



**COUNTY OF SANTA BARBARA  
DEPARTMENT OF PUBLIC WORKS**

**CONTRACT**

FOR

**THE CONSTRUCTION OF  
FOOTHILL ROAD AND CIENEGUITAS ROAD  
INTERSECTION IMPROVEMENTS  
IN THE COUNTY OF SANTA BARBARA  
COUNTY PROJECT NO. 862262**

**DEPARTMENT OF PUBLIC WORKS**

**SCOTT D. MCGOLPIN  
DIRECTOR OF PUBLIC WORKS**

**COUNTY OF SANTA BARBARA AGREEMENT FOR:**



**County Project No. 862262**

Auditor – Controller Contract No. \_\_\_\_\_

THIS AGREEMENT is made by and between the County of Santa Barbara a political subdivision of the State of California, hereinafter called **COUNTY**, and NYE & NELSON, INC. hereinafter referred to as **CONTRACTOR**, for the completion of the work identified herein, on the following terms, conditions and provisions:

**1. CONTRACT.** This agreement incorporates by reference all of the Special Provisions and the Project Plans described below, including any addenda thereto, and also in accordance with the State of California, Department of Transportation Standard Specifications dated May 2006, the State of California, Department of Transportation Standard Plans dated May 2006, and the County of Santa Barbara, Department of Public Works, Standard Details dated April 1, 1987 insofar as the same may apply, and the Labor Surcharge and Equipment Rental Rates in effect on the date the work is accomplished, as provided by COUNTY for the work identified below; and where consistent with this document, the proposal executed and submitted by the CONTRACTOR. CONTRACTOR acknowledges receipt of all such documents as were not already in Contractor's possession. Said incorporated documents, this agreement, any Notice to Contractors, the Bid Bond, the Faithful Performance Bond, and Payment Bond are referred to herein as the "Contract" or "Contract Documents". Copies of all said documents are on file in the Department of Public Works Office of the COUNTY and have been and will be made available to the CONTRACTOR during the term of this Agreement.

The Special Provisions for the work to be done are entitled:

**COUNTY OF SANTA BARBARA; NOTICE TO CONTRACTORS AND SPECIAL PROVISIONS FOR THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA**

The project plans for the work to be done are entitled:

**COUNTY OF SANTA BARBARA; STATE OF CALIFORNIA; DEPARTMENT OF PUBLIC WORKS; PLANS FOR THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS COUNTY PROJECT NO. 862262**

**2. WORK.** CONTRACTOR agrees, at his own proper cost and expense, to do all the work and furnish all equipment and materials, except such as mentioned in the specifications to be furnished by the County, necessary to perform and complete the work described in the documents referred to above, in a good and workmanlike manner to the satisfaction of the Director of Public Works of said COUNTY, all in strict accordance with the Plans and the Contract Documents provided.

**3. EXTRA WORK.** Extra work, materials, resolution of disputes, corrections, and/or changes to the specifications as are required for the proper completion of the work or the improvement contemplated may be authorized and agreement made for compensation at the same rate per unit (or at a corresponding rate for work that is different from that provided for in the Contract Documents) by the Engineer, if compensation is not in excess of 10% of the original base agreement amount or \$25,000, or \$25,000 + 5% of the amount of the bid in excess of \$250,000, the total of changes are not to exceed \$150,000, in accordance with Section 20142(a) and (b), and Section 20395(d) of the Public Contract Code. Extra work or changes in excess of these limits may be authorized by resolution or minute order of the Santa Barbara County Board of Supervisors. The Engineer shall determine, if necessary, appropriate additional time to be allowed for such extra work.

In no event shall County be liable for the cost of any extra work not approved in advance and in writing by the Engineer.

**4. PAYMENTS NOT ACCEPTANCE.** No certificate given or payments made under this Contract shall be evidence of the performance of this Contract, either wholly or in part, against any claim upon CONTRACTOR. Final payment for the work performed under this Contract shall not be made until the lapse of thirty (30) days after the Notice of Completion of said work has been filed for record and no payment shall be construed to be acceptance of any defective work or improper materials. CONTRACTOR agrees that the payment for final quantities due under this Contract, and the payment of amounts due for any work in accordance with any amendments of this Contract, shall release the County of Santa Barbara from any and all claims or liabilities on account of work performed under this Contract or any amendments thereof. In addition to guarantees required elsewhere, CONTRACTOR shall and does hereby guarantee all workmanship and material to be free of defects and fit for the purposes intended for a period of one year from and after both the date of acceptance of the work and the recordation of the Notice of Completion by the COUNTY, and CONTRACTOR shall repair or replace any or all work and material, together with any other portions of the work which may be displaced in so doing, that in the opinion of the Engineer, is or becomes defective during the period of said guarantee without expense whatsoever to the COUNTY.

**5. PROGRESS PAYMENT NO WAIVER FOR DELAY.** Any progress payment made after the scheduled completion date will not constitute a waiver of any liquidated damages heretofore agreed upon as part of this Contract.

**6. EXCAVATIONS.** Before any pavement resurfacing, displacement or excavation of the ground that may be required by any performance under this Agreement, the CONTRACTOR shall obtain an inquiry identification number by calling Underground Service Alert (USA) 1 (800) 422-4133 or 1 (800) 227-2600 or by such other means as may be required; shall conform to all requirements of Government Code Sections 4215 through 4217 regarding any such pavement resurfacing, displacement or excavation, including the payment of any fees required; and shall facilitate performance by the COUNTY of any obligation required of the COUNTY under said Sections. There shall be no performance under this Agreement by either party unless and until the provisions of such Sections are complied with and the Engineer is notified regarding the compliance.

