

FY 2008-09 County Plan and OTP Application Template

PART I: SACPA COUNTY PLAN (FY 2008-09 UPDATE)

This part must be completed to be considered for distribution of SACPA funds.

A. The county's FY 2007-08 plan is currently on file at ADP and that plan is current for FY 2008-09 except as noted in Section B below. Yes No

Note: If the answer is "No" then a full county plan must be completed. Contact your OCJC county analyst to obtain a plan template for this purpose.

B. Plan Changes from FY 2007-08 County Plan. Describe any changes from the FY 2007-08 county plan to the FY 2008-09 county plan in the following areas:

- (1) Drug Treatment Services:** Describe changes in drug treatment services from the FY 2007-08 ADP-approved county plan. In consideration of changes, how will the assessment process or assessment tools be changed or modified? Detail how the county has increased/decreased capacity or length of treatment services for outpatient, residential or Narcotic Replacement Therapy (NRT) services. Is drug testing still used as a measure to modify a client's treatment needs? If expenditures reported in SRIS have been increased or decreased, explain why. (For example, explain changes related to addition or reduction of treatment staff, length of treatment, treatment options, treatment capacity, etc.)

There will be two substantive changes in drug treatment services from the FY 07-08 ADP-approved plan. The assessment process and the length of treatment will be changed. The ASI will continue to be administered as the universal standard for all of our SACPA clients and providers. However, County of Santa Barbara ADMHS clinical staff will no longer be administering the first assessment of SACPA clients. Our treatment providers will be administering the first assessment within the first thirty (30) days of enrollment. This change promises to provide more accurate assessments and appropriate individual treatment plans for all clients, as providers will have more clinical experience and collateral/corroborative information at their disposal for the initial assessment. In other words, the initial assessment will not rely solely on client self-reporting as has been the case up until now. All SACPA clients will enter treatment in Level III (IOP or residential) for the first thirty (30) days and have their treatment level adjusted per ASI assessment.

Second, the length of treatment has been reduced by three (3) months for levels II and III. Instead of Level II being up to eight (8) months of treatment with six (6) months of aftercare, Level II will be up to six (6) months of treatment with three (3) months of aftercare. Instead of Level III being up to twelve (12) months of treatment with six (6) months of aftercare, Level III will now be up to nine (9) months of treatment with three (3) months of aftercare. The frequency and intensity of treatment during the first thirty through ninety (30-90) days will be equal to or greater in FY 08-09 than 07-08, with clients attending treatment activities up to five (5) days and nine through ten (9-10) hours per week.

Drug testing will continue to be randomized and frequent enough to maintain and guarantee clinical and legal veracity. Clients will be randomly drug tested up to two (2) times per week each.

- (2) Other Services:** Describe changes in *additional services* supplemental to treatment from the FY 2007-08 approved county plan. Additional services include vocational training, literacy training, family counseling, etc. Has the county expanded vocational opportunities for clients or collaborated with community resources to provide additional services as assessed to meet

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offender needs? Describe notable changes to services for persons with co-occurring disorders. If expenditures submitted in SRIS reflect increases or decreases, explain. (For example, explain changes related to addition or reduction of staff, providers of services, etc.)

Due to funding reductions and questionable outcomes, vocational services will no longer be funded. Sober living services have also been reduced approximately ten percent (10%) to keep Santa Barbara County aligned with State funding realities. Co-occurring (COD) services remain intact and even though no additional funding has been provided for SACPA COD services, SACPA clients will be provided with more and better integrated services than they were in FY 07-08. The integration of substance use and mental health disorders includes more AOD staff hours stationed at our mental health and crisis clinics that are well-trained. Criminal Justice Liaisons, licensed mental health practitioners who assist SACPA clients with co-occurring disorders access and succeed in treatment, now have one more year of experience and have established smooth protocols for assessment diagnosis, referral and treatment of COD clients. As reported in last year's plan, specific duties of the CJLs include assessment and diagnosis, case management, advocacy and referral. The CJLs assure that clients have continued access to medications while in jail, and have a smooth (seamless) transition for clients coming out of jail into treatment.

