

**SANTA YNEZ COMMUNITY SERVICES DISTRICT
CONFLICT OF INTEREST CODE**

Amended August 15, 2018

The Political Reform Act, Government Code sections 81000 et seq., requires state and local governmental agencies to promulgate and adopt conflict of interest codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations section 18730, which contains the terms of a standard conflict of interest code. Section 18730 can be adopted by governmental agencies through incorporation by reference and may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings.

The terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. The Conflict of Interest Code of the Santa Ynez Community Services District (the "District") is hereby adopted and is hereafter (i)-comprised of the terms of 2 California Code of Regulations section 18730, together with any amendments to it duly adopted by the Fair Political Practices Commission, (ii) the attached Appendix A (Designated Employees) and (iii) the attached Appendix B (Disclosure Categories).

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Designated employees may file their Statements of Economic Interests (Form 700) online using eDisclosure, which provides for the submission of the Statements to the County Clerk, Recorder and Assessor. Pursuant to Government Code Section 81008, Statements of Economic Interest will be made available for public inspection and reproduction. The District Secretary shall give designated employees access to online filing.

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Designated employees who use paper Statement of Economic Interests shall annually file with the District's Secretary, statements of economic interests with the District, which will make the statements available for public inspection and reproduction (Gov. Code Sec 81008). Upon receipt of the Statement filed by the designated employee, a copy shall be retained with the District and the original shall be forwarded to the County Clerk, Recorder and Assessor.

Mailing address: County Elections, PO Box 61510, Santa Barbara, CA 93160-1510

Appendix A

**SANTA YNEZ COMMUNITY SERVICES DISTRICT
DESIGNATED EMPLOYEES**

It has been determined that the persons occupying the designated positions ("Designated Employees") listed below make or participate in the making of decisions which may foreseeably have a material effect on financial interests. Designated Employees must disclose financial interests in those categories described in *Appendix B* which are listed opposite the respective Designated Employees.

<u>Designated Employees</u>	<u>Disclosure Categories</u>
Directors	1-4
General Manager	1-4
Attorney	1-4
Secretary/Treasurer	1-4

Appendix B
SANTA YNEZ COMMUNITY SERVICES DISTRICT
DISCLOSURE CATEGORIES

CATEGORY 1

~~Business Entities~~

~~Any business entity in which the Designated Employee has a direct or indirect investment, but only if:~~

- ~~(a) The entity is engaged in the appraisal, acquisition, or disposal of real property within the jurisdiction of the District; or~~
- ~~(b) The entity has, within the previous two years, provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the District, either as a contractor or subcontractor; or~~
- ~~(e)(a) The entity is subject to the regulation or supervision of the District.~~

~~Any real property in which the Designated Employee has a direct or indirect interest, but only if the real property is located in whole or in part within the jurisdiction of the Santa Ynez Community District. Real property interests include any leasehold, beneficial or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$2,000.00 or more.~~

CATEGORY 2

~~Real Property~~

~~Any real property in which the Designated Employee has a direct or indirect interest, but only if the real property is located in whole or in part within the jurisdiction of the District. Real property interests include any leasehold, beneficial or ownership interest or option to acquire such interest in real property, but exclude real property used exclusively as the personal residence of the Designated Employee.~~

Business positions or direct or indirect investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of real property within the jurisdiction of the Santa Ynez Community District.

CATEGORY 3
Sources of Income

Any sources of income of the Designated Employee, but only if:

(a) The source of income is engaged in the appraisal, acquisition, or disposal of real property within the jurisdiction of the District; or

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(b) The source of income has, within the previous two years, provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the District, either as a contractor or subcontractor; or

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(c) The source of income is subject to the regulation or supervision of the District.

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Sources of income shall include gifts, but shall exclude loans from commercial lending institutions made in the regular course of business on terms which are available to the public without regard to official status.

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Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:

(a) The business entities or the source or sources of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the Santa Ynez Community District; and

(b) Within the previous two years, the Designated Employee has made, participated in making, or in any way has attempted to use his or her official position to influence the governmental decision to obtain or procure services, supplies, materials, machinery or equipment of the same or a similar type as those so provided or contracted to be provided to the Santa Ynez Community District or if

the duties of the Designated Employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 4

Business Positions

~~Any business entity in which the Designated Employee is a director, officer, partner, trustee, employee, or holds any position of management, but only if:~~

- ~~(a) The entity is engaged in the appraisal, acquisition, or disposal of real property within the jurisdiction of the District; or~~
- ~~(b) The entity has, within the previous two years, provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the District, either as a contractor or subcontractor; or~~
- ~~(c) The entity is subject to the regulation or supervision of the District.~~

~~For purposes of this the foregoing disclosure categories, "indirect investment" or "indirect interest" means any investment or interest owned by the spouse or dependent child of a Designated Employee, by an agent on behalf of a Designated Employee, or by a business entity or trust in which the Designated Employee, the Designated Employee's agents, spouse, and dependent children own directly, indirectly, or beneficially a ten percent (10%) interest or greater.~~

~~Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:~~

- ~~(a) The business entities or the source or sources of income are of the type which, within the previous two years, have furnished or contracted to furnish, or in the future with reasonable foreseeability might furnish or contract to furnish services, supplies, materials, machinery or equipment as a subcontractor in any contract with the Santa Ynez Community District; and~~

(b) Within the previous two years, the Designated Employee has made, participated in making, or in any way has attempted to use his or her official position to influence the governmental decision to obtain or procure services, supplies, material, machinery or equipment of the same or a similar type as those so provided or contracted to be provided to the Santa Ynez Community District or if the duties of the Designated Employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 5

Business positions or direct or indirect investments in business entities and income from any source or sources of income, if:

(a) The business entities or the source or sources of income are of the type which are subject to the regulation or supervision of the Santa Ynez Community District;
and

(b) The Designated Employee's duties involve the supervision or regulation (including, but not limited to, the issuance of permits) of that type of business entity or source of income.

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For purposes of the foregoing Disclosure Categories 1 through 5:

(a) "Indirect investment" or "indirect interest" includes any investment or interest with a fair market value of \$2,000.00 or more that is owned by the spouse or dependent child of a Designated Employee, by an agent on behalf of a Designated Employee, or by a business entity or trust in which the Designated Employee, the

Designated Employee's agents, spouse, and dependent children own directly, indirectly, or beneficially a ten percent (10%) interest or greater.

- (b) "Sources of income" shall include gifts, but shall exclude loans from commercial lending institutions made in the regular course of business on terms which are available to the public without regard to official status.