**7. ENGINEER.** The Engineer referred to in the Contract Documents is the Santa Barbara County Director of Public Works or the Director's authorized representative.

**8. COMPLIANCE WITH LAW, AMENDMENTS.** CONTRACTOR shall keep fully informed of all laws, ordinances and regulations which do or may affect the conduct of the work, the materials used therein or persons engaged or employed thereon and all such orders of bodies and tribunals having any jurisdiction over same. If it be found that the Special Provisions or Standard Specifications for the work conflict with any such law, ordinance or regulation, the CONTRACTOR shall immediately report same to the Engineer in writing. CONTRACTOR shall at all times observe and comply with and shall cause all agents and employees to observe and comply with all such laws, ordinances, regulations or decrees as the same now exists or may be hereafter amended and all superseding provisions thereof. CONTRACTOR acknowledges, particularly, the provisions of Sections 3196 and Sections 3247 and 3252, inclusive, of the Civil Code of California. CONTRACTOR shall protect and indemnify the County of Santa Barbara, the Board of Supervisors, the Director of Public Works, and/or any officer, agent or employee of the COUNTY against any claims or liability arising from or based on the violation of any such law, ordinance, regulation or decree whether by CONTRACTOR, or a subcontractor, agent or employee.

**9. PREVAILING WAGE RATES.** Rates of wages, including overtime, holiday and Sunday rates provided for the work are subject to the effect of Executive Orders of the President of the United States No. 9240, dated September 9, 1942, and No. 9250, dated October 3, 1942, and to any modifications thereof and to any and all lawful orders of the President or any authorized Federal Officer or agency, insofar as the same may be applicable to this Contract.

In accordance with the requirements of Labor Code Section 1770, the Director of the Department of Industrial Relations has determined the general prevailing rate of per diem or hourly wages for workers required to perform the

subject work. A copy of the prevailing wage rate is on file with the Director of Public Works, County Engineering Building, 123 East Anapamu Street, Santa Barbara, California, and is available for inspection.

Contractor, and any subcontractor under his or her direction, shall pay not less than the said prevailing rates to all, laborers, workers and mechanics employed by them in the execution of the contract.

**10. CONTRACT DOCUMENTS ACKNOWLEDGED.** CONTRACTOR hereby declares that he has read the "Contract Documents" pertaining to the work to be accomplished hereunder, has carefully examined the plans and detail drawings of the work to be performed and fully understands the intent and meaning of the same.

**11. TIMES FOR COMMENCEMENT, COMPLETION.** Notwithstanding the provisions found in Section 8-1.05, "Temporary Suspension of Work," and Section 8-1.06, "Time of Completion," of the Standard Specifications, the work to be done under this Agreement shall be completed by NOVEMBER 17, 2008. The CONTRACTOR shall begin work as soon as practicable after execution of this Agreement by both the CONTRACTOR and the COUNTY, and in no event shall work begin later than SEPTEMBER 15, 2008. CONTRACTOR acknowledges the provision of this Agreement regarding liability for liquidated damages in the event CONTRACTOR fails to perform the work before the completion date in this paragraph. The above beginning and ending dates equate to FORTY FIVE (45) working days.

**12. WORKERS' COMPENSATION INSURANCE.** CONTRACTOR certifies as to knowledge of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability for Workers' Compensation or to undertake self insurance in accordance with the provisions of that Code. Contractor will comply with such provisions before commencing the performance of the work of this Contract.

**13. GUARANTEE BONDS.** Before any performance under this Agreement, the CONTRACTOR shall provide the security required by statute for the payment of all workers and suppliers, and security for faithful performance of all terms and conditions of this Agreement, in an amount and form approved by the COUNTY. Both securities shall contain provisions which automatically increase amounts thereof and/or time of completion or both for all change orders, extensions and additions to the work provided pursuant to this Agreement.

**14. NON DISCRIMINATION.** The CONTRACTOR acknowledges that this Agreement is subject to the provisions of Article XIII of Chapter 2 of the Santa Barbara County Code, providing against discrimination in employment. The CONTRACTOR agrees to perform all requirements of a contractor under the provisions of said Article and to pay all costs occasioned to the COUNTY by any noncompliance by the CONTRACTOR.

**15. DISPUTES.** Should any disputes arise which the parties are unable to resolve by negotiation respecting the interpretation, construction or meaning of any of the plans or specifications or provisions affecting the work or respecting the true value of any extra work or work omitted, the dispute shall be submitted to arbitration if required by the provisions of the Public Contract Code or if the parties mutually agree to arbitration in lieu of adjudication by a court of competent jurisdiction. Any arbitration shall be carried out in accordance with the Public Contract Code, applicable provisions of the Santa Barbara County Code and other regulations or standards and in accordance with standards of the American Arbitration Association. Any arbitration ruling or decision shall be binding on the parties, unless the parties mutually agree in writing not to be so bound.

**16. RIGHT TO AUDIT.** The COUNTY will have the right to audit of Contractor's project records. Records must be made available in a form satisfactory to the Santa Barbara County Auditor-Controller.

**17. SUPPLEMENTAL WORK.** Supplemental work necessary for traffic control, public safety and convenience and as required for the proper completion of the work in the amount of \$50,000.00 shall be set aside and designated for this use in accordance with the Notice to Contractors, Special Provisions, and Proposal, and Specifications of this project.