- (3) **Criminal Justice Activities:** Describe changes in *criminal justice activities* from the FY 2007-08 approved county plan. Has the county modified involvement of criminal justice entities for supervision, case management approach, or similar activity? Do expenditures submitted in SRIS reflect increases or decreases? If so, explain. (For example, describe and explain changes related to addition or reduction of staff, changes in criminal justice processes or program involvement, etc.)

There will be little to no change in our criminal justice activities from the FY 2007-08. SACPA allocations to the County of Santa Barbara Probation Department will remain the same as the previous year. Except for the length of treatment as already explained above, The County of Santa Barbara ADMHS will continue to model its SACPA treatment system on a drug court model. Every SACPA client will be formally supervised by a probation officer and reviewed regularly in court. The Santa Barbara Superior Court will have its SACPA allocation reduced by ten (10) percent, but we do not anticipate a reduction in court services for SACPA clients. As usual, the County of Santa Barbara Superior Court and Probation Department will expend much more money to serve SACPA clients than the State of CA will reimburse. Regular and formal graduation ceremonies will continue to be held several times per year and attended by the entire SACPA treatment team and community.

- (4) **Client Referral Projections:** Describe changes in *referrals* from the FY 2007-08 approved county plan. Explain the reasons for increases and decreases in the number of offenders referred to SACPA by both court/probation and parole. Do figures submitted in SRIS reflect increases or decreases? If so, explain. (For example, describe and explain changes in processes used to capture information, increased criminal justice support and collaboration, etc.)

We anticipate more parolees and fewer probation referrals and clients in the coming year 08-09. Parole departments from Oxnard and Santa Maria have begun to refer more parolees into Santa Barbara's SACPA system. The County of Santa Barbara ADMHS has begun to establish collaborative relationships with several parole officers to facilitate the increase of parole referrals. Probation referrals have declined over the past year and it is anticipated that this trend may continue into FY 2008-2009. The Proposition 36 stakeholders team

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believes there are several possible explanations for the declining numbers. First, after seven (7) years, the positive effects of Proposition 36 are being realized in Santa Barbara County. After successfully completing thousands of Proposition 36 clients, it is hypothesized that fewer clients are in need of treatment, or that substance abusing and dependent clients have developed better coping skills and are able to refer themselves into treatment or back into treatment without going through the criminal justice system if they need treatment. It is hypothesized that Proposition 36 has not only served Proposition 36 clients, but has had a positive reverberating effect throughout entire communities, helping people within and without the criminal justice system. Within the past month of this writing, Santa Barbara County graduated over one hundred and eighty (180) clients from Proposition 36 which has considerably reduced clients in treatment. Second, by reducing the length of treatment for most clients (see **B. 1.** above), more clients will move through the treatment system more quickly, and it is expected that the recidivism rate will not significantly increase, if at all. Third, it is suspected among the stakeholders that more eligible clients may be opting out of Proposition 36 either because they do not chose to enroll in what has become a rigorous treatment program, or because they don't believe they will be facing significant jail sentences if they don't go into treatment.

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(5) SACPA Expenditures and Client Projections.

The county must complete this portion of the county plan through the SACPA Reporting Information System (SRIS) on the ADP website at <http://www.adp.ca.gov/SACPA/SRISindex.shtml>. Go to [Input/Review County Data](#).

1. ENTITY EXPENDITURES**(a) ENTITY TYPE: Drug Treatment**

Enter the name(s) of the county entity(s) responsible for drug treatment administration (e.g., County AOD Services). Do not enter treatment providers and/or modalities of treatment.

(b) ENTITY TYPE: Other Services

Enter the names of other county entities that will receive SACPA funds for additional services such as counseling, literacy training, vocational training, and mental health (e.g., County Mental Health Dept., County Office of Education, Public Health, Social Services, etc.). Do not enter Criminal Justice entities.

