

RESOLUTION OF THE BOARD OF SUPERVISORS
OF THE COUNTY OF SANTA BARBARA, STATE OF CALIFORNIA

RESOLUTION RESCINDING 06-180
AND ESTABLISHING THE COUNTY
OF SANTA BARBARA'S NEW
CLASSIFICATION AND SALARY
PLAN FOR UNREPRESENTED
EXECUTIVE AND UNREPRESENTED
MANAGEMENT EMPLOYEES

Resolution No. _____

WHEREAS, the Board of Supervisors of the County of Santa Barbara finds that there is good cause for the adoption of the provisions of this Resolution establishing the County of Santa Barbara's new classification and salary plan for unrepresented executive and unrepresented management employees;

NOW, THEREFORE, IT IS HEREBY RESOLVED by the Board of Supervisors of the County of Santa Barbara as follows:

1. The provisions of Resolution 06-180 and all other resolutions and their amendments, setting forth a classification and salary plan for unrepresented executive and unrepresented management employees are hereby rescinded as of the operative date of this Resolution.
2. **DEFINITIONS:** The words, terms, and definitions as used in this Resolution and Attachment A and Attachment B to this Resolution shall have the same meanings as those specifically defined and set forth in Section 27-1 of Chapter 27 of the Santa Barbara County Code and County Civil Services Rules, as applicable, as the same now exists or may hereafter be amended.
3. **OPERATIVE DATE:** This Resolution shall be operative upon the adoption by the Board of Supervisors.
4. The Board of Supervisors adopts the new classification and salary plan for unrepresented executive and unrepresented management employees as set forth in Attachment A to this Resolution and the salary schedules as set forth in Attachment B to this Resolution.

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Barbara this _____ day of _____ 2015 by the following vote:

AYES:

NOES:

ABSTAIN:

ACCEPTED AND AGREED:
COUNTY OF SANTA BARBARA

By: _____
JANET WOLF
Chair, Board of Supervisors

ATTEST:

MONA MIYASATO
COUNTY EXECUTIVE OFFICER
CLERK OF THE BOARD

By: _____
Deputy

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By: Victoria Parks Tuttle
Deputy

APPROVED AS TO ACCOUNTING
FORM:
ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By: Shuo Fallots
Deputy

**CLASSIFICATION AND SALARY PLAN
FOR EXECUTIVE AND MANAGEMENT EMPLOYEES**

SECTION NUMBER AND HEADINGS:

1. CLASSIFICATION AND SALARY PLAN
2. MANAGEMENT CLASSIFICATIONS AND SPECIFICATIONS - LEADERSHIP SERIES
3. COMPENSATION GUIDELINES
4. SALARY SCHEDULES

SECTION 1. CLASSIFICATION AND SALARY PLAN

1. There are hereby established such classifications of unrepresented executive and management employees for the County as are hereinafter set forth in Section 2, of this Resolution, Management Classifications and Specifications - Leadership Series.
2. Salary payable to persons occupying such positions shall be as set forth in Sections 3 and 4 of this Resolution and as provided for by applicable Civil Service Rules.

**SECTION 2. MANAGEMENT CLASSIFICATIONS AND SPECIFICATIONS -
Leadership Series**

Management Classification Specifications - Leadership Series is hereby established as follows:

The following class descriptions generally apply to each of the following leadership markets: General Management, Executive Management, Attorney/Physician, Fire, Sheriff, and Engineering. As additional leadership markets are defined, the following class descriptions will generally apply. The "Leadership Competencies" separately promulgated by the County Executive Officer (CEO) and Human Resources for the Management Class Specifications - Leadership Series are hereby included within, and incorporated into the following class descriptions and specifications:

1. Administrative Leader

This is a manager who is either new to the management workforce and/or whose assignments primarily consist of high-level, complex, and analytic staff work.

2. *Project/Team Leader*

This is a journey-level leader with journey-level skills and abilities who is responsible for a unit, section, or specific function within a County department OR a manager who is responsible for leading significant projects and project teams. This class may or may not supervise others, including Administrative Leaders.