**18. PAYMENT.** As full compensation for furnishing all labor, supervision, overhead, materials and equipment and for doing all the work completed and embraced in this Agreement and subject to adjustments and liquidated damages, if any, as provided in the Contract Documents, the base amount to be paid to the CONTRACTOR for satisfactory completion of all requirements of the Contractor under this Agreement including Section 17

Supplemental Work of this agreement is and shall be; TWO HUNDRED EIGHTY SEVEN THOUSAND, FIVE HUNDRED, NINETY DOLLARS AND NO CENTS (\$287,590.00), to be paid as provided in the Contract Documents dated AUGUST 4, 2008, and as shown on the Engineer's Estimate below. The CONTRACTOR assumes and will provide against any and all loss or damage arising out of the nature of the work undertaken, or from the action of the elements, or from any unforeseen difficulties or obstructions which may arise or be encountered in the prosecution of the work until its acceptance by the COUNTY, and assumes any and all expenses incurred by or in consequence of suspension or discontinuance of the work, for well and faithfully completing the work and the whole thereof, in the manner and according to the plans, specifications and Contract, and the requirements of the Engineer under them, to wit:

**CONTRACTOR'S UNIT BASED PRICES 862262**

<b>Item</b>	<b>Item Code</b>	<b>Description</b>	<b>Unit of Measure</b>	<b>Estimated Quantity</b>	<b>Unit Price (In Figures)</b>	<b>Item Total (In Figures)</b>
1	074019	PREPARE STORM WATER POLLUTION PREVENTION PLAN.	LS	LUMP SUM	\$550.00	<b>\$550.00</b>
2	074020	WATER POLLUTION CONTROL	LS	LUMP SUM	\$1,650.00	<b>\$1,650.00</b>
3	120100	TRAFFIC CONTROL SYSTEM	LS	1	\$8,658.00	<b>\$8,658.00</b>
4	150742	REMOVE ROADSIDE SIGN	EA	10	\$56.00	<b>\$560.00</b>
5	152390	RELOCATE ROADSIDE SIGNS	EA	5	\$167.00	<b>\$835.00</b>
6	160101	CLEARING & GRUBBING	LS	LUMP SUM	\$23,748.00	<b>\$23,748.00</b>
7	190101	ROADWAY EXCAVATION	LS	LUMP SUM	\$9,391.00	<b>\$9,391.00</b>
8	260201	CLASS 2 AGGREGATE BASE	CY	95	\$85.00	<b>\$8,075.00</b>
9	390102	ASPHALT CONCRETE ( TYPE B)	TON	75	\$185.00	<b>\$13,875.00</b>
10	510504	MINOR CONCRETE (PIPE ENCASEMENT)	EA	2	\$8,250.00	<b>\$16,500.00</b>
11	510535	MINOR CONCRETE (HEADWALL)	EA	1	\$4,000.00	<b>\$4,000.00</b>
12	566010	ROADSIDE SIGN – ONE POST (METAL POST)	EA	4	\$333.00	<b>\$1,332.00</b>
13	650014	18" REINFORCED CONCRETE PIPE	LF	226	\$120.00	<b>\$27,120.00</b>
14	707050	DRAINAGE INLET	EA	2	\$2,775.00	<b>\$5,550.00</b>
15	707051	DRAINAGE MANHOLE	EA	1	\$3,885.00	<b>\$3,885.00</b>
16	731504	MINOR CONCRETE (CURB & GUTTER)	LF	560	\$28.00	<b>\$15,680.00</b>
17	731516	MINOR CONCRETE (DRIVEWAY)	SF	150	\$12.00	<b>\$1,800.00</b>
18	731521	MINOR CONCRETE (SIDEWALK)	SF	785	\$8.00	<b>\$6,280.00</b>
19	731622	MINOR CONCRETE (ACCESS RAMP)	EA	1	\$1,776.00	<b>\$1,776.00</b>
20	731623	MINOR CONCRETE (CURB RAMP)	EA	3	\$1,665.00	<b>\$4,995.00</b>
21	840501	INSTALL THERMOPLASTIC TRAFFIC STRIPE	LF	820	\$1.50	<b>\$1,230.00</b>
22	840519	INSTALL THERMOPLASTIC PAVEMENT MARKING	SF	350	\$4.00	<b>\$1,400.00</b>
23	860301	SIGNAL AND LIGHTING	LS	1	\$78,700.00	<b>\$78,700.00</b>

<b>CONTRACTOR'S BID ITEMS (SUBTOTAL)</b>					<b>\$237,590.00</b>	
		SUPPLEMENTAL ITEMS OF WORK				
24	966023	SUPPLEMENTAL WORK (RIGHT OF WAY OBLIGATIONS)	LS	LUMP SUM	<b>\$15,000.00</b>	<b>\$15,000.00</b>
25	966024	SUPPLEMENTAL WORK (ENVIRONMENTAL OBLIGATIONS)	LS	LUMP SUM	<b>\$5,000.00</b>	<b>\$5,000.00</b>
26	966070	SUPPLEMENTAL WORK (PUBLIC CONVENIENCE AND SAFETY, COUNTY PORTION)	LS	LUMP SUM	<b>\$19,000.00</b>	<b>\$19,000.00</b>
27	966075	SUPPLEMENTAL WORK (PORTABLE CHANGEABLE MESSAGE SIGN)	LS	LUMP SUM	<b>\$5,000.00</b>	<b>\$5,000.00</b>
28	966080	SUPPLEMENTAL FUNDS (ASPHALT PRICE INDEX)	LS	LUMP SUM	<b>\$1,000.00</b>	<b>\$1,000.00</b>
29	966096	SUPPLEMENTAL WORK (ADDITIONAL WATER POLLUTION CONTROL)	LS	LUMP SUM	<b>\$5,000.00</b>	<b>\$5,000.00</b>
<b>SUPPLEMENTAL BID ITEMS (SUBTOTAL)</b>					<b>\$50,000.00</b>	
<b>BID ITEMS AND SUPPLEMENTAL ITEMS TOTAL</b>					<b>\$287,590.00</b>	