(c) ENTITY TYPE: Criminal Justice

Enter the name(s) of the county entity(s) that will receive SACPA funds for criminal justice services and activities (e.g., Superior Court, Probation Dept., etc.).

(d) ENTITY TYPE: SATTA (SB 223)

Substance Abuse Treatment and Testing Accountability (SATTA) Program funds may be used for drug testing of SACPA clients and for other purposes allowed under the federal Substance Abuse Prevention and Treatment (SAPT) block grant. OCJC requires only that counties enter the total amount of planned expenditures of SATTA funds as Direct Services. (At the county's option, separate amounts may be entered for Direct Services and Administrative Activities). Enter the name of the entity(s) that will administer the funds (e.g., "County AOD"). Do not enter "drug testing" or "ABC Laboratory." The total must equal the county's SATTA allocation. SATTA funds provided for FY 2008-09 must be spent by June 30, 2009.

2. SERVICE/ACTIVITY EXPENDITURES

The county's expenditures in this section should reflect the total entered in the Entity section. Administrative costs can be explained in the note sections.

(a) PLANNED EXPENDITURES: DRUG TREATMENT SERVICES**(b) PLANNED EXPENDITURES: OTHER SERVICES****(c) PLANNED EXPENDITURES: CASE MANAGEMENT ACTIVITIES**

*Enter case management expenditures for **both** treatment and criminal justice.*

(d) TOTAL PROJECTED SPENDING: SATTA (SB 223)

Enter planned expenditures of SATTA funds for drug testing of SACPA clients. SATTA funds may be used for other purposes allowed under the federal Substance Abuse Prevention and Treatment (SAPT) block grant only if all other allocated funds for those treatment purposes have been exhausted.

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3. CLIENT PROJECTIONS**(a) CLIENT REFERRALS**

- *Enter the projected number of clients (new clients only) who will be referred for services by Parole and Court/Probation. Enter an unduplicated client count representing actual total new clients the county projects will be referred for treatment.*
- *Enter total projected new clients for the year. If there are significant changes to client projections, provide an explanation in your plan Part I, Question 2.*

(b) CLIENTS SERVED: DRUG TREATMENT/OTHER SERVICES

- *Enter numbers of treatment/other services that clients are projected to receive. These projections count the number of services to be provided to clients, rather than number of clients. Totals may exceed the total number of projected client referrals, because individual clients may receive one or more types of drug treatment services during their time in SACPA. The total may exceed, or be less than, the total number of referrals. Some clients will not require additional services, while other clients may require two or more.*

(c) SATT (SB 223) TOTAL PROJECTED SPENDING and CLIENT COUNTS

- *Include the number of clients the county plans to drug test and the total number of tests the county plans to administer. Typically, the number of tests will exceed the number of clients tested because many clients are tested multiple times.*

PART II: OTP APPLICATION

Counties applying for OTP funds must establish a **separate funding expenditure code for OTP** costs and cannot place the funds in the Substance Abuse Treatment Trust Fund (SATTTF).

A. Is the county applying for OTP funding? Yes (See **B** below) No (See **C** below)

Specific requirements must be met to qualify for OTP funds and establish county eligibility. If you are unsure if the county meets eligibility requirements, contact your county liaison.

B. If "Yes" and your county is applying for OTP funding:

- (1) If your county has an approved FY 2007-08 OTP Application on file with ADP, complete Section D of this part. Describe fully any program changes in the questions from the FY 2007-08 OTP application to the FY 2008-09 application.
- (2) If your county does not have an approved FY 2007-08 OTP Application on file with ADP, complete Exhibit C. Describe each new or expanded practice or activity to be supported with OTP funds. Describe how the new practice or activity will be used to achieve the stated goal of improving program outcomes and offender accountability.
- (3) Complete the FY 2008-09 OTP Budget Form in Exhibit A, Part II.