3. *Program/Business Leader*

This is a senior-level management position reporting to executive management and responsible for a significant division within a department (a division is comprised of multiple sections, units, and/or functions) or for managing a core business or service of the department.

4. *Enterprise Leader*

This is an At Will manager functioning at either the Team/Project Leader or Program/Business Leader levels. These positions are typically responsible for projects, programs, initiatives, or services that have Countywide and/or community wide impacts and involve plans and/or decisions of a policy nature and of key importance to the County having a long term impact. These positions may also reside in specific County departments with department-wide responsibilities at a policy-level.

5. *Assistant Departmental Leader*

This is an At Will executive position that functions as an Assistant Department Head or a Deputy CEO and reports directly to the Department Head.

6. *Department/Corporate Leader*

This class includes appointed and elected senior executive leaders including department heads and Assistant CEOs. Appointed Department/Corporate Leaders typically report directly to the CEO and/or the Board of Supervisors.

SECTION 3 – COMPENSATION GUIDELINES

Compensation for managers and appointed executives shall be consistent with the Compensation Guidelines as set forth below:

The salary ranges for such classifications are set forth in the salary schedules in Attachment B. The salary payable to persons occupying positions within each of these classifications shall be consistent with the Management Compensation Philosophy and the Compensation Guidelines set forth below.

Management Compensation Philosophy

- The County of Santa Barbara's goal is to provide sufficient compensation to attract the talent necessary to provide quality, efficient, effective, and accountable customer service, while responsibly managing financial resources.
- County of Santa Barbara managers should be paid competitively with the market for similar positions (typically, defined as the level of pay to recruit for the required level of expertise). The market typically focuses on comparable public sector organizations and comparable classifications within those organizations.
- All compensation earned is based on performance, contribution to the organization, and the delivery of quality customer service.
- Managers who do not meet performance expectations are ineligible for salary increases, and will be placed on an improvement plan and/or provided with a coach. It is anticipated that these efforts will assist the manager in bringing performance to an acceptable level within three to six months. Failure to improve may result in reduction or termination.
- Salary offers will reflect sound business decisions and be adequate to attract the talent required to provide quality service to the community, without being excessive.
- All salary offers will take into consideration:
 - Special departmental needs
 - The skills and experience of the candidate
 - Internal (Countywide salary relationships)
 - Budgetary constraints
- Individual manager's salaries can progress to the top of the salary band, based on performance.
- It is anticipated that those whose performance is exceptional will proceed toward the top of the range more quickly than those whose performance is acceptable and who successfully meet job competencies.
- Market data will be surveyed at least every two years to ensure that salary ranges remain competitive.

Compensation Guidelines

A. Definitions

1. **Promotion** – movement from one class to another that has a higher maximum salary and a higher level of responsibility/authority.
2. **Temporary Promotion** – Promotion of a manager from his/her current classification to a salary range with a higher maximum salary and higher level responsibilities/authority for a period of time not to exceed 18 months.
3. **Demotion** – Movement to a class with a lower maximum salary and a reduced level of responsibility/authority.
4. **Reassignment** – Movement from one position or assignment to another in the same management classification.
5. **Y-Rate** – A pay rate that exceeds the maximum salary for a class.
6. **Anchor Point** – A designated control point on a salary range which may limit new hire salary offers; salary offers may exceed the anchor point with CEO approval.
7. **Base-Building Pay Increase** - Salary increases that move an employee's rate of pay upward on a salary range.
8. **Special Project/Assignment Salary** – A differential of 5% or 10% that may be approved by the CEO for a manager being assigned certain special projects or assignments not to exceed 18 months duration.

Notes:

1. There may be situations in which a supervising manager supervises a manager within the same classification.
2. No employee's salary may be lower than the entry level of the salary range for the class.
3. Unless a Y-rating situation occurs, no employee's salary may exceed the maximum salary for the range to which he/she is allocated.
4. Performance-based compensation may not result in an employee's salary exceeding the maximum salary of the range to which he/she is allocated.

