



Chapter I: 2014 Action Plan

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Executive Summary

1. Program Year 2014 Action Plan Executive Summary

The United States Department of Housing and Urban Development (HUD) awards federal grant funding annually to participating jurisdictions and entitlement communities under a variety of programs. The County of Santa Barbara, through collaboration with partner jurisdictions, receives entitlement funding through three programs administered by HUD:

- HOME Investment Partnerships Act (HOME)
- Community Development Block Grant (CDBG)
- Emergency Solutions Grants (ESG)

The County Community Services Department (CSD) serves as the lead agency in two funding partnerships; the County of Santa Barbara HOME Consortium and the CDBG Urban County Partnership. The County Community Services Departments (CSD) Housing and Community Development (HCD) Division prepares and submits a HUD required **Consolidated Plan** every five years on behalf of these partnerships. Annual Action Plans are prepared and submitted to HUD as an update to the Consolidated Plan. The Action Plan also serves as an annual application for grant funding under the three programs cited above, and outlines the proposed use of funds to advance the programmatic goals and specific objectives identified in the Consolidated Plan. The priorities and objectives established in the Consolidated Plan are consistent with goals and regulatory requirements under each funding source.

The ESG program is administered directly by the County. The ESG priority programs and funding recommendations are made by the County Human Services Commission in consultation with the Continuum of Care partners. The County Human Services Commission also makes recommendations to the County Board of Supervisors on CDBG Public Services programs. The HOME and CDBG capital and economic development project recommendations are made by the County Capital Loan Committee who forwards priority project recommendations to the County Board of Supervisors for review and determination of final awards.

The County 2014 Notice of Funding Availability (NOFA)* published in November of 2013 did not include the HOME Investment Partnership program. The HOME program funding undergoes a separate process. However, the program is a component of the Action Plan and all public processes.

The County has received the actual 2014-15 fiscal year allocation amounts which are included in this Action Plan.

* A summary of the County funding cycle is provided in the Appendix of the 2014 Action Plan.

The following table shows the respective member cities in the HOME Consortium and the CDBG Urban County Partnership:

Table 1-1

Funding Partnerships	
Santa Barbara HOME Consortium	Santa Barbara County CDBG Urban County Partnership
County of Santa Barbara City of Buellton City of Carpinteria City of Goleta City of Lompoc City of Santa Maria City of Solvang	County of Santa Barbara City of Buellton City of Carpinteria City of Lompoc City of Solvang

Goals Partnership Update:

HOME Consortium:

The County of Santa Barbara HOME Consortium has been a participating jurisdiction under the HOME Program since 1994, and has funded numerous affordable housing projects and programs during its 17 years of existence. The partner cities include the cities of Buellton, Carpinteria, Goleta, Lompoc, Santa Maria and Solvang.

CDBG Urban County Partnership:

In 2007, the County of Santa Barbara partnered with the cities of Buellton, Carpinteria, Lompoc and Solvang to qualify as an Urban County under the Community Development Block Grant (CDBG) Program, and became eligible to receive CDBG funds annually.

ESG:

In addition to CDBG, the County of Santa Barbara has been awarded a variety of other funding, such as the Emergency Solutions Grants (ESG), and the McKinney-Vento Homeless Assistance Act funds. The November 2013 NOFA included the estimated amounts of CDBG and ESG, and other local funding sources that would be available for programs and projects advancing the Consolidated Plan and Congressional goals. This Action Plan will address the proposed use of these funds for the 2014 Program Year.

Congressional Programmatic Goals

Under the 2010-2015 Consolidated Plan, the CDBG Urban County Partnership established funding priorities and objectives to assist the County in implementing the Consolidated Plan priority goals established by Congress for the Federal grant programs discussed in this document (HOME, CDBG, and ESG). Figure I-1 provides a summary of the Congressional goals:

Figure I-1

CONGRESSIONAL CONSOLIDATED PLAN PRIORITY GOALS

GOAL I: DECENT, AFFORDABLE HOUSING: Provide decent, affordable housing for the community's lowest income households, including households with special needs.

GOAL II: SUITABLE LIVING ENVIRONMENT: Improve the living environment/quality of life in low-income neighborhoods.

GOAL III: EXPANDED ECONOMIC OPPORTUNITY: Create economic opportunities for residents and businesses in low-income areas of the community.

2. HOME Investment Partnership (HOME) Program Overview

The **HOME Consortium** is comprised of the County of Santa Barbara and the member cities of Buellton, Carpinteria, Lompoc, Goleta, Santa Maria and Solvang. The County acts as the administrative lead for the HOME Consortium. The primary objective of the HOME program is to provide decent affordable housing which principally benefits low- and moderate-income persons, households, and special populations (i.e., elderly, disabled, homeless, persons with HIV/AIDS, abused children and migrant workers). Activities funded under the HOME program focus on implementing the 2010-2015 Consolidated Plan housing priorities including the development of affordable housing, rehabilitation of housing units and rental assistance programs. This will be the fifth and final year that the Consortium will report on activities in the 2010-2015 Consolidated Plan.

The HOME Consortium used a combination of Consortium meetings, public forums, data analysis, and consultations with housing experts to determine the Consolidated Plan priorities that continue to be articulated in this 2014 Action Plan.

The HOME Consortium sets aside 43% of HOME funding for the County's allocation, 27% for the City of Santa Maria, 14% for the City of Lompoc, 9% for the City of Goleta, 5% for the City of Carpinteria, 1% for the Cities of Buellton and Solvang respectively.

HOME Consortium Funding Priorities are to Promote:

- New construction/acquisition/rehabilitation of rental housing projects for lower income households including large, small, and special needs households, as well as homeless, disabled and elderly persons
- Projects that provide permanent supportive housing and Single Room Occupancy (SRO) units to address the needs of the homeless, households at imminent risk of homelessness and/or persons with special needs
- Homeownership opportunities for low-income first-time homebuyers
- Projects that incorporate universal design and accessibility standards to meet disabled person's specific needs
- Projects that are in close proximity to employment centers, public transportation corridors, and public services and amenities
- Projects that incorporate innovative energy efficiency and conservation measures
- Programs that provide tenant based rental assistance including security deposits for low and very low income households

For Program Year 2014, the County of Santa Barbara HOME Consortium is will receive an entitlement allocation of **\$937,493**, of which \$93,749 (10% of the allocation) is to be retained by the County for program administration.

The County and its Consortium members will consider uses of 2014 HOME funds for projects as applications are received and/or as Consortium members have eligible projects in their own communities.

3. Community Development Block Grant (CDBG) Program Overview

The **Santa Barbara Urban County Partnership** is comprised of the County of Santa Barbara and four member cities of Buellton, Carpinteria, Lompoc, and Solvang. The County acts as the administrative lead for the Urban County Partnership. The primary objective of the Community Development Block Grant (CDBG) program is the development of viable urban communities, by providing *decent housing, suitable living environments* and *expanded economic opportunities*, which principally benefit low- and moderate-income persons and households. Activities funded with CDBG are directed towards addressing the housing and non-housing priorities and objectives identified in the Consolidated Plan. This will also be the fifth and final program year that the Urban County Partnership reports on CDBG activities under the 2010-2015 Consolidated Plan five-year operating period.

The Santa Barbara Urban County Partnership used a combination of community forums, community needs assessment surveys, and consultations with area experts on housing/community affairs to determine the 2010-2015 Consolidated Plan priorities and objectives under the CDBG program, articulated below. These priorities advance the congressional goals under CDBG of a) providing benefit to low- and moderate-income residents, b) removal of slum and blight, and c) meeting an urgent community need.

CDBG Capital Projects Priorities

- Support rehabilitation of public facilities and affordable housing that incorporates energy efficiency and conservation design measures
- Support economic development that creates or retains jobs, including those for low- and moderate-income persons
- Improve the physical character of neighborhoods, including complete streets, parks, and other public spaces
- Provide assistance to low-income neighborhoods by funding critical community facilities
- Address design barriers to providing community services to persons with mobility and other impairments as promoted under the Americans with Disabilities Act (ADA)
- Maintain and upgrade existing low-income affordable housing stock
- Develop and promote programs that create and sustain long term affordable housing for extremely low to low income persons

CDBG Public Services

- Promote and provide services that assist persons with special needs
- Support public service programs for low and moderate income households including programs for housing and other community development needs
- Promote and provide services that prevent discrimination and eliminate barriers to housing

The Urban County Partnership used these priorities, along with other rating and ranking factors of administrative capacity, project timeliness, program quality and effectiveness, Consolidated Plan priorities, cost efficiency and financial feasibility contained within the NOFA specific to each program activity, in determining projects for funding contained in this Annual Action Plan. A total of 20 percent of the grant amount is retained for CDBG program administration and management oversight, and up to 15

percent of the total allocation can be recommended for Public Services activities, with the balance of 65% targeted to capital projects and economic development activities.

CDBG allocations are distributed among the Urban County Partnership members based on a HUD formula calculated on population, overcrowding and percentage of the population below poverty. The distribution of funds based upon this formula and rounded up herein, includes: County (63%), Lompoc (25%), Carpinteria (8%), Buellton (2%) and Solvang (2%). The cities of Carpinteria and Lompoc are utilizing their full allocations for both Capital and Public Services projects. Solvang did not have a priority Capital project and therefore elected only to participate in the CDBG Public Service process. Buellton did not participate in the public services program but does have a small CDBG capital project. Unused CDBG Capital allocations were combined into the County's 2014 allocation for County projects and will be spent during the 2014 program year. The CDBG Capital funding for these cities that is not utilized, will be made available to them in future program years when the cities have a priority project.

4. HOME, ESG and CDBG 2014 Allocations

2014 County HOME Allocation

Table 1-2

HOME Allocations by Jurisdiction	Percent of Allocation	Allocation by Jurisdiction
Total HOME Allocation	\$937,493	After Administration (\$93,749)
Partner Allocations:		
County	43%	\$362,810
City of Santa Maria	27%	\$227,811
City of Lompoc	14%	\$118,124
City of Goleta	9%	\$75,937
City of Carpinteria	5%	\$42,187
City of Buellton	1%	\$8,437
City of Solvang	1%	\$8,437
Total Urban County	100%	\$843,744

The County and its HOME Consortium members each receive a set-aside of funds that can be used within their respective jurisdictions or collectively for projects in any location in the County. Applications for funding will be accepted on a year-round basis.

2014 County ESG Allocation

The Emergency Solutions Grants (ESG) program provides homeless persons with basic shelter, street outreach services, and homelessness prevention and rapid re-housing services. The purpose of the ESG Program is to provide funding to: (1) engage homeless individuals and families living on the street, (2) improve the number and quality of emergency shelters for homeless individuals and families, (3) help operate these shelters, (4) provide essential services to shelter residents, (5) rapidly re-house homeless individuals and families, and (6) prevent families/individuals from becoming homeless. Eligible program components include street outreach, emergency shelter, rapid re-housing, and homelessness

prevention. The Community Services Department's Division of Housing and Community Development oversees the ESG program for the entire county. The County's 2014 allocation is **\$126,795**. That includes 7.5% (\$9,510) in administration expenses leaving \$117,285 for programs and services.

2014 County CDBG Capital and Public Services Allocations

Table 1-3

Countywide Allocations	Administration	Capital	Public Services	TOTAL
Unincorporated County Allocation	\$226,751	\$619,129	142,875	\$988,755
Partner Allocations:				
City of Buellton	\$0	\$18,374	\$4,240 (2)	\$22,614
City of Solvang	\$0	\$19,160(1)	\$4,422	\$23,582
City of Carpinteria	\$0	\$80,277	\$18,526	\$98,803
City of Lompoc	\$75,584	\$245,647	\$56,688	\$377,919
2013 Public Services Funds			\$12,125 (3)	
TOTAL URBAN COUNTY	\$302,335	\$982,587	\$238,876	1,511,673

- (1) Solvang chose not to utilize their FY 2014-15 CDBG capital allocation, therefore the County applied it to other County eligible projects
- (2) Buellton chose not to utilize their full FY 2014-15 CDBG Capital allocation and none of their 2014 Public Services funds so the Urban County Partnership will utilize those funds. Consistent with CDBG regulations on the requirement for the timely expenditure of funds, unused CDBG allocations are always included in the County's allocation and made available to partner cities at a later date when they have a viable program or project.
- (3) The CDBG Urban County Partnership will utilize \$12,125 in unspent 2013 Public Services funds to fund one or more 2014 Public Services programs recommended by the County or partner committees. This brings the 2014 total up to \$238,876

For Program Year 2014, the Urban County Partnership will receive a CDBG entitlement award of \$1,511,673 of which \$302,335 (20% of the allocation) will be retained for program administration and management. Of the allowable administrative allocation, the County will retain \$226,751 and the City of Lompoc will retain \$75,584 to administer and manage their CDBG process. From the total award, \$226,751 (15% of the allocation) will be utilized for Public Services activities, as allowed by CDBG regulations. An additional \$12,125 in 2013 public services funding is also being made available for 2014 programs that can be completed as part of the 2013 funding cycle per HUD requirements. The remaining 2014 allocation of \$982,587 is allocated to capital development projects and activities related to affordable housing, community development and economic development activities.

The city of Solvang chose not to utilize its 2014 CDBG Capital allocation. The city of Buellton will utilize \$15,000 of their 2014 CDBG Capital allocation. In keeping with HUD's CDBG timely spending requirements, the County will utilize all of Solvang's and the remainder of Buellton's 2014 allocations to fund other eligible CDBG projects. These partner cities will utilize their unused allocations at a later date, from future CDBG allocations. The other participating member cities will receive the following 2014 CDBG Capital allocations: Carpinteria (\$80,277) and Lompoc (\$245,647). The Capital Loan Committee and staff recommendations support projects that clearly meet HUD's required timelines for the expenditure of funds.

5. HOME, CDBG and ESG 2014 Recommended Projects/Programs

HOME Projects

The HOME program was not part of the County's FY 2014-15 NOFA as HOME applications will be accepted on a year-round basis rather than through a NOFA process. The City of Santa Maria has recommended a tenant based rental housing project for their 2014 allocation. The City of Lompoc has awarded their 2014 HOME funding to a Homebuyer Assistance program.

For other HOME funds, once formal applications are received and staff has reviewed the project proposals, staff will return to the Board for funding approvals. The County is continually faced with the challenge of HUD's timely expenditure requirements of the HOME program. HOME funds must be committed to a project within 24 months and expended within five years. As a result, the allocations may be recommended for modification based on the readiness of projects to utilize the funds. Administration of 10% equals \$93,749.