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- C. If “No,” skip the remainder of Part II and complete Exhibit B (Decline of Funds). Return to Part III to complete the board of supervisors approval for the SACPA county plan.
- D. Identify in detail any changes from the FY 2007-08 OTP Application to the FY 2008-09 OTP Application in the following areas. If no change, indicate with a “no change” response. (For a list of OTP goals and strategies, see Exhibit C.)
- (1) **Enhance treatment services** for offenders assessed to need them, including residential *treatment and narcotic replacement therapy*.
 - (2) *Describe changes to treatment services that will be enhanced, and how enhancement will be connected to assessed need. Describe changes to strategies used to meet this goal.*

Treatment will be enhanced as much as our budget will allow. The Matrix Institute System has been being adopted and adapted county-wide to provide best services for methamphetamine abusing and addicted clients. Trauma-informed treatment services are being utilized, especially for female clients. The County of Santa Barbara is adopting the Seeking Safety model for addressing clients with Concurrent Substance Use and Post Traumatic Stress Disorders. Motivational Interviewing continues to be expanded and improved throughout entire departments in the County. Specific trainings and technical assistance have been and will continue to be provided on a regular basis in Assessment, Treatment Planning, Co-Occurring Disorders, Ethics and Counter Transference. All of these service additions and enhancements have been based upon specific client needs as clients have been assessed throughout their treatment programs. In other words, service gaps have been identified and addressed in working with SACPA clientele and will continue to be identified as Proposition 36 continues.

For example, as mentioned in last year’s plan, the County of Santa Barbara identified several service gaps in the Lompoc area. As a result, a broad range of new services have been created to fill identified gaps in its continuum of care. A six (6) bed residential detoxification center has been established. Residential and outpatient drug free perinatal services have also been established in Lompoc, addressing another service gap. It is expected that these new services will significantly improve treatment services, not only in the Lompoc and Santa Maria regions, but throughout the County.

Collaboration and development of a Day Reporting Center (DRC) is still underway to enhance criminal justice supervision of offenders, maintain appropriate levels of criminal justice and treatment oversight and supervision, and use intermediate sanctions of graduating severity for problematic or recalcitrant offenders. The DRC is still envisioning allowing 30-60 Prop 36 clients who have violated probation and have become non-compliant to be released from custody and placed into an intensive monitored treatment regimen. The DRC will thus be a unique service system in between jail and established treatment systems, for clients who are not a risk to the public but who need more sanctions and monitoring than treatment providers are able to provide.

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- (3) **Increase the proportion of sentenced offenders** who enter, remain in, and complete treatment, through activities and approaches such as co-location of services, enhanced supervision of offenders, and enhanced services determined necessary through the use of existing drug test results.
- (4) *Describe changes to county specific activities used to increase the proportion of client admissions, client retention, and completions. Describe changes to strategies used to meet this goal.*

Santa Barbara County remains fully committed to engaging and retaining clients in treatment. Positive outcomes will be the inevitable result of retention and engagement.

The County of Santa Barbara has been successful in admitting, retaining and completing Proposition 36 clients. However, specific activities have been used and will be used to increase the proportion of clients being admitted retained and completed. Besides enhancing treatment services as explained in **D. (2)**, a number of other specific activities will be utilized to accomplish these ends. As mentioned before, Motivational Interviewing (MI) has been made ubiquitous; MI is being use throughout Santa Barbara County to ensure client engagement, retention and completions. Assessment of each client's financial situation and his or her ability to contribute to treatment costs is being seen as a significant change that will increase client engagement, retention and completion. Too many clients have been subsidized by the SACPA trust funds when they have had the ability to pay some of their treatment costs. The resulting lack of ownership in those clients has compromised their progress and completion rates. Simply put, the County of Santa Barbara has found those clients who have the ability to pay and who do pay for part of their treatment have better outcomes. These results are supported by research on the subject.

