

COUNTY OF VENTURA

Contractor	Santa Barbara County Workforce Development Board
Contract #	C2021.23
Contract Project	High Road Construction Careers: SB-1
Term	November 1, 2020-August 31, 2022
Contract Amount	\$30,000
Fund Source	California Workforce Development Board (State/SB1)
Is this contract a subaward of federal funds?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes (If yes please see Schedule of Federal Funding Exhibit for Details)

CONTRACT

This contract is entered into this **1st day of November 2020**, by and between the COUNTY OF VENTURA, a political subdivision of the State of California, hereinafter called "County," and SANTA BARBARA COUNTY WORKFORCE DEVELOPMENT BOARD, hereinafter called "Contractor."

WITNESSETH

WHEREAS, it is necessary and desirable that Contractor be engaged by County for the purpose of providing the services hereinafter described:

NOW, THEREFORE, IT IS HEREBY AGREED by the parties as follows:

- SERVICES TO BE PERFORMED BY CONTRACTOR**
In consideration of the payments hereinafter set forth, Contractor will perform services for County in accordance with the terms, conditions and specifications set forth herein and in **Exhibits A, B, C, and D** to this contract.
- PAYMENTS**
In consideration of the services rendered in accordance with all applicable terms, conditions and specifications, County will make payment to Contractor in the manner specified in **Exhibit A** and in accordance with the approved budget for this contract herein included as **Exhibit B**.
- REDUCTION OR TERMINATION OF CONTRACT DUE TO BUDGET REDUCTIONS**
This contract is approved based on budget estimates for the fiscal year. The County reserves the right, at its sole discretion, to decrease the dollar amount of or terminate this contract as needed to address any budget reductions from federal, state and county funding sources.
- INDEPENDENT CONTRACTOR**
No relationship of employer and employee is created by this contract, it being understood that Contractor is an independent contractor, and neither Contractor nor any of the persons performing services for Contractor pursuant to this contract, whether said person be member, partner, employee, subcontractor, or otherwise, will have any claim under this contract or otherwise against County for sick leave, vacation pay, retirement benefits, social security, workers' compensation,

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disability, unemployment insurance benefits, or employee benefits of any kind.

It is further understood and agreed by the parties hereto that, except as provided in this contract, Contractor in the performance of its obligation hereunder is subject to the control or direction of County merely as to the result to be accomplished by the services hereunder agreed to be rendered and performed and not as to the means and methods for accomplishing the results.

If, in the performance of this contract, any third persons are employed by Contractor, such persons will be entirely and exclusively under direction, supervision and control of Contractor. All terms of employment, including hours, wages, working conditions, discipline, hiring and discharging or any other terms of employment or requirements of law, will be determined by Contractor, and County will have no right or authority over such persons or the terms of such employment, except as provided in this contract.

The Contractor will comply with all of the provisions of the Worker's Compensation Insurance and Safety Acts of the State of California, the applicable provisions of Division 4 and 5 of the California Labor Code and all amendments, thereto; and all similar State and Federal acts or laws applicable; and will indemnify and hold harmless the County of Ventura from and against all claims, demands, payments, suits, actions, proceedings and judgments of every nature and description, including attorney's fees and costs, presented, brought or recovered against the County of Ventura, for or on account of any liability under any of said Acts which may be incurred by reason of any work to be performed under this Contract.

5. NON-ASSIGNABILITY

Contractor will not assign this Contract or any portion thereof, to a third party without the prior written consent of County, and any attempted assignment without such prior written consent will be null and void and will be cause, at County's sole and absolute discretion, for immediate termination of this Contract.

6. TERM

The term of this contract is from November 1, 2020 – August 31, 2022, subject to all terms and conditions set forth herein and subject to the appropriation of funds by the Board of Supervisors. If funds are not appropriated for this Contract and/or if the maximum amount of funds appropriated is expended, then this Contract may be immediately terminated by the County with no further obligations to Contractor. Time is of the essence in the performance of this Contract.

7. TERMINATION

Either County or Contractor may terminate this contract at any time with or without cause, upon thirty (30) days written notice to the other party. In the event of termination under this paragraph, Contractor will be paid for all work provided to the date of termination, as long as such work meets the terms and conditions of this contract. On completion or termination of this contract, County will be entitled to immediate possession of and Contractor will furnish on request, all

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computations, plans, correspondence and other pertinent data gathered or computed by Contractor for this particular Contract prior to any termination. Contractor may retain copies of said original documents for Contractor's files. Contractor hereby expressly waives any and all claims for damages or compensation in the event of termination.

This right of termination belonging to the County of Ventura may be exercised without prejudice to any other remedy to which it may be entitled at law or under this contract.

8. DEFAULT

If Contractor defaults in the performance of any term or condition of this contract, Contractor must cure that default by a satisfactory performance within ten (10) days after service upon Contractor of written notice of the default. If Contractor fails to cure the default within that time, County may terminate this contract without further notice.

The foregoing requirement for written notice and opportunity to cure does not apply with respect to paragraph 4 above.

9. INDEMNIFICATION, HOLD HARMLESS AND WAIVER OF SUBROGATION

All activities and/or work covered by this contract will be at the risk of Contractor alone. Contractor agrees to defend, indemnify, and save harmless the County of Ventura, including all of its boards, agencies, departments, officers, employees, agents and volunteers, against any and all claims, lawsuits, judgments, debts, demands and/or liability, whether against Contractor, County or others, including without limitation, those arising from injuries or death of persons and/or for damages to property, arising directly or indirectly out of the obligations herein described or undertaken or out of operations conducted or subsidized in whole or in part by Contractor, save and except claims or litigation arising through the sole negligence or wrongdoing and/or sole willful misconduct of County. Contractor agrees to waive all rights of subrogation against County for losses arising directly or indirectly from the activities and/or work covered by this contract.

10. INSURANCE PROVISIONS

Contractor, at its sole cost and expense, will obtain and maintain in full force during the term of this contract the following types of insurance unless waived or reduced by County Risk Management:

- a. Commercial General Liability "occurrence" coverage in the minimum amount of \$1,000,000 combined single limit (CSL) bodily injury & property damage each occurrence and \$2,000,000 aggregate, including personal injury, broad form property damage, products/completed operations, broad form blanket contractual and \$50,000 fire legal liability.
- b. Commercial Automobile Liability coverage in the minimum amount of \$1,000,000 CSL bodily injury & property damage, including owned, non-owned,

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- and hired automobiles. Also to include Uninsured/Underinsured Motorists coverage in the minimum amount of \$100,000 when there are owned vehicles. Contractor must have on file evidence of auto insurance in the minimum amount of \$100,000 CSL bodily injury & property damage for all employees and volunteers associated with the contract.
- c. Workers' Compensation coverage, in full compliance with California statutory requirements, for all employees of Contractor and Employer's Liability in the minimum amount of \$1,000,000.
 - d. All insurance required will be primary coverage as respects County and any insurance or self-insurance maintained by County will be excess of Contractor's insurance coverage and will not contribute to it.
 - e. County is to be notified immediately if any aggregate insurance limit is exceeded. Additional coverage must be purchased to meet requirements.
 - f. The County of Ventura, its Boards, Agencies, Departments, Officers, Employees, Agents, and Volunteers are to be named as Additional Insured as respects work done by Contractor under the terms of this contract on all policies required (except Workers' Compensation).
 - g. Contractor agrees to waive all rights of subrogation against the County of Ventura, Its Boards, Agencies, Departments, Officers, Employees, Agents and Volunteers for losses arising from work performed by Contractor under the terms of this contract.
 - h. Policies will not be canceled, non-renewed or reduced in scope of coverage until after sixty (60) days written notice has been given to the County of Ventura, Risk Management Division.
 - i. Contractor agrees to provide County with the following insurance documents on or before the effective date of this contract:
 1. Certificates of Insurance for all required coverage.
 2. Additional Insured endorsements.
 3. Waiver of Subrogation endorsements (a.k.a.: Waiver of Transfer Rights of Recovery Against Others, Waiver of Our Right to Recover from Others).
 4. Failure to provide these documents will be grounds for immediate termination or suspension of this contract.
 - j. If any coverage is on a "Claims Made" form or basis, Contractor must for a period of three (3) years after the date when this contract is terminated,

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completed, or not renewed, maintain insurance with a retroactive date that is on or before the start of services pursuant to this contract OR purchase an extended reported period endorsement (tail coverage).

11. NON-DISCRIMINATION

a. General.

No person will on the grounds of race, color, national origin, religious affiliation or non-affiliation, sex, age, gender identity, handicap, disability, or political affiliation, be excluded from participation in, be denied the benefits, or be subjected to unlawful discrimination under this Contract.

b. Employment.

Contractor will insure equal employment opportunity based on objective standards of recruitment, selection, promotion, classification, compensation, performance evaluations, and management relations, for all employees under this Contract. Contractor's personnel policies will be made available to County upon request.

12. SUBSTITUTION

If particular people are identified in **Exhibit A** as working under this Contract, the Contractor will not assign others to work in their place without written permission from the County Contract Representative. Any substitution will be with a person of commensurate experience and knowledge.

13. INVESTIGATION AND RESEARCH

Contractor by investigation and research has acquired reasonable knowledge of all conditions affecting the work to be done and labor and material needed, and the execution of this Contract is to be based upon such investigation and research, and not upon any representation made by the County or any of its officers, agents or employees, except as provided herein.

14. CONTRACT MONITORING

The County will have the right to review the work being performed by the Contractor under this Contract at any time during Contractor's usual working hours. Review, checking, approval or other action by the County will not relieve Contractor of Contractor's responsibility for the thoroughness of the services to be provided hereunder. This Contract will be administered by the Human Services Agency.

15. ADDENDA

County may from time to time require changes in the scope of the services required hereunder. Such changes, including any increase or decrease in the amount of Contractor's compensation which are mutually agreed upon by and between County and Contractor will be effective when incorporated in written amendments to this Contract.

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16. CONFLICT OF INTEREST

Contractor covenants that Contractor presently has no interest, including, but not limited to, other projects or independent contracts, and will not acquire any such interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to be performed under this Contract. Contractor further covenants that in the performance of this Contract no person having such interest will be employed or retained by Contractor under this contract.

17. CONFIDENTIALITY

Any reports, information, data, statistics, forms, procedures, systems, studies and any other communication or form of knowledge given to or prepared or assembled by Contractor under this Contract which County requests in writing to be kept confidential, will not be made available to any individual or organization by Contractor without the prior written approval of the County except as authorized by law.

18. NOTICES

All notices required under this Contract shall be in writing and may be given by personal delivery, by established overnight delivery service (such as Federal Express, UPS, etc.), by facsimile transmission (with documentation confirming receipt) coupled with notice by mail, or by U.S. mail alone. All notices shall be addressed or delivered as follows:

TO COUNTY: TINA KNIGHT
 CONTRACTS MANAGER
 HUMAN SERVICES AGENCY
 855 PARTRIDGE WAY
 VENTURA, CA 93003

TO CONTRACTOR: RAY MCDONALD
 EXECUTIVE DIRECTOR
 SANTA BARBARA COUNTY WDB
 130 EAST ORTEGA STREET
 SANTA BARBARA, CA 93101

Either party may, by giving written notice in accordance with this paragraph, change the names, addresses or facsimile numbers of the persons or departments designated for receipt of future notices. When addressed in accordance with this section and deposited in the United States mail, certified or registered mail, postage prepaid, notices shall be deemed given on the third day following such deposit in the United States mail. When given by facsimile transmission, as provided above, notice shall be deemed given on the first regular working day following transmission and receipt of the facsimile transmission. In all other instances, notices shall be deemed given at the time of actual delivery.

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19. MERGER CLAUSE

This Contract supersedes any and all other contracts, either oral or written, between Contractor and the County of Ventura, with respect to the subject of this contract. This contract contains all of the covenants and contracts between the parties with respect to the services required hereunder. Contractor acknowledges that no representations, inducements, promises or contracts have been made by or on behalf of County except those covenants and contracts embodied in this contract. No contract, statement, or promise not contained in this contract will be valid or binding.