**19. SUBSTITUTION OF MATERIALS, SUBSTITUTION OF CONTRACTORS.** The Engineer is authorized to act on behalf of the awarding authority in any matters requiring consent, notice or hearing in order to substitute materials or equipment specified or to substitute subcontractors.

Date: \_\_\_\_\_

BY: \_\_\_\_\_

Salud Carbajal  
 Chair, Board of Supervisors  
 of the County of Santa Barbara,  
 State of California

**CONTRACTOR**

\_\_\_\_\_  
 NYE & NELSON, INC.

\_\_\_\_\_  
 1860 EASTMAN AVE #108

\_\_\_\_\_  
 VENTURA, CA 93003

**ATTEST:**  
 Michael F. Brown  
 Clerk of the Board

BY: \_\_\_\_\_

License No. 522661 A

BY: \_\_\_\_\_

Deputy

IRS No. 77-0168006

**Business Type Check Only One:**

Corporation \_\_\_\_\_

Partnership \_\_\_\_\_

Sole Proprietorship \_\_\_\_\_

**APPROVED AS TO FORM:**

Dennis Marshall,  
 County Counsel

BY: \_\_\_\_\_

Deputy County Counsel

**APPROVED AS TO FORM:**

Ray Aromatorio  
 Risk Program Administrator

**APPROVED AS TO ACCOUNTING FORM:**

Robert W. Geis, C.P.A.  
 Auditor-Controller

BY: \_\_\_\_\_

By: \_\_\_\_\_

Fiscal Responsibility \_\_\_\_\_

Dept.	Division	Subdivision	Program	Org Unit	Fund	Account	Area
054	02	03	2830	0600	0017	7510	2041



**DEBARMENT AND SUSPENSION CERTIFICATION**  
TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29

The bidder, under penalty of perjury, certifies that, except as noted below, he/she or any person associated therewith in the capacity of owner, partner, director, officer, manager:

Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency:

Has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years:

Does not have a proposed debarment pending; and

Has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years

If there are any exceptions to this certification, insert the exception, in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate to whom it applies, initiating agency, and dates of action.

Company: \_\_\_\_\_

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Note:** Providing false information may result in criminal prosecution or administrative sanctions.  
(Please return this completed form with your Agreement, Bonds and Certificates of Insurance)

**CERTIFICATE OF COMPLIANCE**

This is to certify that all requirements for insurance of subcontractors as specified for this project have been met.

\_\_\_\_\_  
Firm

\_\_\_\_\_  
By

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**CALIFORNIA LABOR CODE SECTION 1860 AND 1861 CERTIFICATION**

In accordance with the provisions of Section 1860 and 1861 of the California Labor Code, every Contractor will be required to secure the payment of compensation of his employees. Each Contractor to whom a public works contract is awarded shall sign the following certification prior to performing the work of the contract:

"I am aware of the provisions of Section 3700 of the Labor Code which requires every employer to be insured against liability for worker's compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract."

\_\_\_\_\_  
Firm

\_\_\_\_\_  
By

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

(Please return this completed form with your Agreement, Bonds and Certificates of Insurance.)

## UNLAWFUL DISCRIMINATION

The Board of Supervisors of the County of Santa Barbara do ordain as follows:

### SECTION 1.

A new article is hereby added to Chapter 2 of the Santa Barbara Code reading as follows:

#### **ARTICLE XIII. UNLAWFUL DISCRIMINATION, COUNTY CONTRACTS**

##### **Sec.2-94. Exceptions**

The provisions of this article shall not apply to contracts or agreements for the acquisition, exchange or disposition of real property or interests therein, nor to contracts or agreements with the State of California, or its political subdivisions, or with the United States of America. (Ord. 2946, § 1)

##### **Sec. 2-95. Prohibition of Unlawful Discrimination in Employment Practices.**

The County of Santa Barbara reserves the right to terminate forthwith each and every written contract and agreement (except purchase orders) for goods and services entered into by the County of Santa Barbara or by its joint powers agencies or agents with the consent of the other parties (hereinafter called "contractor") including but not limited to concessions, franchises, construction agreements, leases, whether now in effect or hereinafter made if the county finds that the contractor is discriminating or has discriminated against any employee or applicant for employment in violation of any applicable state or federal laws, rules or regulations which may now or hereinafter specifically prohibit such discrimination on grounds as race, religion, sex, national origin, physical handicap when otherwise qualified, Vietnam era veteran/disabled, or age.