B. Salary on Hire

1. Wherever feasible and practicable, new employees will be hired at the entry salary for the class.
2. Department heads have the authority to hire up to the anchor point on the salary range. Salary offers beyond the anchor point require CEO approval.
3. Advanced salary offers must reflect sound business decisions that can be clearly justified. In making a salary offer determination, department heads should consider:
 - a. The business needs of the County
 - b. The experience/skill level of the candidate
 - c. The candidate's possession of needed "hot skills"
 - d. The competitiveness of the market for the particular discipline for which the candidate is being considered
 - e. Budget
 - f. Impact on internal (Countywide) salary relationships
4. Salary decisions will be documented by the department and will be subject to periodic review by Human Resources.
5. Human Resources will periodically review to ensure compliance with guidelines.

C. Salary on Promotion

1. Upon promotion, a department head may grant a salary increase from 5% to 10%, not to exceed the maximum salary on the range.
2. With appropriate justification and CEO approval, salary on promotion may be more than 10%.
3. In establishing appropriate salary on promotion, department heads shall take into consideration:
 - a. Business needs of the department
 - b. The current salary of employee
 - c. Impact on internal (Countywide) salary relationships
 - d. Budget
4. Human Resources will periodically review to ensure compliance with guidelines.

D. Salary on Temporary Promotion

1. In order to address limited term departmental needs, a department head may temporarily promote a manager to a higher level management position for a maximum of 18 months.
2. A department head may grant a salary increase of 5% to 10% (not to exceed maximum salary of the range) for the duration of the temporary promotion. With appropriate justification and CEO approval, salary increase for a temporary promotion may be more than 10%; however, at the end of the temporary promotion, salary reverts to previous pay plus any usual salary increases that occurred during the period of the temporary promotion.

E. Salary on Demotion (Disciplinary and Voluntary Demotions)

When an employee is demoted to a management position in a class with a lower salary range, the employee's salary will be reduced to any point between the minimum and the anchor point of the range for the lower class which provides for a salary decrease. Upon the recommendation of the department head and the approval of the Human Resources Director, the salary of the employee may be set at any point on the salary range that provides for a decrease in pay.

F. Supervisory (Compaction) Differential

1. At the time a manager assumes ongoing and long-term supervisory responsibilities over subordinate staff in job classifications in the same general profession or occupation as the manager, and the manager meets the employment standards for the subordinate's job classification, a department head may request that the Human Resources Director approve an increase in the manager's salary sufficient to create a 5% differential between the manager and the subordinate.
2. The differential will take into account applicable elements of compensation where both employees would otherwise be eligible for that compensation (i.e. bilingual skills, licensure, special duty pays, uniform allowances, etc. when they are applicable to both the subordinate and the manager), excluding overtime, call-in, call-back, shift differential, and standby duty pay.

G. Performance-Based (Merit) Salary Increases

1. Salary increases for managers and executives shall be based on the effective delivery of quality customer service, overall performance, meeting organizational goals and objectives, and contribution to the organization.

2. There may be situations in which a supervising manager's salary is less than a subordinate manager due to:
 - Performance issues
 - Subordinate manager is Y-rated
 - Subordinate manager's profession resides in a different market
3. Annually, employees rated as successful performers (i.e., meeting job expectations) or exceptional performers (exceeding job expectations) are eligible for a performance-based salary increase. The County will establish annually the total amount of funding, or pool, for these salary increases. The specific increase granted to individual managers will be at the discretion of the department head, but not to exceed the amount allocated to the department for these performance-based salary increases. Effective January 2016, managers rated successful or exceptional on their 2015 performance evaluation, and who have been employed by the County in a management position for a minimum of six months, will be eligible for a performance-based increase of a minimum of 0% to a maximum 6%, not to exceed the top of the range. These increases may be made in no less than quarter-percent increments, and the total merit increases provided shall not exceed five-hundred dollars (\$500) per department beyond the total amount allocated for such increases by the Board of Supervisors. The CEO will periodically review this performance-based salary policy and make recommendations to the Board of Supervisors for adjustments as needed.
4. Human Resources will periodically review to ensure compliance with guidelines.