CDBG Capital Projects

The following Tables provide a summary of CDBG Capital Project funding Recommendations for the Urban County Partnership:

Table I-4

2014 County of Santa Barbara CDBG Capital Projects			
Project	Location	Agency	Amount
Auditorium Improvements	Lompoc	Lompoc Veterans Memorial Building Foundation	\$30,000
Women's Economic Ventures SET Program	Countywide	Women's Economic Ventures	\$50,000
Bodger Road Improvements	Mid County	County of Santa Barbara Community Services Department	\$110,000
<u>Isla Vista Streetlighting</u>	<u>Isla Vista</u>	<u>County Public Works Department</u>	<u>\$133,000</u>
<u>Calle Real Sidewalk Improvements</u>	<u>South County</u>	<u>County Public Works Department</u>	<u>\$538,931</u>
Lompoc On The Move	North County	Valley Haven	\$100,000
Activity Delivery Costs		HCD	\$50,000
Total Amount Recommended			\$1,011,931
Total County of Santa Barbara CDBG Capital Project Funds Available			\$ 1,011,931*

*Includes 2014 Program Year funds (\$619,129); \$246,789 in unspent funds from prior years; \$19,160 Solvang unspent 2014 funds; Buellton's unspent \$3,374 2014 funds and \$123,479 in program income.

Table I-5

2014 City of Lompoc CDBG Capital Project Funding Recommendations			
Project	Location	Agency	Amount
Code Enforcement	Low mod areas	Lompoc Administration	\$118,000
Library Electrical Upgrades	Lompoc Library	City of Lompoc	\$48,000
Civic Auditorium	Lompoc	City of Lompoc	\$44,647
Emergency Repair	Lompoc	Catholic Charities	\$35,000
Total City of Lompoc's CDBG Capital Projects			\$245,647

Table I-6

2014 City of Buellton CDBG Capital Project Funding Recommendation			
Project	Location	Agency	Amount
Buellton Library and Council Chambers ADA	Buellton	City of Buellton	\$15,000
Total City of Buellton CDBG Capital Project Funds Recommended			\$15,000
Total City of Buellton CDBG Capital Award			\$18,374
Difference to be spent on eligible County project			\$3,374

Table I-7

2014 City of Carpinteria CDBG Capital Project Funding Reservation			
Project	Location	Agency	Amount
Main School Sidewalk Infill Project	Carpinteria	City of Carpinteria	\$80,277
Total City of Carpinteria CDBG Capital Project Funds			\$80,277

CDBG Public Services

The County of Santa Barbara's Public Services allocation is \$142,875, which will be used for human services activities. Programs being recommended for funding represent a broad array of services for low and moderate income persons including special populations. Programs to serve special needs populations include services for the homeless, victims of domestic abuse, the disabled, people with

substance abuse challenges, and for seniors and youth. The following Tables I-8 through I-12, provide a summary of CDBG Public Services funding Recommendations for the 2014 Program Year:

Table I-8

2014 County of Santa Barbara CDBG Public Services Funding Recommendations		
Agency	Program	Amount
Alliance for Pharmaceutical Access, Inc.	Health & Rx Access for Healthier Communities	\$ 9,743
Community Action Commission	Healthy Senior Lunch	\$ 17,928
Independent Living Resource Center, Inc.	Community Living Advocate Services	\$ 9,743
St. Vincent's	St. Vincent's Family Strengthening	\$ 17,538
Santa Ynez Valley People Helping People	Family Strengthening And Support	\$ 22,994
Community Partners in Caring	Volunteer Program	\$ 9,743
Good Samaritan Shelter	Bridgehouse Emergency Shelter	\$ 24,358
North County Rape Crisis and Child Protection Center	Sexual Assault and Crime Prevention Awareness	\$ 14,615
Carrillo Counseling Services, Inc.	New Beginnings Counseling Center	\$ 14,615
Carrillo Counseling Services, Inc.	RV Safe Parking/Homeless Outreach	\$ 9,743
Total County of Santa Barbara 2014 CDBG Public Services Funds Recommended		\$151,020*
Total County of Santa Barbara 2014 CDBG Public Services Allocation		\$142,875

*Includes 2014 award and unspent 2013 public services funds of \$8,145

Table I-9

2014 City of Lompoc CDBG Public Services Funding Recommendations		
Program	Agency	Amount
Food Distribution	Catholic Charities	\$13,500
Community Services	Catholic Charities	\$12,940
Crime Prevention	Domestic Violence Solutions	\$9,200
Bridgehouse	Good Samaritan Shelter	\$8,200
Marks House	Good Samaritan Shelter	\$12,848
Total City of Lompoc CDBG Public Services Award		\$56,688

Table I-10

2014 City of Carpinteria CDBG Public Services Funding Reservation		
Agency	Program	Amount
Peoples' Self-help Housing	Housing the Homeless	\$9,981.50

Peoples' Self-help Housing	Youth Education Enhancement	\$9,981.50
Unitarian Society	Freedom Warming Centers	\$2,218
Total City of Carpinteria CDBG Public Services Fund Recommended		\$22,181
Total Carpinteria 2014 Public Services Award		\$18,526
Plus unspent 2013 Public Services Funds		\$3,655

Table I-11

2014 City of Solvang CDBG Public Services Funding Reservation		
Agency	Program	Amount
Santa Ynez Valley People Helping People	Family Strengthening	\$4,494
Solvang Senior Center	Health and Wellness	\$2,696
Bethania Childcare Center	Preschool Scholarships	\$1,797
Total City of Solvang CDBG Public Services Funds Recommended		\$8,987
Total City of Solvang 2014 CDBG Public Services Award		\$4,422
Plus unspent 2013 Public Services Funds		\$4,565

Table 1-12

2014 City of Buellton CDBG Public Services Funding Reservation		
Agency	Program	Amount
Buellton chose not to utilize the funds for 2014		
Total City of Buellton CDBG Public Services Funds Recommended		\$4,240

ESG Projects

For Program Year 2014, the County received an entitlement allocation of **\$126,795** of which **\$9,510** (7.5% of the allocation) is to be retained by the County for program administration, resulting in **\$117,285** available for funding. Table 1-13 depicts the 2014 recommended projects. A more detailed description of ESG programs and funding recommendations can be found under the "Description of Activities" Emergency Solutions Grant section.

Table 1-13

2014 ESG Funding Recommendations			
Program	Agency	Component	2014
Emergency Shelter	Casa Esperanza	Shelter	\$11,190
Rapid Re-housing	Casa Esperanza	Rapid Re-housing	\$11,190

Emergency Shelter	Domestic Violence Solutions	Shelter	\$7,840
Emergency Shelter	Good Samaritan Shelter	Shelter	\$22,380
Rapid Re-housing	Good Samaritan Shelter	Rapid Re-Housing	\$11,190
Rapid Re-housing	Legal Aid	Rapid Re-Housing	\$11,190
Emergency Assistance	Santa Ynez Valley People Helping People	Rapid Re-housing and Homeless Prevention	\$22,380
Homelessness Prevention	Transition House	Prevention	\$12,085
Emergency Shelter	WillBridge	Shelter	\$7,840
Total County ESG Funds Recommended			\$117,285

6. McKinney-Vento Homeless Assistance Act Funds

The County of Santa Barbara is the lead agency of the Santa Maria/Santa Barbara County Continuum of Care (CoC). HCD, on behalf of the County, prepared and submitted the annual application to the CoC **Program** Competition in January 2014. CoC Program funds are designed to assist individuals and families experiencing homelessness and to provide the services needed to help such individuals move into transitional and permanent housing, with the goal of long-term stability. For Program Year 2013-14, the Santa Maria/Santa Barbara County CoC received funding in the aggregate amount of **\$1,421,640**. Table I-14 provides program and sponsor detail:

Table I-14

2013 Santa Maria/Santa Barbara County Continuum of Care (CoC) Awards			
Program	Agency	Project Type	Award
Casa del Mural	Santa Barbara County Alcohol, Drug, and Mental Health Services Department*	Renewal	\$115,315
Clean and Sober Living	Santa Barbara County HCD	Renewal	\$19,210
WillBridge Master Lease Project	WillBridge of Santa Barbara, Inc.*	Renewal	\$65,429
Shelter Plus Care, Santa Barbara Partnership Serving the Homeless	Housing Authority of the City of Santa Barbara*	Renewal	\$644,265
Firehouse	Transition House*	Renewal	\$56,855
Riviera Dual Diagnosis Program	Santa Barbara Community Housing Corporation*	Renewal	\$79,444

Second Stage	Domestic Violence Solutions for Santa Barbara County*	Renewal	\$65,489
Casa Esperanza Day Center	Santa Barbara County HCD	Renewal	\$143,644
Supportive Services	Transition House*	Renewal	\$62,939
Homeless Management Information System	Santa Barbara County HCD	Renewal	\$104,767
HMIS Expansion I	Santa Barbara County HCD	Renewal	\$64,283
Total CoC Awards			\$1,421,640

*Agencies that currently receive their funding directly from HUD

7. Total 2014 Entitlement Funds Available

The following table provides a summary of all HOME, CDBG and ESG entitlement funds available in 2014. The table shows funds for Housing, Capital, Public Services and ESG projects and administration expenses of the programs.

Table I-15

2014 CDBG Entitlement Awards		
Funding Source	Jurisdiction	Amount
HOME	Countywide	\$937,493
CDBG Funds	Countywide	\$1,511,673
ESG	County of Santa Barbara	\$236,116
Total Funds including administration (20% CDBG, 10% HOME, 7.5% ESG)		\$2,685,282

Citizen Participation

1. Summary of the Citizen Participation Process

The CDBG Urban County Partners actively strive to enhance public participation in the Consolidated Plan and Action Plan processes. The County of Santa Barbara updated the 2007 Citizen Participation Plan (CPP) which was reviewed and approved by the Board of Supervisors on April 15, 2014. The plan is in compliance with HUD's Citizen Participation requirements of 24 CFR 91.105. The CPP is available for review in the public files of the County Community Services Department's Housing and Community Development Division.

The Partnership relies on community input and participation during the strategic planning processes under federal entitlement programs to identify needs and priority projects and programs. A combination of community forums and presentations are used to solicit input and recommendations on the HUD required plans. Specifically, for the 2014 Action Plan:

- HCD published the 2014 NOFA on November 18, 2013 in the Santa Barbara Press and the Santa Maria Times
- HCD sent out emails to over 200 contacts interested in the available funding
- HCD held two public Workshops to discuss the 2014 Notice of Funding Availability. The workshops were held on November 19, 2013 in the City of Santa Barbara and November 21, 2013 in the City of Santa Maria.
- Public meetings to review and deliberate on 2014 projects were held with the County's Capital Loan Committee who reviewed CDBG Capital project applications (February 4, 2014), and the Human Services Commission's Allocations Committee who reviewed ESG and CDBG Public Services applications (February 4, 2014 and February 5, 2014). Other meetings were held in January 2014 to review the Continuum of Care applications and approve the applications for submission to HUD.
- HCD holds two Public meetings in North and South County to discuss the Annual draft Action Plans. The first was held on Tuesday, February 25, 2014 in Santa Maria, and the second was held in South County on Tuesday April 15, 2014 at the Board of Supervisors hearing in Santa Barbara. The Santa Maria meeting was held in the early evening and the Santa Barbara hearing will be during the day. At the April 15, 2015 public hearing, the County Board of Supervisors heard a staff report on the County's HUD allocation, public process and project recommendations from the Capital Loan Committee and the Human Services Commission's Subcommittee. The Board of Supervisors will hold a second meeting on May 6, 2014 to adopt the final version of the 2014 Action Plan.
- The Action Plan listed the City of Lompoc's projects as draft projects during the public review period. Their City Council held a public hearing on April 15, 2014 to finalize the same project recommendations.

- The Solvang City Council held a public meeting on February 10, 2014 to review their CDBG Public Services applications.
- The Carpinteria City Council held a public meeting on February 10, 2014 to review and approve staff recommendations for their CDBG Public Services projects and again on February 24, 2014 to review staff recommendations for a CDBG Capital project.
- The City of Buellton held a City Council public meeting on February 13, 2014 to deliberate on their one CDBG Capital application.

The Urban County Partnership also made efforts to enhance availability of the Action Plan. The following actions provide a highlight of such efforts:

- All member cities were provided a copy of the draft Action Plan to facilitate public review and comments at respective locations
- The Housing Authority of the County of Santa Barbara was provided the draft Action Plan for its review and comment, and to make available to the public
- North and South County public libraries were supplied with the draft Action Plan to make it easily accessible to the public
- The draft Action Plan was made available at County offices in both North County (Santa Maria) and South County (Santa Barbara)
- A link to the draft Action Plan was posted on the HCD website to ensure easy access
- Electronic mailing lists are continually updated and enhanced to improve real-time communications with interested community members, groups and participating jurisdictions

Copies of the public notices, agendas and County sponsored public meeting sign-in sheets for the above public meetings are provided in the Appendix.

2. Public Review Period & Citizen Comments

A 30-day public notice was published in two newspapers of regional circulation announcing the availability of the draft 2014 Action Plan for public review. The notice invited the public to review the document and to provide comments to HCD for consideration in the Action Plan. A Spanish translation of the Executive Summary is made available upon request to accommodate Spanish speaking individuals. The public review period commenced on March 14, 2014 and extended through April 15, 2014. Public comments are in the appendix.

3. Comments Not Accepted

Citizen comments are noted at all public meetings and are summarized in the appendix of the Action Plan. Records are maintained at HCD. The Action Plan is required to state if public comments are not incorporated into the Action Plan and the reasons why. The County always considers public comments in the preparation of the Action Plan.

Resources

1. Available Resources

Table I-16 identifies current federal, state, and local resources available under the 2010-2015 Consolidated Plan in 2014 Program Year to address the housing and non-housing needs identified in this plan. Other local, state and federal funds might be used as they become available.

Table I-16

2014 Funds Available for Projects and Programs		
Source of Funds	Anticipated Amount Available for FY 2014-15	Entity Responsible for Managing the Funds
FEDERAL		
HOME Investment	\$937,493	Community Services Department
CDBG Capital	\$982,587	Community Services Department
CDBG Public Services	\$226,751	Community Services Department
Continuum of Care (Renewal Projects)	\$1,421,640	Community Services Department
Emergency Solutions Grant	\$126,795	Community Services Department
HOME program income from HAP	\$290,901	Community Services Department
Section 8 Funds	\$34,597,330	Housing Authority of County of Santa Barbara
Other HACSB Funds	\$10,501,910	Housing Authority of County of Santa Barbara
Other Federal Funds (approximate)	\$100,000,000	Other County Departments*
Grant to Empower Santa Barbara Co.	\$26,134	Community Services Department
STATE		
Total State CDBG Program income	\$65,936	Community Services Department
Other State Income	\$215,437,198	Other County Departments*
LOCAL		
County General Funds		
Human Services Fund	\$1,200,000	Chief Executive Office
Emergency Shelter	\$345,000	Community Services Department
Warming Shelters	\$50,000	Community Services Department
Other Local Funds		
Private Foundations	Unknown	HOME, CDBG and Human Services Grantees**
Individual and Corporate Donations	Unknown	HOME, CDBG and Human Services Grantees
Private Agency Grant to Empower SBC	\$1,454,409	Community Services Department

* Per County CAFR for FY 2014-15 ** Local nonprofit organizations report that they are able to leverage between \$8 and \$15 for every county dollar received.