Such findings may only be made after Contractor has had a full and fair hearing on notice of thirty (30) days before an impartial hearing officer at which hearing Contractor may introduce evidence, produce witnesses and have the opportunity to cross-examine witnesses produced by the County. Further, any finding of discrimination must be fully supported by the facts developed at such hearing and set forth in a written opinion; and in addition, Contractor may move in the appropriate court of law for damages and/or to compel specific performance of a contract or agreement if any of the above procedures are not afforded the contractor. If Contractor is not found to have engaged in unlawful discriminatory practices, County shall pay all costs and expenses of such hearing, including reasonable attorneys' fees to Contractor in accordance with current Santa Barbara Superior Court schedule of attorneys' fees for civil trials. If Contractor is found to have engaged in such unlawful discriminatory employment practices, Contractor shall pay all costs, expenses and attorneys' fees.

Whether or not a contract or agreement is still in existence at the time of final determination of such unlawful discrimination, the contractor shall forthwith reimburse the county for all damages directly stemming from such discrimination; however, those damages shall not exceed and are not reimbursable in an amount which exceeds amounts paid to contractor under the terms of the contract or agreement.

Nothing in this section 2-95 shall directly or by interpretation give a private cause of action to any third party (not signatory to the contract or agreement) including employees past or present, or applicants for employment to contractor, it being the sole purpose of this clause to administratively assure compliance with the nondiscrimination clauses contained herein.

Employment practices shall include, but are not limited to, employment, promotion, demotion, transfer, recruitment and advertising for recruitment, layoff or other termination, rate of pay, employee benefits and all other forms of compensation selection for training and apprenticeship and probationary periods.

Contractor shall permit access at all reasonable times and places to all of its records of employment, advertising, application forms, tests and all other pertinent employment data and records, to the County of Santa Barbara, its officers, employees and agents for the purpose of investigation to ascertain if any unlawful discrimination as described herein has occurred or is being practiced, provided that such records are relevant to a complaint of an unlawful discriminatory practice which has been forwarded to contractor reasonably prior to the time contractor is asked to make such records available. In addition, all such records shall be deemed "Confidential" by the officers, employees and agents of the county. No records or copies of such records may be removed from the premises of contractor and no disclosure, oral or written record, may be made to third parties except as provided within the agreement. Provided, however, that in the event of a hearing to determine whether or not contractor is engaging in unlawful discrimination in employment practices as defined herein, the board of supervisors of Santa Barbara County may issue subpoenas to require that certified copies of such records be made available at the hearing.

Failure to fully comply with any of the forgoing provisions relating to unlawful discrimination in employment practices shall be deemed to be a material breach of any contract or agreement with the County of Santa Barbara. All persons contracting with or have contracts for goods or services with the county shall be notified that this chapter applies to their contract or agreement with the County of Santa Barbara. (Ord. No. 2946, § 1; Ord. No. 2993, § 1; Ord. No. 3018, § 1)

**Sec. 2-95.5 Exceptions.**

Notwithstanding any other provisions in this article, any party contracting with the County of Santa Barbara having an affirmative action program which has been approved within twelve (12) months from the date of the contract by an agency of the federal government shall be deemed to be in compliance with the provisions of this article upon furnishing documentary evidence of such approval satisfactory to the county affirmative action officer. Loss of such approval shall be immediately reported by such party to the county affirmative action officer.

**Sec. 2-96 Purchase Orders.**

Purchase orders shall contain the following clause as grounds for termination of such purchase order.

"If complaint is made that seller is engaging in discriminatory employment practices made unlawful by applicable state and federal laws, rules or regulations, and the state Fair Employment Practice Commission or federal Equal Employment Opportunities Commission determines that such unlawful discrimination exists, then the County of Santa Barbara may forthwith terminate this order." (Ord. No. 2946, § 1)

**Sec. 2-97. Affirmative Action Officer.**

At the discretion of the county affirmative action officer, he or she shall promptly and thoroughly investigate, or cause to be investigated, reports and complaints from whatever source, that any party contracting with the County of Santa Barbara is engaging, or during the term of a contract or agreement with the County of Santa Barbara has engaged, in any unlawful discriminatory employment practices as described in section 2-95 of this Code. If the investigation discloses reason to believe such unlawful discrimination does exist or has existed and the conditions giving rise thereto have not been changed so as to prevent further such unlawful discrimination, and the said party shall not forthwith terminate such unlawful discrimination, take all appropriate steps to prevent a recurrence of such or other unlawful practices, and compensate the person or persons unlawfully discriminated against for any and all loss incurred by reason of such unlawful discrimination, all to the satisfaction of the affirmative action officer, then the affirmative action officer shall cause the matter to be presented for action to the state Fair Employment Practices Commission or the federal Equal Employment Opportunities Commission, or both, and to any other concerned state or federal agencies or officers.

If and when it has been finally determined by the affirmative action officer, county counsel, or state or federal regulatory agencies that such unlawful discriminatory employment practice has in fact so occurred so are being carried on, then the affirmative action officer shall forthwith present the entire matter to the board of supervisors of the county, together with all damages, costs and expenses related thereto and incurred by county, for appropriate action by the board of supervisors in accord with the intent and purposes of this article and of the affirmative action program of the County of Santa Barbara.  
(Ord. No. 2946, § 1)

**PAYMENT BOND**

KNOW ALL MEN BY THESE PRESENTS:

That the County of Santa Barbara of the State of California (hereinafter referred to as the County) and NYE & NELSON, INC. (hereinafter referred to as Principal) have by written agreement dated AUGUST 19, 2008, entered into a contract identified as:

Project Title: THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA

County Project No. 862262

(Hereinafter referred to as the Contract) and

That, pursuant to law and to said Contract, and before entering upon the performance of said Contract, the principal is required to file with the County a good and sufficient bond to secure the payment of labor and materials claims.