Due to the before-mentioned adjustment of treatment levels, it is expected that more individuals will complete treatment and graduate from SACPA. The County of Santa Barbara does not anticipate any significant recidivism due to the adjustment of treatment levels, as evidenced by best practice research in the field. Expansion of community and peer services is planned or is underway to provide ongoing support for SACPA clients in and out of treatment. The County of Santa Barbara ADMHS actively supports and underwrites local Alano Club activities, for example. The establishment of SMART Recovery® groups has been more problematic than expected, but at least one SMART group per week per region is the goal during FY 08-09.

All SACPA clients will continue to be actively supervised and reviewed by probation to ensure that the greatest number of clients will enter and complete their treatment plan successfully. In addition, the use of incentives and appropriate supportive actions will be continued and increased. Contingency Management principles are viewed as critical components for assisting the SACPA participants in their journeys through the treatment experience. Finally, Drug testing will be more randomized per best practices.

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(5) Reduce delays in the availability of appropriate treatment services.

Describe changes in delays and the specific activity or practice the county employs to reduce delays. Describe changes to strategies used to meet this goal.

The County of Santa Barbara has had neither delays nor waiting lists for SACPA clients to gain access to treatment in the past. However, due to funding reductions, waiting lists may be used for the first time. In order to ensure client engagement and retention, any and all clients placed on a waiting list will be provided interim services until the enroll in treatment. Interim services will be formalized contacts at least once per week. In addition, self-help attendance and drug testing may be required as part of interim services. Where indicated, clients are placed into detoxification and residential treatment services to improve engagement and successful outcomes.

(6) Employ a drug court model, including dedicated court calendars with regularly scheduled reviews of treatment progress, and strong collaboration by the courts, probation, and treatment.

Describe changes to court practices, and specific activity or practice the county employs to achieve a drug court model. Describe changes to strategies used to meet this goal.

The County of Santa Barbara has always imposed a Drug Court model on its Proposition 36 referral and treatment process. In other words, Proposition 36 and Drug Court are similar in Santa Barbara County. The only differences between the County of Santa Barbara Drug Courts and Proposition 36 courts are the eligibility criteria and, now the length of treatment. All Proposition 36 clients are regularly reviewed in court to assess progress or lack thereof. Treatment and monitoring is adjusted accordingly. The County of Santa Barbara has addressed and uses most of the strategies identified in last year's GUIDE to the Preparation, Submission and Review of this SACPA plan, outlining specific enhancements. The multidisciplinary treatment team model is identical for drug court and Prop 36 clientele. All Proposition 36 clients are supervised by specific designated probation officers who are trained and experienced in substance use disorders.

Collaboration has never been an issue in Santa Barbara County. The mission of the Santa Barbara Therapeutic Justice System (TJS) is to provide a coordinated approach by the criminal justice and treatment agencies of Santa Barbara County for the implementation and ongoing management of local treatment court services. The mission of the Santa Barbara Therapeutic Justice System (TJS) Policy Council is to provide a coordinated approach by the criminal justice and treatment agencies of Santa Barbara County for the implementation and ongoing management of local treatment court services, including the Substance Abuse Crime Prevention Act of 2000.

The TJS Policy Council has established a system of treatment court-specific core committees designed to address issues unique to each local treatment court and to advance recommendations for policy consideration to the Policy Council and beyond. While the structure has been modified slightly, the responsibilities of the Council are the same.

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(7) Develop treatment services that are needed but not available.

Describe changes to treatment services the county plans to develop including new services, client population to be served, and purposes of the planned services. Describe changes to strategies used to meet this goal.

The County of Santa Barbara continues to utilize sober living homes, in combination with outpatient drug free treatment, to provide needed residential-like treatment to SACPA clients. This arrangement has been successful in engaging, retaining and completing SACPA clients. Residential services are definitely needed, but lack of funding precludes residential service development, especially for men.

(8) Other activities, approaches, and services.

Describe changes to specific activities, approaches and services not previously addressed that the county plans to pursue. Describe changes to strategies used to meet this goal. The use of funds for other purposes must be approved by ADP.