Low-income Housing Tax Credit (LIHTC) Program

The LIHTC Program provides a source of equity for construction and rehabilitation of low-income housing. This federal subsidy is allocated through the State of California on a competitive basis. Developers in the County receive a higher score for consideration of LIHTC for projects that the County supports through local funds. It is difficult to know the exact amount of LIHTC that will be available for the upcoming planning period.

2. Leveraged Resources

The County of Santa Barbara leverages its federal entitlement funds to enlist a variety of private and non-federal public resources to provide decent housing, suitable living environments, and expanded economic opportunities for its residents. The following summarizes the major sources of funding that are reasonably expected to be available to address identified needs for Program Year 2014:

Local Affordable Housing Funds

Local funds for affordable housing have been garnered on occasion through in-lieu fees collected from the Inclusionary Zoning Program. The affordable housing inclusionary requirement for developments in County of Santa Barbara is generally 20 to 30 percent for developments of five or more units. In some cases, developers may opt to pay an in-lieu fee to the County's Local Affordable Housing Trust Fund rather than construct affordable units.

Housing Trust Fund Revolving Loan (HTF)

The HTF operates a \$5.6 million Revolving Loan Fund in partnership with community lenders to provide below-market interest rate loans to qualified sponsors to initiate affordable rental or homeownership housing projects. The HTF Revolving Loan Fund operates countywide and supports housing projects on both urban infill and rural sites where at least 25% of the units serve low-to-moderate income households earning between 0-120 percent of Area Median Income (AMI).

Private Sources

County of Santa Barbara has obtained funding from private resources in the past. Due to its very nature, the exact amounts of such funding in the future cannot be known. However, the County will continue working cooperatively with the private sector to obtain additional resources for affordable housing in the County.

Annual Objectives

1. Summary of Specific Objectives

Table I-17 highlights the specific objectives to be carried out during the action plan period which are indicated by the following checked boxes:

Table I-17

Summary of Specific Annual Objectives					
<input checked="" type="checkbox"/>	Objective Category: Decent Housing	<input checked="" type="checkbox"/>	Objective Category: Suitable Living Environment	<input checked="" type="checkbox"/>	Objective Category: Expanded Economic Opportunities
	Which includes:		Which includes:		Which includes:
<input checked="" type="checkbox"/>	assisting homeless persons obtain affordable housing	<input checked="" type="checkbox"/>	improving the safety and livability of neighborhoods	<input checked="" type="checkbox"/>	job creation and retention
<input checked="" type="checkbox"/>	assisting persons at risk of becoming homeless	<input type="checkbox"/>	eliminating blighting influences and the deterioration of property and facilities	<input checked="" type="checkbox"/>	establishment, stabilization and expansion of small business (including micro-businesses)
<input type="checkbox"/>	retaining the affordable housing stock	<input checked="" type="checkbox"/>	increasing the access to quality public and private facilities	<input type="checkbox"/>	the provision of public services concerned with employment
<input checked="" type="checkbox"/>	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	<input type="checkbox"/>	reducing the isolation of income groups within areas through spatial de-concentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	<input type="checkbox"/>	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
<input checked="" type="checkbox"/>	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	<input type="checkbox"/>	restoring and preserving properties of special historic, architectural, or aesthetic value	<input type="checkbox"/>	availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices
<input checked="" type="checkbox"/>	providing affordable housing that is accessible to job opportunities	<input checked="" type="checkbox"/>	conserving energy resources and use of renewable energy sources	<input type="checkbox"/>	access to capital and credit for development activities that promote the long-term economic social viability of the community

Description of Activities

1. Affordable Housing Activities

HOME applications will be received year-round and will be made available for public review via an Amendment to this Action Plan. All HOME projects will go before the Board of Supervisors at a public hearing for final approval.

CDBG funds may also be utilized for eligible housing projects as part of the annual NOFA. The HOME Consortium and CDBG Urban County Partners work throughout the year implementing programs and projects that foster and maintain affordable housing and deliver public services.

The projects that will be implemented for the 2014 Program Year will be carefully selected based on specific funding criteria to advance the goals and objectives identified in the Consolidated Plan.

Overarching housing priorities in the Consolidated Plan were derived from HUD and from feedback from community forums, consultations, and Comprehensive Housing Affordability Strategy (CHAS) data analysis:

HOME Consortium Priorities are to Promote:

- New construction/acquisition/rehabilitation of rental housing projects for lower income households including large, small, and special needs households, as well as homeless, disabled and elderly persons
- Projects that provide permanent supportive housing and Single Room Occupancy (SRO) units to address the needs of the homeless, households at imminent risk of homelessness and/or persons with special needs
- Homeownership opportunities for low-income first-time homebuyers
- Projects that incorporate universal design and accessibility standards to meet disabled person's specific needs
- Projects that are in close proximity to employment centers, public transportation corridors, and public services and amenities
- Projects that incorporate innovative energy efficiency and conservation measures
- Programs that provide tenant based rental assistance including security deposits for low and very low income households

CDBG Urban County Partnership Priorities:

- Maintain and upgrade existing low-income affordable housing stock
- Develop and promote programs that create and sustain long term affordable housing for extremely low to low-income persons
- Support rehabilitation of public facilities and affordable housing that incorporate energy efficiency and conservation design measures
- Promote and provide services that prevent discrimination and eliminate barriers to housing

HOME and CDBG Project Specific Criteria from the Consolidated Plan:

- Program eligibility
- Geographic distribution (project location – within Urban County / HOME Consortium)
- Project Readiness/timeliness
- Financial Feasibility
- Cost Efficiency
- Energy Efficiency and Conservation
- Conformance with Urban County / HOME Consortium Priorities
- Capacity of the applicant

The County of Santa Barbara did not issue a HOME NOFA as applications are now being accepted year-around. The Cities of Buellton and Solvang do not have new affordable projects to recommend at this time.

2014 County Affordable Housing Priorities

2. HOME Project Activities

The HOME program was not part of the County's FY 2014-15 NOFA as HOME applications are now accepted on a year-round basis rather than through a NOFA process. Once formal applications are received and staff has reviewed the project proposals, staff will return to the Board for funding approvals along with an Action Plan Amendment. HOME funds must be committed to a project within 24 months and expended within five years. As a result, the HOME Consortium allocations below may be recommended for modification based on the readiness of projects to utilize the funds. The following table depicts the current planned HOME projects by jurisdiction based on 2014 awards.

Table 1-18

HOME Allocations by Jurisdiction	Projects by Jurisdiction
Total HOME Allocation	
Partner Allocations:	
County	\$362,810
Administration	\$93,749
City of Santa Maria TBRA	\$227,811
City of Lompoc	\$118,124
City of Goleta	\$75,937
City of Carpinteria	\$42,187
City of Buellton	\$8,437
City of Solvang	\$8,437
TOTAL URBAN COUNTY	\$937,493

The City of Santa Maria's City Council met April 1, 2014 and accepted staff's recommendation to utilization their 2014-15 HOME allocation (\$227,811) for a Tenant-Based Rental Assistance (TBRA) program over two fiscal years.

3. Community Development Block Grant Housing Capital Project

Table 1-19

2014 City of Lompoc CDBG Capital Project Funding Recommendations			
Project	Location	Agency	Amount
Housing Code Enforcement	Low mod areas	City of Lompoc	\$118,000
Emergency Home Repair	Lompoc	Catholic Charities	\$35,000
Total			\$153,000

4. Community Development Non-Housing Capital Project Activities

The Santa Barbara Urban County partners utilize CDBG entitlement funding to finance Community Development activities, which advance the priorities and objectives of the Consolidated Plan. The overarching non-housing priorities from the 2010-2015 Consolidated Plan were determined based on public hearings, a needs survey, and consultations and are as follows:

- Support rehabilitation of public facilities that incorporate energy efficiency and conservation design measures
- Support economic development that creates or retains jobs, including those for low and moderate income persons
- Improve the physical character of neighborhoods, including curbs, gutters, lighting, streets, parks, and other public spaces
- Provide assistance to low income neighborhoods by funding critical community facilities
- Address design barriers to providing community services to persons with mobility and other impairments as promoted under the Americans with Disabilities Act (ADA)

Project-specific Consolidated Plan criteria include:

- Program eligibility
- Geographic distribution (project location – within Urban County)
- Project Readiness/timeliness
- Financial Feasibility
- Cost Efficiency
- Energy Efficiency and Conservation
- Conformance with Urban County Priorities
- Capacity and experience of the applicant/agency

2014 Buellton Project

The city of Buellton is supporting ADA accessibility in its main library with \$15,000 of its 2014 allocation specifically around the circulation desk.

Table 1-20

2014 City of Buellton CDBG Capital Project Funding Recommendation			
Project	Location	Agency	Amount
Buellton Library and Council Chambers ADA	Buellton	City of Buellton	\$15,000
Total City of Buellton CDBG Capital Project Funds Recommended			\$15,000
Total City of Buellton CDBG Capital Award			\$18,374
Difference to be spent on other eligible program			\$3,374

2014 Carpinteria Project

The city of Carpinteria is supporting a Main School Sidewalk infill project titled “Carpinteria Children’s Project” (CCP) for their 2014 \$802,277 allocation. The project is sponsored by the City and non-profit organizations working to provide a safe accessible environment for children and parents of low-income families. The sidewalk in-fill project is located immediately around the campus where sidewalks currently exist on only two frontages. Specifically sidewalks are needed along Sixth Street and Walnut Avenue. Also included in the project are ADA ramps.

Table 1-21

2014 City of Carpinteria CDBG Capital Project Funding Reservation			
Project	Location	Agency	Amount
Main School Sidewalk Infill Project	Carpinteria	City of Carpinteria	\$80,277
Total City of Carpinteria CDBG Capital Project Funds			\$80,277

Table 1-22

2014 City of Lompoc CDBG Capital Project Funding Recommendations			
Project	Location	Agency	Amount
Library Electrical Upgrades	Lompoc	City of Lompoc	\$48,000
Civic Auditorium	Lompoc	City of Lompoc	\$44,647
Total			\$92,647

2014 County Projects

A total of six (6) applications for CDBG Capital funding were received under the County’s NOFA totaling \$1,012,000 in funding requests. HCD staff evaluated the CDBG Capital project proposals and prepared a

staff report containing analysis and funding recommendations for review by the County's Capital Loan Committee, a Board approved Brown Act Committee. On February 4, 2014 applicants presented their project proposals before the Capital Loan Committee. The Capital Loan Committee members include a north and south county lenders, City of Santa Barbara public housing agency representatives, a non-profit developer, a representative from the County Auditor-Controller and County Treasurer-Tax Collector offices, and two non-voting advisory member.

Five (5) projects that were recommended for funding were identified as those most effective in meeting the project-specific criteria and the overarching objectives identified in the 2010-2015 Consolidated Plan with priority given to projects that were seen as timely in terms of budgeting, scheduling and completion within HUD guidelines for spending CDBG funds. Projects are listed below:

1. County of Santa Barbara Community Services Department (CSD) Bodger Road Improvements

The improvements are necessary to improve a scenic area that is accessible to and used by the City of Lompoc residents, the majority of whom are low income.

Table I-23

Project Summary Bodger Road Improvements	
Sponsor:	County of Santa Barbara
Location:	Bodger Road and South Z Street Lompoc
Total Project Cost:	\$110,000
CDBG Qualifying Activity:	Public Facilities and Improvements
CDBG Qualifying National Objective:	Low/Moderate Income Area Benefit (LMA)
Prior CDBG Funding Recommendations:	None

2. County of Santa Barbara Parks Department Calle Real Sidewalk Improvements

North side of Calle Real, east of Camino Del Remedio and west of County Dump Road. The project will connect a low-income area to County mental health and public health services by providing a safe and ADA accessible pedestrian walkway.

Table I-24

Project Summary County of Santa Barbara Calle Real Sidewalk Improvements	
Sponsor:	County Public Works Department
Location:	City of Santa Barbara
Total Project Cost:	<u>\$538,931</u>
CDBG Qualifying Activity:	Public Facilities and Improvements
CDBG Qualifying National Objective:	Public Facilities and Improvements

Prior CDBG Funding Recommendations:	NONE
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3. County of Santa Barbara Isla Vista Streetlights

This project is needed to finish a three-phased safety project in Isla Vista. CDBG funds were utilized for first two phases. The lighting retro-fit project greatly improved the illumination of streets where students travel by bicycle and on foot. The LED bulbs also offer greater energy-efficiency

Table I-25

Project Summary County of Santa Barbara Public Works Isla Vista Streetlights	
Sponsor:	County Public Works Department
Location:	Isla Vista
Total Project Cost:	<u>\$133,000</u>
CDBG Qualifying Activity:	Public Facilities and Improvements
CDBG Qualifying National Objective:	Low/Moderate Income Area Benefit (LMA)
Prior CDBG Funding Recommendations:	Phase 1 and II \$205,000 (2013)
Total Funding including 2014 Recommended Funds: \$330,000	

4. Lompoc Veterans Memorial Building Foundation Auditorium Environmental Work

Lompoc Vets Memorial Building energy efficiency project will cover the cost of acoustical panels. The County owns the facility.

Table I-26

Project Summary Lompoc Veterans Memorial Building Improvements	
Sponsor:	Lompoc Veterans Memorial Building Foundation
Location:	100 E. Locust Avenue Lompoc
Total Project Cost:	\$30,000
CDBG Qualifying Activity:	Public Facilities and Improvements
CDBG Qualifying National Objective:	Low/Moderate Income Area Benefit (LMA)
Prior CDBG Funding Recommendations:	NONE
<i>2014 Recommended Funding Recommendations</i>	
County CDBG Funds:	\$30,000
Total Funding Recommendations	\$339,545 (2009/2014)

5. Valley Haven Adult Day Care Center Improvements

The adult day care center project includes ADA accessibility, concrete work, tree planting and other landscaping work. The center serves low-income seniors from Santa Ynez, Solvang, Buellton, Los Olivos and Lompoc

Table 1-27

Project Summary Valley Haven Adult Day Care Center	
Sponsor:	Valley Haven
Location:	502 N. Third Street Lompoc
Total Project Cost:	\$100,000
CDBG Qualifying Activity:	Public Facilities and Improvements
CDBG Qualifying National Objective:	Low/Moderate Income Area Benefit (LMA)
Prior CDBG Funding Recommendations:	NONE
Total County CDBG Funds to date:	\$100,000

2014 Summary of Community Development Project Priority Needs, Objectives and Outcomes

The Capital Projects that have been recommended for **CDBG** funding in the 2014 Program Year are matched to the specific community development priorities that have been established to meet the objectives to **Provide Decent Housing, Create a Suitable Living Environment** and **Expand Economic Opportunity** in Table I-28.