20. GOVERNING LAW

The validity of this contract and any of its terms or provisions, as well as the rights and duties of the parties under this contract, will be construed pursuant to and in accordance with the laws of the State of California.

21. SEVERABILITY OF CONTRACT

If any term of this contract is held by a court of competent jurisdiction to be void or unenforceable, the remainder of the contract terms will remain in full force and effect and will not be affected.

22. CUMULATIVE REMEDIES

The exercise or failure to exercise of legal rights and remedies by the County of Ventura in the event of any default or breach hereunder will not constitute a waiver or forfeiture of any other rights and remedies, and will be without prejudice to the enforcement of any other right or remedy available by law or authorized by this contract.

23. COMPLIANCE WITH LAWS

Each party to this contract will comply with all applicable laws.

24. CONSTRUCTION OF COVENANTS AND CONDITIONS

Each term and each provision of this contract will be construed to be both a covenant and a condition.

25. LIVING WAGE ORDINANCE

Unless otherwise exempt in accordance with the provisions of the Ordinance, this contract is subject to the applicable provisions of the County's "Living Wage Ordinance" ("LWO") (Ventura County Ordinance Code section 4950 et seq.), as amended from time to time. As specified elsewhere in this Agreement, to the extent that federal, state or other applicable requirements require payment of particular employees at a higher rate, the higher rate must be paid.

- a. Under the LWO, covered employees must be paid at no less than the minimum initial wage rate as defined in the LWO, as the same may be adjusted each July 1, with provision of health benefits as defined in the LWO when required.
- b. Ventura County Ordinance Code section 4957 requires that the following be

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included in contracts subject to the LWO: "This contract is subject to the County of Ventura Living Wage Ordinance. The Ordinance requires the payment of a living wage and accompanying paid time off to all covered employees engaged in providing services pursuant to a service contract as defined in Sec. 4952(f). Misrepresentation during the procurement or contracting process in order to secure the contract will disqualify a bidder or contractor from further consideration in the procurement or contracting process. Failure to comply once a contract has been awarded will constitute a material breach of the contract and may result, among other things, in the suspension or termination of the affected contract and debarment from future County contracting opportunities for a period not to exceed three (3) years."

- c. Contractor pledges that it will comply with federal law proscribing retaliation for union organizing and will not retaliate for activities related to the LWO. Contractor shall require each of its Subcontractors within the meaning of the LWO to pledge to comply with the terms of federal law proscribing retaliation for union organizing. Contractor shall deliver executed pledges from each such Subcontractor to the County within 90 days of the execution of the Subcontract.
- d. The Contractor, whether an employer, as defined in the LWO, or any other person employing individuals, shall not discharge, reduce in compensation, or otherwise discriminate against any employee for complaining to the County with regard to the employer's compliance or anticipated compliance with the LWO, for participating in proceedings related to the LWO, for seeking to enforce his or her rights under the LWO by any lawful means, or for otherwise asserting rights under the LWO. Contractor shall post the Notice of Prohibition Against Retaliation provided by the County.
- e. Any Subcontract entered into by the Contractor relating to this Agreement shall, unless exempt or excepted under the LWO, be subject to the provisions of the LWO and shall incorporate the "Living Wage Ordinance."
- f. Contractor shall comply with all rules, regulations, and policies promulgated by the County administrative agency administering the LWO, as the same presently exist or as they may be amended from time to time.
- g. Contractor shall complete a Declaration of Compliance within ten (10) days of contract award proclaiming its adherence to the Living Wage Ordinance.
- h. Under the provisions of Section 4960 of the LWO, the County shall have the authority, under appropriate circumstances, to terminate this contract and otherwise pursue legal remedies that may be available if the County determines that the subject Contractor has violated provisions of the LWO.
- i. Where under the LWO Section 4959, the County administrative agency administering the LWO has determined (1) that the Contractor is in violation of the LWO in having failed to pay some or all of the living wage, and (2) that such

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violation has gone uncured, the awarding authority in such circumstances may impound monies otherwise due the Contractor in accordance with the following procedures. Impoundment shall mean that from monies due the Contractor, the awarding authority may deduct the amount determined to be due and owing by the Contractor to its employees. Such monies shall be placed in the holding account referred to in LWO policies and procedures. Whether the Contractor is to continue work following an impoundment shall remain in the unfettered discretion of the awarding authority. The Contractor may not elect to discontinue work either because there has been an impoundment or because of the ultimate disposition of the impoundment by the County.

26. EXHIBIT LIST

Contractor shall comply with the Contract along with the Exhibits listed below.

- Exhibit A-Scope of Work
- Exhibit B-Budget
- Exhibit C-Partnership Agreement
- Exhibit D-General Contract Conditions

IN WITNESS WHEREOF the parties hereto have executed this Contract.

COUNTY OF VENTURA	CONTRACTOR
By:	By:
Printed Name: KELLY LONG	Printed Name:
Title: CHAIR, BOARD OF SUPERVISORS	Title:
Date:	Date:
	Tax ID # 95-6002833

EXHIBIT A – SCOPE OF WORK

CONTRACTOR: SANTA BARBARA COUNTY WORKFORCE DEVELOPMENT BOARD
PROGRAM NAME: HIGH ROAD CONSTRUCTION CAREERS: SB-1 PROGRAM

I. PROGRAM OBJECTIVE

The purpose of the High Road Construction Careers: SB-1 (HRCC) Program is to develop a skilled construction workforce through the creation of pre-apprenticeship programs in the tri-counties region of Ventura, Santa Barbara and San Luis Obispo counties, and to provide pathways to apprenticeships that lead to advanced careers in the building and construction trades. County of Ventura-Human Services Agency (COUNTY) and Santa Barbara County Workforce Development Board (CONTRACTOR) enter into this agreement for the HRCC Program, which shall be referred to herein as “the HRCC Program” or “the Program.”

The Program will:

- A. Develop and implement a regional building and construction trades pre-apprenticeship training program that uses the Multi-Craft Core Curriculum (MC3).
- B. Provide apprenticeships and work-based learning in the Tri-Counties Region.
- C. Build a pipeline of qualified apprenticeship applicants.
- D. Prioritize enrollment for women, disadvantaged youth, formerly incarcerated individuals and veterans.
- E. Increase skills, opportunities and access to careers in the building and construction trades.
- F. Outreach extensively for enrollment into the Program.

II. PROGRAM DESCRIPTION

The Program is a collaborative effort among the Workforce Development Board of Ventura County (WDBVC), Santa Barbara County Workforce Development Board (CONTRACTOR), Workforce Development Board San Luis Obispo County (SLO), Tri-Counties Building and Construction Trades Council (BTC), Joint Apprenticeship Training Council (JATC), Goodwill Industries of Ventura and Santa Barbara Counties (GWI), and San Luis Obispo County Office of Education (SLOCOE). These entities will work in conjunction with community based organizations (CBO) and social service programs in each of the three (3) counties. WDBVC is the Fiscal Agent for the Program. Each WDB is responsible for implementing the Program in their respective county and for providing timely reports to the Fiscal Agent. The BTC and JATC will drive the project to ensure that pre-apprentices receive the necessary training to be qualified to apply for apprenticeships and that the pre-apprenticeships are linked to apprenticeship opportunities.

As part of the grant application, the BTC and the Workforce Development Boards of the three (3) counties executed a Partnership Agreement, which is attached herein as **Exhibit C**. CONTRACTOR agrees to abide by the Partnership Agreement.

III. POPULATIONS TO BE SERVED

The Program will focus outreach and apprenticeship services on four (4) primary population groups, women, disadvantaged youth, formerly incarcerated individuals and veterans.

The Program will serve a total of 175 individuals, through seven (7) cohorts with 25 pre-apprentice participants in each cohort. Four (4) cohorts are designated for Ventura County; one (1) cohort will serve Santa Barbara County; one (1) cohort will serve San Luis Obispo County; and one (1) joint cohort will be comprised of participants drawn from both North Santa Barbara County and South San Luis Obispo County combined.

Participants by County	Ventura	San Luis Obispo	Santa Barbara	Total
Individuals to be Served	100	37	38	175
Completion of MC3	60	22	23	105
Apprenticeship Placement	40	15	15	70
Employment Placement	40	18	18	76

IV. CONTRACTOR RESPONSIBILITIES

CONTRACTOR shall:

- A. Participate with the Program partners in the Development Phase (defined in section VI.A.)
- B. Designate one (1) staff person to be the project reporting analyst responsible for ensuring all program data is captured and that data is, to every extent feasible, complete and accurate and forwarded to WDBVC in a timely manner (due date to be determined during Development Phase.)
- C. Manage grant and project activities in Santa Barbara County.
- D. Work with partner CBOs and other service providers for outreach and recruitment of participants from target populations and for job placements.
- E. Provide referrals to appropriate partner services (such as CalWORKs, CalFresh, etc.) and partner with CBOs and America's Job Center of California (AJCC) to provide, or connect to, supportive services as needed for individuals eligible for these programs.
- F. Monitor participant support services to ensure they meet the needs of the target populations within Santa Barbara County.
- G. Track participant services in CalJOBS, ensuring relevant Program data and activities are posted.
- H. Abide by the Partnership Agreement attached herein as Exhibit C.
- I. Provide in-kind services/matching funds in the amount of \$31,000.
- J. Track in-kind services/matching funds and report to WDBVC monthly.

V. COUNTY RESPONSIBILITIES

COUNTY shall:

- A. Serve as the Fiscal Agent for the tri-counties region to receive grant funds and distribute budgeted amounts to each partner as agreed.
- B. Oversee the grant and coordinate with project partners to achieve grant objectives.
- C. Complete fiscal, programmatic, performance and closeout reports for the region and submit timely to State.
- D. Manage grant and project activities in Ventura County.
- E. Work with participating CBOs and other service providers for outreach and recruitment of participants from target populations and for job placements.
- F. Provide matching funds in the amount of \$33,257.
- G. Monitor participant support services to ensure they meet the needs of the target populations within Ventura County.
- H. Track participant services in CalJOBS, ensuring relevant Program data and activities are posted.
- I. Abide by the Partnership Agreement attached herein as Exhibit C.

VI. PROGRAM TIMELINE

The Program will operate from November 1, 2020, through August 31, 2022, and includes a 5-month Development Phase (November 2020-March 2021) during which the entities participating in the Program will further define all roles and responsibilities, determine how services will be implemented and coordinated throughout the region, and develop strategies, activities, and accountability measures that ensure coordination and success. CONTRACTOR shall follow the Program timeline outlined below.

A. Development Phase

Q1-Q2: (November 2020-March 2021)

- Develop marketing materials and outreach plans in collaboration with SLOCOE.
- BTC will identify a Regional Coordinator who will be the liaison between the JATC and the HRCC partners.
 - i. Regional Coordinator will assist with industry knowledge and implementation, as well as ensure activities to develop the pre-apprenticeship program are aligned with regional and local apprenticeship demand, review curriculum content, and select and train three (3) MC3 instructors.
- BTC will research and communicate labor market needs for each trade to facilitate pre-apprenticeship training and relevant work-based learning.
- Each WDB will identify and confirm locations for training cohorts in their respective county.
- WDBVC, as fiscal lead, will establish reporting requirements.
- Determine methods for streamlining data collection and reporting.
- Explore other local organizations and agencies who work with the targeted population groups of women, disadvantaged youth, formerly incarcerated individuals and veterans.

- Outreach to and recruitment of the target populations into pre-apprenticeships and ultimately into building and construction trades apprenticeships.
 - Plan career day events.
- B. Implementation Phase
- Q3: (April 2021-June 2021)
- Launch first cohort.
 - Continue outreach to target populations.
 - Track participants for reporting successes and best practices and identify potential needed changes to training plans.
- Q4-Q8: (July 2021-August 2022)
- Launch subsequent cohorts.
 - Continue outreach to target populations.
 - Track participants for reporting successes and best practices and identify potential needed changes to training plans.
 - Review cohort successes and follow up on employment placements within the building and construction trades or similar fields.

