

APPLICATION FOR COUNTY OF SANTA BARBARA BOARD, COMMISSION, OR COMMITTEE Return to: Clerk, Board of Supervisors County Administration Building 105 E. Anapamu Street, Room 407 Santa Barbara, CA 93101	DATE RECEIVED <div style="font-size: 1.2em; margin-top: 10px;">2009 MAR 19 PM 5:09</div> <div style="margin-top: 10px;"> <input checked="" type="checkbox"/> Copy to Supervisor </div>
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INSTRUCTIONS: Please complete each item below. Be sure to enter the title of the Board, Commission, or Committee (only one per application please) for which you desire consideration. For more complete information or assistance contact the Clerk, Board of Supervisors' Office. This application shall be maintained for a period of one year only. After one year it is necessary to file a new application for another year of eligibility. **Please print in ink or type.**

1. APPLYING FOR: (Use specific title) <div style="font-size: 1.1em; margin-top: 5px;">COUNTY OF SANTA BARBARA RETIREMENT BOARD MEMBER</div>	2. Today's Date: <div style="font-size: 1.1em; margin-top: 5px;">3/19/2009</div>
3. NAME: <div style="font-size: 1.1em; margin-top: 5px;"> <div style="display: flex; justify-content: space-between;"> HOLDEN, JR. GLEN ARTHUR </div> <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> Last First Middle </div> </div>	4. E-MAIL ADDRESS: <div style="font-size: 1.1em; margin-top: 5px;">glenholden@aol.com</div>
6. ADDRESS: <div style="font-size: 1.1em; margin-top: 5px;"> <div style="display: flex; justify-content: space-between;"> 1208 SAN MIGUEL AVENUE </div> <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> Number Street </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> SANTA BARBARA (CA) 93109 </div> <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> City Zip Code </div> </div>	5. TELEPHONE: <div style="font-size: 1.1em; margin-top: 5px;"> Home: (805) 892-4825 Business: (805) 320-3240 </div>

7. References: Give names and addresses of three persons, not relatives, who have knowledge of your character, experience, community involvement, and abilities.

NAME	ADDRESS	TELEPHONE NUMBER	OCCUPATION
A. JOHN BOLLINGER	111 N. SEPULVEDA BL #315 MANHATTAN BEACH CA 92260	(310) 798-8855	INVESTMENT ADVISOR
B. TOL RABERDILLO	2831 HOLT AVENUE LOS ANGELES CA 90064	(310) 621-7004	ATTORNEY
C. FRANK DOHN	650 TOWN CENTER DR #600 COSTA MESA CA 92626	(714) 384-4160	INVESTMENT ADVISOR

8. Are you or have you been employed by the County of Santa Barbara? ☐ YES ☒ No If YES, list:
 Department: _____ Title: _____ Date: _____

9. Please check appropriate boxes (optional): Ethnic or racial identity: <input checked="" type="checkbox"/> White <input type="checkbox"/> Black (African American) <input type="checkbox"/> Hispanic <input type="checkbox"/> Asian/Pacific Islander <input type="checkbox"/> Native American/Alaskan Native <input type="checkbox"/> Other (Please specify) _____	10. Education completed: <div style="font-size: 1.1em; margin-top: 5px;">BA ECONOMICS UNIVERSITY OF VIRGINIA CFA CHARTER HOLDER, CFA INSTITUTE</div>
11. Indicate Supervisor who will receive a copy of this application: <div style="font-size: 1.1em; margin-top: 5px;">SALUD CARBALAL JANET WOLF</div>	

12. EXPERIENCE: Please explain why you are interested in serving and what experience you bring to the Commission or Committee for which you are applying. *I HAVE BEEN MANAGING INVESTMENT PORTFOLIOS FOR OVER 30 YEARS AND WOULD LIKE TO USE MY BACKGROUND, KNOWLEDGE AND EXPERIENCE WITH A LOCAL ORGANIZATION. MY CAREER HAS BEEN FOCUSED ON INVESTMENTS AND PORTFOLIO MANAGEMENT FOR INDIVIDUALS, INSURANCE COMPANIES AND THEIR POLICY HOLDERS, AND PENSION FUNDS, AS CAN BE SEEN IN THE ATTACHED MATERIAL.*