Table I-28

2014 Santa Barbara Urban County CDBG Capital Project Linkage Chart

Project	Strategic Plan Priority Need	Priority Need Level	Strategic Plan Specific Objective	Outcome Statement
PRIORITY GOAL: Create a Suitable Living Environment				
Bodger Road Viewpoint	Low-moderate income area	High	Improving and maintaining a public facility	Improving a public facility
Calle Real Sidewalk Improvements	Low-moderate income populations	High	Safety, public facilities and improvements	Pedestrian access to public facilities
Isla Vista Streetlight Retrofit	Low-moderate income area	High	Safety, public facilities and improvements	Improved visibility and safety in low income area
Lompoc Vets Memorial Building	Low-moderate income area	High	Energy efficiency and public facilities and improvements	Improved energy efficiency
Valley Haven Adult Day Care Center	Presumed beneficiaries	High	ADA and improved senior facility	Improve safety, access and improve facility
Buellton Public Library	Presumed beneficiary	HIGH	ADA improvements	Improved accessibility
Code Enforcement	Low-moderate income areas	High	Provide assistance to maintain and upgrade low-income affordable housing stock.	Sustainability for the purpose of creating decent affordable housing
Emergency Home Repair	Low-moderate income households	High	Provide assistance to maintain and upgrade low-income affordable housing stock.	Sustainability for the purpose of creating decent affordable housing
Civic Auditorium	Low-moderate income area and ADA	High	Improving and maintaining a public facility	Accessibility for the purpose of creating suitable living environments
Library Electrical	Low-moderate income populations	High	Improving and maintaining a public facility	Accessibility for the purpose of creating suitable living environments

5. Community Development Public Service Activities

All members of the Urban County Partnership participated in the 2014 CDBG Public Services program. The allocation for the entire Partnership is \$226,751(after administration). The County's portion is \$142,875 and the remainder is available for participating jurisdictions. The overarching priorities for public facilities program in the Consolidated Plan follow:

- Promote and provide services that assist persons with special needs (i.e., disabled, elderly)
- Support public service programs for low and moderate income households including programs for housing and non-housing community development needs
- Promote and provide services that prevent discrimination and eliminate barriers to housing

2014 County CDBG Public Services Programs:

Through its 2014 NOFA, HCD received 28 applications totaling \$592,295 in requests for the available CDBG Public Service funds. County funding recommendations were determined by a five-member committee, established in 2011 by the County Board of Supervisors as a subset of the County Human Services Commission, and each supervisorial district was represented through the member composition of the committee. The committee evaluated applications and interviewed applicants on February 4, 2014 and February 5, 2014. Programs recommended for funding in the competitive 2014 NOFA process were identified as those most effective in meeting the priorities identified in the 2010-2015 Consolidated Plan. Table I-29 provides a summary of programs and sponsors for CDBG Public Services recommendations for the Program Year 2014:

Table I-29

2014 County of Santa Barbara CDBG Public Services Funding Recommendations		
Agency	Program	Amount
Alliance for Pharmaceutical Access, Inc.	Health & Rx Access for Healthier Communities	\$ 9,743
Community Action Commission	Healthy Senior Lunch	\$ 17,928
Independent Living Resource Center, Inc.	Community Living Advocate Services	\$ 9,743
St. Vincent's	St. Vincent's Family Strengthening	\$ 17,538
Santa Ynez Valley People Helping People	Family Strengthening And Support	\$ 22,994
Community Partners in Caring	Volunteer Program	\$ 9,743
Good Samaritan Shelter	Bridgehouse Emergency Shelter	\$ 24,358
North County Rape Crisis and Child Protection	Sexual Assault and Crime Prevention	\$ 14,615
Carrillo Counseling Services, Inc.	New Beginnings Counseling Center	\$ 14,615
Carrillo Counseling Services, Inc.	RV Safe Parking/Homeless Outreach	\$ 9,743
Total County of Santa Barbara 2014 CDBG Public Services Funds Recommended		\$151,020*
Total County of Santa Barbara 2014 CDBG Public Services Allocation		\$142,875

*Includes unspent 2013 Public Services funds of \$8,145

Buellton CDBG Public Services Programs

Table I-30

2014 City of Buellton CDBG Public Services Funding Recommendations		
Program	Agency	Amount
N/A	N/A	\$4,240*
Total City of Buellton CDBG Public Services Funds Reservations		\$4,240

*Buellton is not recommending a public services program for 2014.

Carpinteria CDBG Public Services Programs:

On February 10, 2014, the City Council of Carpinteria approved CDBG Public Services funding for three programs. Table I-31 summarizes Recommended funding allocations for the City of Carpinteria's Public Services programs for Program Year 2014:

Table I-31

2014 City of Carpinteria CDBG Public Services Funding Award		
Agency	Program	Amount
Peoples' Self-help Housing	Housing the Homeless	\$9,981.50
Peoples' Self-help Housing	Youth Education Enhancement	\$9,981.50
Unitarian Society	Freedom Warming Centers	\$2,218
Total City of Carpinteria CDBG Public Services Fund Recommended		\$22,181
Total Carpinteria 2014 Public Services Award		\$18,526
Plus unspent 2013 Public Services Funds		\$3,655

Lompoc CDBG Public Services Programs:

The City of Lompoc 2014 projects were approved by their City Council on April 15, 2014. The programs seen in Table I-32 will serve the City of Lompoc's low and moderate-income population.

Table I-32

2014 City of Lompoc CDBG Public Services Funding Recommendations		
Program	Agency	Amount
Food Distribution	Catholic Charities	\$13,500
Community Services	Catholic Charities	\$12,940
Crime Prevention	Domestic Violence Solutions	\$9,200
Bridgehouse	Good Samaritan Shelter	\$8,200
Marks House	Good Samaritan Shelter	\$12,848
Total City of Lompoc CDBG Public Services Award		\$56,688

Solvang CDBG Public Services Programs:

On February 10, 2014 the Solvang City Council formally approved Public Services projects for their 2014 CDBG Public Services allocation. Table I-33 summarizes the projects for the 2014 Program Year.

Table I-33

2014 City of Solvang CDBG Public Services Funding Reservation		
Agency	Program	Amount
Santa Ynez Valley People Helping People	Family Strengthening	\$4,494
Solvang Senior Center	Health and Wellness	\$2,696
Bethania Childcare Center	Preschool Scholarships	\$1,797
Total City of Solvang CDBG Public Services Funds Recommended		\$8,987
Total City of Solvang 2014 CDBG Public Services Award		\$4,422
Plus unspent 2013 Public Services Funds		\$4,565

The following table highlights the Public Service programs that have been recommended for CDBG funding in the 2014 Program Year and are matched to the specific community development priorities that have been established to meet the objective to **Create a Suitable Living Environment**.

Table I-34

2014 Santa Barbara Urban County CDBG Public Services Linkage Chart

Project	Strategic Plan Priority Need	Priority Need	Strategic Plan Specific Objective	Outcome Statement
PRIORITY GOAL: Create a Suitable Living Environment				
Alliance for Pharmaceutical Access, Healthy Access	Need to support programs that serve special needs and low-moderate income persons	High	Support services that assist persons with special needs	Availability of services for the purpose of creating a suitable living environment
Community Action Commission Healthy Lunch	Need for services for special needs populations (elderly)	High		
Independent Living Senior Advocate				
Solvang Senior Center Wellness				
Community Partners in Caring Senior Transportation Services				
Good Samaritan Bridgehouse & Marks House Shelters (Lompoc/County)	Need for services for special populations (homeless)	High		
Domestic Violence Solutions Shelter Lompoc				
Carrillo Counseling New Beginnings				
Unitarian Society Freedom (Carpinteria)				
Carrillo Counseling RV Safe Parking				
Housing the Homeless (Carpinteria)				
Bethania Scholarships (Solvang)	Need for services for low-and moderate-income households	High	Support public service programs for low and moderate-income households including programs for housing and other community development needs	Availability of services and accessibility to services, for the purpose of creating a suitable living environment
St. Vincent’s Family Strengthening				
Catholic Charities Food Distribution and Community Services (Lompoc)				
Family Strengthening and Support				
Youth Education Enhancement Program (Carpinteria)				
North County Rape Crisis Protection Center				

6. Emergency Solutions Grants (ESG) Activities

The **Emergency Solutions Grants (ESG)** program provides homeless persons with basic shelter, street outreach services, and homelessness prevention and rapid re-housing services. As originally adopted, the federal McKinney-Vento Homeless Assistance Act included funds for the Emergency Shelter Grants Program. The objectives of the program were to increase the number and quality of emergency shelters and transitional housing facilities for homeless individuals and families, to operate facilities and provide services, and prevent homelessness. Major revisions enacted by the passage of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act of 2009, changed the original Emergency Shelter Grants program to the Emergency Solutions Grants (ESG) program. The emphasis of this program is to help individuals and families experiencing a housing crisis to quickly regain stability in permanent housing, to assist those at risk of homelessness, and to provide related services.

The ESG allocations are subject to federal regulations. ESG Interim Rule (24 CFR 576.100) specifies the amount of funding that may be committed to certain activities. The Interim Rule limits the amount of the County's allocations that may be expended on "homeless assistance activities" such as street outreach and emergency shelter activities. Per the ESG Interim Rule, expenditures "homeless assistance activities" cannot exceed the greater of: 60 percent of the total FY2014 Allocation; or, the amount of FY2010 funds committed to homeless assistance activities.

For the County's FY2010 ESG Allocation (Table I-35), all funds, with the exception of administrative activities, were allocated to emergency shelters. No funds were allocated to homelessness prevention activities.

Table I-35

2010 Emergency Shelter Grants Fund Recommendations	
Activity Type	Amount
Homeless Assistance	\$84,327.00
Homelessness Prevention	\$0.00
Administrative Activities	\$4,438.00
Total FY2010 Award	\$88,765.00

For the 2014-15 fiscal years' award, after accounting for allowable administrative costs (\$9,510), a total of \$117,285 in ESG funds is available for sub-recipients. Tables' I-36 and 37 provide a summary of ESG categories for funding and recommended programs and sponsors for the 2014 Program Year.

Table I-36

2014 Emergency Solutions Grants Fund Recommendations	
Activity Type	Amount
Emergency Shelter	\$49,250
Rapid Re-Housing Assistance and Homelessness Prevention	\$68,035
Administrative Activities	\$9,510
Total FY2014 Award	\$126,795

Table I-37

2014 ESG Funding Recommendations			
Program	Agency	Component	2014
Emergency Shelter	Casa Esperanza	Shelter	\$11,190
Rapid Re-housing	Casa Esperanza	Rapid Re-housing	\$11,190
Emergency Shelter	Domestic Violence Solutions	Shelter	\$7,840
Emergency Shelter	Good Samaritan Shelter	Shelter	\$22,380
Rapid Re-housing	Good Samaritan Shelter	Rapid Re-Housing	\$11,190
Rapid Re-housing	Legal Aid	Rapid Re-Housing	\$11,190
Emergency Assistance	Santa Ynez Valley People Helping People	Rapid Re-housing and Homeless Prevention	\$22,380
Homelessness Prevention	Transition House	Prevention	\$12,085
Emergency Shelter	WillBridge	Shelter	\$7,840
Total County ESG Funds Recommended			\$117,285

The match requirement for the ESG Program is dollar-for-dollar; therefore, the minimum match requirement for the FY2014 allocation is \$117,285. ESG programs leverage hundreds of thousands of dollars from private foundations, fundraising activities, and ‘in-kind’ contributions. Therefore, the County anticipates exceeding match requirements for the 2014 Program Year. The following table highlights the programs recommended for funding in the 2014 Program Year and are matched to the specific community development priorities that have been established to meet the objectives to **Create a Suitable Living Environment** and **Provide Decent Housing**.

Table I-38

2014 Santa Barbara Urban County ESG Program Linkage Chart				
Program	Strategic Plan Priority Need	Priority Need Level	Strategic Plan Specific Objective	Outcome Statement
PRIORITY GOAL: Create a Suitable Living Environment				
Emergency Shelter	Domestic Violence Solutions for Santa Barbara County	High	Provide funding for operation of principal emergency shelters throughout Santa Barbara County	Availability for the purpose of creating a suitable living environment
Emergency Shelter	Casa Esperanza	High		
Emergency Shelter	Good Samaritan Shelter	High		
WillBridge of Santa Barbara	WillBridge of Santa Barbara	High		
PRIORITY GOAL: Provide Decent Housing				
Emergency Assistance Program	Santa Ynez Valley People Helping People	High	Provide funding for essential services and programs that provide needed resources for homeless persons	Affordability for the purpose of providing decent and affordable housing
Rapid Re-housing	Good Samaritan Shelter	High		
Rapid Re-housing	Casa Esperanza Homeless Shelter	High		
Rapid Re-housing	Legal Aid Foundation	High		
Homelessness Prevention	Transition House	High	Provide funding for homelessness prevention programs and services	

7. McKinney-Vento Homeless Assistance Act Activities

Continuum of Care Program funding is a separate funding source than CDBG, HOME and ESG and is therefore subject to a different federal process with different criteria. However, HUD requires jurisdictions to report on related programs and services in Action Plans. The County is the lead for the Santa Maria/Santa Barbara County Continuum of Care (CoC). HCD prepared and submitted the annual application for the **Fiscal Year (FY) 2013 CoC Program** Competition in January 2014. CoC Program funds are designed to assist individuals and families experiencing homelessness and provide services to help individuals move into transitional and permanent housing, with the goal of long-term stability.

A CoC Review Committee, a seven-member committee consisting of representatives from City of Santa Barbara, the City of Santa Maria, County Human Services Commission, County of Santa Barbara, Santa Barbara Foundation, Santa Barbara Rescue Mission, and the homeless/formerly homeless, assessed all applications submitted for funding through the CoC Program and made recommendations to the County Board of Supervisors regarding such funding. The Santa Maria/Santa Barbara County CoC application was submitted in January 2014 and grant awards will be announced by HUD in the spring of 2014.

For Program Year 2013, the Santa Maria/Santa Barbara County CoC received funding in the aggregate amount of \$1,421,640. Below is a summary of grants awarded by HUD to local programs through the CoC Program. CoC grants are competitively awarded and are not part of the 2014 Action Plan.