VII. PERFORMANCE MEASURES

- A. CONTRACTOR will have performance measured against the required and allowable workforce and apprenticeship activities outlined in the California Workforce Development Board's (CWDB) HRCC Program with benchmarks for success as set forth in sections I and III of this exhibit.
- B. CONTRACTOR shall submit progress reports to the COUNTY on a monthly basis. The CWDB is in the process of developing the reporting structure and requirements. Once finalized, COUNTY will provide CONTRACTOR with a reporting template to use and required monthly due dates.
- C. CONTRACTOR shall submit performance reports to the COUNTY on a quarterly basis. Reports are due within 15 days after the end of each quarter, with the first report due no later than January 15, 2021, for the quarter ending December 31, 2020. The CWDB is in the process of developing the reporting structure and requirements. Once finalized, COUNTY will provide CONTRACTOR with a reporting template to use and required quarterly due dates. An accompanying narrative outlining reasons for underperformance and plan for improvement should accompany quarterly reports for any measure(s) where the CONTRACTOR is not meeting the stated goal.

VIII. COMPENSATION SCHEDULE

- A. CONTRACTOR and COUNTY acknowledge and agree that this is a cost reimbursement contract. The total compensation amount of this contract shall not exceed \$30,000. CONTRACTOR shall be paid in arrears for all costs incurred and

- paid in support of this contract.
- B. CONTRACTOR shall submit an invoice monthly for all expenses incurred and paid for the previous month no later than the fifteenth (15th) calendar day of the subsequent month to Human Services Agency-Fiscal Division. COUNTY shall pay invoices or claims for satisfactory work within 30 days of receipt of correct and complete invoices or claims from CONTRACTOR. If COUNTY finds that an invoice or claim is incorrect or incomplete, COUNTY shall notify CONTRACTOR of the deficiency within 30 days of receipt of the invoice or claim and CONTRACTOR shall make all corrections no later than 90 days upon COUNTY'S initial receipt of invoice. COUNTY shall initiate payment process with COUNTY'S adjustments if corrections are not received from CONTRACTOR within this 90-day period.
 - C. Subcontractor invoices for services shall be paid by CONTRACTOR first with such amounts included in CONTRACTOR'S regular invoice to COUNTY for reimbursement.
 - D. In accordance with the approved budget, included herein as **Exhibit B**, COUNTY shall reimburse to CONTRACTOR the approved costs within thirty (30) days of receipt of an approved invoice.
 - E. CONTRACTOR shall provide \$31,000 of in-kind services/matching funds, as referenced in Exhibit B. In-kind matching funds shall be reportable and documented to original sources and in accordance with 2 CFR 200 (The Uniform Guidance.) In-kind costs are funds or services contributed by the CONTRACTOR without reimbursement.
 - F. CONTRACTOR will not incur expenses for Program activities until COUNTY has a fully executed contract with CWDB and California Employment Development Department (EDD.) WDBVC will notify CONTRACTOR as soon as a fully executed contract is in place. **Costs incurred prior to notice that COUNTY has a fully executed agreement with the State may be disallowed.** In accordance with CWDB, in-kind/matching funds may be used toward this project beginning with the grant effective date of September 1, 2020.

IX. ADDITIONAL PROVISIONS

- A. CONTRACTOR shall adhere to regulations set forth in EDD Directives (https://edd.ca.gov/Jobs_and_Training/Active_Directives.htm) and CWDB's High Road Construction Careers: Senate Bill 1 Program (https://cwdb.ca.gov/wp-content/uploads/sites/43/2019/09/SB1-Guidelines_UPDATED-BRANDING_ACCESSIBLE.pdf.)
- B. In the event of short- or long-term conditions which impact CONTRACTOR'S normal service delivery operations, such as a declared public health emergency, CONTRACTOR shall immediately notify COUNTY of the status and impact on operations, staffing and client populations and will work with COUNTY to develop a strategy for alternative methods to deliver services while also adhering to federal, state and local safety and public health directives at all times.

X. MONITORING

- A. COUNTY may monitor and evaluate CONTRACTOR to ensure compliance with the terms of this agreement.
- B. CONTRACTOR's failure to resolve a County-identified deficiency within 90 days of the monitoring notice is sufficient cause for COUNTY to withhold payment of funds to the CONTRACTOR.

County of Ventura		Exhibit B	
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022		4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:	
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1		5. CONTRACT NUMBER: 2021.23	
3. CONTRACTOR: Santa Barbara County Workforce Development Board			
COST CATEGORIES		BUDGET SUMMARY	IN-KIND COSTS
I. ADMINISTRATION			
A. STAFF SALARIES	(includes ICR of 39.66%)	\$1,885	\$1,995
B. STAFF FRINGE BENEFITS	(includes ICR of 39.66%)	\$1,115	\$1,105
C. STAFF TRAVEL		\$0	
D. STAFF EQUIPMENT		\$0	
E. FACILITIES		\$0	
F. CONSUMABLE SUPPLIES		\$0	
G. SUB-AGREEMENT(S)		\$0	
H. OTHER ADMINISTRATION COSTS		\$0	
SUBTOTAL SECTION I		\$3,000	\$3,100
PERCENTAGE OF TOTAL CONTRACT BUDGET		10.00%	
*Total Indirect/Administration may not exceed 10% of Total, or the agency's Federally-approved Indirect Cost Rate (IDCR).			
II. PROGRAM			
A. STAFF SALARIES	(includes ICR of 39.66%)	\$16,965	\$17,952
B. STAFF FRINGE BENEFITS	(includes ICR of 39.66%)	\$10,035	\$9,948
C. STAFF TRAVEL		\$0	
D. STAFF EQUIPMENT		\$0	
E. FACILITIES		\$0	
F. CONSUMABLE SUPPLIES		\$0	
G. TUITION AND ENTRANCE FEES		\$0	
H. SINGLE UNIT COSTS		\$0	
I. PARTICIPANT SUPPORT SERVICES		\$0	
J. PARTICIPANT WAGES AND FRINGE BENEFITS		\$0	
K. TEACHING AIDS, EQUIPMENT AND SUPPLIES		\$0	
L. SUB-AGREEMENT(S)		\$0	
M. OTHER DIRECT COSTS		\$0	
SUBTOTAL SECTION II		\$27,000	\$27,900
PERCENTAGE OF TOTAL CONTRACT BUDGET		90.00%	
TOTAL CONTRACT BUDGET		\$30,000	\$31,000

County of Ventura	Exhibit B
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022	4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1	
3. CONTRACTOR: Santa Barbara County Workforce Development Board	5. CONTRACT NUMBER: 2021.23

I. BUDGET

A. PAYMENT

1. The Contractor and Subcontractors shall be paid on a cost reimbursement basis for the goods and services as stipulated in this Contract.

The total amount of the Contract shall be: \$30,000
If applicable, the total amount of In-Kind Costs shall be: \$31,000
The source(s) of any In-Kind Costs shall be: Compensation of WDB Executive Director for hours worked on this program

2. There are two Cost Categories:
 - a. Administration \$3,000
 - b. Programs \$27,000

The Contractor shall be paid by the County in accordance with the Contract, the approved Budget Summary and Budget Detail for Cost Reimbursement Contracts. All contract payments must be for expenditures incurred or earnings achieved between the Contract beginning and ending dates shown on the Contract cover page. All budgets will be reviewed for Reasonableness of Costs by County Staff. All costs associated with the Contract must be properly documented and accounted for regardless of whether any of the costs are charged to the County.

The Contractor shall ensure that all invoiced costs are substantiated by verifiable source documents which shall provide a clear audit trail. The Contractor shall invoice the County for program costs incurred each month using County statement of cost forms. The monthly statement of cost form shall be submitted to the County fiscal department by the tenth (10th) day of each month following the month expenditures occur.

Payment of accurate and approved invoices will be issued within thirty (30) days after receipt by the County. Inaccurate invoices shall be returned to the Contractor for correction. Consistently inaccurate or late invoices will result in payment delay and request for formal corrective action.

County of Ventura		Exhibit B				
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022			4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:			
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1						
3. CONTRACTOR: Santa Barbara County Workforce Development Board			5. CONTRACT NUMBER: 2021.23			
CATEGORY I. ADMINISTRATION						
IA. STAFF SALARIES						
POSITION/TITLE	TOTAL NO. OF POSITION	HOURS PER WEEK	TOTAL NO. OF WEEKS	TOTAL HRS. (ROUNDED)	AVG. HOURLY RATE	TOTAL (ROUNDED)
Marco Medina, Department Business Professional	1	1.2	24	29	\$65.76	\$1,885
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE A)						TOTAL \$1,885
IB. STAFF FRINGE BENEFITS						
FRINGE BENEFITS		RATE	AMT. RATE APPLIED TO	TOTAL (ROUNDED)		
Marco Medina, Department Business Professional II		59.15%	\$1,885	\$1,115		
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE B)						TOTAL \$1,115
IC. STAFF TRAVEL						
TRAVEL EXPENSE		MILES PER WEEK	RATE PER MILE	TIME (WEEKS)	TOTAL (ROUNDED)	
Reimbursement for use of auto (per week)			\$0.575			
RENTAL/LEASE: (per day)	NO. DAYS:		RATE(\$/DAY):			
PER DIEM: (Auto/bus/etc.)	NO. DAYS:		RATE(\$/EA:			
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION 1, LINE C)						TOTAL \$0
ID. STAFF EQUIPMENT						
EQUIPMENT FOR STAFF - PURCHASE						
DESCRIPTION		QUANTITY	UNIT COST	TOTAL (ROUNDED)		
SUB-TOTAL						\$0
EQUIPMENT FOR STAFF - LEASE						
DESCRIPTION		QUANTITY	MONTHLY RATE	NUMBER OF MONTHS	TOTAL (ROUNDED)	
SUB-TOTAL						\$0
(ENTER THE SUMMATION OF SUB TOTAL(S) I.D)						TOTAL \$0
TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE D)						

County of Ventura			Exhibit B		
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022			4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:		
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1			5. CONTRACT NUMBER: 2021.23		
3. CONTRACTOR: Santa Barbara County Workforce Development Board					
I E. FACILITIES					
LEASE	SQUARE FEET	RATE/SQ. FT. PER MONTH	MONTH(S) IN USE	PERCENTAGE OF USE	TOTAL (ROUNDED)
LOCATION 1:					
LOCATION 2:					
SUB-TOTAL					\$0
FACILITIES - UTILITIES AND CUSTODIAL					
TYPE		COST PER MONTH	NUMBER OF MONTH(S)		TOTAL (ROUNDED)
GAS					
WATER					
POWER					
CUSTODIAL (SERVICE AND/OR SUPPLIES ONLY)					
TELEPHONE					
SUB-TOTAL					\$0
(ENTER THE SUMMATION OF SUB TOTAL(S) I.E TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE E)					TOTAL \$0
I F. CONSUMABLE SUPPLIES					
DESCRIPTION	QUANTITY OR NO. MO.	UNIT COST			TOTAL (ROUNDED)
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE F)					TOTAL \$0
I G. SUB-AGREEMENT(S) (Specify)					
DESCRIPTION					COST PER
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE G)					TOTAL \$0
I H. OTHER ADMINISTRATION COSTS					
DESCRIPTION	QUANTITY	UNIT COST PER MONTH			TOTAL (ROUNDED)
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION I, LINE H)					TOTAL \$0