NOW, THEREFORE, said Principal and \_\_\_\_\_

\_\_\_\_\_ as corporate surety (hereinafter referred to as Surety), are held firmly bound unto the County in the amount of \$287,590.00, for the payment of which Principal and Surety bind themselves, their heirs, executors, administrators, successors and assigns both jointly and severally. Surety shall be and hereby warrants that it is listed in the Insurance Organizations Authorized By The Insurance Commissioner To Transact Business Of Insurance In The State Of California During 1995 (including changes effective January 1, 1996) published by the Department of Insurance, State of California or successor publications.

THE CONDITION OF THIS OBLIGATION IS SUCH that if said principal, his or its subcontractors, heirs, executors, administrators, successors, or assigns, shall fail to pay any of the persons named or referred to in Section 3181 of the California Civil Code, or amounts due under Unemployment Insurance Code with respect to work or labor performed by any such claimant, or for any amounts required to be deducted, withheld and paid over to the Employment Development Department from the wages of employees of the Contractor and his subcontractors pursuant to Section 13020 of the Unemployment Insurance Code with respect to such work and labor as required by Division 3, Part 4, Title XV, Chapter 7 (commencing at Section 3247) of the California Civil Code, or this bond, then said Surety will pay for the same, in an amount not to exceed the amount hereinafter set forth.

This bond shall insure to the benefit of any and all persons, entities, companies and corporations named or referred to in Section 3181 of the California Civil Code, so as to give a right of action to them or their assign in any suit brought upon this bond.

And the said Surety, for value received, hereby agrees that no change, extension of time, alteration or addition to the terms of the Contract, or to the work to be performed thereunder, or the Specifications accompanying the same, shall in anywise affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the Contract or to the Specifications.

In the event suit is brought upon this Bond by County and judgment is recovered, Surety shall pay all costs incurred by the County in such suit, including a reasonable attorney's fee to be fixed by the court.

Death, illness, disability or disqualification of the Principal shall not relieve Surety of its obligations hereunder.

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Surety

By \_\_\_\_\_

\_\_\_\_\_  
Signature of Attorney-in-fact

DATED: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_  
Address

Surety's Agent for Service of Process (located within the State of California):

\_\_\_\_\_  
Name of Agent

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State & Zip

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
(805) 654-8241

\_\_\_\_\_

\_\_\_\_\_  
FAX Number

NOTE: Signature of those executing for Surety must be properly acknowledged.

**PERFORMANCE BOND**

KNOW ALL MEN BY THESE PRESENTS:

That the County of Santa Barbara of the State of California (hereinafter referred to as the County) and NYE & NELSON, INC. (hereinafter referred to as Principal) have by written agreement dated AUGUST 19, 2008, entered into a contract identified as:

Project Title: THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA

County Project No. 862262

(Hereinafter referred to as the Contract) and

That the Principal is required under the terms and conditions of said Contract to furnish a bond for the faithful performance of Contract.

NOW, THEREFORE, said Principal and \_\_\_\_\_

\_\_\_\_\_ as corporate surety (hereinafter referred to as Surety), are held firmly bound unto the County in the amount of \$287,590.00, for the payment of which Principal and Surety bind themselves, their heirs, executors, administrators, successors and assigns both jointly and severally. Surety shall be and hereby warrants that it is listed in the Insurance Organizations Authorized By The Insurance Commissioner To Transact Business Of Insurance In The State Of California During 1995 (including changes effective January 1, 1996) published by the Department of Insurance, State of California or successor publications.

THE CONDITION OF THIS OBLIGATION IS SUCH that if the Principal, his heirs, executors, administrators, successors, or assigns, shall perform all of the covenants, conditions and agreements in said Contract and any alteration thereof made as herein provided, in his or their part, to be kept and performed at the time, and in the manner therein specified, and shall indemnify and save harmless County, its officers, agents, and employees, as therein stipulated, then this obligation shall become null and void; otherwise it shall be and remain in full force, virtue and effect.

And the said Surety, for value received, hereby agrees that no change, extension of time, alteration or addition to the terms of the Contract, or to the work to be performed thereunder, or the specifications accompanying the same shall in anywise affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or additions to the terms of the Contract or to the work or to the specifications.

In the event suit is brought upon this Bond by County and judgment is recovered, Surety shall pay all costs incurred by the County in such suit, including a reasonable attorney's fee to be fixed by the court.

Death, illness, disability or disqualification of the Principal shall not relieve Surety of its obligations hereunder.

\_\_\_\_\_  
Principal

\_\_\_\_\_  
Surety

By \_\_\_\_\_

\_\_\_\_\_  
Signature of Attorney-in-fact

DATED: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Address

Surety's Agent for Service of Process (located within the State of California):

\_\_\_\_\_  
Name of Agent

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State & Zip

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
FAX Number

NOTE: Signature of those executing for Surety must be properly acknowledged.