13. ADDITIONAL INFORMATION: Give any information explaining your qualifications, experience, training, education, volunteer activities, community organization memberships, or personal interests that bear on your application for above Board, Commission, or Committee. Attach additional sheets as necessary. *IN ADDITION TO MY DEGREE IN ECONOMICS FROM UVA, I HAD GONE THROUGH GOLDMAN SACHS' TRAINING PROGRAM IN 1982 AND RECEIVED MY CFA CHARTER IN 1986 AFTER PASSING ALL THREE EXAMINATIONS, BOTH FURTHERING MY FORMER INVESTMENT EDUCATION. MY VOLUNTEER WORK HAS BEEN IN THE PROFESSIONAL ORGANIZATIONS THAT HAVE PLAYED AN IMPORTANT ROLE IN MY PROFESSIONAL DEVELOPMENT. I CURRENTLY AM A REGISTERED INVESTMENT ADVISOR, HAVE SECURITIES LICENSES AND WORK AS A FINANCIAL ADVISOR WITH AMERIPRISE FINANCIAL SERVICES, WORKING PRIMARILY WITH INDIVIDUAL CLIENTS IN SANTA BARBARA. I HAVE INCLUDED A SHORT PIECE FROM THE CFA INSTITUTE AND THE CHARTER FOR THE BENEFIT OF THE REVIEWERS*

14. SIGNATURE OF APPLICANT *Glen Holden Jr.*

GLEN A. HOLDEN, JR., CFA

**1208 San Miguel Avenue
Santa Barbara, California 93109**

**Office: (805) 320-3240
Home: (805) 892-4825**

glenaholdenjr@aol.com

SUMMARY

A seasoned professional who has over thirty years of experience managing investment portfolios, along with an exceptional general management background. Principal strengths include client relations and marketing, financial analysis, and developing strategy. Additional skills in working with outside consultants and accountants, setting budgets and fiscal policy, and investment decision-making. A dedicated, results-oriented consensus builder with strong interpersonal, organizational, and problem solving skills.

PROFESSIONAL EXPERIENCE

AMERIPRISE FINANCIAL, Santa Barbara, California

Associate Financial Advisor with the Practice of Dan Bodemeijer

2007 to present

Joined Dan Bodemeijer's practice to provide analytical support, develop new business, and manage client relationships and portfolios for families and local business owners in Santa Barbara.

WOUND CENTER MANAGEMENT ASSOCIATES, Los Angeles, California

Managing Member

2004 - 2007

Formed the company to expand a doctor's medical practice for wound care and hyperbaric therapy in Southern California, created the business plan and pro forma financials for the company, and managed all aspects of the company's administration and legal work, including the private placement financing.

COLONIAL LIFE & ACCIDENT INSURANCE COMPANY, Santa Barbara, California

General Agent

2002 - 2004

Developed an agency for Colonial Life & Accident in the Santa Barbara region. Created a network of contacts to develop the business plan in the Tri-counties for the public sector and corporations. Adapted Colonial presentation materials to fit the Santa Barbara marketplace and initiated a program of regular contact with the employee benefit sections of insurance brokers in the region. Began a marketing program of direct contact using leads generated by cold calling, personal contacts, and networking.

PERITUS ASSET MANAGEMENT, LLC (now Pacificor, LLC), Santa Barbara, California

Director of Marketing and Client Relations

2001 - 2001

Created the plan for new business development and client relations, expanded marketing to include institutional business, and serviced all of the clientele. Wrote sales literature, including the firm's brochure, and added performance comparisons to benchmarks in support of the sales effort for this specialized, high yield fixed income manager. Organized existing and prospective client information into a useful database, incorporating notes and other data that make the contact more personalized. Made presentations in regard to Peritus as the investment manager of a Collateralized Bond Obligation ("CBO") and initiated relationship with another firm to jointly manage the CBO.

NET ASSET MANAGEMENT, Inc. (now Envestnet), Los Angeles, California

Vice President, Investments

2000 - 2001

Arranged one of four departments to provide high-level investment consulting services to financial intermediaries and their clients. Selected asset classes used in a long-term investment policy. Designed the methodology for the manager search and monitoring process, creating the criteria by which investment managers are selected. Produced the due diligence guidelines for and directed the selection of the outside investment managers. Adapted an asset allocation framework for the firm's clientele, encompassing the development of return objectives, risk tolerance, and an appropriate mix of investment managers.

THE CAPITOL LIFE INSURANCE COMPANY, Golden, ColoradoChairman, CEO and Chief Investment Officer

1991-2000

Completed a private rehabilitation of \$1.3 billion life insurance company, taking it to a positive equity position from a negative position of \$250 million without tapping into state guaranty funds through cost reductions, restructure of the portfolios, and reinsurance. Managed the investment portfolios to changing regulatory/actuarial standards and improved the company's fiscal strength while keeping surplus intact.

TRUST COMPANY OF THE WEST, Los Angeles, CaliforniaVice President, Marketing and Client Relations

1987-1991

Organized an operating unit of the company, TCW Insurance Advisors, to market investment management services within the insurance industry with a focus on alternative investments, partnership interests, and high yield bonds and handle all aspects of the client relationship; added 12 account relationships, increasing the assets under management by \$500 million; and, strengthened client relations with 30 partnership clients totaling \$250 million, leading to highest client service ranking by an outside consultant.