County of Ventura		Exhibit B				
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2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1		5. CONTRACT NUMBER: 2021.23				
3. CONTRACTOR: Santa Barbara County Workforce Development Board						
CATEGORY II. PROGRAMS						
II A. STAFF SALARIES						
POSITION/TITLE	TOTAL NO. OF POSITION	HOURS PER WEEK	TOTAL NO. OF WEEKS	TOTAL HOURS (ROUNDED)	AVG. HOURLY RATE	TOTAL (ROUNDED)
Marco Medina, Department Business Professional		10.7	24	258	\$65.76	\$16,965
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE A)						TOTAL \$16,965
II B. STAFF FRINGE BENEFITS						
FRINGE BENEFITS		RATE	AMT. RATE APPLIED TO	TOTAL (ROUNDED)		
Marco Medina, Department Business Professional II		59.15%	\$16,965	\$10,035		
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE B)						TOTAL \$10,035
II C. STAFF TRAVEL						
TRAVEL EXPENSE		MILES PER WEEK	RATE PER MILE	TIME (WEEKS)	TOTAL (ROUNDED)	
Reimbursement for use of auto (per week)			\$0.575			
RENTAL/LEASE: (per day)	NO. DAYS:		RATE(\$/DAY):			
PER DIEM: (Auto/bus/etc.)	NO. DAYS:		RATE(\$/EA):			
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE C)						TOTAL \$0
II D. STAFF EQUIPMENT - PURCHASE AND DEPRECIATION						
DESCRIPTION		QUANTITY	MONTHLY UNIT COST	TOTAL (ROUNDED)		
						SUB-TOTAL \$0

County of Ventura		Exhibit B			
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2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1		5. CONTRACT NUMBER: 2021.23			
3. CONTRACTOR: Santa Barbara County Workforce Development Board					
EQUIPMENT FOR STAFF - USAGE					
DESCRIPTION	QUANTITY	MONTHLY RATE	NUMBER OF MONTHS	TOTAL (ROUNDED)	
				SUB-TOTAL	\$0
(ENTER THE SUMMATION OF SUB TOTAL(S) II.D TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE D)				TOTAL	\$0
II E. FACILITIES					
LEASE	SQUARE FEET	RATE/SQ. FT. PER MONTH	MONTH(S) IN USE	PERCENT USE	TOTAL (ROUNDED)
LOCATION 1:					
LOCATION 2:					
				SUB-TOTAL	\$0
FACILITIES - Other					
TYPE	COST PER MONTH	NUMBER OF MONTH(S)	TOTAL (ROUNDED)		
GAS					
WATER					
POWER					
CUSTODIAL (SERVICE AND/OR SUPPLIES ONLY)					
TELEPHONE					
				SUB-TOTAL	\$0
(ENTER THE SUMMATION OF SUB TOTAL(S) II.E TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE E)				TOTAL	\$0
II F. CONSUMABLE SUPPLIES					
DESCRIPTION	QUANTITY	UNIT COST PER MONTH	TOTAL (ROUNDED)		
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE F)				TOTAL	\$0

County of Ventura		Exhibit B			
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022		4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:			
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1					
3. CONTRACTOR: Santa Barbara County Workforce Development Board		5. CONTRACT NUMBER: 2021.23			
II G. TUITION AND ENTRANCE FEES					
DESCRIPTION		QUANTITY	UNIT COST PER MONTH	TOTAL (ROUNDED)	
TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE G)			TOTAL	\$0	
II H. INTAKE AND RECRUITMENT (Specify)					
DESCRIPTION				COST PER	
TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE H)				TOTAL	\$0
II I. PARTICIPANT SUPPORT SERVICES					
DESCRIPTION		QUANTITY	COST PER	TOTAL (ROUNDED)	
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE I)			TOTAL	\$0	
II J. PARTICIPANT WAGES AND FRINGE BENEFITS - WAGES					
NUMBER OF SLOTS (BY DIFFERENT HOURLY RATES)	HOURS PER WEEK PER SLOT	NUMBER OF WEEKS	TOTAL HOURS (ROUNDED)	RATE PER HOUR	TOTAL (ROUNDED)
SUB-TOTAL					\$0
FRINGE BENEFITS					
FRINGE BENEFITS			RATE	AMT. RATE APPLIED TO	TOTAL (ROUNDED)
SUB-TOTAL					\$0
(ENTER THE SUMMATION OF SUB TOTAL(S) II.J)				TOTAL	\$0
TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE J)					
II K. TEACHING AIDS, EQUIPMENT AND SUPPLIES					
DESCRIPTION		QUANTITY	UNIT COST	TOTAL (ROUNDED)	
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE K)			TOTAL	\$0	

County of Ventura		Exhibit B	
1. PROGRAM YEAR: FY 2020-2021 FROM: November 1, 2020 TO: August 31, 2022		4. BASIC CONTRACT EFFECTIVE DATE: 11/1/2020 MOD 001: MOD 002: MOD 003: MOD 004:	
2. PROGRAM ACTIVITY: High Road Construction Careers: SB-1		5. CONTRACT NUMBER: 2021.23	
3. CONTRACTOR: Santa Barbara County Workforce Development Board			
II L. SUB-AGREEMENT(S) (Specify)			
DESCRIPTION			COST PER
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE L)			TOTAL \$0
II M. OTHER DIRECT COSTS			
DESCRIPTION	QUANTITY OR NO. MO.	UNIT COST PER MO.	TOTAL (ROUNDED)
(TOTAL APPEARS ON BUDGET SUMMARY, PAGE 1, SECTION II, LINE M)			TOTAL \$0

SB 1 Partnership Agreement

A. This Partnership Agreement is entered into by and between: Workforce Development Board of Ventura County, Workforce Development Board of Santa Barbara, Workforce Development Board of San Luis Obispo, and the Tri-Counties Building & Trades Council.

WDB of Ventura: Is a 29-person board operating within the County of Ventura Human Services Agency as delegated by the Ventura County Board of Supervisors. The WDB VC is part of the public workforce system that supports economic expansion and development of talent in the local workforce. The Workforce Development Board administers and manages performance for Workforce Innovation and Opportunity Act, WIOA, funded programs through the Human Services Agency's Career Services department and through contracted community based organizations for WIOA Youth Services. Additionally, the WDB VC participates in State funded and other programs to serve local employers and job seekers with barriers to employment. The WDB VC convenes demand driven sector representatives to communicate the needs of local employers to those providing training, education and workforce development.

WDB of Santa Barbara: The Workforce Development Board of Santa Barbara County (SBCWDB) is a 13-person board operating within the County of Santa Barbara Department of Social Services serving as fiscal agent for federal Department of Labor funding pursuant to the Workforce Innovation and Opportunity Act (WIOA). SBCWDB obtains periodic economic studies that identify high growth priority industry sectors, and then engages employers within those sectors and job seekers to participate in mutually beneficial contributions to economic development within the county and region. Through competitively procured contractors, SBCWDB provides employment and training services for youth, adults and dislocated workers. SBCWDB staff provide Rapid Response and Layoff Aversion programs to assist employers and their employees during downsizing or business closures.

WDB of San Luis Obispo: Is a 20-person board, under the auspices of the County of San Luis Obispo Department of Social Services and is part of the public workforce system that supports economic expansion and development of talent in the local workforce. The WDB utilizes local labor market information to develop strategies to focus resources on high growth industries in the area. The WDB contracts with services providers for employment and training activities for youth, adults and dislocated workers. Services under the WDB also include Rapid Response and Layoff Aversion programs to assist employers and their employees during downsizing or business closures.

Tri Counties Building & Trades Council Is a Labor organization serving Ventura, Santa Barbara, and San Luis Obispo (SLO) Counties that assists the affiliates of the organization in securing work opportunities.

B. Purpose. The purpose of this agreement is to establish a cooperative and mutually beneficial relationship among the undersigned parties for participation in the High Road Construction Careers: SB1 grant program. This project will bring regional workforce funding opportunities to fruition by aligning and leveraging workforce development funds to create apprenticeship career paths within

the Tri Counties of Ventura, Santa Barbara and San Luis Obispo Counties with the industry expertise and guidance of the Tri-Counties Building & Trades Council. This agreement sets forth the responsibilities of the parties as they relate to this regional effort to plan and develop a program in the first six months of the grant and then implement a collaborative workforce funding effort to create pre-apprenticeship programs in each of the three counties.

C. Roles and Responsibilities.

Agency A: Workforce Development Board /County of Ventura:
Submit application as lead agency
Serve as the Fiscal Agent: receive the grant funds and distribute budgeted amounts to each partner as agreed.
Oversee the grant and coordinate with project partners to achieve grant objectives
Manage and submit required reports for the Region once collected from each area by BTC Coordinator.
Manage the grant activities in Ventura County.
Additional responsibilities will be finalized during the grant’s planning phase.

Agency B: Workforce Development Board of Santa Barbara County agrees to:
Management Information Systems: Provide SB1 grant reporting information from CalJOBS.
Manage the grant activities in Santa Barbara County.
Additional responsibilities will be finalized during the grant’s planning phase.

Agency C: Workforce Development Board of San Luis Obispo agrees to:
Grant writing Services: Provide SB1 grant writing for the application. Collect data and narratives from each partner involved and ensure application contains all required information needed for a successful application.
Share Best practices from previous experiences in developing MC3 curriculums.
Manage the grant activities in San Luis Obispo County.
Additional responsibilities will be finalized during the grant’s planning phase.

Agency D: Tri Counties Building & Trades Counties agrees to:
Convene JATCs in each county to participate on project. Research and communicate the labor market needs for each of the trades in each county during planning phase.
Identify a BTC Regional Coordinator to serve the three counties, Ventura, Santa Barbara, San Luis Obispo, and the BTC for the SB 1 project.
Identify 3 instructors to receive a certified MC3 instructor curriculum.
Manage the Instructors to train approximately 175 participants using the certified MC3 curriculum. 100 in Ventura County, 75 between Santa Barbara and San Luis Obispo.

Be the Liaison between the JATC's and the Workforce partners to assist with industry knowledge and implementation and planning of the MC3 curriculum.
Provide space for Career Fairs for each cohort and host a Career fair to showcase all trades in each county to allow students to interact with potential apprenticeship opportunities.
Identify placement needs within each JATC.
Additional responsibilities will be finalized during the grant's planning phase.

D. Reporting Requirements and Data Entry.

Santa Barbara County will be responsible to gather CalJOBSSM reports of services provided to participants. The BTC Regional Coordinator will assist in working with gathering information required for reports required and sharing them to all project coordinators in each county to combine and collate report to the fiscal lead (Workforce Development Board of Ventura County) to review and submit a final report by the due dates provided.

E. Leverage Resources. Each partner will provide match funding in proportionate share to their budget amount received and responsible for providing an equal match to the amount of their contract expenses including participant MC3 certificate cost, MC3 instructor salary, MC3 instructor travel and lodging expenses to receive training, and one-third of the cost of the Regional Coordinator.

F. Timeframe.

Should the SB1 grant be awarded, this agreement will commence on 9/1/2020 and will terminate at the end of the grant funding period on 8/31/2022.

F. Confidentiality.

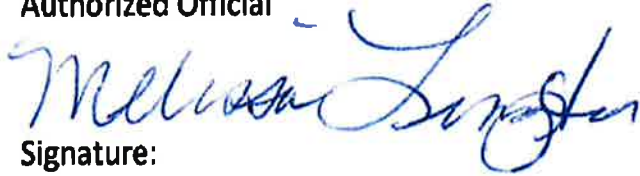
In order to ensure the safety of clients, all parties to the Partnership Agreement agree to adhere to the confidentiality expectations as outlined in the Grant Agreement.

The designated lead agency accepts full responsibility for the performance of the collaborative organizations/agencies.

This Partnership Agreement is the complete agreement between Workforce Development Board of Ventura County, Workforce Development Board of Santa Barbara, Workforce Development Board of San Luis Obispo, and the Tri-Counties Building Trades Council, and may be amended only by written agreement signed by each of the parties involved.