Table I-39

2013 Santa Maria/Santa Barbara County Continuum of Care (CoC) Awards			
Program	Agency	Project Type	Award
Casa del Mural	Santa Barbara County Alcohol, Drug, and Mental Health Services Department*	Renewal	\$115,315
Clean and Sober Living	Santa Barbara County HCD	Renewal	\$19,210
WillBridge Master Lease Project	WillBridge of Santa Barbara, Inc.*	Renewal	\$65,429
Shelter Plus Care, Santa Barbara Partnership Serving the Homeless	Housing Authority of the City of Santa Barbara*	Renewal	\$644,265
Firehouse	Transition House*	Renewal	\$56,855
Riviera Dual Diagnosis Program	Santa Barbara Community Housing Corporation*	Renewal	\$79,444
Second Stage	Domestic Violence Solutions for Santa Barbara County*	Renewal	\$65,489
Casa Esperanza Day Center	Santa Barbara County HCD	Renewal	\$143,644
Supportive Services	Transition House*	Renewal	\$62,939
Homeless Management Information	Santa Barbara County HCD	Renewal	\$104,767
HMIS Expansion I	Santa Barbara County HCD	Renewal	\$64,283
Total CoC Awards			\$1,421,640

*Agencies that currently receive their funding directly from HUD

Geographic Distribution; Allocation Priorities

1. Geographic Distribution of Projects

The CDBG Urban County Partnership allocates funding to projects based on a number of factors, including need, project readiness, feasibility and location, among others. Projects that support low-income households and special needs populations receive highest priority. The projects recommended for CDBG and ESG funding for the 2014 Program Year are distributed throughout the County. The geographic distribution of projects recommended for 2014 CDBG Capital Project funding is reflected in Table I-40 below.

Table I-40

2014 CDBG Capital Projects Geographic Distribution	
Project	Location
Lompoc Vets Memorial Building	Lompoc
Bodger Road Improvements	Lompoc
Buellton Library ADA	Buellton
Main School Sidewalk Infill Project	Carpinteria
County Street Lighting Retrofit	Isla Vista
Calle Real Road Improvements	Santa Barbara
Lompoc on the Move Valley Haven	Lompoc
City of Lompoc projects Code Enforcement, Public Facilities	Lompoc

CDBG Public Services and ESG funding have been recommended for programs and services that encompass all of the County of Santa Barbara, meeting the needs of persons and households throughout the partner jurisdictions. The geographic distribution of projects recommended for 2014 CDBG Public Services and ESG funding is reflected in Table I-41 and I-42 below.

Table I-41

2014 CDBG Public Services Geographic Distribution	
Program	Location
Alliance for Pharmaceutical Access, Health Care Access	Countywide
Independent Living Advocate Services	Countywide
Community Action Commission's Healthy Senior Lunch Program	Countywide

Santa Ynez Valley People Helping People's Family Strengthening and Support Program	Santa Ynez Valley
Community Partners Senior Volunteer Program	North County
Bethania Childcare Preschool Scholarships	Solvang
Solvang Senior Center Wellness	Solvang
North County Rape Crisis and Child Protection Center	North County
Good Samaritan Shelter's Bridgehouse Shelter	Lompoc Valley
Carrillo Counseling's RV Safe Parking and Homeless Outreach	Countywide
Carrillo Counseling Services New Beginnings Counseling Center	Countywide
Peoples' Self-Help Housing's Housing the Homeless	Carpinteria
Peoples' Self-Help Housing's Youth Employment	Carpinteria
People Helping People's Family Resource Center	Santa Ynez Valley, Buellton, Solvang
St. Vincent's Family Strengthening	Santa Barbara
Catholic Charities Food Distribution and Community Services	Lompoc
Unitarian Society Freedom Warming Centers	Countywide
Domestic Violence Solutions Emergency Shelter	Lompoc
Bridgehouse Shelter	Lompoc
Marks House Shelter	Santa Maria

Table I-42

2014 ESG Geographic Distribution*	
Program	Location
Domestic Violence Solutions Emergency Shelter	Santa Barbara
Santa Ynez Valley's People Helping People's Emergency Assistance and Rapid Re-housing	Santa Ynez Valley
WillBridge of Santa Barbara's Emergency Shelter	Santa Barbara
Good Samaritan Shelter and Rapid Re-housing	Santa Maria
Casa Esperanza Homeless Shelter and Rapid Re-housing	Santa Barbara
Legal Aid Rapid Re-housing	Santa Barbara
Transition House Homelessness Prevention	Santa Barbara

*Maps illustrating the location of affordable housing, capital projects, homeless shelters, and public services funded under the 2014 CDBG and ESG programs can be found in the Appendix.

2. Allocation of Resources

The County of Santa Barbara HOME Consortium and Urban County Partnership allocate federal entitlement funding based on a number of factors. First and foremost, projects considered for funding must be consistent with national objectives established by congress in HOME, CDBG and ESG enabling legislation. Thereafter, the activity being proposed within each project must also be determined as eligible under the particular funding source.

Where critical housing, infrastructure, and community and public facilities needs are lacking, these areas are also given top priority in funding consideration. In terms of specific allocations under the HOME Consortium and Urban County Partnership, each jurisdiction determines its priority projects located within its boundaries. The County of Santa Barbara often partners with its cities to provide critical funding to housing and public facilities and services projects in need of funding to advance Consolidated Plan priorities.

With respect to ESG funding, the County's allocation has increased to include funding for homelessness prevention and rapid re-housing activities. The three (3) principal emergency shelters located within major urban areas of the County (in the cities of Santa Barbara, Lompoc and Santa Maria) receive the majority of ESG funding recommended for shelter and street outreach. Homelessness prevention and rapid re-housing funding are allocated to serve all areas of the County.

Addressing Obstacles to Meeting Underserved Needs

The following actions addressing obstacles to meeting underserved needs are currently being implemented and will continue through the 2014 Program Year:

The 2009-2014 Housing Element Update contains a number of policies that provide opportunities to increase the supply of housing throughout County of Santa Barbara, and also balance other important community goals that preserve and protect the vital characteristics that define our local quality of life. The following reforms were implemented to remove regulatory barriers to the development of affordable housing:

- Allowing landowners to develop farm employee housing as a right
- Increases in density allowances for developers who include affordable housing on site
- Allowance of mixed use development which includes residential uses on commercially zoned properties
- The development of a "Process Improvement Team" within the County's Planning and Development Department focused on making the development process simpler and more predictable for housing developers
- The adoption of the Isla Vista Master Plan in August 2007 and the use of the State Density Bonus Law for projects in Isla Vista
- The adoption of the Housing Element Focused Rezone Program in February 2009 to make sites available for "by-right" multi-family housing
- The Urban County Partnership will strive to fund a variety of program-eligible activities (public infrastructure/community facilities, etc.) throughout the County and participating jurisdictions as well as affordable housing projects
- The CDBG Urban County will continue to successfully leverage additional public and private

financial resources to support a variety of housing and non-housing programs

- As the lead agency of the Santa Maria/Santa Barbara County Continuum of Care, the County HCD and CoC partners conducted a Point-in-Time Count in 2013 through the collaboration of government agencies, homeless and housing services providers, business and faith groups, and over 600 community volunteers to better understand the numbers and characteristics of the local homeless population. The 2013 Point-in-Time Count was implemented in conjunction with the C3H to identify the most vulnerable homeless in order to connect them with housing and supportive services
- The development of the 10-Year Plan to End Chronic Homelessness represents a substantial work effort coordinated through County leadership and member city commitment. The 10-Year Plan was approved by the County of Santa Barbara Board of Supervisors in late 2006 and leverages public, private, and foundation resources to address homelessness

In addition to McKinney-Vento Homeless Assistance Act funds, the County of Santa Barbara also receives Emergency Solutions Grants (ESG) entitlement funding to provide programs and services targeted to meeting homeless persons basic shelter and essential supportive service's needs. Increased emphasis is being placed on homelessness prevention and rapid re-housing of individuals and families who are homeless or facing a housing crisis.

Annual Affordable Housing Goals

1. Affordable Housing Goals

A summary of the one-year goals for the number of affordable housing units to be completed, and the expected number of homeless, non-homeless, and special needs households to benefit from the affordable housing funds provided to County of Santa Barbara is described in the following Table I-43 (Consolidated Plan Table 3B).

Table I-43

2014 County of Santa Barbara Annual Affordable Housing Completion Goals (Consolidated Plan Table 3B)						
	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
BENEFICIARY GOALS (Sec. 215 Only)						
Homeless households	7	13	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households	83	7	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households	33	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Sec. 215 Beneficiaries	123	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RENTAL GOALS (Sec. 215 Only)						
Acquisition of existing units	-	-	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	116	20	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	-	-	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	-	187	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Rental	116	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
HOME OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	-	-	<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	-	-	<input type="checkbox"/>	<input type="checkbox"/>		
Rehabilitation of existing units	-	-	<input checked="" type="checkbox"/>	<input type="checkbox"/>		
Homebuyer Assistance	-	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Total Sec. 215 Affordable Owner	-	-	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)						
Acquisition of existing units	-	-	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	116	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	-	-	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	-	-	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance	-	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Combined Total Sec. 215 Goals	239	-	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)						
Annual Rental Housing Goal	50	-	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	-	-	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Total Overall Housing Goal	50		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Public Housing

1. Addressing the Needs of Public Housing

The Housing Authority of the County of Santa Barbara (HACSB) administers the County's various Public Housing and Section 8 Programs. The Agency Annual Plan contains a detailed review of its goals and strategies for each year. The HACSB *Progress Statement* is provided in the appendix.

The Housing Authority provides programs such as the Resident Opportunity and Self-Sufficiency (ROSS) Programs for their residents. Partnering with local communities and agencies to educate residents in areas that will assist them in their goal of self-sufficiency is a continuing endeavor.

In terms of encouraging residents to participate in homeownership, the Housing Authority will continue to administer the Mortgage Credit Certificate Program in the County of Santa Barbara, utilizing private activity bond allocation granted by the California Debt Limit Allocation Committee. This Internal Revenue Service program helps people qualify for a mortgage loan by allowing eligible first-time homebuyers to reduce their federal income tax liability through a tax credit for a portion of their mortgage interest paid. In addition, the Housing Authority when possible with the high cost of housing is committed to developing and implementing a Section 8 homeownership program option.

The HACSB has developed a new Section 8 homeownership program and began implementing it in 2013.

2. Assistance to "Troubled Public Housing Agencies"

HACSB is a high performing Public Housing Agency. HACSB considers four major areas for implementation in its asset management systems:

- Profiling and performance measurement of each property
- Financial viability of each property
- Accomplishment of social objectives (i.e., Housing Authority's mission)
- Evaluation of options for each property

Homeless and Special Needs

1. Strategies for Eliminating Homelessness

Factors Contributing to Homelessness in the County of Santa Barbara

There are many different factors which contribute to homelessness in the County of Santa Barbara. The County has a high-cost housing market which contributes significantly to homelessness. Other important factors include:

- Substance abuse
- Poverty and lack of personal resources
- De-institutionalization of persons with mental illness
- Lack of resources to address the needs of homeless or "near-homeless" persons with substance abuse or mental illness, including persons with dual diagnoses
- Unemployment and underemployment
- Lack of job skills among persons at high risk for homelessness
- Domestic violence
- Lack of life skills to live and function independently

Priority Homeless Needs

The local Continuum of Care (CoC) planning process requires HCD staff to write and prepare the annual CoC grant application. The homeless priority needs are determined through extensive consultations with the service providers, other County departments and concerned citizens and community groups. Table I-44 depicts the County priorities to address the needs of the local homeless population, including individuals and families.

Table I-44

Homeless Needs	Priority <i>Unaccompanied</i>	Priority <i>Multiple-Person Households</i>
Housing and Services for the Chronically Homeless	High	High
Permanent Supportive Housing	High	High
Transitional Housing	High	High
Winter Warming Shelters	High	High
Additional Year-Round Emergency Shelters	Medium	Medium

The County works diligently to meet the underserved needs of its homeless population through interdepartmental and inter-community collaboration. This collaboration is demonstrated through the local CoC planning process. Through collaborations, priorities have been established to address the needs of the local homeless population, including individuals and families.

There are regularly held meetings (often monthly) to address the needs of homeless persons countywide. The meetings are attended by a wide range of stakeholders, including City and County elected officials, social services providers, mental health and public health workers, citizen advocates and formerly and currently homeless individuals. These meetings provide an opportunity for information sharing and planning and improvements to services and shifts in public policy have resulted. The needs of both chronically homeless persons and non-chronically homeless persons are addressed through the planning and actions of the various committees, local government programs, and programs provided by community-based organizations.

Chronic Homelessness Strategy/Goals

In 2006, the County, along with seven of the incorporated cities within the County, adopted a local 10-Year Plan to End Chronic Homelessness -- “Bringing Our Community Home” (BOCH)-- establishing a non-profit organization whose mission is to end homelessness locally. The strategies and local action steps which have been implemented over the past few years have resulted in measureable, significant local contributions addressing homelessness. In 2012, BOCH was folded into the new Central Coast Collaborative on Homelessness “C3H”. This new model of collaboration will provide opportunities for collective impact to address and reduce the incidence of homelessness in Santa Barbara County. C3H will use new strategies of countywide engagement, including the formation of a Policy Council of elected officials, a Coordination Committee, a Housing Shelter Treatment Committee and Community Action Groups. C3H staff has convened the committees to work on several vital projects including the Point in Time/Vulnerability Index Count, and service delivery improvements.

Current Chronic Homelessness Strategy

A majority of chronically homeless persons suffer from mental illness and substance abuse. There were six strategies identified in the approved 10-Year Plan to End Chronic Homeless:

1. Develop Supportive Housing
2. Prevent low-income persons and households from becoming homeless
3. Outreach to homeless persons to assess their needs
4. Increase skills and incomes of low income individuals and families
5. Identify and develop financing for new construction and the acquisition of supportive housing units
6. Implement the yearly CoC strategy

To meet the needs of the region’s chronic homeless, and to support HUD’s goal to end chronic homelessness, the County CoC has established the following action steps:

1. Develop new permanent supportive housing projects
2. Continue to gather countywide data on the target population
3. Continue to gather countywide data on services, shelter, affordable, and subsidized housing for the target population
4. Identify and develop a catalogue of mainstream resources and services
5. Improve the existing homeless information system to track clients and program utilization
6. Continue partnerships with private homeless services provider agencies that do not receive public funds to participate in the planning process

7. Use available data to generate and publish outcomes and homeless success data
8. Identify factors associated with chronic homelessness and use the findings to develop prevention and intervention protocols
9. Identify opportunities for collaboration and integration of prevention and intervention protocols
10. Review and adopt model programs or best practices in addressing the needs of the chronic homeless
11. Identify major barriers to accessing mainstream services and develop plans to remedy these blockages
12. Identify processes and mechanisms to share information with providers and clients on services to the homeless
13. Increase community awareness and accessibility to Veterans Affairs programs
14. Establish points of contact for mainstream services
15. Build upon C3H's campaign to garner support, generate awareness, and secure partners for success along with publicizing outcomes and regular intervals
16. Work to collect and analyze discharge planning data and assess consistency with discharge actions
17. Monitor discharge planning throughout the County to determine effectiveness and gaps in services
18. Increase homeless outreach staff so as to increase contact with the chronic homeless population
19. Continue to convene quarterly outreach workers meetings

While several accomplishments in addressing the needs of the homeless have been made, significant obstacles remain. As noted, these include a lack of developable land and high real estate costs in the context of providing supportive-services enriched housing models. These factors have not been strictly limited to developing housing opportunities for the chronically homeless, but for nearly every income level throughout County of Santa Barbara.