H. Market/Equity Adjustments

1. Upon funding by the Board of Supervisors, once each year department heads may request salary increases for managers whose salaries are significantly less than the market rate of pay for similar public sector jobs and/or address internal equity issues with peer managers performing comparable work.
2. The CEO and Human Resources Director will identify eligibility criteria and provide departments with the names of eligible managers and the maximum increase available to each individual.
3. Based on the information provided, department heads may submit salary adjustment requests to Human Resources for review and recommendation to the CEO. Additional names that do not meet the criteria for a market/equity adjustment may also be submitted for consideration with a justification for the requested increase.

4. Market/equity adjustments will be effective the pay period in which they are approved and will not be retroactive.

I. Retention Adjustments:

1. A manager or executive who is assigned to lead a significant special project or assignment within his or her existing job classification may receive a differential of 5% to 10% at the request of the department head and the approval of the CEO. Such assignments will be for a duration of no less than 30 days and no more than 18 months. At the end of the assignment, salary will revert to previous pay plus any usual salary increases that occurred during the special assignment.
2. When in the best interests of the County, it is necessary to provide additional compensation to retain a manager or executive within the organization, the Human Resources Director may recommend and the CEO may approve a salary adjustment, not to exceed the top of the salary range.
3. Human Resources will conduct market surveys at least bi-annually, and as warranted, to ensure salary ranges continue to reflect the market. When the market rate of pay increases, Human Resources will recommend to the Board of Supervisors adjustments to management salary ranges. Upon adoption of adjusted ranges by the Board of Supervisors, the CEO may determine whether it is necessary to increase some or all managers' salaries at the time range adjustments are made and may direct Human Resources to implement any changes.

J. Plan Administration

1. The County Executive Officer, the Auditor-Controller, and the Human Resources Director are hereby directed to provide the necessary administrative procedures to implement the above provisions of this Resolution.
2. When rigid adherence to the foregoing principles relating to salary adjustments or appointments would be discriminatory, unfair, or detrimental to the best interests of the County, the County Executive Officer and Human Resources Director may justify a business case and approve and implement off-cycle salary adjustments in order to attract and retain talent in the organization. Any such adjustments may not exceed the top of the salary range.

SECTION 4 - SALARY SCHEDULES

Management salary schedules are hereby revised as found in Attachment B.

EXECUTIVE AND MANAGEMENT SALARY SCHEDULE
August 25, 2015

Leadership Salary Range	Range Minimum	Range Anchor	Range Maximum	
Administrative Leader-Gen	24,677	36,646	40,717	Hourly
	51,504.23	76,483.78	84,981.98	Annual
Team Project Leader-Gen	29,223	43,397	48,218	Hourly
	60,992.50	90,573.87	100,637.63	Annual
Team Project Leader-Batt Chief Fire	43,510	64,612	71,791	Hourly
	90,810.09	134,852.99	149,836.66	Annual
Program Business Leader-Gen	36,366	54,004	60,005	Hourly
	75,901.23	112,713.33	125,237.03	Annual
Program Business Leader-Eng	40,263	59,791	66,434	Hourly
	84,033.74	124,790.10	138,655.67	Annual
Program Business Leader-Div Chief Fire	53,251	79,078	87,864	Hourly
	111,141.23	165,044.72	183,983.02	Annual
Program/Bus Ldr-Atty/Phy	55,848	82,935	92,150	Hourly
	116,562.46	173,095.26	192,328.07	Annual
Enterprise Leader	42,211	62,684	69,649	Hourly
	88,099.99	130,828.49	145,364.99	Annual
Asst Departmental Ldr-Exec	46,107	68,469	76,077	Hourly
	96,231.58	142,903.90	158,782.11	Annual
Asst Departmental Ldr-Fire	57,797	85,828	95,365	Hourly
	120,628.72	179,133.65	199,037.38	Annual
Asst Departmental Ldr-Atty/Phy	68,836	102,222	113,580	Hourly
	143,669.95	213,349.88	237,055.42	Annual
Department/Corporate Ldr-Exec	60,394	89,685	99,650	Hourly
	126,049.96	187,184.18	207,982.43	Annual
Department/Corporate Leader-Sheriff	66,239	98,365	109,294	Hourly
	138,248.72	205,299.34	228,110.38	Annual
Department/Corporate Ldr-Atty/Phy	72,733	108,008	120,009	Hourly
	151,802.46	225,426.65	250,474.06	Annual