For the Workforce Development Board of Ventura County:

Authorized Official



Signature:

Name: Melissa Livingston

Date: 01/16/2020

Title: Chief Deputy Director

County of Ventura

Human Services Agency

For the Workforce Development Board of Santa Barbara County:

Authorized Official

For the Workforce Development Board of Santa Barbara County:

Authorized Official



Signature

Date: January 16, 2020

Name: Raymond L. McDonald

Title: Executive Director

For the Workforce Development Board of San Luis Obispo County:

Authorized Official

Signature: 


Date: 01/14/2020

Name: Dawn Boulanger

Title: WDB Director

For the Tri-Counties Building Trades Commission:

Authorized Official

Signature: 
Name: TONY SKINNER

Date: 1-16-2020
Title: EXECUTIVE SECRETARY,
TREASURER

GENERAL CONTRACT CONDITIONS – EXHIBIT D

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GENERAL CONDITIONS, ASSURANCES AND CERTIFICATIONS

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INTRODUCTION

The General Conditions, Assurances and Certifications shall apply to and are incorporated into this contract. To the extent there is any conflict between the General Conditions, Assurances and Certifications and any other provision in this contract, the General Conditions, Assurances and Certifications shall prevail. To the extent that provisions in the General Conditions, Assurances and Certifications relate to services or activities not encompassed in the subject contract, those provisions do not apply.

A. GENERAL CONTRACT CONDITIONS

1. Reports

The Contractor shall submit timely and accurate programmatic and financial reports in accordance with the contract and County Directives.

2. Limits of Obligation

The Contractor shall be paid in accordance with the contract and budget, not to exceed the maximum amount specified. Any cost incurred by the Contractor over and above the maximum amount obligated by the contract and budget shall be at the sole risk and expense of the Contractor.

3. Documentation and Procurement Requirements

The Contractor shall maintain documentation of all services and contract costs and comply with all applicable procurement requirements. Such documentation and procurement must be in accordance with the contract requirements and all applicable federal, State, and County requirements, and provide sufficient detail (*i.e.*, original source documents) to support Contractor purchases, claims for reimbursement and payments made under the contract.

4. Disallowed Costs

Contractor shall be liable for all amounts which are determined to be due as a result of disallowance by the Federal Government, the State of California, or the County of Ventura or any other governmental agency with jurisdiction, when such disallowance is the result of the Contractor's or its Subcontractor's conduct. Payment of any disallowed costs must be made within 30 days of notification of the disallowed costs, unless otherwise specified by County. The Contractor shall comply with the provisions set forth in the County's Audit Resolution Procedure, hereby incorporated by reference, regarding Contractor's liability for expenditures disallowed by an auditor. Contractor will be notified of any disallowed costs or any other controversy or proceeding between County, the State of California or the federal government arising from the performance of the contract.

5. Availability of Funds

- a. The contract is valid and enforceable only if sufficient funds are made available to the County from the appropriate funding source and are appropriated by the County Board of Supervisors for the purpose set forth in the contract.
- b. At the expiration of the term of the contract or upon termination prior to the expiration of the contract, and after all payments have been made to the Contractor

GENERAL CONDITIONS, ASSURANCES AND CERTIFICATIONS

PAGE 5 OF 26

for services provided, any remaining funds that were previously obligated under the contract shall revert to the County.

- c. The County retains the right to suspend financial assistance, in whole or in part, to protect the integrity of the funds or to ensure proper operation of the program, providing the Contractor is given prompt notice and the opportunity for a hearing within 30 days from such suspension. Failure on the part of the Contractor or a Subcontractor to comply with the provisions of the contract or with applicable law, when such failure involves the allegation of fraud as a result of a monitoring or other program review or misappropriation of funds, may result in immediate de-obligation and withholding of funds and debarment from program operation.

6. Administrative Directives, Unilateral Modifications, Contract Directives

The County may issue administrative directives, unilateral modifications and contract directives concerning interpretations of Federal or State laws, rules and regulations, and directives received from the Federal Government or the State, and/or from the County Board of Supervisors, which may require changes in procedures by the Contractor.

Contractor will be deemed responsible for complying with such administrative and contract directives and/or modifications only after being formally notified in writing of appropriate action necessary.

7. Venue and Construction

The validity of this contract and any of its terms or provisions, as well as the rights and duties of the parties under this contract, will be construed pursuant to and in accordance with the laws of the State of California. The parties acknowledge that the contract is entered into and is to be performed in the County of Ventura, State of California. In any legal proceeding relating to the contract, the parties agree that for all purposes venue shall be in the County of Ventura, State of California.

8. Ownership of Work Product

Upon the termination of the contract for any reason, all data, documents, films, tapes and all reports or any other work products paid for by grant or other funds provided by federal, State, and County and prepared by the Contractor in the course of operating the program, will become the property of the County. This will not include any information that is proprietary to the Contractor, unless otherwise agreed to by the parties in writing.

9. Personnel Disclosure

Contractor shall make available to County, upon request, a current list of all personnel providing services under the contract. The list shall include: (1) the names and job titles of all full or part-time staff and volunteers providing services under the contract, (2) a brief description of each position and the FTE hours allocated, and (3) the professional degree, if applicable, and experience required for each position.

10. Responsibility for Equipment

County shall not be responsible nor be held liable for any damage to person or property consequent upon the use, misuse, or failure of any equipment used by Contractor or any

GENERAL CONDITIONS, ASSURANCES AND CERTIFICATIONS

PAGE 6 OF 26

of Contractor's employees, even though such equipment is furnished, rented, or loaned to Contractor by County. The acceptance or use of any such equipment by Contractor or Contractor's employees shall be construed to mean that Contractor accepts full responsibility for and agrees to exonerate, indemnify and hold harmless County from and against any and all claims for any damage whatsoever resulting from the use, misuse, or failure of such equipment.

11. Contamination and Pollution

Contractor, solely at its own cost and expense, will provide clean up of any premises, property or natural resources contaminated or polluted due to Contractor activities. Any fines, penalties, punitive or exemplary damages assigned due to contaminating or polluting activities of the Contractor will be borne entirely by the Contractor.

12. Hiring/Paying Board of Directors Prohibited

Contractor shall not hire, nor compensate from contract funds, any of its governing body to provide services under the contract without the written approval of County.

13. Subcontracts

All subcontracts between Contractor and another party involving the operation of the contract must be in writing and will first be presented to the County for approval, and do not create a contractual relationship between such third party and the County. Failure to obtain such prior approval of the County may result in the immediate termination of the contract at the sole and absolute discretion of the County.

- a. Any subcontracts entered into by the Contractor must be in compliance with all applicable Federal and State procurement laws, policies, or regulations.
- b. Any of the work or services specified in the contract which will be performed by other than the Contractor will be evidenced by a written agreement specifying the terms and conditions of such performance.
- c. The Contractor will maintain and adhere to an appropriate system, consistent with Federal, state, and local law, for the procurement, award and monitoring of contracts which contain acceptable standards for insuring accountability.
- d. The system for awarding contracts will contain safeguards to ensure that the Contractor does not contract with any entity whose officers have been convicted of fraud or misappropriation of funds within the last five (5) years.
- e. The system for soliciting and/or developing fixed unit price contracts must include sufficient documented analysis to assure that costs billed as a single-unit charge are reasonable and supportable, based on the prevailing rate of such services obtained from competitive sources, or that costs are justifiable, predicated on the unique nature of the service provided.
- f. No subcontract shall alter in any way any legal responsibility of Contractor to County. County has the right to refuse reimbursement for obligations incurred

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under any subcontract which does not comply with the terms of the contract.

- g. For any contract being supported in part or in whole with federal funds, it is the responsibility of the Contractor to ensure that any subcontractors are not debarred or suspended from receiving from federal funds prior to issuance of the subaward, and that subcontracts adhere to the same criteria set forth in this contract in section 48. Debarment and Suspension Certification. Contractors must verify that subcontractors have no active exclusions by using the System for Award Management at www.SAM.gov.

14. Political Activities Prohibited (Hatch Act) & Byrd Anti-Lobbying Amendment

None of the funds, provided directly or indirectly, under the contract shall be used for any political activities or to further the election or defeat of any candidate for public office. In addition, the Contractor will comply with the provisions of the Hatch Act as amended, which limits the political activities of employees.

For contracts over \$100,000 Contractor must comply with the Byrd Anti-Lobbying Amendment (31 U.S.C. 1352).

15. Energy Efficiency

Contractor shall comply with mandatory standards and policies relating to energy efficiency in the California Energy Code, Title 24, part 6, as required by the U.S. Energy Policy and Conservation Act (42 U. S. C. § § 6201 et seq.).

16. Clean Air and Water Acts

For all contracts between County and Contractor in excess of \$150,000, Contractor shall comply with Section 306 of the Clean Air Act (42 USC § 7606), Section 508 of the Clean Water Act (33 USC 1368), Executive Order 11738 and United States Environmental Protection Agency regulations (Title 2 of CFR).

17. Solid Waste Disposal Act.

For all contracts between County and Contractor in which an item or items in excess of \$10,000 are procured, Contractor shall comply with Section 6002 of the Solid Waste Disposal Act (42 U.S.C. § 6962) and 40 CFR part 247.

18. Sectarian Activities

As part of or in connection with the performance of this contract, Contractor shall not engage in, aid or permit religious instruction, proselytization, or any other activities that would amount to an improper aid to or establishment of religion, or a violation of "free exercise" rights, in violation of the United States Constitution (First Amendment), California Constitution (Art. I, § 4; art. XVI, § 5), or any other law.

19. Licenses and Standards

Contractor shall comply with all applicable federal, State, County and local rules and regulations, including, business, facility and professional licensing and certification laws, and shall keep in effect and current any and all licenses, permits, notices and certificates required for Contractor's provision of services under the contract and for the duration of

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the term of the contract. Contractor shall further comply with all laws applicable to wages and hours of employment, occupational safety, and fire safety, health and sanitation.

In the performance of this contract, Contractor shall comply with all applicable provisions of the California Welfare and Institutions Code, Title 45 of the Code of Federal Regulations, all applicable laws and regulations of the United States, State of California, and County and all administrative regulations, rules and policies adopted thereunder as each and all may now exist or be hereinafter amended or changed. In addition, Contractor shall comply with all rules and regulations set forth in 2 CFR 200 as applicable to form of entity by which Contractor transacts its business.

20. Maintenance of Records (Records Retention)

Contractor agrees to maintain all records under the contract in accordance with applicable federal, state and local requirements:

- a. Contractor agrees to retain all records pertinent to all contracts, sub-contracts, and agreements including statistical, property and participant/client records and supporting documentation for a period of three (3) years and all payroll and financial records for a period of seven (7) years from the date of final payment of the Contract. If at the end of the retention period there is ongoing litigation or an audit involving these records, the Contractor will retain the records until the resolution of such litigation or audit.
- b. Working Paper Retention and Access to Working Papers - All work papers and reports must be maintained at the Contractor's office where work is performed, at Contractor's expense for a minimum of seven (7) years, unless the contractor is notified by the County that the retention period must be extended. If the Contractor goes out of business, all working papers must be turned over to the County for retention.
- c. Records for non-expendable property will be retained for a period of three (3) years after final disposition of the property, if applicable.
- d. In the event of the termination of the relationship with a Contractor, the Governor of the State of California will be responsible for the maintenance and retention of the records of any Contractor unable to retain them.
- e. Upon request, Contractor shall make these records available within Ventura County to all authorized County, State (including State Auditor) and federal personnel or representatives.

21. Internal Monitoring (fiscal and program review)

The Contractor is responsible for the internal monitoring of fiscal and program operational goals to ensure contract compliance. All monitoring formats to be used will be submitted to the County, upon request.

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22. Inspection of Records/Monitoring/Audits

Authorized federal, State or County representatives shall have the right to monitor, audit, assess, or evaluate Contractor's performance under the contract in accordance with federal and State laws and regulations and local policies, and to inspect any necessary records for such purpose. The Contractor will be responsible for maintaining appropriate records for all services provided under the contract.