2. Non-Homeless Special Needs

Based on input from the community as well as local private, public, and non-profit organizations, the CDBG Urban County Partners have designated the Special Needs populations as a high priority in the County's 2010-2015 Consolidated Plan. An analysis of the needs indicates that:

- There is a serious need for new construction / acquisition / rehabilitation of rental housing for lower income households, including large households, small households and special needs households (including homeless, disabled, and elderly persons)
- There is a serious need for permanent supportive housing and Single Room Occupancy (SRO) units to address the needs of the homeless, households at imminent risk of becoming homeless, and/or special needs clients
- There is a growing need for the incorporation of universal design and accessibility standards to meet disabled populations' specific needs
- There is a need to address design barriers to providing community services to persons with mobility and other impairments as promoted under the Americans with Disabilities Act (ADA)
- There is a need for support services that assist persons with special needs

Use of Resources

The County of Santa Barbara Urban County Partnership will use other state and local funds when available to complement funding of Special Needs Housing Projects.

3. Homelessness Prevention

The First Goal of County of Santa Barbara's 10-Year Plan to End Chronic Homelessness is prevention of chronic homelessness through intervention prior to a household becoming homeless or prior to a person's homelessness becomes a chronic condition. The excerpt below is from the 10-Year Plan's section on Homelessness Prevention. These basic ideas and strategies have been the basis for implementing the 10-Year Plan with respect to preventing homelessness:

"Given the difficulty of locating affordable units and the danger of an episode of homelessness becoming chronic, *early intervention* strategies that prevent homelessness in the first place are the best approach. Such strategies include landlord mediation to resolve disputes and prevent eviction, as well as linkages with community-based services to provide the supports needed to facilitate ongoing health and stability.

For many people, homelessness occurs when they are released from public institutions, such as hospitals, mental health facilities, prisons and jails, and the foster care system. "*In-reach*" strategies in which service teams begin working with residents at-risk of homelessness, long before their discharge, to address health, mental health, and addiction service needs and to provide assistance in accessing entitlements and housing are an effective way to prevent discharges into homelessness. For people who are ready for discharge, but are still too ill to move directly into housing, *recuperative care or respite care facilities* provide short-term housing and services to help them recover and prepare to move into permanent housing.

In order to prevent additional cases of homelessness, and to prevent homelessness from turning into a chronic condition for those who are already homeless, the County of Santa Barbara Ten Year Plan lays out a two-pronged approach focusing on *early intervention* to support people in retaining their housing and *enhanced discharge planning* for chronically homeless people being released from public institutions.

Early Intervention to facilitate housing retention will be carried out through landlord mediation efforts, linkages with community-based services and supports, and exploration of strategies aimed at ensuring health and stability for people once they regain their housing.

Enhanced Discharge Planning for those being released from public institutions will be accomplished through the creation of *Transitions Teams* and *Transitions Centers*. The *Transitions Teams* will be multi-disciplinary; focus on working with people who are chronically homeless and currently in hospitals, mental health facilities, foster care homes or facilities, or prisons and jails; engage with clients to assess needs as early as possible; and work to identify housing, access entitlements, and forge linkages with community-based services to provide ongoing support. *Transitions Centers* will be developed to provide interim housing, needed services, and medically-appropriate respite care for people in need of immediate health, mental health, or substance abuse services so they can recuperate, become stable, and successfully obtain and retain permanent housing".

Homelessness Prevention and Rapid Re-Housing Program (HPRP)

While the three-year Homelessness Prevention and Rapid Re-Housing (HPRP) program ended in August 2012, HUD continues to provide funding for homelessness prevention and rapid re-housing activities, particularly through the ESG Program. The County continues to request proposals for homelessness prevention and rapid re-housing activities.

The total ESG allocation for FY2014 is \$126,795. In 2012, HCD held a Charette (intensive planning and public participation session) to discuss ESG priorities. The Charette participants emphasized the following steps as being important to better serving the homeless population:

- Increasing the County's collaborative efforts with service providers, Continuum of Care partners, and the public to have an immediate impact in helping the homeless;
- Increasing extensive planning, data and policy analysis;
- Improving program outcomes with the development of standards and procedures covering program design, implementation, evaluation and monitoring; and
- Devising ways to meet the critical need for rapid re-housing activities assisting individuals and families who may have experienced a recent housing crisis and are living on the streets or in emergency shelters, to quickly regain stability in permanent housing

The 2014 Action Plan continues to emphasize homelessness prevention and rapid re-housing activities. HCD staff will continue to work with countywide stakeholders to implement the policies and standards that were developed through the Charette process. Under the HOME program the County is currently expanding the Tenant Based Rental Assistance (TBRA) program to more immediately address the housing needs of homeless families and families at risk of homelessness.

The County supports homeless service organizations that have identified specific steps for preventing, reducing, and ending homelessness. The County has also increased emphasis on homelessness prevention and rapid re-housing activities while continuing to maintain shelters and support services for currently homeless populations. Through extensive efforts, steps for reducing and ending homelessness that help low-income individuals and families have been identified for all stages in the continuum of services.

Barriers to Affordable Housing

1. Barriers to Affordable Housing

A combination of the high cost of housing and dwindling financial resources acts as a prime obstacle to providing housing affordable to low- and moderate-income households in the County of Santa Barbara. Constraints to developing affordable housing in the County include a limited amount of developable land for residential use, reductions in federal funding, and governmental regulations intended to protect and preserve agricultural land, coastal resources, air quality, and a limited water supply.

The following actions to address barriers to affordable housing are currently underway and will continue through the 2014 Program Year:

- The County's *2009-2014 Housing Element update* incorporated changes in State law affecting a variety of housing policies, including those related to density bonus provisions, farmworker housing, transitional housing, and emerging statewide policies on climate change and sustainable communities
- The following are regulatory reforms implemented in the County of Santa Barbara to overcome these barriers
 - Allowing landowners to develop farm employee housing
 - Increases in density allowances for developers who include affordable housing on site
 - Allowance of mixed use development which includes residential uses on commercially zoned properties
 - The development of a "Process Improvement Team" within the County's Planning and Development Department focused on making the development process simpler and more predictable for housing developers
 - The adoption of the Isla Vista Master Plan in August 2007 and the use of State Density Bonus Law for projects in Isla Vista
 - The adoption of the Housing Element Focused Rezone Program in February 2009 to make sites available for "by-right" multifamily housing
- The Urban County Partnership will continue to seek out additional public and private financial resources to support housing programs

Other Actions

1. Other Actions

Addressing Obstacles to Meeting Underserved Needs

The following actions addressing obstacles to meeting underserved needs are currently being implemented and will continue through Program Year 2014:

- The 2009-2014 Housing Element Update contains a number of policies that provide opportunities to increase the supply of housing throughout the County of Santa Barbara, and also balance other important community goals that preserve and protect the vital characteristics that define our local quality of life. The reforms listed in the previous section are designed to remove regulatory barriers to the development of affordable housing that have been approved in County of Santa Barbara within the past several years
- The Urban County Partnership will strive to fund a variety of program-eligible activities throughout the County and participating jurisdictions as well as affordable housing projects
- The CDBG Urban County will continue to successfully leverage additional public and private financial resources to support a variety of housing and non-housing programs
- The development of the 10-Year Plan to End Chronic Homelessness represents a substantial work effort coordinated through County leadership and member cities. The 10-Year Plan leverages public, private, and foundation resources to address homelessness
- In addition to McKinney-Vento, the County of Santa Barbara also receives Emergency Solutions Grants (ESG) entitlement funding to provide programs and services targeted to meeting homeless persons basic shelter and essential supportive services needs
- Historically, the County Board of Supervisors has made General Fund contributions to emergency homeless shelters and to warming centers that house the homeless population on nights when it is cold and raining.

Actions to Foster & Maintain Affordable Housing

The HOME Consortium and CDBG Urban County Partnership work closely throughout the year to foster and maintain affordable housing and provide services to low and moderate-income populations. The programs to be undertaken for the 2014 Program Year were carefully selected based on specific funding criteria to advance the goals and objectives identified in the Consolidated Plan. The following priorities were established for the 2010-2015 Consolidated Plan operating period:

CDBG Priorities:

The Urban County Partnership has established a separate set of priorities to be addressed with CDBG funds, which includes the following housing-related priorities:

- Maintain and upgrade existing low-income affordable housing stock
- Develop and promote programs that create and sustain long term affordable housing for extremely low to low-income persons

- Support rehabilitation of public facilities and affordable housing that incorporate energy efficiency and conservation design measures/services that prevent discrimination and eliminate barriers to housing
- Support the development of housing that is close to existing transit routes to assure that occupants of housing units have an affordable means of transportation within walking distance
- Promote projects that are in close proximity to employment centers, public transportation corridors, and public services and amenities
- Support public services projects that serve low and moderate-income populations and special needs populations Countywide

Actions to Evaluate & Reduce Lead-Based Paint Hazards

In accordance with the Residential Lead-Based Paint Hazard Reduction Act of 1992, the County of Santa Barbara has relevant policies/procedures integrated into its housing programs to ensure compliance. The following is a summary of activities that are currently undertaken:

- The County requires Phase I environmental reports to include an analysis of lead-based paint for projects involving acquisition of pre-1978 multifamily properties
- The Housing Authority of the County of Santa Barbara has completed long range lead-based paint mitigation through lead-based paint abatement in all of its public housing units
- Developers and the County inform relevant individuals and families in federally-funded projects about potential lead-based paint regulations and health hazards including how to recognize signs of the presence of lead-based paint in the home
- Requirement that rehabilitation projects that involve lead-based paint include mitigation activities in construction specifications
- As part of community service, EPA educational brochures on lead poisoning are made available by County HCD and member cities to the general public
- Contracts with project sponsors include lead-based paint compliance provisions and remediation requirements for properties that contain lead-based paint

The aforementioned activities will be continued for the current Program Year. Additionally, HCD staff will continue to remain updated on issues pertaining to lead-based paint including lead safe maintenance practices, lead hazard control work, and temporary relocation of families during hazard control activities.

Actions to Reduce the Number of Poverty-Level Families

The Urban County Partnership will strive to better the lives of the poor and underserved residents in the area through a variety of housing, non-housing and public service programs. The focus of the County's anti-poverty strategy follows:

1. Help households accumulate assets
2. Help households and families address issues such as substance abuse and domestic violence that serve to inhibit long-term stability
3. Provide households with employment-related supportive services such as employment training and child-care
4. Provide individuals and families with life-skills training

This focus will be incorporated into the programs and policies undertaken by the County as part of this Consolidated Plan. In addition, the following are goals of the Santa Barbara Urban County Partnership intended to reduce poverty during the 2010-2015 Consolidated Plan operating period:

- Promoting economic development and job creation at both the micro- and macro-level
- Promoting literacy for parents and their children
- Acquiring, preserving, constructing, and managing affordable housing through community partnerships
- Providing public service assistance, so that families can expend limited resources on increasing their economic potential
- Collaborate with the Workforce Investment Board and workforce development staff to create greater employment opportunities benefiting low-wage employees
- Implement Comprehensive Economic Development Strategies to improve the effectiveness of programs for workforce preparation, infrastructure modernization, private capital investment, and the creation, expansion, retention and attraction of business
- Within the South Coast and Santa Ynez housing market areas, encourage and support the construction of “workforce” affordable housing through the Inclusionary Housing Program and other feasible means, as indicated in the recent Housing Element of the County of Santa Barbara General Plan

The County will make every effort to pursue a comprehensive strategy of anti-poverty. The public services made available with CDBG funding represent an opportunity to providing basic necessary services for the poor and underserved in the County.

Actions to Develop Institutional Structure

As discussed, HCD takes the lead for the County of Santa Barbara in overseeing the partnerships that receive federal funds to carry out affordable housing and community development activities. In this role, HCD assumes overall responsibility for management, administration, implementation, planning and reporting pursuant to the 2010-2015 Consolidated Plan and associated Annual Action Plans and Consolidated Annual Performance Evaluation Reports (CAPERS).

While the County HCD takes the lead in the development of the Consolidated Plan, each member jurisdiction actively participates in the strategic planning process. With the input of participating jurisdictions, HCD carries out policies and programs designed to facilitate a high quality of life for all County residents.

In terms of other public agencies participating in the planning process, HCD works with internal County departments with common areas of interest; for example, Public Works, General Services, Social Services, Public Health, Alcohol, Drug and Mental Health Services, and the private non-profit Workforce Investment Board. When preparing Consolidated Plans, HCD consults with various special districts in rural outlying areas of the County, as well as related departments in member jurisdictions. In terms of other related agencies, the County Office of Education, local city colleges and universities, Housing Authority of Santa Barbara County (HACSB) and other organizations within the County such as the Community Action Commission, First Five Commission, the Area Agency on Aging and local Veterans' Administration offices also participate in strategic planning.

With respect to the non-profit sector, HCD confers and consults with non-profit sector partners throughout the year through participation in and attendance of community-based meetings related to housing, community development, special needs populations and homelessness. This provides a forum for gathering information and insight as to emerging community needs and identification of potential gaps in services that might conceivably be addressed through federal entitlement funding.

Additionally, HCD consults with private industry, particularly labor groups related to the construction trades industry. While some of the discussion focuses primarily on energy policy and conservation, discussion also includes the local economy and need for economic development opportunities across all income sectors.

Actions to Enhance Coordination

HCD works in close coordination with all member jurisdictions and with other public and private agencies that are involved in efforts to promote affordable housing. County HCD provides technical assistance to local non-profit and private developers as well as designated Community Housing Development Organizations (CHDOs). The County also works closely with local CHDOs to identify emerging needs and address affordable housing and community development needs in the County and local communities. The County now accepts HOME applications throughout the year as a way to provide more flexibility with regard to funding projects more efficiently.

To effectively address the needs of the County's homeless population, HCD assumed responsibility for the Continuum of Care Program's annual strategic planning process and grant application. This includes associated management oversight responsibilities, and working collaboratively with C3H and other area homeless service providers to evaluate and enhance the quality of services and resources available to homeless persons and households.

Having a coordinated effort with regard to implementing federal programs is essential to ensure success and effective administration. HCD works in collaboration and coordination with all the member jurisdictions, as well as other public, private and non-profit agencies involved in developing related programs and services. While the County issues a NOFA for available funds, each participating jurisdiction identifies projects, programs and services specific to meeting their city's needs through appropriate review committee(s) and City Council for recommendation and final adoption. The City of Lompoc allocates its portion of the CDBG funds independently, and has its own committees overseeing funding allocation and adherence to CDBG program requirements.

2. Actions to Coordinate Housing Strategies

Housing strategies are being coordinated through the various groups and organizations that carry out HUD funded housing activities and are monitored by HCD and member cities staff. Key collaborative actions focus on providing basic needs and services such as safe and decent housing, food and clothing convenient transportation, child care, job training and placement and other essential services that support low and moderate income households and special needs populations. The CoC and C3H provides a forum for discussion and policy-making about homeless needs and activities covering housing, transportation, education, employment and training and other services. Public meetings and special forums provide discussion opportunities that define priority housing activities.