As reported in the FY 07-08 SACPA Plan, Santa Barbara County Alcohol, Drug and Mental Health Services continues to integrate ADP with MH services. Alcohol and Drug Treatment Specialists have been placed into each of our mental health clinics to serve clients with co-occurring disorders. There is a coordinated release of clients from jail into mental health clinics. We expect to improve our outcomes with COD Prop 36 clients with the addition of these specialists. Along with the many other strategies the State has itemized and the County is utilizing, we are confident that positive outcomes will improve for all Prop 36 and OTP clients.

NOTE:

All counties applying for OTP must complete the FY 2008-09 OTP Budget Form (Next Page).

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FY 2008-09 OTP Budget Form

County: Santa Barbara

Section I. Treatment Related Costs				
A	B		C	D
	Budget		Match Requirement	Projected Clients Served
Enhancing Treatment Services				
Residential Treatment	\$ 30,000	-	\$ -	
Narcotic Replacement Therapy	\$ -	-	\$ -	
Other Treatment Service	\$ -	-	\$ -	
Reduce Treatment Delays	\$ -	-	\$ -	
Increase Placement into Treatment	\$ 46,988	-	\$ -	
Develop Treatment Services Not Currently Available	\$ -	-	\$ -	
Other Activities, Approaches or Services	\$ 146,058	-	\$ 22,305	
TOTAL SECTION I	\$ -	-	\$ -	0
Section II. Criminal Justice/Other Costs				
	Budget		Match Requirement	Projected Clients Served
Enhanced Supervision	\$ -	-	\$ -	
Other	\$ -	-	\$ -	
TOTAL SECTION II	\$ -	-	\$ -	0
GRAND TOTAL OF SECTIONS I & II	\$ 223,046	-	\$ 22,305	0
Section III. CERTIFICATION				
<i>SACPA Lead Agency Designee's certification that all projected expenditures stated above are consistent with the requirements of the Substance Abuse Offender Treatment Program.</i>				
			County General Fund Contribution	
Please Print Name			Required: Source of County Matching Funds	
SACPA Lead Agency Designee Signature			Date of Signature	

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PART III. APPROVAL BY THE COUNTY BOARD OF SUPERVISORS

Provide a copy of the county board of supervisors resolution, minutes, order, motion, or ordinance indicating approval of both the SACPA plan and the OTP application (if applying for OTP funds). If the board of supervisors has granted delegated approval authority, provide a copy of the board of supervisors delegation of authority and documentation of the county lead agency's plan/application approval.

If the county has outstanding audit recovery issues, an audit re-payment plan approved by the BOS may also be required. Contact your OCJC county analyst for more detailed information.

SACPA and/or OTP funds will not be released until the above requirements have been met.

PART IV. SUBMISSION OF PLAN AND APPLICATION

- Save your completed plan/application and Exhibit B or C as a single Microsoft Word file with an extension of .doc. SRIS will only allow one document upload and one BOS upload.
 - Include Exhibit B with your plan/application if the county is declining OTP funds.
 - Include Exhibit C with your plan/application if the county is applying for OTP funds and does not have an OTP Application on file with ADP for FY 2007-08.

Go to the online SACPA Reporting Information System (SRIS) at <http://www.adp.ca.gov/SACPA/SRISindex.shtml>.

- Submit your completed plan/application under the county plan description link.
 - Click the UPLOAD button, and the File Upload page will be displayed.
 - Click the BROWSE button. This will allow you to select a file and will open a 'choose file' window.
 - Click the appropriate file and then click the OPEN button. The file name will be displayed on the 'File Name' window of the File Upload page.
 - Click the UPLOAD THE FILE button. This will upload the file to the database and return you to the Plan Description Files page.

More detailed instruction for uploading your county plan/application can be found in Part Three of the SRIS users' manual available online at

http://www.adp.ca.gov/SACPA/P36_SRIS_SystemDocumentation.shtml.

- In SRIS, change the FY 2008-09 county plan status to "county approved." Once the plan and application have been submitted and moved into "county approved" status, your OCJC analyst will be able to begin the review and approval process.