- a. Records Inspection: At any time during normal business hours, and as often as County may deem necessary, Contractor shall make available to County, State or federal officials and their representatives for examination, all records pertaining to all matters covered by this contract and shall permit County, State or federal officials to audit, examine and make excerpts or transcripts from such records, and to make audits of all invoices, materials, payrolls, records of personnel, information regarding clients receiving services, and other data relating to all matters covered by this contract. Upon request, Contractor shall furnish to County, copies of all records, documents, files and forms that are necessary to review the program. Records must be available in Ventura County for review unless other arrangements are agreed to by the parties.
- b. Monitoring: Because program and fiscal monitoring conducted may be limited in scope, it should not be construed as a comprehensive assessment or audit of the Contractor's performance or Contract compliance. Therefore, deficiencies identified in any other subsequent audit, monitoring, or review remain the Contractor's responsibility. The Contractor will respond in a timely manner to identified corrective action needs as a result of County (or other) monitoring. The Contractor will submit to the County all required reports and monitoring corrective action plans on a timely basis, as requested by the County.
- c. Audits (Single Audit applicable to \$750,000 or more in Federal Funds): The Contractor shall conduct or have conducted on an annual basis an audit of their organization in accordance and in compliance with the Single Audit Act, 31 U.S.C. § § 7501 et seq., 2 CFR 200, Subpart F, WIOA Regulations at 20 CFR 667.200(b) or other Regulations as applicable to the fund source, and any State Administrative Regulations or Directive and County Directives, as applicable, incorporated into a company audit of books and financial statements. The appropriate share of costs for such audit may be included in the contract budget and shall adhere to contract requirements, for administration costs. A copy of the completed audit will be submitted to the County within six (6) months of the Contractor's fiscal year-end and will be performed by a qualified independent auditor. If Contractor does not meet the Single Audit threshold of \$750,000, County may require Contractor to submit other forms of audits and/or financial reviews regarding costs and expenses under the contract.

If any administrative findings are identified by the Auditor during the yearly audit, Contractor shall comply with the audit resolution requirements in 2 CFR 200. Subpart F and have all findings resolved within six (6) months after receipt of the audit report.

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23. In-Kind or Cash Match

If a match requirement is included in the contract, Contractor shall make such cash and/or in-kind match contribution to the program in the amount specified in the contract budget. Contractor shall document the cash and/or in-kind match provided and report the match on the monthly invoices. Documentation supporting the match and its source must be maintained by the Contractor.

24. Termination

The agreement may be terminated in whole or in part for any of the three (3) following circumstances:

- a. Termination for Cause: If, through any cause, the Contractor fails to fulfill in a timely and proper manner, its obligations under the contract, fails to make sufficient progress toward specified outcomes, or violates any of the covenants, agreements, or stipulations of the contract, the County shall have the right to terminate the contract, by giving written notice to the Contractor of such termination and the effective date thereof.
- b. Termination for Convenience: Either County or Contractor may terminate this contract at any time with or without cause, upon thirty-(30) days' written notice to the other party.
- c. Termination Due to Cessation of Funding: The County may unilaterally terminate the contract at will any time its funding/grants are suspended, reduced, or terminated by the State of California before or during the contract period. All notices of termination must be in writing and be delivered personally or by deposit in the U. S. Mail, postage prepaid, Certified Mail-Return Receipt Requested, and will be deemed to have been given at the time of personal delivery or of the date of the postmark by the U. S. Postal Service.

25. Closeout Upon Termination

Upon termination of this contract, the parties shall perform all closeout procedures that are reasonable and necessary to complete the obligations owed, but not yet performed under this contract.

- a. All reasonable and necessary costs defined under this contract and incurred up to the point of termination will be reimbursed to Contractor by County.
- b. Any monies owed to County by Contractor may be offset against any compensation due to Contractor for final payment from County, as covered under this contract.
- c. Contractor shall return to County any equipment or supplies purchased in whole or in part with funds provided under this contract and all related parts, unless otherwise specified by County.

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- d. Within forty-five (45) calendar days following the termination of the contract, the Contractor shall report and submit to the County on forms provided, all final claims and contract closeout forms for earned funds under the contract, unless specified otherwise by County.

26. Partial Performance

In the event less than all services are performed in a proper and timely manner, Contractor shall be paid only the reasonable cost for the services performed for the payment period as determined by County.

27. Non-Discrimination/Grievance/Complaint Procedures

Contractor agrees to provide a system through which recipients of service shall have the opportunity to express and have considered their views, grievances, and complaints regarding the delivery of services:

All Contractors must comply with all Equal Employment Opportunity requirements as delineated with Executive Order 11246 of September 24, 1965, entitled "Equal Employment Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented in Department of Labor regulations and in any applicable federal, State or County Directive.

Section 188 of WIOA prohibits discrimination based on race, color, religion, sex (including pregnancy, childbirth, and related medical conditions, gender identity and transgender status), national origin (including limited English proficiency), age, disability (temporary or permanent), unlawful harassment, political affiliation or belief, citizenship, or participation in WIOA.

The Contractor will establish and maintain a grievance procedure for grievances or complaints about its programs and activities from participants, subcontractors, and other interested persons. Hearings on any grievance will be conducted within 30 days of filing a grievance and decisions will be made not later than 60 days after the filing of a grievance.

A copy of the Contractor's procedures will be provided to the County upon request by the County. The Contractor will maintain on file documentation and data tracking verifying compliance with the Equal Opportunity Act.

Participation in programs and activities financially assisted in whole or in part under WIOA or other fund source(s) will be open to citizens and nationals of the United States, lawfully admitted permanent resident aliens, lawfully admitted refugees and parolees, and other individuals authorized by the Attorney General to work in the United States. Contractor agrees to abide by the Immigration Reform and Control Act of 1986, as amended.

28. Purchase of Service

County and Contractor agree to comply with principles established in 45 CFR, Part 74, Cost Principles, and 2 CFR 200, as applicable. No WIOA or other funds paid under the Contract may be used for direct purchase or lease of non-expendable equipment or software, except

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with the prior written approval of the County. Equipment purchased with these funds is the property of the County of Ventura. The County retains the right to have all such property returned upon conclusion of the contract period.

29. Supplemental Invoices

No supplemental invoice shall be accepted by County without prior notification to County of the need and justification for such an invoice and authorization by County to submit such invoice. Payments for authorized supplemental invoices shall be made as part of the next regular claim cycle.

30. Budgeted Expenses and Payments

Contractor charges shall be in accordance with the contract detailed line item budget or other agreed upon cost method (*i.e.*, fee for service, fixed rate) as specified in the contract and payments will be made accordingly.

31. Working Capital

Contractor must provide for sufficient working capital to meet the fiscal demands of this Contract.

32. Budget Deviations

Shifts to contract budget line items (staff salaries, facilities, travel, etc.) are allowable if not in excess of 20% or \$5,000, whichever is less, with County approval. If changes are made, Contractor shall notify the Department Program Manager immediately and submit a revised budget (Exhibit B-1) to the Contracts Manager. Any budget shifts in excess of 20% or \$5,000, whichever is less, require pre-approval by the County before the budget shift may be made. Contractor shall submit a written request along with the proposed revised budget to the Contracts Manager for approval. The request shall include, at a minimum, a justification for the requested change and a description of the areas being impacted. Regardless to the shifts to the contract budget line items, the total contract dollar amount cannot be modified.

33. Minimum Standards for Salaries and Benefits

CONTRACTOR shall maintain the following minimum standards with regard to salaries and benefits for all employees:

- a. All employees shall receive basic statutory coverage of FICA, Workers Compensation, Unemployment Insurance Benefits and Disability Insurance Benefits.
- b. All wages and benefits shall be no less than the minimum required by applicable State and federal law, and in compliance with the County's Living Wage Ordinance, as applicable.

34. Audit Exceptions

Contractor agrees to indemnify County for State and/or federal audit exceptions, whether resulting from contract non-compliance on the part of Contractor or otherwise, and for claims made against County arising from Contractor performance of this contract.

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CONTRACTOR is subject to the examination and audit of the State Auditor for a period of three (3) years after final payment under the Government Code Section 8546.7.

35. Conditions Prerequisite to Payments

Notwithstanding any other provision of the contract, the County may elect not to make payment on this contract if:

- a. Misrepresentation. Contractor, with or without knowledge, makes any misrepresentation of a substantial nature with respect to information furnished to the County.
- b. Litigation. There is pending litigation with respect to the performance by Contractor of any of its duties or obligations hereunder which may jeopardize or adversely affect carrying out the project, including any court action or proceeding involving the Federal Bankruptcy Act.
- c. Default. Contractor is in default under any provision of the contract.
- d. Unauthorized Actions by Contractor. Contractor shall have taken any action pertaining to this contract which required prior County approval, without having first received said approval.
- e. Fiscal and Non-Fiscal Reporting. Contractor has not submitted the required statements and reports as specified in this contract.

36. Reimbursement from Other Sources

Contractor shall not claim reimbursement from County, or apply sums received from County, with respect to that portion of its obligations, which have been paid by another source of revenue.

37. Authority to Bind/Independent Contractor

By entering into the contract, the Contractor certifies it is qualified and licensed to conduct business in the State of California. The Contractor is an independent contractor and not an employee or agent of the County. Upon request, the Contractor will provide proof that the person(s) executing this contract on behalf Contractor have authority to so execute this contract and to bind Contractor to the performance of its obligations hereunder.

38. Standard of Conduct/Conflict of Interest

The Contractor hereby assures that in administering the contract, it will comply with the standards of conduct hereinafter set out for maintaining the integrity of the contract and avoiding any conflict of interest in its administration.

- a. General Assurance: Every reasonable course of action will be taken by the Contractor in order to maintain the integrity of the expenditure of public funds and to avoid any favoritism, questionable or improper conduct. The contract will be administered in an impartial manner, free from improper personal, financial or

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political gain.

- b. Nepotism: Under this contract no relative by blood, adoption or marriage of any executive of the Contractor will be eligible for enrollment in services provided by the Contractor. For the purpose of this contract, a relative by blood, adoption, or marriage will include: wife, husband, son, daughter, mother, father, brother, brother-in-law, sister, sister-in-law, son-in-law, daughter-in-law, mother-in-law, father-in-law, aunt, uncle, niece, nephew, stepparent, stepchild, stepbrother, stepsister, grandfather, grandmother, granddaughter, or grandson.
- c. Conducting Business Involving Close Personal Friends and Associates: Executives and employees of the Contractor will be particularly aware of the varying degrees of influence that can be exerted by personal friends and associates and, in administering the contract, will exercise due diligence to avoid situations which may give rise to an assertion that favorable treatment is being granted to friends and associates.

39. Technical Assistance

All requests for technical assistance must be submitted in writing. Requests should specify the problem area, particular assistance being requested, and proposed or desired solution.

40. Corrective Action

The management, administration and implementation of all the terms and conditions of the contract shall be performed in a manner satisfactory to the County. In the event that the County determines the Contractor's performance to be unsatisfactory, the County may act in its own best interest, including, but not limited to:

- a. Requiring corrective action within specific time frames;
- b. Withholding payment;
- c. Disallowing inappropriate claims, payments, or costs;
- d. De-obligating contract funds;
- e. Terminating or suspending the contract; or,
- f. Debarment from Program Operations for a prescribed period of time.

If the Contractor determines that the program described in the contract is not functioning as intended, the Contractor shall notify the County immediately by telephone, followed by written notice, which may result in bilateral corrective action or adjustment of payment terms or extension of contract period through modification of the contract.

41. Penalties

If the Contractor fails to comply with the contract, the County may withhold all or any portion of amounts otherwise payable under the contract. The Contractor agrees that performance satisfactory to the County is essential to the life of the contract. Performance that does not meet programmatic and financial requirements in the contract, will constitute non-compliance with the terms of the contract. In this event, the County may require the Contractor to present a Program Improvement Plan, including the date(s) by which

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improved results may be expected, or to present just cause for modification of the contract.

The Contractor has ten (10) working days from receipt of notification in which to respond with a written Program Improvement Plan acceptable to the County, deficiencies correction, or with just cause for Contract Modification. If the Contractor does not respond within the appointed time, or does not present an acceptable written response, the County may immediately modify, suspend, or terminate the Contract.

42. Staff Representatives

The staff representative for the County is the **Contracts Manager** and may be reached at 855 Partridge Drive, Ventura, California 93003, (805) 477-5442. The staff representative for the Contractor shall be Contractor's Executive Director or CEO unless otherwise specified.

