

## 2012 Local Agency Biennial Notice

Name of Agency: Carpinteria Valley Water District  
Mailing Address: 1301 Santa Ynez Ave. Carpinteria, CA 93013  
Contact Person: Charles Hamilton Office Phone No: 805-684-2816  
E-mail: charles@cvwd.net Fax No: 805-684-3170

**Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. The biennial review examines current programs to ensure that the agency's code requires disclosure by agency officials who make or participate in making governmental decisions.**

This agency has reviewed its conflict-of-interest code and has determined that (*Check one box*):

**An amendment is required. The following amendments are necessary:**

(*Mark all that apply.*)

- Include new positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished and/or positions that no longer make or participate in making governmental decisions.
- Other (*describe*) \_\_\_\_\_

**No amendment is required.**

**The code is currently under review by the code reviewing body.**

### Verification

*The agency's code accurately designates all positions that make or participate in the making of governmental decisions; the disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions; and the code includes all other provisions required by Government Code Section 87302.*

Charles D. Hamilton  
Signature of Chief Executive Officer

10/2/12  
Date

Complete this notice regardless of how recently your code was approved or amended.  
Please return this notice no later than **October 1, 2012**, or the date specified by your agency, if earlier, to:

(PLACE RETURN ADDRESS OF CODE REVIEWING BODY HERE)

**PLEASE DO NOT RETURN THIS FORM TO THE FPPC.**

**APPENDIX A – RESOLUTION PENDING +  
CARPINTERIA VALLEY WATER DISTRICT**

Designated Positions

Disclosure Categories

Persons occupying the following are designated employees and must disclose financial interests in those categories described in Appendix B which are listed opposite their respective designated positions:

1.	<u>Director</u>	1, 2, 3, 4
2.	<u>General Manager</u>	1, 2, 3, 4
3.	<u>General Counsel</u>	1, 2, 3, 4
4.	<u>Assistant General Manager /Auditor ++</u>	1, 2, 3, 4
5.	<u>Consultant</u>	*

\* Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

+ Will be presented to CVWD Board of Directors on 10-24-12 for approval

++ Effective 2011, Norma Rosales was named Assistant General Manager



# Carpinteria Valley Water District

1301 Santa Ynez Avenue • Carpinteria, CA 93013  
Phone (805) 684-2816 • Fax (805) 684-3170

BOARD OF DIRECTORS

*June Van Wingerden*  
President  
*Matthew Roberts*  
Vice President  
*Robert Lieberknecht*  
*Alonzo Orozco*  
(one vacancy)

November 14, 2012

GENERAL MANAGER

*Charles B. Hamilton*

Michael Allen  
Chief Deputy Clerk of the Board  
105 East Anapamu Street, Suite 407  
Santa Barbara, CA 93101

Re: Conflict of Interest Resolution – Carpinteria Valley Water District

Dear Mr. Allen,

Per your request during our telephone conversation in September, attached is a copy of the adopted Resolution of the Governing Board of Directors of the Carpinteria Valley Water District Adopting an Amended Conflict of Interest Code, dated and executed October 24, 2012.

Please call me at 684-2816 ext. 104 if you have any questions.

Thank you very much.

Sincerely,

Cathy Adams  
Administrative Assistant  
to the General Manager

/ca  
Attachment

2012 NOV 16 PM 11:17  
CITY OF CARPINTERIA  
COMMUNITY DEVELOPMENT  
DEPARTMENT

**RESOLUTION NUMBER 954**  
**RESOLUTION OF THE GOVERNING BOARD OF DIRECTORS**  
**OF THE CARPINTERIA VALLEY WATER DISTRICT**  
**ADOPTING AN AMENDED CONFLICT OF INTEREST CODE**

WHEREAS; the Political Reform Act, Government Code Sections 81,000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes; and

WHEREAS; the Board of Directors of the Carpinteria Valley Water District (Board) originally adopted a Conflict of Interest Code January 18, 1977, and

WHEREAS; to keep codes current with changes in the law and regulations, the Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to the amendment to the Political Reform Act after public notices and hearings; and

WHEREAS; the Commission, by adopting the standard Code, includes the basic provisions required by Government Code Sections 81000-91015, in particular, Section 87300, requiring agencies such as Carpinteria Valley Water District to adopt and promulgate a Conflict of Interest Code; and

WHEREAS; on September 27, 2006, the Board updated the Conflict of Interest Code for the Carpinteria Valley Water District by adopting and incorporating by reference the standard Conflict of Interest Code adopted by the Commission; and

WHEREAS; the Board desires to reconfirm its adoption of that standard Code and to update the lists of designated positions and disclosure categories.

NOW THEREFORE BE IT RESOLVED THAT:

1. The terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and along with the attached updated Appendices "A" (designated positions) and "B" (categories of disclosure) in which officials and employees are designated and disclosure categories are set forth, constitute the Conflict of Interest Code of the Carpinteria Valley Water District, which shall be substituted for the terms of the Carpinteria Valley Water District Conflict of Interest Code in effect prior to this amendment.

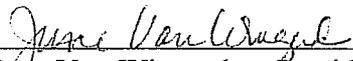
2. Pursuant to Section 4 of the standard Code, designated employees shall file statements of economic interest with the District. Upon receipt of the statement filed by the directors and general manager, a copy shall be retained and the original shall be forwarded to the Elections Division of the Santa Barbara County Clerk-Recorder. Statements for all other designated employees will be retained by the District.

Vote on the Resolution by roll call resulted as follows:

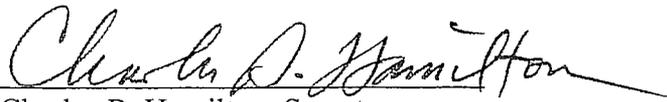
AYES:  
NAYES:  
ABSENT:  
ABSTAIN:

The Resolution was thereupon declared carried and adopted this 24<sup>TH</sup> day of October, 2012.

APPROVED:

  
\_\_\_\_\_  
June Van Wingerden, President

ATTEST:

  
\_\_\_\_\_  
Charles B. Hamilton, Secretary

APPENDIX A

CARPINTERIA VALLEY WATER DISTRICT

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**APPENDIX "B"**

**CARPINTERIA VALLEY WATER DISTRICT (CVWD)**

**CONFLICT OF INTEREST CODE**

**CATEGORIES OF DISCLOSURE**

CATEGORY 1

Interests in real property which is located in whole or in part within the jurisdiction of the CVWD, including any leasehold, benefit or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$2,000 or more.

CATEGORY 2

Business positions or investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of, real property within the jurisdiction of the CVWD.

CATEGORY 3

Business positions or investments in and income from any source or sources of income, if:

- a) The business entities or the source or sources of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide, including as a subcontractor in any contract with CVWD, services, supplies, materials, machinery or equipment to or for the use of CVWD; and
- b) Within the previous two years, the designated employee has made, participated in making, or in any way has attempted to use his or her official position to influence, the governmental decision to obtain or procure services, supplies, materials, machinery, or equipment of the same or a similar type as those so

provided or contracted to be provided CVWD or if the duties of the designated employee's position make it reasonably foreseeable that he or she might engage in such activity.

#### CATEGORY 4

Business positions or investments in business entities and income from any source or sources of income, if:

- a) The business entities or sources of income have filed a claim, or have a claim pending against the CVWD, and
- b) The designated employee's duties involve the handling or processing of such claim.