Chapter II: CDBG Program-Specific Requirements

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1. Program Specific Requirements

Program specific requirements govern the Section 108 program, urban renewal, float-funded activities and program income. There were no proceeds received from Section 108 loan guarantees by the County. The County did not receive surplus funds from any urban renewal settlement and did not return grant funds to its line of credit. The Urban County did not receive income from float-funded activities or certify any urgent need activities. The County will receive approximately \$290,901 in HOME program income and \$65,936 from State CDBG program income for the 2014-15 fiscal year.

2. CDBG Funds Used for Activities that Benefit Low- and Moderate-Income Individuals

Not including allowances for program management and administration, the Urban County Partnership estimates that 100% of its entitlement allocation will be utilized for activities that benefit persons of low and moderate income.

3. Affirmative Marketing Procedures

The County of Santa Barbara is committed to providing equal housing opportunities for all of its residents regardless of race, gender, national origin, age, familial status, religion, or disability. An Affirmative Fair Housing Market Plan was developed in 2011, to ensure that all County residents are aware of affordable housing and community development opportunities.

The requirements of the Affirmative Marketing Plan are to be followed by all owners, developers, and/or managers of rental and ownership projects which are funded, in whole or in part, by federal grant programs (i.e. CDBG) or other local affordable housing trust funds. Requirements are applicable to developments of five or more units including mobile homes, apartments, and single family homes, and include the following:

- All advertisements for projects which have been funded in whole or in part by the CDBG program must include the equal housing opportunity logo accompanied by the words "Equal Housing Opportunity"
- The owner, developer, or manager must prominently display in all offices in which sale or rental activity pertaining to the project takes place, a fair housing poster and fair housing brochures
- All signs announcing the development of new construction projects must have the equal housing opportunity logo and slogan prominently displayed
- Advertisement of available units must take place in a range of media including publications marketed to non-English speaking minorities
- All managers, owners, and/or developers shall make an affirmative effort to attract minority groups who may not apply without outreach (communications with community organizations, places of worship, and/or employment centers)

- All management and sales employees and agents should be trained in State and Federal laws regarding fair housing and non-discrimination

In addition to the requirements outlined above, the County requires that project managers, developers, or owners maintain files demonstrating and affirming compliance with the County Affirmative Marketing Plan. Community Services Department staff conducts annual or bi-annual monitoring visits which includes review of Affirmative Marketing records maintained by project managers.

4. Minority Outreach

The County maintains a Disadvantaged-Minority and Women-Owned Business Enterprise (D/M/WBE), Outreach Plan. This plan was prepared to provide guidance for the encouragement of participation of minority- and women-owned business enterprises in contracts and subcontracts related to projects and activities undertaken with CDBG funds. The County takes affirmative steps to use disadvantaged, minority, and women's business enterprises when contracting for services, including:

- Identifying eligible business concerns for CDBG-funded contracts through the Chambers of Commerce (Including the Hispanic Chamber of Commerce), cities, minority groups, local advertising media, citizens' advisory boards, lists available through local HUD program offices, regional planning agencies, and other appropriate referral sources
- Providing technical assistance and, if required, direct access to Caltrans Internet website that provides direct downloading capability of the most current Disadvantaged Quarterly Directory identifying D/M/WBE firms in Santa Barbara County
- Placing appropriate D/M/WBE firms on solicitation lists for all agreements, contracts, purchase orders, proposals, and professional services
- Providing a copy of the Disadvantaged-Minority and Women-Owned Business Enterprise Outreach Plan along with the list of D/M/WBE firms to all major contractors including affordable housing developers who have a written contract with the County to undertake activities with CDBG and HOME funds

The County continually encourages participation of D/M/WBE firms by advertising in local media to market and promote contract and business opportunities. Requests for proposals and notices of funding availability include the phrase "Minority- and women-owned and operated businesses are encouraged to apply." The County seeks out and works with project sponsors, contractors, and subcontractors that are minority- and/or women-owned.

1. County of Santa Barbara HOPWA Program Summary

Since 2012, Pacific Pride Foundation and Sarah House have jointly administered the small cities HOPWA program. The organizations' submitted a competitive HOPWA funding application to the State of California, and were allocated federal HOPWA funds this fiscal year, through the California Department of Health Services Office of AIDS.

Pacific Pride Foundation (\$84,651)

The Pacific Pride Foundation funding goes toward supportive services and emergency housing placement services. It is a locally based organization that proudly provides services to the HIV/AIDS and Lesbian, Gay, Bisexual and Transgender communities of the County of Santa Barbara. Direct services are provided to individuals and families infected with or affected by HIV/AIDS through their HIV Care Program. This program provides help from nurses and social workers, transportation, housing assistance, volunteer support and food from their two food pantries. All services and programs are offered in a bilingual setting with the highest standards of confidentiality.

Case Management Services

The HIV Care Program staff is made up of nurses and social workers who meet with HIV/AIDS diagnosed clients, in conjunction with their primary health care provider, to develop a personalized care plan. The staff coordinates with doctors, county and community service organizations to ensure comprehensive care for clients. No client is denied services due to an inability to pay. The following are just some of the services that the Pacific Pride Foundation offers:

- **Medical Services** – Referrals for comprehensive medical evaluations complete with lab tests to monitor HIV infection and any necessary medications of treatment therapies.
- **Health Education** – HIV education, general health education and stress reduction.
- **Case Management** – Assessing the needs of clients, individualized care plan, benefits counseling, client advocacy and referrals.
- **Food Assistance** – The Necessities of Life Project is Pacific Pride Foundation's food assistance program. Two food pantries, North and South, provide free food and personal care products to low-income HIV+ clients and their families on a weekly basis.
- **Harm Reduction** – Methods of lowering health risk issues including drug and alcohol use, homelessness and mental health.
- **Volunteer Support** – Practical and emotional support.
- **Counseling Services** – Individual counseling as well as various support groups.

HIV Education & Prevention Services

Pacific Pride Foundation believes that education is key to preventing the continuing spread of HIV. It is only through new and innovative education and outreach programs that they can hope to reach population at greatest risk for infection before they become exposed to the virus. The HIV Education and Prevention Department offers a variety of educational sessions, training, presentations and in-services for community groups, parent groups, social service agencies and school and college

classrooms. Topics include HIV/AIDS, safer sex, substance use and HIV, Hepatitis C and Sexually Transmitted Diseases/Infections. Presentations are offered in both English and Spanish. Pacific Pride Foundation also provides free, anonymous testing services for HIV and Hepatitis C for those at highest risk of infection. Coupling the prevention activities with the HIV Care Program ensures that clients that test positive are quickly linked to care.

Sarah House (\$63,224)

Sarah House funding goes toward operations and supportive services. It is located in the Santa Barbara area. The facility, built in 1994, has 8 single rooms in the main house and three two-bedroom apartments across the courtyard. The facility is licensed as a Residential Care Facility for the Chronically Ill (RCFCI) by the State Department of Social Services and is staffed by a House Manager, a Registered Nurse Case Manager and Certified Nurse Assistants (CNA's). As a licensed facility, Sarah House provides regular meals, laundry, help with adherence to a rigorous medication regime, support in accessing counseling, and transportation to and from medical appointments.

When Sarah House was built it cared exclusively for people living with AIDS. At the time, medications were largely ineffective. This meant that life expectancies were short and the work of Sarah House was largely that of a hospice for the AIDS-infected. With the advent in late 1996 of what was then called Triple-Drug Therapy, the mortality rate for AIDS quickly plummeted. In 2005, Sarah House expanded its AIDS work to support and care for not only people with HIV/AIDS but also for all the low income and poor of our community who are in need of end-of-life care. Today both groups are residents of Sarah House, forming a community of support for one another.

During 2013, the men who have come to Sarah House are largely newly-infected in need of a good place to live and seek support while they are learning medication protocols and find support in the larger community. HIV physicians frequently visit the House in order to give them additional support as well as to counsel the Staff. Other HIV residents are homeless men who have by their homelessness been outside the system and at Sarah House can learn to navigate that system while enjoying a stable living environment, good food, transportation, and companionship. Sarah House also continues to welcome men and women, long-term survivors, whose need for emotional support is apparent and serious. There have been some dramatic and important psychological recoveries.

All of these strategies and more have worked well for the HIV+ men and women Sarah House has served during the past year. Sarah House collaborates with Pacific Pride, the Public Health Department, Hospice of Santa Barbara counselors, private physicians and a host of volunteers.

2. Funding Needs

Local organizations such as the Pacific Pride Foundation and Sarah House provide valuable services to the community, and are supported by an array of funding. The Santa Barbara CDBG Urban County has funded activities of the Sarah House and Pacific Pride Foundation to collaboratively work with them to address the needs and priorities of persons with HIV/AIDS in the County. Local jurisdictions, such as the County of Santa Barbara and the City of Santa Barbara provide much needed funding through competitive state grants and entitlement grants, as well as local Human Services Grants. The organizations also raise private funds.

1. Standards and Procedures

The County will focus on homelessness prevention and rapid re-housing services in addition to emergency shelters. With regard to homelessness prevention and rapid re-housing activities, services will be provided to individuals and families currently in housing who are at risk of becoming homeless and who need temporary assistance (homelessness prevention), as well as individuals and families experiencing homelessness who need temporary assistance in order to obtain housing and retain it (rapid re-housing).

Emphasis will be on services that provide short- and medium-term rental assistance to help homeless people quickly move into permanent housing and achieve stability in that housing. Participants placed in housing shall receive continued assistance and may be transferred to new housing or other programs once lease terms expire.

Through consultations and collaboration, general standards and procedures were established for homelessness prevention and rapid re-housing services. These include:

Standard 1

Collaboration among partners will improve.

- The County, relevant city agencies, and service providers will jointly assess short- and long-term homeless needs and establish priorities and will jointly determine gaps based on assessments and resources available
- Homeless service providers and the County will assess what homeless services are currently available by organization to coordinate services
- The County and service providers will collaborate in implementing HUD's new centralized system once in operation

Standard 2

Eligibility requirements and policies will guide the County in making funding decisions.

- Participant eligibility will be consistent with homeless and at-risk homeless definitions provided by HUD for the Consolidated Plan, the Continuum of Care and the County's additions derived from Service Providers.
- Service providers will accurately qualify individual and families for homeless prevention or rapid re-housing services

Standard 3

Priority services shall address homelessness prevention and rapid re-housing.

- Services shall focus on helping people to quickly regain stability in permanent housing after experiencing a housing crisis and/or homelessness

- Priority shall be given to programs that target rapid re-housing services to people who can stabilize quickly such as individuals or families in need of small assistance such as security deposits
- Priority funding recommendations will consider the track records of current service providers, and focus funding on the most successful program(s), providing an incentive for service providers to implement proven strategies
- Priority services should utilize the dual criteria of assisting those most in need and the most likely to achieve stable subsidized or unsubsidized housing (as compared to targeting a specific demographic); examples include people who are in a shelter, in recovery, have a job, and can be successful after being housed
- Service providers will make available a broad array of financial assistance and supportive services, such as short-term subsidies for rent and utility costs, moving costs, security deposits, last month's rent, mediation for landlord-tenant disputes, legal services, case management, and credit repair
- Service providers may offer life skills counseling such as budgeting, money management, managing a household, resolving conflict, shopping for food, improving nutrition, using transportation, and accessing services. Homelessness prevention or rapid re-housing assistance may be used as a stop gap for people on a fixed income, or people who are not working, to stabilize them until they receive housing vouchers
- Service providers will determine participant cost sharing, length and level of service on a case-by-case basis (consistent with HUD regulations); cost sharing shall be determined through consistently applied case management protocols tied to program goals, participants' budgets, assets, and what they can afford
- Length of service shall depend upon need; financial assistance up to 6 months, case management may be longer term for some participants such as mentally ill addicts who lapse back into lifestyle patterns that caused them to be homeless
- Service providers will consider requiring a savings account or a partial rent payment for participants who are not fully engaged, to keep them in case management
- Service providers will consider creating a revolving security deposit fund utilizing returned security deposits from landlords when a tenant/client assisted through ESG funds moves out of an assisted unit

Standard 4

Assist high-needs homeless through referral to and provision of other programs and services.

- Assist individuals/families with highest risk factors and facing multiple obstacles to access programs and services such as mental health and/or drug and alcohol treatment and job development programs
- Utilize the County's Vulnerability Index as a guide to triage those with greater needs and vulnerabilities and refer them to appropriate programs
- Address public concern over visibly homelessness populations through other programs
- Consider that some individuals/families at or below 30 percent Average Median Income (AMI) may not be able to sustain permanent housing and refer them to appropriate programs

Standard 5

The County and subrecipients will follow HUD regulations.

- Utilize HUD's program limits with the exception that subrecipients are encouraged to conduct more regular client follow-up assessments (e.g., 3, 6, 12 months)
- Adhere to match requirements (24 CFR 576.201). Each of the ESG-funded programs leverages thousands of dollars from private foundations, fundraising activities, and 'in-kind' contributions. Thus, the County anticipates exceeding the dollar-for-dollar match requirement. Match funds will be applied towards rental assistance and case management services.
- Adhere to HUD Regulations (24 CFR 576.103, 104, and 105) serving individuals or families with incomes of 50% or less than AMI for rapid re-housing and 30 percent for prevention:
 1. Initial consultation to determine eligibility and needs with case manager
 2. No other housing options available to client
 3. No financial resources or other support network to obtain or remain in housing
 4. Assistance cannot exceed 18 months for rental and utility payments
 5. No participant may receive more than 24 months in a 3 year period
 6. No more than 6 months of rental arrears (existing housing)
 7. Rent paid cannot exceed actual rental costs, must be rent reasonable for market area, and a Checklist and Certification are required
 8. Provide accurate data on income, residency, homelessness for HMIS
 9. Participants receiving project-based rental assistance must have a lease that is for a period of 1-year, regardless of the length of rental assistance
 10. Participants receiving rapid re-housing assistance must be re-evaluated at least once every year, prefer every 3 months
 11. Participants receiving homelessness prevention assistance are required to be re-evaluated at least once every 3 months
 12. Recipients and service providers must have policies and procedures ensuring client confidentiality (variances for victim Service providers)
 13. Service providers must provide written descriptions of income verification efforts for the participant's case file; first priority is to obtain written third party verification such as a referral agency, then an oral third party verification and if necessary, a self-declaration; describe if a lower level of documentation is used such as self-assessments
 14. Ensure that a lead-based paint visual assessment was conducted for all units where a homelessness prevention or rapid re-housing -assisted household is living and the household receives assistance (prior to 1978 and if children under 6 live in the household); Obtain assistance from County staff or a trained expert as needed since assessments can be technical
 15. Document that the unit to be occupied by an homelessness prevention or rapid re-housing-assisted household meets habitability standards

Standard 6

Case management and support services will be strengthened.