43. Copyrights (applicable only if funds provided are used to develop a copyright or if purchasing ownership of a copyright)

The application of this clause is limited to those awards, which involve the use or development of copyrighted materials. Contractor shall comply with copyright regulations cited in the Code of Federal Regulations (Title 29 -- LABOR, Part 97 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, Subpart C - Post-Award Requirements -- Section 97.34 --Copyrights) as follows:

The Federal awarding agency, State of California, and County reserve a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, for Federal Government, State, or County purposes:

- a. The copyright in any work developed under a grant, sub-grant, or contract under a grant or sub-grant; and
- b. Any rights of copyright to which a grantee, sub-grantee or a contractor purchases ownership with grant support.

44. Signatures

The agreement is of no force and effect until signed by the authorized representatives of the Contractor and County.

45. Remedies

Noncompliance or failure to perform may result in a demand for corrective action, disallowance of costs, suspension or termination of contract, set-off of damages from monies due under this or other contracts with Contractor, whether related or unrelated, or such other lawful remedies as the County may determine are appropriate; and may include debarment for a year or more.

46. Employment of Convicted Individuals

The Contractor certifies that none of its officers, agents, employees, servants, subcontractors or contract signatories associated with funds available under the Contract

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have been convicted of fraud, theft, misappropriation of funds, embezzlement, or related/similar crimes and torts including, but not limited to, crimes and torts of moral turpitude in the last five (5) years.

47. Drug-Free Workplace Certification

Contractor shall comply with 20 CFR Section 667.200(d) which states, in part, that contracts must have language requiring compliance with government-wide requirements for a Drug-Free workplace. By signing this agreement Contractor hereby certifies under penalty of perjury under the laws of the State of California that Contractor will comply with the requirements of the Drug-Free Workplace Act of 1988 and will provide a drug-free workplace by taking the following actions:

- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness program as required to inform employees about:
 - 1) The dangers of drug abuse in the workplace;
 - 2) The person's or organization's policy of maintaining a drug-free workplace;
 - 3) Any available counseling, rehabilitation and employee assistance programs; and,
 - 4) Penalties that may be imposed upon employees for drug abuse violations
- c. Every employee who works on the proposed contract or grant:
 - 1) Will receive a copy of the company's drug-free policy statement; and,
 - 2) Will agree to abide by the terms of the company's statement as a condition of employment on the contract or grant.

48. Debarment and Suspension Certification

Contractor shall comply with 20 CFR Section 667.200(d) which states, in part, that contracts must have language requiring compliance with government-wide requirements for Debarment and Suspension. By signing this agreement, the Contractor hereby certifies under penalty of perjury under the laws of the State of California that the Contractor will comply with regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98.510, that the Contractor, to the best of its knowledge and belief, that it and its principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from, covered transactions by any Federal department or agency;
- b. Have not, within the three-year period preceding this contract, been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under a public transaction, violation of Federal or State antitrust statutes, or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false

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statements, or receiving stolen property;

- c. Are not presently indicted for, or otherwise criminally or civilly charged by, a government entity (Federal, State, or Local) with commission of any of the offenses enumerated in paragraph 2 of this certification; and
- d. Have not, within a three-year period preceding this contract, had one or more public transactions (Federal, State, Local) terminated for cause or default.

When the Contractor is unable to certify to any of the statements in this certification, Contractor shall attach an explanation to this agreement.

49. Modification Provision

Any change in the contract or its attachments shall require written approval by both parties before becoming effective.

Notwithstanding the first paragraph, the County may unilaterally modify the contract whenever such action may be required to accommodate:

- a. Any change in any applicable local, state, or federal laws, regulations, rules, policies, or grant terms providing funding under the contract;
- b. Modifications to the contract must be in writing. Contract modifications will not be retroactive unless mutually agreed.

50. Fiscal Control

The Contractor will establish such fiscal controls and accounting procedures as required by applicable State and Federal regulations, County requirements or any amendments thereto, or as may be deemed necessary by the Governor of the State of California to assure the proper disbursement of, and accounting for, Federal funds paid to the Contractor under the contract. The Contractor will comply with applicable Office of Management and Budget (regulations, 2 CFR 200, and code of Federal Regulations as amended.)

51. Submittal and Payment of Claims and Source Documents

Payments shall be made within thirty (30) days of legitimate, accurate and timely fiscal claims and invoices. Original source documents (billing claims/invoices, along with any required back-up) are due within ten (10) calendar days of their effective dates, unless otherwise specified by County. Failure to comply with this requirement may result in a demand for corrective action or other appropriate remedy. Payments will not be construed as a waiver of the County's right to challenge the level of the Contractor's performance or the allowability of such claims under the Contract, and to seek appropriate legal remedies.

52. Program Income

Program income is defined as income received by the Contractor that is directly generated by a grant or sub-grant supported activity, or earned only as a result of the grant or sub-grant.

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- a. Program income includes:
 - i. Interest income earned on advances of sub-grant funds; for example, interest earned on an advance to a Contractor;
 - ii. Income from fees for services performed and from conferences; for example, excess revenue earned on a training conference or fees charged for utilization of systems developed using WIOA or other funds;
 - iii. Income from the use or rental of real or personal property acquired with grant or sub-grant funds; for example, income earned from the subleasing of a facility for use by a social service group;
 - iv. Income from the sale of commodities or items fabricated under a grant or sub-grant; for example, income from any product developed by a Contractor or participant with WIOA or other funds; and,
 - v. Revenues earned by a governmental or private non-profit Service Provider under a fixed price or reimbursable award that are in excess of the actual costs incurred in providing the services; for example, a Contractor who earns more than the cost of running the program.

- b. Program income does not include:
 - i. Rebates, credits, discounts, refunds, etc., or interest earned on any of them;
 - ii. Taxes, special assessments, levies, fines, and other such governmental revenues raised by a recipient or sub-recipient; or,
 - iii. Income from royalties and license fees for copyrighted material patents, patent applications, trademarks, and inventions developed by a recipient or sub-recipient, unless developed using funds awarded under WIOA or other funds as identified by County.

Program income shall only be spent on allowable program activities during the term of the contract, and limited for use to the WIOA title under which it was earned. All unexpended program income shall be returned to the County within fifteen (15) days after the end of the contract period. All program income and expenditures must be reported to the County. In the event that program income is not reported and/or spent prior to the Contract closeout, the County shall withhold final payment(s) until revenues are identified and/or returned.

53. False Claims

Any person who:

- a. knowingly presents, or causes to be presented, a false or fraudulent claim for payment or approval;
- b. knowingly makes, uses, or causes to be made or used, a false record or statement material to a false or fraudulent claim;
- c. conspires to commit a violation of subparagraph (A), (B), (D), (E), (F), or (G);
- d. has possessions, custody, or control of property or money used, or to be used, by the Government and knowingly delivers, or causes to be delivered, less than all of that money or property;
- e. is authorized to make or deliver a document certifying receipt of property used, or to be used, by the Government and, intending to defraud the Government, makes

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or delivers the receipt without completely knowing that the information on the receipt is true;

- f. knowingly buys, or receives as a pledge of an obligation or debt, public property from an officer or employee of the Government, or a member of the Armed Forces, who lawfully may not sell or pledge property; or
- g. knowingly makes, uses, or causes to be made or used, a false record or statement material to an obligation to pay or transmit money or property to the Government, or knowingly conceals or knowingly and improperly avoids or decreases an obligation to pay or transmit money or property to the Government,

is liable to the United States Government for a civil penalty of not less than \$5,000 and not more than \$10,000, as adjusted by the Federal Civil Penalties Inflation Adjustment Act of 1990 (28 U.S.C. 2461 note; Public Law 104-410), plus three (3) times the amount of damages which the Government sustains because of the act of that person.

54. Protection of Personally Identifiable Information (PII)

Contractor shall comply with Training and Employment Guidance Letter No. 39-11 dated June 28, 2012 which states, in part, that Contractors are required to protect PII when transmitting information, but are also required to protect PII and sensitive information when collecting, storing and/or disposing of information as well. Contractors shall ensure that any PII used during the performance of the contract has been obtained in conformity with applicable Federal and state laws governing the confidentiality of information. A Contractor's failure to comply with PII requirements identified in the Training and Employment Guidance Letter No. 39-11, or any improper use or disclosure of PII for an unauthorized purpose, may result in the termination or suspension of the contract, or the imposition of special conditions or restrictions, or such other actions as may deem necessary to protect the privacy of participants or the integrity of data.

B. WORKFORCE INNOVATION & OPPORTUNITY ACT (WIOA) PROGRAMS

Note: In addition to the above provisions, all Contractors receiving Workforce Innovation & Opportunity Act (WIOA) funds are required to comply with the following additional provisions:

1. Compliance

In its performance under the contract, the Contractor will comply with the requirements of:

- a. The Workforce Innovation & Opportunity Act (WIOA, Public Law 105-220), all Federal regulations and Governors' policies and procedures issued pursuant to the Act, and any new legislation, regulation, policy and procedures which may replace or amend the Act.
- b. The terms and conditions of the Contract between the State and County for WIOA funds for the applicable Fiscal Year in which WIOA funds are provided by County to Contractor, and all applicable Federal, State, County and Workforce Innovation & Opportunity Act Regulations, County Contract Directives and Policies.
- c. The Contractor represents and warrants that it is familiar with all laws, regulations,

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rules and County policies and procedures affecting its requirements under the Contract. The Contractor will obtain all necessary permits and licenses for its performance of the Contract. Measured performance below goals and standards and/or non-compliance with applicable rules and regulations will constitute non-compliance with the terms of the contract.

2. Charging of Costs

The Contractor will comply with Federal Regulations 29 CFR 97, as they may be amended from time to time, as they relate to charging direct and indirect costs.

3. Allowable Costs

A cost must meet the following criteria in order to be an allowable WIOA charge:

- a. Be necessary and reasonable for the performance of the contract.
- b. Be allocable to the contract.
- c. Conform to any limitations or exclusions set forth in the contract.
- d. Be consistent with policies and procedures that apply uniformly to both federally-financed and other activities of the non-federal entity.
- e. Be accorded consistent treatment.
- f. Be determined in accordance with generally accepted accounting principles.
- g. Not to be used to meet cost sharing or matching requirements of any other federally-financed program (without prior approval from the County).
- h. Be adequately documented.

4. Maintenance of Effort/Union Concurrence

No currently employed worker will be displaced by any participant (including partial displacement such as a reduction in the hours of non-overtime work, wages or employment benefits.) No program will impair existing Contracts for services or collective bargaining agreements, except that no program under this Act which would be inconsistent with the terms of a collective bargaining agreement, will be undertaken without the written concurrence of the labor organization and employer concerned.

No participant will be employed or job opening filled: (1) When any other individual is on layoff from the same or any substantially equivalent job; or (2) When the employer has terminated the employment of any regular employee or otherwise reduced its work force with the intention of filling the vacancy so created by hiring a participant whose wages are subsidized under this Act. No jobs will be created in a promotional line that will infringe in anyway upon the promotional opportunities of currently employed individuals. (WIOA Reg. 667.270)

5. Prevailing Wage

Individuals employed in activities under Title I of WIOA must be compensated at the same rates, including periodic increases, as trainees or employees who are similarly situated in similar occupations by the same employer and who have similar training, experience and skills. Such rates must be in accordance with applicable law, but may not be less than the higher of the rate specified in Section 6(a)(1) of the Fair Labor Standards Act of 1938 (29 U.S.C. 206(a)(1)) or the applicable State or local minimum wage law. (WIOA Reg.

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667.272)

6. Minimum Wage

Individuals employed in activities authorized under the Act will be paid wages which will not be less than the highest of (a) the minimum wage under Section 6(a)(1) of the Fair Labor Standards Act of 1938 (b) the minimum wage under the applicable State or local minimum wage law, (c) the prevailing rates of pay for individuals employed in similar occupations by the same employer, or (d) minimum wage as determined by the County Demand Occupation List. (WIOA Reg. 667.272)

7. Benefits and Working Conditions

All trainees employed in subsidized jobs in a training capacity (*i.e.*, On the Job Training) will be provided benefits and working conditions at the same level and to the same extent as other employees working a similar length of time and doing the same type of work (WIOA Reg. 667.272). This provision does not apply to participants enrolled in unpaid work experience. Unpaid work experience will be as specified in the participant's work experience agreement and any applicable Federal, State and local requirements.

