuse Crime Prevention Act of 2000.**

**The TJS Policy Council has established a system of treatment court-specific core committees designed to address issues unique to each local treatment court and to advance recommendations for policy consideration to the Policy Council and beyond. While the structure has been modified slightly, the responsibilities of the Council are the same.**

5. **X** Develop treatment services that are needed but not available.

*Describe specific treatment services, presently not provided, that the county plans to develop including new services, client population to be served, and purposes of the planned services. Describe which strategies from the list above will be used to meet the goal.*

- 1) Strategies: Increase utilization of residential treatment services; improve location of services to ensure accessibility to all populations; and assess the possible disproportionate impact of limited treatment capacity, assessment procedures, and treatment protocols across racial/ethnic groups.**

**Outpatient treatment services have been added in Guadalupe, and a significant amount of new treatment services are planned for the Lompoc and Santa Maria**

Areas. In Lompoc, a six (6) bed social detoxification facility and perinatal residential services are being developed at the time of this writing. In Santa Maria, male residential services are being planned that would serve twelve (12) clients at any given time.

**Strategy:** Utilize additional screening tools.

As mentioned earlier a more sensitive and efficient series of assessment and placement tools are being explored. The County of Santa Barbara has begun using Dr. Douglas Marlowe's RANT for assessment and placement of Prop 36 clients. Treatment duration and curriculum may need to be adjusted given new funding parameters.

**Strategy:** Intensify or add services as indicated by drug test results.

As clients test positive for AODs, treatment will be intensified and enhanced in many ways. The Matrix Institute System is being adopted county-wide to provide best services for methamphetamine abusing and addicted clients who have difficulty obtaining and maintaining sobriety. Trauma-informed treatment services are being adopted, especially for female clients, for them to stabilize and obtain sobriety. The County of Santa Barbara is adopting the Seeking Safety model for addressing clients with Concurrent Substance Use and Post Traumatic Stress Disorders. An entire sixteen (16) hour treatment course on Motivational Interviewing has been developed and given to over two hundred and fifty (250) probation officers and treatment counselors. The MI model focused on enhancing assessment and engaging clients in treatment. Specific trainings and technical assistance have been and will continue to be provided in Relapse Prevention Planning, Treatment Planning, Co-Occurring Disorders, Ethics and Counter transference. SMART Recovery® will be introduced throughout the Santa Barbara treatment community with the expectation that SMART groups will begin to be established as alternatives and compliments to the current 12 Step system. Treatment Planning is becoming more individualized. It is expected that ASAM Criteria will be used in addition to the ASI to enhance assessment. Finally, due to an increase in positive alcohol tests, new protocols, including EtG alcohol testing and revisions in treatment protocols, are explored and developed at the time of this writing or in the near future. All interventions and treatment services will be added as indicated by "what works" in terms of what is indicated by drug test results and improving the client's overall functioning in life.

**Strategy:** Enhance criminal justice supervision of offenders.

By hiring and utilizing probation assistants to track, reengage and retain clients who have fallen out of treatment.

6. X Other activities, approaches, and services.

Describe the specific activities, approaches and services not previously addressed that the county plans to pursue. The plan must describe the county's current outcomes or progress in terms of numbers and types of clients, and the anticipated improvement or enhancement anticipated as a result of the proposal. Describe which strategies from the list above will be used to meet the goal. The use of funds for other purposes must be approved by ADP.

**Santa Barbara County Alcohol, Drug and Mental Health Services continues to integrate ADP with MH services. Alcohol and Drug Treatment Specialists have been placed into each of our mental health clinics to serve clients with co-occurring disorders. There is a coordinated release of clients from jail into mental health clinics. We expect to improve our outcomes with COD Prop 36 clients with the addition of these specialists. Along with the many other strategies the State has itemized and the County is utilizing, we are confident that positive outcomes will improve for all Prop 36 and OTP clients.**

#### **EXPLANATION OF THE FOLLOWING BUDGET**

**Of the \$211,093 originally budgeted for residential treatment in Santa Maria, we expect to use \$119,563 for the remainder of this fiscal year, thus the reduction from the original amount proposed. The \$133,000 budgeted for the development of treatment services not currently available includes \$50,000 for detox services in Lompoc, \$50,000 for ODF services in Guadalupe, and \$33,000 for perinatal sober living home services in Lompoc.**



Exhibit A  
 FY 2007-08 County Plan and OTP Application Template  
 FY 2007-08 OTP Budget

County: Santa Barbara

Section I Treatment Related Costs Budgeted Expenditures			
A	B	C	D
	Budget	Match Requirement	Projected Clients Served
<b>Enhancing Treatment Services</b>			
Residential Treatment	\$ 119,563	\$ 11,956	18
Narcotic Replacement Therapy	\$ -	-	
Other Treatment Service	\$ -	-	
<b>Reduce Treatment Delays</b>			
Increase Placement into Treatment	\$ -	-	
<b>Develop Treatment Services Not Currently Available</b>			
Other Activities, Approaches or Services	\$ 133,000	\$ 13,300	195
<b>TOTAL SECTION I</b>	<b>\$ 252,563</b>	<b>\$ 25,256</b>	<b>213</b>
Section II Criminal Justice/Other Costs Budgeted Expenditures			
	Budget	Match Requirement	Projected Clients Served
<b>Enhanced Supervision</b>	\$ -	-	
<b>Other</b>	\$ -	-	
<b>TOTAL SECTION II</b>	<b>\$ -</b>	<b>-</b>	<b>0</b>
<b>GRAND TOTAL OF SECTIONS I &amp; II</b>	<b>\$ 252,563</b>	<b>\$ 25,256</b>	<b>213</b>
<b>Section III CERTIFICATION</b> County Alcohol and Other Drug (AOD) Program Administrator's certification that all projected expenditures stated above are consistent with the requirements of the Substance Abuse Offender Treatment Program			

X

Date: \_\_\_\_\_

\_\_\_\_\_ SACPA Lead Agency Designee Signature

Doug Barton, Interim Director ADMHS

**PART III: Board of Supervisors Resolution**

1. The Board of Supervisors resolution approving the above plan and application (if applicable) is not available at this time.

The anticipated completion date is: January, 2008. The County of Santa Barbara Therapeutic Justice System (TJS) Policy Council must approve this plan, with budget, and the TJS Policy Council will not meet again until December 9<sup>th</sup>. It will take a month to calendar this item for the Board of Supervisor to hear.