- Strong case management is required especially for medium and longer-term clients who require individualized plans, progress reports and exit data. Plans will track efforts to help clients obtain and retain housing (e.g., clients with alcohol and addiction or triply- diagnosed persons such as young parents with children). Assist longer-term clients (over 24 months) to access additional services outside of ESG perimeters.

- Client intake will more efficiently document eligibility by providing information from previous Service providers if at all possible; information can help determine if the client is likely to end up on the streets again
 1. Case management files must include intake form, proof of homelessness or at-risk of homelessness status, individual case plans and progress reports
 2. Narratives will be kept in participant files describing how the service provider determined that the household was likely to maintain housing stability with homelessness prevention or rapid re-housing assistance
 3. Service providers shall maintain exit data regarding client status
- Support services are required for homelessness prevention or rapid re-housing clients to help them strengthen their situation and keep them housed, e.g., provide or refer program participants to parenting classes, employment and training, medical and dental care services provided by other mainstream providers; consider that housing first may not be available for the most vulnerable; continually assess outcomes; and provide services that make a difference in changing negative patterns
- Record-keeping will match homeless program and service requirements and will be consistent for all like services
- Short-term clients who are assisted with homelessness prevention or rapid re-housing funding will be encouraged to report back progress and provide feedback about what worked or could have been improved

2. Centralized or Coordinated Assessment System

The County is in the process of establishing a centralized coordinated assessment system that will be made available to all homeless service providers.

3. Performance Standards for Evaluating ESG Activities

All recommendations for performance standards take into consideration local challenges, other local resources available or lacking, and local housing and economic conditions affecting underserved needs in the community. The County will continually analyze data and patterns of use to assess outcomes and encourage subrecipients to develop additional complementary standards to ensure that the greatest number of homeless individuals and families are served. Performance depends upon the County, subrecipients, and other community partners carrying out the following minimum responsibilities:

County Performance Standards

The County will re-evaluate and improve existing performance standards to:

- Align the Consolidated Plan with the Continuum of Care performance standards
- Align the Consolidated Plan and ESG services with the United States Interagency Council on Homelessness' "Opening Doors: The Strategic Plan to End Homelessness". Most specifically, funding priorities for ESG services will support the Opening Doors goal to prevent and end homelessness for families, youth and children in ten years; this is the homeless population most prevalent in Santa Barbara County and the population that can benefit most from homelessness prevention and rapid re-housing services
- Enforce statutory and regulatory standards set out in the ESG Interim Rule
- Strengthen the Continuum of Care program, assess gaps in services and reduce duplication

- Support organizations with successful track records
- Determine appropriate performance measures for homeless prevention vs. rapid re-housing by continually reviewing and applying best practices standards to direct funding
- Assess whether local standards help or hinder how service providers target and design their programs
- Analyze patterns of use, local data, program accomplishments, and monitoring outcomes to evaluate subrecipients, including:
 1. HMIS will assist providers and the County to record data and design priority programs accordingly
 2. Assess short and long-term participant needs using HMIS and the new centralized system once it is provided by HUD
 3. Assess outcomes according to numbers reportedly staying stabilized in their housing
 4. Consider resources available to provide assistance, needs data, case management requirements, and track records of service providers to determine the approximate number of people who can be served with this type of assistance
 5. Assess subrecipients' ability to target those who need the assistance most, including:
 - a. Track the number of clients served per month, including short and medium range participants from their initial assessment to when they achieve housing stability
 - b. Reduce the number of people living on the streets or emergency shelters;
 - c. Shorten the time people spend homeless
 - d. Reduce each program participant's housing barriers or housing stability risks
 - e. Assess clients' ability to maintain housing stability after six months and one year
 - f. Quantify demand for services and the ability to track outcomes
 - g. Leverage other funds (e.g., HOME funds for TBRA)
 - h. Work with housing authorities to leverage loans or grants for security deposits
 - i. Determine on-going impacts of homeless prevention and rapid re-housing programs
 - j. Assess other resources that might be available to address shortfalls/gaps;
 6. Improve County monitoring of subrecipients, including:
 - a. Review and update ESG monitoring standards and procedures to ensure that established standards and procedures will allow recipient to check for compliance with new requirements
 - b. Address levels of County staffing needed to conduct monitoring
 - c. Monitor service providers at least twice per year and review grant activities monthly; conduct risk assessments and on-site or desk audits
 7. Comply with Federal reporting requirements, including:
 - a. Action Plan: Comply with revised requirements for preparing and submitting the Action Plan
 - b. Next Consolidated Plan: All housing and homeless needs assessments, housing market analyses, and strategic plans will comply with all of the revised requirements
 - c. CAPER: Report on ESG using the new ESG specific reporting requirements under 24 CFR 91.520(g)
 - d. County will report annually using the new homelessness reporting requirements

Subrecipient Performance Standards

Subrecipients' success will be measured according to their ability to reduce the number of homeless, to reduce the length of time a person/family is homeless, and the ability to meet quantifiable measures and timelines. Subrecipients will be expected to:

Demonstrate Collaboration:

- Coordinate with mainstream and other services and partners to help clients stay housed
- Collaborate with Continuum of Care partners in the development of long-term and emergency solutions for assisting the homeless population
- Conduct outreach to other social services agencies who would make referrals to landlords, housing authorities and provide other needed services
- Standardize intake forms to document eligibility, goals, progress reports, exit data; encourage written third party verification

Track Performance:

- Develop and maintain performance standards
- Identify key indicators that help determine success upfront such as client readiness for services, medical clearances and access to medications, ability to function in independent living situations vs. emergency requirements which have different indicators and require provision of different services (housing first vs. counseling and support services first)
- Project the number of persons or households who will exit or avoid homelessness, the number of persons or households assisted from emergency shelters/streets into permanent housing and the number of persons or households assisted with rapid re-housing that remain stabilized in housing after services are provided
- Track the length of time between the onset of homelessness and re-housing
- Demonstrate a commitment to best practices, recognizing that the overall goal is to achieve stability in permanent housing, irrespective of the path to it
- Have written policies and procedures in place to assure client security and confidentiality of personal information
- Develop guidelines regarding participant cost-sharing and how lengths of assistance are determined and applied in a consistent fashion
- Assure that cash assistance and heavy case management is applied to clients that clearly meet eligibility requirements
- Provide the following types of housing assistance listed below in priority order:
 1. Deposits (rent/utilities); application fees
 2. Utility payments
 3. Motel/hotel vouchers in extreme cases where participants are awaiting identified housing that isn't ready, or in areas where there are no shelters, or for sick unsheltered homeless persons
 4. Moving assistance
- Provide on-going risk analysis to determine physical, social, medical, education and other participant needs
- Demonstrate agreement between the client and service provider to make a year-long commitment for data tracking to evaluate and determine outcomes
- Demonstrate strong case management and recordkeeping:

1. Document all consultations with case manager or authorized representative who determined eligibility and need; document qualifications, services and progress according to the homelessness prevention or rapid re-housing criteria and regulations
 2. Extensive intake assessments shall determine needs for housing, medical screening, capacity for independent living, emergency needs, cash assistance needs, combination of needs, and client motivation
 3. Develop benchmarks to measure both long-term and short-term housing assistance and case management considering the number of times of assistance, length of assistance and type of services provided
 4. Track success such as the number of persons or households prevented from becoming homeless
 5. Target persons with critical needs for rapid re-housing and diverting them from shelters, e.g., stabilize persons on the brink of homelessness or who just fell into homelessness because of the economic downturn or due to their inability to come up with small gap resources such as housing deposits
- Adhere to cost reasonableness requirements (i.e., eligible activities, appropriate salaries, administration caps)
 - Adhere to other federal requirements (i.e., drug-free workplace, lobbying restrictions)

4. Consultations and Award Process

Consultations

HCD staff continues to coordinate activities with key stakeholders in order to obtain quality, comprehensive and diverse input to meet or exceed HUD's directive on the ESG program. Homeless service providers, including Continuum of Care (CoC)-funded providers; County and city government and quasi-government officials; previously homeless individuals; and members of the County Human Services Commission consult with HCD staff in implementing the ESG program.

Through contracts with providers, the County will ensure coordination and integration of homeless program components among emergency shelter providers, essential service providers, homelessness prevention and rapid re-housing assistance providers, and mainstream services and housing providers to achieve a strategic, community-wide system for serving the homeless. The County will continue to collaborate with local jurisdictions in addressing homeless needs, with the intent of strengthening the CoC.

HCD staff held some individual discussions with providers who indicated a strong desire for broader input and collaboration regarding homeless needs and issues. Increased interaction and collaboration between service providers and "mainstream" service organizations such as public agencies that interact with the homeless was identified as an important element for improving the County's outcomes. County departments, including Public Health; Alcohol, Drug and Mental Health; Social Services; and the Sheriff were identified as necessary partners. The C3H organization and the County are working jointly improving the County's CoC and coordination of homeless services Countywide. A comprehensive countywide system will assist once developed.

The County will continue to maximize community-wide planning and the strategic use of resources by working with C3H and CoC organizations. As a part of this collaborative effort, critical determinations

will be made about how to achieve goals. Partnerships will be strengthened to take on challenges, reach target populations, reduce duplication and streamline service efforts. Programs and services will continually be evaluated.

Award Process

In November 2013, the County issued a Notice of Funding Availability (NOFA) for the 2014 Program Year. HCD received fifteen funding requests totaling \$257,345. Nine applications were recommended for funding. Staff reviewed all applications for eligibility. Funding recommendations were determined by a subcommittee of the Board of Supervisors-appointed Human Services Commission after conducting applicant interviews on February 4, 2014 and February 5, 2014. Programs recommended for funding were deemed to be most effective at meeting programmatic requirements. HCD held public meetings in North and South County to obtain input on the draft Action Plans and recommendations for funding contained therein. The Board of Supervisors reviewed and discussed the recommendations on April 15, 2014 at the south county public hearing. The Supervisors approved the recommended projects with one exception; to move \$35,000 from the Calle Real Sidewalk improvements project to the Isla Vista Streetlighting project.



Chapter III: Other Narratives & Attachments

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Managing the Process

1. Lead Agency

HCD administers the HOME, CDBG and ESG federal grant programs and all other State, and locally-generated affordable housing resources, and is also responsible for the development of the Consolidated Plan and related planning, reporting and regulatory compliance documents.

Although the County takes the lead in developing the Consolidated Plan, the member cities also actively participate in the strategic planning process. This is ensured through regular consultations with the partner cities staff from each member jurisdiction. Goleta and Santa Maria are also CDBG entitlement jurisdictions and they are independently responsible for developing their respective Consolidated Plan and related implementing documentation.

2. Significant Aspects of the Process

The development of the Annual Action Plan is, in part, the result of multi-jurisdictional consultations and public input. To accommodate a diversity of needs, the Urban County Partnership has made every effort to make the process inclusive. Discussions were held with local Housing Authorities, various local city and County departments, non-profit housing providers, local service providers, the Human Services Commission, and other experts in the area of housing and community development. Direct outreach, including a combination of community presentations, and workshops were held throughout the County to receive stakeholder input.

The 2014 Action Plan represents the regional partners' formal plan for priority programs and activities to be implemented with 2014 federal HOME, CDBG and ESG funds. They are based upon the goals and objectives articulated in the 2010-2015 Consolidated Plan. These priorities and associated projects are a reflection of the regional need and subsequent development of strategies to address them. The County plans to include its regional partners in the update to the Consolidated Plan for FY 2015-2020.

Monitoring

1. Actions to Monitor Projects

The County of Santa Barbara CDBG Urban County Partnership places a high priority on program compliance. Consequently, ongoing compliance monitoring of projects/programs is expected to be conducted regularly through review of quarterly reporting, desk audits and field monitoring. HCD compliance monitoring responsibility for County funded CDBG and ESG programs includes administrative, financial, project and program monitoring. Depending on the number of project units or type of program, field monitoring should be completed annually, bi-annually or every third year in accordance with HUD guidelines. When issues of non-compliance are identified during the course of monitoring review, HCD works with the affected agency, program or project to provide ongoing technical assistance and guidance needed to achieve compliance with programmatic rules and regulations. In extreme cases of non-compliance, programs may be terminated and funds deobligated.

Housing Project Monitoring

While this NOFA does not include the HOME program, past HOME funded projects require monitoring by the County. For the 2014-15 Program Year, HCD will re-engineer its monitoring process, with renewed monitoring of the County's local Community Housing Development Organizations (CHDOs), implementation of a new policies and procedures manual, and implementation of a risk-assessment tool to ensure compliance with federal regulations.

The monitoring of HOME projects includes review of tenant files, physical inspections, and financial reports. Tenant files are selected at random from project rent rolls by HCD staff and are thoroughly examined for required documentation such as rent increase notices, utility allowances and initial/annual income certifications and supporting documentation. Staff reviews tenant rents and leases to verify that appropriate rents are being charged, that there are no prohibited contract provisions or language, and that appropriate actions are taken when HOME-funded units are vacated or reclassified (fixed vs. floating, and Low vs. High HOME units). In addition to file reviews, physical inspections of building quality and property standards are conducted. At the close of each monitoring visit, HCD staff presents preliminary results to the property manager or owner and secures any additional information needed to complete the review; project sponsors are then given 30 days to address any problems identified in the inspections; units that do not pass the initial inspection are re-inspected (and must pass) prior to the close of the annual monitoring. Finally, HCD staff requests, receives and files annual reports on property administration and project finances.

Community Development Project Monitoring

Monitoring of projects/programs funded with CDBG is expected to be ongoing and should include protocols such as on-site visits and regular communication with service providers and project sponsors via phone and email. HCD reviews bids to ensure compliance with federal procurement regulations, labor standards, Section 3 regulations, and D/MBE/WBE regulations. HCD also performs reviews of certified payroll submissions and perform on-site interviews to help ensure contractor compliance with labor standard provisions and the payment of Davis-Bacon prevailing wages to workers. Furthermore, subrecipients are required to submit quarterly reports to HCD, including information on project status,

beneficiaries served, and progress in meeting annual goals, which allow HCD to monitor activities as they are implemented. Finally, HCD staff provides technical assistance on a regular basis to ensure financial, administrative, and programmatic compliance.

Emergency Shelter Grant/Emergency Solutions Grant Program Monitoring

Monitoring of Emergency Shelter Grants is ongoing and is expected to include protocols such as on-site visits and regular communication with service providers. To ensure compliance with the requirements of the Emergency Solutions Grants program, HCD will provide technical assistance and conduct regular monitoring of funded programs.

Monitoring of Participating Jurisdictions

HCD has the responsibility to monitor participating jurisdictions programs and projects for compliance with HUD regulations. HCD staff consults with management from participating jurisdictions regularly. The consultations promote region-wide coordination and collaboration, clarify roles and responsibilities, and offer a forum to share emerging community issues.