CMB INVESTMENT COUNSELORS, Inc. (now National Mutual Funds), Los Angeles, CaliforniaVice President and Portfolio Manager

1983-1987

Analyzed securities and managed fixed income portfolios, audited client portfolio performance and built composites. Developed a relative value, sector rotator strategy for fixed income portfolios, managed specialized investment strategies, allowing the firm to achieve a better rate of return and/or minimize portfolio risk in client portfolios, and increased fixed income clients by \$350 million in assets.

SECURITY FIRST LIFE INSURANCE COMPANY, Newport Beach, CaliforniaVice President and Assistant Treasurer

1976-1983

Board of Directors

1981-1994

Managed fixed income portfolios of \$600 Million, implemented a cash management system for premium receipts, taught workshops for sales staff in support of investment strategies, oversaw investments of funds withheld, co-insured business, and monitored the outside managers of the firm's variable funds for Security First Group and Security First Life Insurance Company, now renamed MetLife Investors.

EDUCATION

Bachelor of Arts, Economics, University of Virginia, Charlottesville, Virginia (1976)

Charter Awarded, The Institute of Chartered Financial Analysts, Charlottesville, Virginia (1986)

CA Life License #0A20406; FINRA (NASD) Series 7 and 66 Registrations

PROFESSIONAL ORGANIZATIONS and BOARDS OF DIRECTORS

CFA Institute (Formerly the Association for Investment Management and Research "AIMR")

Board of Governors (1995 to 1998); Disciplinary Review Committee "DRC" (1995 to 2002),

DRC Committee Chair (1998 to 2001); Daniel J. Forrester III Leadership Award for Ethics (14th

Recipient, 2004), Board of Trustees, Institute of Chartered Financial Analysts (1997 to 1998)

Contributing Author - Standards of Practice Casebook (AIMR, 1996)

CFA Society of Los Angeles (Formerly the Los Angeles Society of Financial Analysts)

Board of Governors (1988 to 1993); President (1992); Vice President (1991); Treasurer (1990)

Outstanding Society Member of the Year Award (12th Recipient, 1997)

Santa Barbara Polo and Racquet Club

Board of Directors (1995 to 1998; 2004 to present); President (1997 to 1998)

United States Polo Association

Board of Governors (1998 to Present); Finance Committee (2001 to Present); and Chair of Finance Committee (2003 to present); Secretary/Treasurer (2003 to 2007)

What Every Investor Should Know...

CFA
INSTITUTE



Why Select a CFA® Charterholder?

Successful investors recognize the importance of education and knowledge when it comes to managing financial assets. But while they may spend countless hours learning about markets, securities, and associated risks, investors often overlook the qualifications of the professionals whom they hire to manage their money.

If you work with investment advisers, they will have access to the personal details of your finances, so their credentials should matter to you. There are a number of reputable and applicable credentials that financial professionals in different disciplines may hold, but none is as rigorously focused on investment knowledge as the Chartered Financial Analyst® (CFA) designation. Understanding the significance of the CFA charter — and what is required of investment professionals in order to hold it — can be useful information when choosing an investment adviser.

Global Relevance With CFA charterholders in more than 120 countries and the increasing demand worldwide for the CFA Program, the CFA charter has become *the* global professional investment credential. The CFA charter is often referred to as a professional global “passport,” providing the knowledge and expertise that allows CFA charterholders to practice across international boundaries. Others have referred to it as the gold standard of the industry.

Each June and December, tens of thousands of candidates sit for the CFA examinations at more than 180 sites around the world. In 2007, CFA Institute will see more than 140,000 candidates from more than 160 countries enroll to take one of the three CFA exams. Since 1998, some of the most significant growth in candidate numbers has occurred in Europe and Asia, particularly China and India.

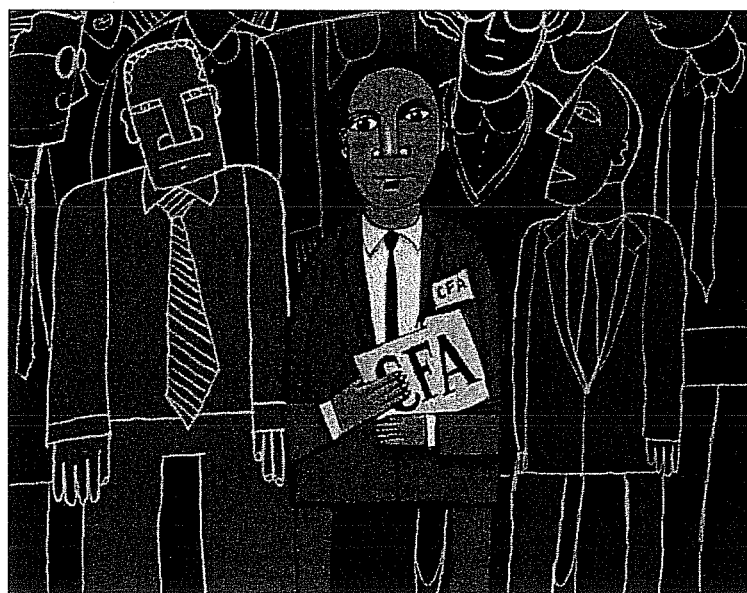
Knowledge and Experience In the late 1940s, Benjamin Graham, often called the “father of security analysis,”