8. Additional Nondiscrimination and Equal Opportunity Provisions

In accordance with 29 CFR 37 and 29 CFR 38, as a condition to the award of financial assistance from the Department of Labor under Title I of the Workforce Innovation and Opportunity Act, the Contractor assures that it will comply fully with the nondiscrimination and equal opportunity provisions of the following laws: Section 188 of the WIOA, which prohibits discrimination against all individuals in the United States on the basis of race, color, religion, sex (including pregnancy, childbirth, and related medical conditions, gender identity and transgender status), national origin (including limited English proficiency), age, disability (temporary or permanent), unlawful harassment, political affiliation or belief, citizenship, or participation in WIOA.

The Contractor also assures that it will comply with WIOA's implementing regulations when they are promulgated and all other regulations implementing the laws listed above. This assurance applies to the grant applicant's operation of the WIOA Title I financially assisted program or activity, and to all agreements the grant applicant makes to carry out the WIOA Title I financially assisted program or activity. The Contractor understands that the United States has the right to seek judicial enforcement of this assurance.

Participation in programs and activities financially assisted in whole or in part under WIOA or other fund source will be open to citizens and nationals of the United States, lawfully admitted permanent resident aliens, lawfully admitted refugees and parolees, and other individuals authorized by the Attorney General to work in the United States. Contractor agrees to abide by the Immigration Reform and Control Act of 1986, as amended. Additionally, priority for services should be given to veterans and their eligible spouses, as outlined in EDD Directive [WSD19-04](#) Priority of Service for Veterans and Eligible Spouses.

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9. Conflict of Provisions

- a. In the event there is a conflict between the provisions of these conditions and the provisions of the County's Workforce Innovation & Opportunity Act (WIOA) Strategic five-year Local Plan, contract template, or scope of work including attachments thereto and the documents incorporated therein as presently worded or as amended in the future, the parties hereto agree that the provisions of the Contract will prevail pending a Contract modification to comply with the WIOA Local Plan.
- b. Variances - by negotiating to fund a proposal, the County does not necessarily accept any variances contained in the proposal. All variances submitted are subject to review and approval by the County. If any proposal contains material variances that, in the County's sole opinion, make that proposal conditional in nature, the County reserves the right to reject the proposal or part of the proposal that is declared, by the County as conditional.

10. Definitions

For the purpose of the Contract, the definitions enumerated in the Act as amended, and the glossary of WIOA terms as amended, published by the State of California, will govern. Where references to these definitions is not possible, the definition or meaning of a word, phrase, section, clause, part, condition, or other requirement will be determined by the common meaning or business usage.

11. Tracking Costs by WIOA Cost Category

In order to determine reasonableness of contract costs and to comply with Federal legislation, the Contractor shall:

- a. Develop and submit to the County a Cost Allocation Plan, which identifies all costs shared among each separate funding source, WIOA, or non-WIOA.
- b. Account for Contract expenditures by WIOA Cost Categories.
- c. Maintain its accounting records and make such available to Federal, State and County auditors and/or monitors.
- d. Document and indicate in Budget and invoices submitted to the County, any in-kind costs contributed to the contract. In-kind costs shall be applied to the appropriate WIOA Cost Category.

12. Financial Aid

Educational assistance, grants and loans to WIOA participants for the purpose of supplementing training costs must reduce the costs chargeable to the Contract. The Contractor shall evaluate Supportive Services or Needs Based Payments, if any, received by the participant from WIOA funds to ensure that duplicate payments are not made to the participant from WIOA and Pell Grants or other sources of financial aid. (WIOA Reg. 663.320)

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13. Reporting Fraud and Abuse

All sub-recipients that receive WIOA funds shall promptly report within 48 hours to County of Ventura, Human Services Agency, Workforce Development Board all allegations of WIOA-related fraud, abuse, and other criminal activity in accordance with local directive(s).

14. California Labor Code, Fair Labor Standard Acts as Amended

Appropriate standards for health and safety in work and training situations will be maintained, and facilities and equipment will be adequate for the achievement of learning, as follows:

- a. Health and safety standards established under State and Federal Law, otherwise applicable to the working conditions of employees, will be equally applicable to working conditions of participants. With respect to any participant in a program conducted under the Act who is engaged in activities which are not covered by health and safety standards under the Occupational Safety and Health Act of 1970 as amended, the Secretary will prescribe, by regulation, such standards as may be necessary to protect the health and safety of such participants. Contractor hereby assures and certifies compliance with all provisions of the California Labor Code and the Fair Labor Standards Act as amended by the Occupational Safety and Health Act of 1970, as amended. (WIOA Reg. 667.274)
- b. Where participants are engaged in activities not covered under the Occupational Safety and Health Act of 1970 as amended, Contractor will ensure that participants are not permitted to work, be trained, or receive services in buildings or surroundings or under working conditions which are unsanitary, hazardous or dangerous to the participant's health or safety. Participants employed or trained for occupations that are inherently dangerous (e.g., fire or police jobs) will be assigned to work in accordance with reasonable safety practices.

15. Training Conditions

Conditions of employment and training will be appropriate and reasonable with regard to the type of work, the geographical region and the proficiency of the participant.

Training and related services will, to the maximum extent practicable, be consistent with every individual's fullest capabilities and lead to employment opportunities which will enable participants to become economically self-sufficient. The program will, to the maximum extent feasible, contribute to the occupational development and/or upward mobility of individual participants.

16. Recovery of WIOA Tuition and Training Refunds

All sub-recipients that receive WIOA funds shall obtain the designated training provider's policy regarding refunds of tuitions. In accordance with local directives, sub-recipients shall monitor participant's enrollment and attendance in training programs and will be responsible to pursue recovery of unused WIOA training monies and/or tuition refunds for any participant who does not complete a training program.

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17. Property Management

a. Insurance

All property and equipment purchased, received, or utilized by the Contractor for the purpose of performing the Contract shall be insured against fire, theft, and destruction, equal to the full replacement cost.

b. Purchase and Maintenance of Equipment

The Contractor shall ensure and document open competition and shall procure, in accordance with all WIOA and Federal regulations when purchasing at a cost of \$1,000 per unit or more, any property described in the Project Budget. If the low bid or quotation is not accepted by the Contractor, the County's approval of the expenditure shall be required. The Contractor shall have and use a procurement policy that complies with all pertinent WIOA and Federal regulations.

Unless otherwise specified, ownership of all non-expendable real property and equipment purchased with WIOA funds belongs to the U. S. Department of Labor through the State of California. The County may take possession of all such equipment and property at any time it determines necessary.

The Contractor shall maintain an up-to-date inventory of all WIOA property in its custody with an individual purchase price of \$500 or more, and shall implement adequate maintenance procedures to keep such property in good condition.

Further, Contractor shall conduct an annual inventory of equipment and property at any time during and upon termination of the Contract. A copy of the inventory shall be sent to the County as part of the closeout report documents.

Records for non-expendable real property shall be retained for a period of three (3) years from the date of final disposition of the property. These records shall be retained beyond the three (3) years if any litigation or audit is begun or if a claim is instituted involving the Contract. In these instances, the records shall be retained until the litigation, audit or claim has been finally resolved.

18. Theft and Embezzlement

- a. Whoever, being an officer, director, agent, or employee of, or connected in any capacity with any agency or organization receiving financial assistance or any funds under Title I of the Workforce Innovation and Opportunity Act knowingly enrolls an ineligible participant, embezzles, willfully misapplies, steals, or obtains by fraud any of the monies, funds, assets, or property which are the subject of a financial assistance agreement or Contract pursuant to such Act shall be fined under this title or imprisoned for not more than two (2) years, or both; but if the amount so embezzled, misapplied, stolen, or obtained by fraud does not exceed \$1,000, such person shall be fined under this title or imprisoned not more than one (1) year, or both (18 USC Section 665(a)).

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- b. Whoever, by threat or procuring dismissal of any person from employment or of refusal to employ or refusal to renew a contract of employment in connection with a financial assistance agreement or contract under Title I of the Workforce Innovation and Opportunity Act induces any person to give up any money or thing of any value to any person (including such organization or agency receiving funds) shall be fined under this title, or imprisoned not more than one (1) year, or both (18 USC Section 655.b).

- c. Whoever willfully obstructs or impedes or willfully endeavors to obstruct or impede, an investigation or inquiry under the Workforce Innovation and Opportunity Act, or the regulation thereunder, shall be punished by a fine under this title, or by imprisonment for not more than one year, or by both such fine and imprisonment. (18 USC Section 665.c).

19. Duplicate Funding

The Contractor shall submit to the County copies of all requests for Federal, State or local grants that may materially affect the quality or cost of the services provided under the Contract, prior to submitting the request to the funding source. The Contractor shall also inform the County of the receipt of any such grant, in which event the County shall have the right to renegotiate the price or deliverable performance of the Contract. Contractor costs or earnings claimed under one contract or grant may not also be claimed under any other contract or grant.

20. Relocation Act

Contractor will comply with the provisions of the Uniform Relocation Assistance and Real Property Acquisition Act of 1970 as amended, which requires fair and equitable treatment of persons displaced as a result of Federal and federally-assisted programs. (42 U.S.C. sections 4601 et seq.)

21. Selective Service Act

The County, unless stated otherwise in the Contract, will ensure that each participant under the Contract has not violated, or is not in violation of Section 3 of the Military Selective Act (50 U.S.C. Appen. § 453), as amended, by not presenting and submitting to registration as required pursuant to such section.

22. Employment Generating Activities Prohibition

- a. No funds available under the Act shall be used for employment generating activities, economic development activities, investment in revolving loan funds, capitalization of businesses, investment in contract bidding resource centers, or similar activities.

- b. No funds available under the Act shall be used for foreign travel for employment generating activities, economic development activities, or similar activities. (WIOA Reg. 667.264(b))

23. Duplication of Facilities or Services

Funds provided under this Act shall not be used to duplicate facilities or services available in the area (with or without reimbursement) from Federal, State, or local sources, unless

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the Workforce Innovation & Opportunity Act Strategic Five-Year Local Plan establishes that alternative services or facilities would be more effective or more likely to achieve performance goals.

24. Rights

Contractor shall comply with 29 CFR Section 97.36 (i) (8) which states, in part, that contracts must contain languages pertaining to any patent rights that might be discovered under the contract. With respect to inventions made by Contractor in the performance of this contract, which did not result from research and development specifically included in the contract's scope of work, Contractor hereby grants to County and state a license as described in paragraphs 1 and 2 below of this section for devices or material incorporating, or made through the use of such inventions. If such inventions result from research work specifically included within the contract's scope of work, then Contractor agrees to assign to County and state, without additional compensation, all its right, title and interest in and to such inventions and to assist County and state in securing United States and foreign patent with respect thereto.

Retained Rights/License Rights

1. Except for intellectual Property made, conceived, derived from, or reduced to practice by Contractor or County and state and which result directly or indirectly from this contract, Contractor shall retain title to all of its Intellectual Property to the extent such Intellectual property is in existence prior to the effective date of this agreement. Contractor hereby grants to County and state, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose of Contractor's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this contract, unless Contractor assigns all rights, title and interest in the Intellectual Property as set forth herein.
2. Nothing in this provision shall restrict, limit, or otherwise prevent Contractor from using any ideas, concepts, know-how, methodology or techniques related to its performance under this contract, provided that Contractor's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of County and state or third party, or result in a breach or default of any provisions required by County or state including the Intellectual Property Provisions specified in the WIOA subgrant agreement for the applicable program year incorporated herein by this reference as though set forth in full, or result in a breach of any provisions of law relating to confidentiality.