**CERTIFICATE OF INSURANCE  
TRANSMITTAL FORM**

FOR THE FOLLOWING DESCRIBED PROJECT:

THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION  
IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA

COUNTY PROJECT NO. 862262

CONTRACTOR:

NYE & NELSON, INC.  
1860 EASTMAN AVE #108  
VENTURA, CA 93003

**The County of Santa Barbara must be named as an additional insured on all certificates. A copy of the endorsement evidencing that the County has been added to the policy must be attached to the certificate of insurance.**

The following must also be listed as additional insured:

\_\_\_\_\_  
\_\_\_\_\_

In addition to the above, the following information must appear on the certificates:

COUNTY PROJECT NO. 862262

This form must be attached to all insurance forms and returned to: The County of Santa Barbara,  
Department of Public Works, Transportation-Engineering, 123 E. Anapamu St., Att: B. Cross Santa  
Barbara, CA 93101

\_\_\_\_\_  
Authorized Insurance Company Representative's Signature

*This form may be reproduced as required.*

# Contract summary Form:

BC- \_\_\_\_\_ -08- \_\_\_\_\_ - \_\_\_\_\_

Complete the information below, print this form, obtain the signature of the authorized department representative and submit this form to the Clerk of the Board with the contract package.

D1. Fiscal Year..... : 2008/2009  
D2. Budget Unit Number..... : 054  
D3. Requisition Number..... :  
D4. Department Name..... : Public Works (Engineering Division)  
D5. Contact Person..... : Christopher Sneddon  
D6. Phone Number..... : 739-8775

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K1. Contract Type..... : Construction  
K2. Brief Summary of Contract Description or (Title)..... : THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA  
K3. Original Contract Amount (Gross Amount)..... : 314,469.50  
K4. Contract Begin Date (First Working Day)..... : SEPTEMBER 15, 2008  
K5. Notwithstanding the provisions in Sections 8-1.05 and 8-1.06 of the Standard Specifications the Original Contract End Date will be (Last Working Day)..... : NOVEMBER 17, 2008  
K6. This Amendment Number..... :  
K7. Total Previous Amendments..... :  
K8. This Amendment Amount..... :  
K9. Revised Total Amount..... :  
K10. Revised End Date (Last Working Day)..... :  
K11. Departmental Project Number..... : 862262

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B1. Is this a Board Contract (Yes/No)..... : YES  
B2. Number of Workers Displaced..... : None  
B3. Number of Competitive Bids..... : 8  
B4. Lowest Responsible Bid Amount..... : 287,590.00  
B5. If Board waived bids, show agenda date..... :  
B6. If Board waived bids, show agenda item number..... :  
B7. Boilerplate Contract Text Unchanged..... : Approved Public Works Engineering Section Construction Contract

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F1. Encumbrance Transaction Code..... :  
F2a. Current Year Encumbrance Amount..... : 314,469.50  
F2b. Current Year Encumbrance Amount..... : N/A  
F3. Department Number..... : 054  
F4. Division Number (If Applicable)..... : 02  
F5. Subdivision Number (If Applicable)..... : 03  
F6. Program..... : 2830  
F7a. Org. Unit (If Applicable)..... : 0600  
F7b. Org. Unit (If Applicable)..... : ORGU#X2  
F8. Fund Number..... : 0017  
F9a. Account Number..... : 7510  
F9b. Account Number..... : ACNTX2  
F10. Area..... : 2041  
F11. Cost Center number (If Applicable)..... :  
F12. Payment Terms..... : Net 30

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V1. Auditor Vender Number..... :  
V2. Payee/Contractors Name..... : NYE & NELSON, INC.  
V3. Mailing Address..... : 1860 EASTMAN AVE #108  
V4. City..... : VENTURA  
V5. State..... : CA  
V6. Zip (include +4 if known)..... : 93003  
V7. Company Telephone Number..... : (805) 654-1682  
V8. Federal Tax ID (EIN or SSN)..... : 77-0168006  
V9. Contact Person..... : RURIC NYE  
V10. Contact Person's Telephone Number..... : (805) 654-1682  
V11. Workers Comp Insurance Expiration Date..... :  
V12. General liability Insurance Expiration Date..... :  
V13. Contractor's License Number and Type..... : 522661 A  
V14. Professional License Number and Type..... :  
V15. Verified By..... :  
V16. Company Type..... : CORPORATION  
V17. Accounting Contact Person and Phone..... : Donna Manuel (805) 568-3016

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This information has been reviewed and is complete and accurate as presented. Concurrences as required by signature on the contract signature page.

Date: \_\_\_\_\_ Authorized Signature: \_\_\_\_\_

**STATE OF CALIFORNIA – DEPARTMENT OF INDUSTRIAL RELATIONS  
DIVISION OF APPRENTICESHIP STANDARDS**

TO: California Department of Industrial Relations  
455 Golden Gate Ave., 8<sup>th</sup> Floor  
San Francisco, CA 94102  
Attn: DAS/Victor

FROM: AWARDING AGENCY

**EXTRACT OF  
PUBLIC WORKS CONTRACT AWARD**

820040000  
Santa Barbara County  
Dept. of Public Works  
123 E. Anapamu Street  
Santa Barbara, CA 93101–2025

**TO: A CONTRACT TO PERFORM PUBLIC WORKS UNDER CODE SEC. 1777.5 HAS BEEN AWARDED**

1. Name of General Contractors : NYE & NELSON, INC.
2. Contractor's License Number and Type : 522661 A
3. Mailing Address : 1860 EASTMAN AVE #108
4. City : VENTURA
5. State : CA
6. Zip (include +4 if known) : 93003
7. Company Telephone Number : (805) 654-1682
8. Contract Description or (Title) : THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA
9. Departmental Project Number : 862262
10. Dollar Amount of Contract Award : 287,590.00
11. First Working Day : 9/15/2008
12. Last Working Day : 11/17/2008
13. Number of Working Days : FORTY FIVE (45)
14. Type of Construction :
15. New or Alteration : New
16. Classification or Type of Works : Operating Engineer, Laborer, Carpenter, Teamster, Electrician,
- 17A. Is language included in the Contract Award to effect the provisions of Section 1777.5, as required by the Labor Code? : YES
- 17B. Is language included in the Contract Award to effect the provisions of Section 1776, as required by the Labor Code? : YES
  