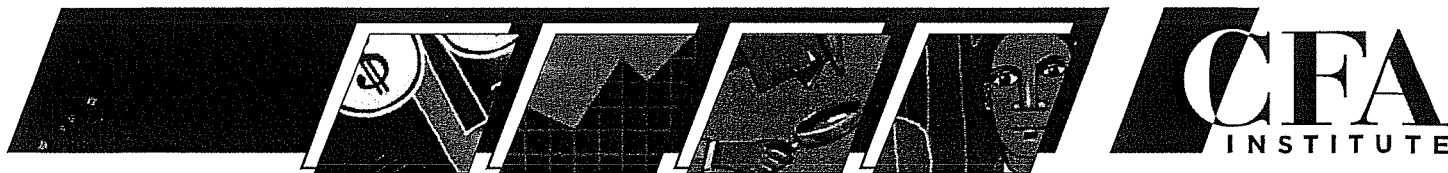
first proposed a “Qualified Securities Analyst” designation for members of the growing investment profession. After much work from Graham and other dedicated professionals, the first CFA exam was administered in 1963 to 284 candidates.

To become a CFA charterholder, a candidate must pass a series of three six-hour examinations, taken in sequence. Average completion time is four years. Core elements of the CFA curriculum include investment tools (economics, financial statement analysis, quantitative analysis, and corporate finance), asset valuation (analysis of debt investments, equity investments, derivatives, and alternative investments), and portfolio management (both institutional and individual, including performance measurement). Importantly, each examination includes a section that thoroughly tests knowledge and application of ethical and professional standards.

To ensure that the CFA Program stays current with the ever changing dynamics of professional investment practice throughout the world, CFA Institute member volunteers and

*The CFA
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the global
professional
investment
credential.*





professional staff annually develop and update the curriculum and construct the examinations. The resulting Candidate Body of Knowledge™ is known as one of the most rigorous and comprehensive disciplines in the investment profession.

Achieving passing scores on all three exams is no small feat. Each of the six-hour examinations takes, on average, a minimum of approximately 250 hours of intensive self-study and preparation. The combined pass rate (aggregated over all three exam levels) for the June and December exams since 1963 is 52 percent.

As rigorous as the curriculum and examinations are, the CFA Program requires more than academic preparation. Before they earn the right to use the CFA designation, candidates must have three to four years of professional experience in the investment decision-making process. Additionally, they must fulfill the CFA Institute membership requirements and commit to uphold the stringent CFA Institute Code of Ethics and Standards of Professional Conduct.

Ethics The integrity of investment advisers is of the utmost importance. Their skills and advice directly impact the financial well-being of their clients. That is why every CFA charterholder, CFA Institute member, and CFA candidate is required to sign an annual statement declaring his/her adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The Code and Standards require members and candidates to act with integrity, practice in a professional and ethical manner, exercise independent professional judgment, maintain and improve their professional competence, and put client interests before their own. The 160-page CFA Institute *Standards of Practice Handbook* — an “instruction manual” for ethical guidance within the investment community — interprets and expands upon the requirements of the Code and Standards.

CFA Institute investigates all allegations of violations of the Code and Standards, whether self-disclosed by the CFA charterholder or raised by an investor, fellow investment professional, or the media. Those who are found to have violated the Code and Standards, or who fail to divulge formal complaints as required in the annual CFA Institute Professional Conduct Statement, are subject to disciplinary sanctions from CFA Institute. Sanctions can range from private censure up to revoking membership in CFA Institute and the right to use the CFA designation.

Recognition A relevant and evolving curriculum, rigorous examinations, and a stringent application of the CFA Institute Code of Ethics and Standards of Professional Conduct have been hallmarks of the CFA Program throughout its history. Recognition of the CFA Program’s globally relevant Candidate Body of Knowledge™ and the professional standards embodied in the program makes the CFA designation the mark of distinction for investment professionals worldwide. It is a global distinction recognized and rewarded by employers, respected by regulators and investment institutions, and demanded by private investors.



Investment Industry. CFA Institute was known as AIMR (Association for Investment Management and Research) from 1990 to early 2004 and before that was two separate organizations whose roots go back to 1947. More information may be found at www.cfainstitute.org.

The information contained in this piece is not intended to and does not provide legal, tax, or investment advice. If assistance is required, the services of a competent professional should be sought.

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Contact CFA Institute for more information on its programs and services:

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