18. Signature .....: \_\_\_\_\_
19. Name.....: Patrick F. Santomauro
20. Title.....: Specifications Writer
21. Date.....: August 4, 2008
22. Telephone Number.....: (805) 568–3310

COUNTY OF SANTA BARBARA  
AUDITOR - CONTROLLER  
INDEPENDENT CONTRACTOR  
ASSESSMENT WORKSHEET

Business Type: Corporation  Partnership  Sole Proprietorship

NAME: NYE & NELSON, INC.

MAILING ADDRESS: 1860 EASTMAN AVE #108

CITY: VENTURA STATE: CA ZIP: 93003

PHONE # (805) 654-1682 VENDOR # \_\_\_\_\_

TAXPAYER I.D. # 77-0168006 Contractor's License No. 522661 A

MARK Y FOR YES N FOR NO N/A FOR NOT APPLICABLE

- 1. County requires when, where, and how worker is to work.
- 2. County requires training of worker.
- 3. Worker's service is a normal business function of the County.
- 4. Worker is required by the county to render service personally.
- 5. County hires, supervises, and pays assistants for worker.
- 6. County has a continuing relationship with worker.
- 7. County sets workers hours.
- 8. County requires worker to work full time for the County.
- 9. County requires worker to perform service on county's premises.
- 10. Worker must perform service in order and sequence set by County.
- 11. County requires worker to submit oral or written reports.
- 12. County pays worker by the hour, week, or month.
- 13. County pays worker's business and/or traveling expenses.
- 14. County supplies worker with tools, materials, and/or other equipment.
- 15. Worker does not have a significant investment in facilities where worker performs services for County.
- 16. Worker does not realize a profit or suffer a loss for services greater than the profit or loss ordinarily realized by employees.
- 17. Worker does not perform services for businesses other than County.
- 18. Worker does not make his or her services available to general public.
- 19. Worker has the right to end relationship with the County without incurring liability.
- 20. The County has the right to discharge the worker.

\_\_\_\_\_  
Workers Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Department Signature

\_\_\_\_\_  
Date

COUNTY OF SANTA BARBARA  
AUDITOR – CONTROLLER  
INDEPENDENT CONTRACTOR  
ASSESSMENT WORKSHEET

Business Type: Corporation X Partnership \_\_ Sole Proprietorship

NAME: NYE & NELSON, INC.

MAILING ADDRESS: 1860 EASTMAN AVE #108

CITY: VENTURA STATE: CA ZIP: 93003

PHONE # (805) 654-1682 VENDOR # \_\_\_\_\_

TAXPAYER I.D. # 77-0168006 Contractor's License No. 522661 A

MARK **Y** FOR YES **N** FOR NO **N/A** FOR NOT APPLICABLE

- Y 1. County requires when, where, and how worker is to work.
- N 2. County requires training of worker.
- N 3. Worker's service is a normal business function of the County.
- N 4. Worker is required by the county to render service personally.
- N 5. County hires, supervises, and pays assistants for worker.
- N 6. County has a continuing relationship with worker.
- Y 7. County sets workers hours.
- N 8. County requires worker to work full time for the County.
- N 9. County requires worker to perform service on county's premises.
- Y 10. Worker must perform service in order and sequence set by County.
- N 11. County requires worker to submit oral or written reports.
- Y 12. County pays worker by the hour, week, or month.
- N 13. County pays worker's business and/or traveling expenses.
- N 14. County supplies worker with tools, materials, and/or other equipment.
- N 15. Worker does not have a significant investment in facilities where worker performs services for County.
- N 16. Worker does not realize a profit or suffer a loss for services greater than the profit or loss ordinarily realized by employees.
- N 17. Worker does not perform services for businesses other than County.
- N 18. Worker does not make his or her services available to general public.
- N 19. Worker has the right to end relationship with the County without incurring liability.
- Y 20. The County has the right to discharge the worker.

NOT APPLICABLE  
Workers Signature \_\_\_\_\_ Date \_\_\_\_\_

\_\_\_\_\_  
Department Signature \_\_\_\_\_ Date \_\_\_\_\_

NOTICE TO PROCEED

Date August 4, 2008

Phase 30

Project No. 862262

Description: THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA

Project Manager: M#PE

Contract Administrator: PATRICK F. SANTOMAURO

Modify or Enter the Following:

Revised project Phase: 30

Notice to Proceed Date: SEPTEMBER 15, 2008

RECEIVE NOTICE OF COMPLETION

Date August 4, 2008

Phase 31

Project No. 862262

Description: THE CONSTRUCTION OF FOOTHILL ROAD AND CIENEGUITAS ROAD INTERSECTION IMPROVEMENTS IN THE COUNTY OF SANTA BARBARA

Project Manager: M#PE

Contract Administrator: PATRICK F. SANTOMAURO

Modify or Enter the Following:

Revised Project Phase: 31

Notice of Completion Date: