

AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

THIS AGREEMENT (hereafter Agreement) is made by and between the County of Santa Barbara, a political subdivision of the State of California (hereafter COUNTY) and Casa Pacifica Centers for Children and Families (Casa Pacifica) with an address at 1722 South Lewis Road, Camarillo, CA 93012 (hereafter CONTRACTOR) wherein CONTRACTOR agrees to provide and COUNTY agrees to accept the services specified herein.

WHEREAS, CONTRACTOR represents that it is specially trained, skilled, experienced, and competent to perform the special services required by COUNTY and COUNTY desires to retain the services of CONTRACTOR pursuant to the terms, covenants, and conditions herein set forth;

NOW, THEREFORE, in consideration of the mutual covenants and conditions contained herein, the parties agree as follows:

1. DESIGNATED REPRESENTATIVE

Marianne Reagan, Adult & Children Services Operations Division Chief at phone number (805) 681-4529 is the representative of COUNTY and will administer this Agreement for and on behalf of COUNTY. Shawna Morris, MPA at phone number (805) 366-4343 is the authorized representative for CONTRACTOR. Changes in designated representatives shall be made only after advance written notice to the other party.

2. NOTICES

Any notice or consent required or permitted to be given under this Agreement shall be given to the respective parties in writing, by personal delivery, email, or facsimile, or with postage prepaid by first class mail, registered or certified mail, or express courier service, as follows:

To COUNTY: Marianne Reagan, Adult & Children Services Operations Division Chief
Department of Social Services
234 Camino del Remedio, Santa Barbara, CA 93110, FAX: (805) 681-4529
Mreaga@countyofsb.org

To CONTRACTOR: Shawna Morris, MPA, Chief Executive Officer
Casa Pacifica
1722 Lewis Road, Camarillo, CA 93012
smorris@casapacificafica.org

or at such other address or to such other person that the parties may from time to time designate in accordance with this Notices section. If sent by first class mail, notices and consents under this section shall be deemed to be received five (5) days following their deposit in the U.S. mail. This Notices section shall not be construed as meaning that either party agrees to service of process except as required by applicable law.

3. SCOPE OF SERVICES

CONTRACTOR agrees to provide services to COUNTY in accordance with EXHIBIT A attached hereto and incorporated herein by reference.

4. TERM

CONTRACTOR shall commence performance on **July 1, 2025** and end performance upon completion, but no later than **June 30, 2026** unless otherwise directed by COUNTY or unless earlier terminated. The COUNTY at the end of the first contract term has an option to renegotiate two (2) additional one (1) year renewals, without re-bidding.

A renewal determination will be contingent upon CONTRACTOR's satisfactory achievement of agreed upon performance measures and availability of funding.

5. COMPENSATION OF CONTRACTOR

In full consideration for CONTRACTOR's services, CONTRACTOR shall be paid for performance under this Agreement in accordance with the terms of EXHIBIT B attached hereto and incorporated herein by reference. Billing shall be made by invoice, which shall include the contract number assigned by COUNTY and which is delivered to the address given in Section 2 NOTICES above following completion of the increments identified on EXHIBIT B. Unless otherwise specified on EXHIBIT B, payment shall be net thirty (30) days from presentation of invoice.

6. INDEPENDENT CONTRACTOR

It is mutually understood and agreed that CONTRACTOR (including any and all of its officers, agents, and employees), shall perform all of its services under this Agreement as an independent contractor as to COUNTY and not as an officer, agent, servant, employee, joint venturer, partner, or associate of COUNTY. Furthermore, COUNTY shall have no right to control, supervise, or direct the manner or method by which CONTRACTOR shall perform its work and function. However, COUNTY shall retain the right to administer this Agreement so as to verify that CONTRACTOR is performing its obligations in accordance with the terms and conditions hereof. CONTRACTOR understands and acknowledges that it shall not be entitled to any of the benefits of a COUNTY employee, including but not limited to vacation, sick leave, administrative leave, health insurance, disability insurance, retirement, unemployment insurance, workers' compensation and protection of tenure. CONTRACTOR shall be solely liable and responsible for providing to, or on behalf of, its employees all legally-required employee benefits. In addition, CONTRACTOR shall be solely responsible and save COUNTY harmless from all matters relating to payment of CONTRACTOR's employees, including compliance with Social Security withholding and all other regulations governing such matters. It is acknowledged that during the term of this Agreement, CONTRACTOR may be providing services to others unrelated to the COUNTY or to this Agreement.

7. STANDARD OF PERFORMANCE

CONTRACTOR represents that it has the skills, expertise, and licenses/permits necessary to perform the services required under this Agreement. Accordingly, CONTRACTOR shall perform all such services in the manner and according to the standards observed by a competent practitioner of the same profession in which CONTRACTOR is engaged. All products of whatsoever nature, which CONTRACTOR delivers to COUNTY pursuant to this Agreement, shall be prepared in a first class and workmanlike manner and shall conform to the standards of quality normally observed by a person practicing in CONTRACTOR's profession. CONTRACTOR shall correct or revise any errors or omissions, at COUNTY'S request without additional compensation. Permits and/or licenses shall be obtained and maintained by CONTRACTOR without additional compensation.

8. DEBARMENT AND SUSPENSION

CONTRACTOR certifies to COUNTY that it and its employees and principals are not debarred, suspended, or otherwise excluded from or ineligible for, participation in federal, state, or county government contracts. CONTRACTOR certifies that it shall not contract with a subcontractor that is so debarred or suspended.

9. TAXES

CONTRACTOR shall pay all taxes, levies, duties, and assessments of every nature due in connection with any work under this Agreement and shall make any and all payroll deductions required by law. COUNTY shall not be responsible for paying any taxes on CONTRACTOR's behalf, and should COUNTY be required to do so by state, federal, or local taxing agencies, CONTRACTOR agrees to promptly reimburse COUNTY for the full value of such paid taxes plus interest and penalty, if any. These taxes shall include, but not be limited to, the following: FICA (Social Security), unemployment insurance contributions, income tax, disability insurance, and workers' compensation insurance.

10. CONFLICT OF INTEREST

CONTRACTOR covenants that CONTRACTOR presently has no employment or interest and shall not acquire any employment or interest, direct or indirect, including any interest in any business, property, or source of income, which would conflict in any manner or degree with the performance of services required to be performed under this Agreement. CONTRACTOR further covenants that in the performance of this Agreement, no person having any such interest shall be employed by CONTRACTOR. CONTRACTOR must promptly disclose to COUNTY, in writing, any potential conflict of interest. COUNTY retains the right to waive a conflict of interest disclosed by CONTRACTOR if COUNTY determines it to be immaterial, and such waiver is only effective if provided by COUNTY to CONTRACTOR in writing.

11. OWNERSHIP OF DOCUMENTS AND INTELLECTUAL PROPERTY

COUNTY shall be the owner of the following items incidental to this Agreement upon production, whether or not completed: all data collected, all documents of any type whatsoever, all photos, designs, sound or audiovisual recordings, software code, inventions, technologies, and other materials, and any material necessary for the practical use of such items, from the time of collection and/or production whether or not performance under this Agreement is completed or terminated prior to completion. CONTRACTOR shall not release any of such items to other parties except after prior written approval of COUNTY.

Unless otherwise specified in EXHIBIT A, CONTRACTOR hereby assigns to COUNTY all copyright, patent, and other intellectual property and proprietary rights to all data, documents, reports, photos, designs, sound or audiovisual recordings, software code, inventions, technologies, and other materials prepared or provided by CONTRACTOR pursuant to this Agreement (collectively referred to as "Copyrightable Works and Inventions"). COUNTY shall have the unrestricted authority to copy, adapt, perform, display, publish, disclose, distribute, create derivative works from, and otherwise use in whole or in part, any Copyrightable Works and Inventions. CONTRACTOR agrees to take such actions and execute and deliver such documents as may be needed to validate, protect and confirm the rights and assignments provided hereunder. CONTRACTOR warrants that any Copyrightable Works and Inventions and other items provided under this Agreement will not infringe upon any intellectual property or proprietary rights of any third party. CONTRACTOR at its own expense shall defend, indemnify, and hold harmless COUNTY against any claim that any Copyrightable Works or Inventions or other items provided by CONTRACTOR hereunder infringe upon intellectual or other proprietary rights of a third party, and CONTRACTOR shall pay any damages, costs, settlement amounts, and fees (including attorneys' fees) that may be incurred by COUNTY in connection with any such claims. This Ownership of Documents and Intellectual Property provision shall survive expiration or termination of this Agreement.

12. NO PUBLICITY OR ENDORSEMENT

CONTRACTOR shall not use COUNTY's name or logo or any variation of such name or logo in any publicity, advertising or promotional materials. CONTRACTOR shall not use COUNTY's name or logo in any manner that would give the appearance that the COUNTY is endorsing CONTRACTOR. CONTRACTOR shall not in any way contract on behalf of or in the name of COUNTY. CONTRACTOR shall not release any informational pamphlets, notices, press releases, research reports, or similar public notices concerning the COUNTY or its projects, without obtaining the prior written approval of COUNTY.

13. COUNTY PROPERTY AND INFORMATION

All of COUNTY's property, documents, and information provided for CONTRACTOR's use in connection with the services shall remain COUNTY's property, and CONTRACTOR shall return any such items whenever requested by COUNTY and whenever required according to the Termination section of this Agreement. CONTRACTOR may use such items only in connection with providing the services. CONTRACTOR shall not disseminate any COUNTY property, documents, or information without COUNTY's prior written consent.

14. RECORDS, AUDIT, AND REVIEW

CONTRACTOR shall keep such business records pursuant to this Agreement as would be kept by a reasonably prudent practitioner of CONTRACTOR's profession and shall maintain such records for at least four (4) years following the termination of this Agreement. All accounting records shall be kept in accordance with generally accepted accounting principles. COUNTY shall have the right to audit and review all such documents and records at any time during CONTRACTOR's regular business hours or upon reasonable notice. In addition, if this Agreement exceeds ten thousand dollars (\$10,000.00), CONTRACTOR shall be subject to the examination and audit of the California State Auditor, at the request of the COUNTY or as part of any audit of the COUNTY, for a period of three (3) years after final payment under the Agreement (Cal. Govt. Code Section 8546.7). CONTRACTOR shall participate in any audits and reviews, whether by COUNTY or the State, at no charge to COUNTY.

If federal, state or COUNTY audit exceptions are made relating to this Agreement, CONTRACTOR shall reimburse all costs incurred by federal, state, and/or COUNTY governments associated with defending against the audit exceptions or performing any audits or follow-up audits, including but not limited to: audit fees, court costs, attorneys' fees based upon a reasonable hourly amount for attorneys in the community, travel costs, penalty assessments and all other costs of whatever nature. Immediately upon notification from COUNTY, CONTRACTOR shall reimburse the amount of the audit exceptions and any other related costs directly to COUNTY as specified by COUNTY in the notification.

15. INDEMNIFICATION AND INSURANCE

CONTRACTOR agrees to the indemnification and insurance provisions as set forth in EXHIBIT C attached hereto and incorporated herein by reference.

16. NONDISCRIMINATION

COUNTY hereby notifies CONTRACTOR that COUNTY's Unlawful Discrimination Ordinance (Article XIII of Chapter 2 of the Santa Barbara County Code) applies to this Agreement and is incorporated herein by this reference with the same force and effect as if the ordinance were specifically set out herein and CONTRACTOR agrees to comply with said ordinance.

17. NONEXCLUSIVE AGREEMENT

CONTRACTOR understands that this is not an exclusive Agreement and that COUNTY shall have the right to negotiate with and enter into contracts with others providing the same or similar services as those provided by CONTRACTOR as the COUNTY desires.

18. NON-ASSIGNMENT

CONTRACTOR shall not assign, transfer or subcontract this Agreement or any of its rights or obligations under this Agreement without the prior written consent of COUNTY and any attempt to so assign, subcontract or transfer without such consent shall be void and without legal effect and shall constitute grounds for termination.

19. TERMINATION

- A. **By COUNTY.** COUNTY may, by written notice to CONTRACTOR, terminate this Agreement in whole or in part at any time, whether for COUNTY's convenience, for nonappropriation of funds, or because of the failure of CONTRACTOR to fulfill the obligations herein.
 1. **For Convenience.** COUNTY may terminate this Agreement in whole or in part upon thirty (30) days written notice. During the thirty (30) day period, CONTRACTOR shall, as directed by COUNTY, wind down and cease its services as quickly and efficiently as reasonably possible, without performing

unnecessary services or activities and by minimizing negative effects on COUNTY from such winding down and cessation of services.

2. **For Nonappropriation of Funds.** Notwithstanding any other provision of this Agreement, in the event that no funds or insufficient funds are appropriated or budgeted by federal, state or COUNTY governments, or funds are not otherwise available for payments in the fiscal year(s) covered by the term of this Agreement, then COUNTY will notify CONTRACTOR of such occurrence and COUNTY may terminate or suspend this Agreement in whole or in part, with or without a prior notice period. Subsequent to termination of this Agreement under this provision, COUNTY shall have no obligation to make payments with regard to the remainder of the term.
 3. **For Cause.** Should CONTRACTOR default in the performance of this Agreement or materially breach any of its provisions, COUNTY may, at COUNTY's sole option, terminate or suspend this Agreement in whole or in part by written notice. Upon receipt of notice, CONTRACTOR shall immediately discontinue all services affected (unless the notice directs otherwise) and notify COUNTY as to the status of its performance. The date of termination shall be the date the notice is received by CONTRACTOR, unless the notice directs otherwise.
- B. By CONTRACTOR. Should COUNTY fail to pay CONTRACTOR all or any part of the payment set forth in EXHIBIT B, CONTRACTOR may, at CONTRACTOR's option terminate this Agreement if such failure is not remedied by COUNTY within thirty (30) days of written notice to COUNTY of such late payment.
- C. Upon termination, CONTRACTOR shall deliver to COUNTY all data, estimates, graphs, summaries, reports, and all other property, records, documents or papers as may have been accumulated or produced by CONTRACTOR in performing this Agreement, whether completed or in process, except such items as COUNTY may, by written permission, permit CONTRACTOR to retain. Notwithstanding any other payment provision of this Agreement, COUNTY shall pay CONTRACTOR for satisfactory services performed to the date of termination to include a prorated amount of compensation due hereunder less payments, if any, previously made. In no event shall CONTRACTOR be paid an amount in excess of the full price under this Agreement nor for profit on unperformed portions of service. CONTRACTOR shall furnish to COUNTY such financial information as in the judgment of COUNTY is necessary to determine the reasonable value of the services rendered by CONTRACTOR. In the event of a dispute as to the reasonable value of the services rendered by CONTRACTOR, the decision of COUNTY shall be final. The foregoing is cumulative and shall not affect any right or remedy which COUNTY may have in law or equity.

20. SECTION HEADINGS

The headings of the several sections, and any Table of Contents appended hereto, shall be solely for convenience of reference and shall not affect the meaning, construction or effect hereof.

21. SEVERABILITY

If any one or more of the provisions contained herein shall for any reason be held to be invalid, illegal or unenforceable in any respect, then such provision or provisions shall be deemed severable from the remaining provisions hereof, and such invalidity, illegality or unenforceability shall not affect any other provision hereof, and this Agreement shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein.

22. REMEDIES NOT EXCLUSIVE

No remedy herein conferred upon or reserved to COUNTY is intended to be exclusive of any other remedy or remedies, and each and every such remedy, to the extent permitted by law, shall be cumulative and in addition to any other remedy given hereunder or now or hereafter existing at law or in equity or otherwise.

23. TIME IS OF THE ESSENCE

Time is of the essence in this Agreement and each covenant and term is a condition herein.

24. NO WAIVER OF DEFAULT

No delay or omission of COUNTY to exercise any right or power arising upon the occurrence of any event of default shall impair any such right or power or shall be construed to be a waiver of any such default or an acquiescence therein; and every power and remedy given by this Agreement to COUNTY shall be exercised from time to time and as often as may be deemed expedient in the sole discretion of COUNTY.

25. ENTIRE AGREEMENT AND AMENDMENT

In conjunction with the matters considered herein, this Agreement contains the entire understanding and agreement of the parties and there have been no promises, representations, agreements, warranties or undertakings by any of the parties, either oral or written, of any character or nature hereafter binding except as set forth herein. This Agreement may be altered, amended or modified only by an instrument in writing, executed by the parties to this Agreement and by no other means. Each party waives their future right to claim, contest or assert that this Agreement was modified, canceled, superseded, or changed by any oral agreements, course of conduct, waiver or estoppel.

26. SUCCESSORS AND ASSIGNS

All representations, covenants and warranties set forth in this Agreement, by or on behalf of, or for the benefit of any or all of the parties hereto, shall be binding upon and inure to the benefit of such party, its successors and assigns.

27. COMPLIANCE WITH LAW

CONTRACTOR shall, at its sole cost and expense, comply with all County, State and Federal ordinances and statutes now in force or which may hereafter be in force with regard to this Agreement. The judgment of any court of competent jurisdiction, or the admission of CONTRACTOR in any action or proceeding against CONTRACTOR, whether COUNTY is a party thereto or not, that CONTRACTOR has violated any such ordinance or statute, shall be conclusive of that fact as between CONTRACTOR and COUNTY.

28. CALIFORNIA LAW AND JURISDICTION

This Agreement shall be governed by the laws of the State of California. Any litigation regarding this Agreement or its contents shall be filed in the County of Santa Barbara, if in state court, or in the federal district court nearest to Santa Barbara County, if in federal court.

29. EXECUTION OF COUNTERPARTS

This Agreement may be executed in any number of counterparts and each of such counterparts shall for all purposes be deemed to be an original; and all such counterparts, or as many of them as the parties shall preserve undestroyed, shall together constitute one and the same instrument.

30. AUTHORITY

All signatories and parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, CONTRACTOR hereby warrants that it shall not have breached the terms or conditions of any other contract or agreement to which CONTRACTOR is obligated, which breach would have a material effect hereon.

31. SURVIVAL

All provisions of this Agreement which by their nature are intended to survive the termination or expiration of this Agreement shall survive such termination or expiration.

32. PRECEDENCE

In the event of conflict between the provisions contained in the numbered sections of this Agreement and the provisions contained in the Exhibits, the provisions of the Exhibits shall prevail over those in the numbered sections.

33. STATE ENERGY CONSERVATION PLAN

CONTRACTOR agrees to comply with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (42 U.S.C. 6201).

34. PROHIBITION OF EXPENDING LOCAL AGENCY STATE OR FEDERAL FUNDS FOR LOBBYING

A. CONTRACTOR, by signing this Agreement, hereby certifies to the best of his, her or its knowledge and belief that:

1. No state, federal or local agency appropriated funds have been paid, or will be paid by-or-on behalf of CONTRACTOR to any person for influencing or attempting to influence an officer or employee of any state or federal agency; a Member of the State Legislature or United States Congress; an officer or employee of the Legislature or Congress; or any employee of a Member of the Legislature or Congress, in connection with the awarding of any state or federal contract; the making of any state or federal grant; the making of any state or federal loan; the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state or federal contract, grant, loan, or cooperative agreement.

2. If any funds other than federal appropriated funds have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency; a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress; in connection with this federal contract, grant, loan, or cooperative agreement; CONTRACTOR shall complete and submit California State Standard Form-LLL, "Disclosure Form to Report Lobbying," to the COUNTY and in accordance with the instructions found therein.

B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

C. CONTRACTOR also agrees by signing this document that he, she or it shall require that the language of this certification be included in all lower-tier subcontracts, which exceed \$100,000 and that all such sub recipients shall certify and disclose accordingly.

35. CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

A. Clean Air Act

1. CONTRACTOR agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.
2. CONTRACTOR agrees to report each violation to the California Environmental Protection Agency and understands and agrees that the California Environmental Protection Agency will, in turn, report each violation as required to assure notification to the COUNTY, Federal Agency which provided funds in support of this Agreement, and the appropriate Environmental Protection Agency Regional Office.
3. CONTRACTOR agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance.

B. Federal Water Pollution Control Act

1. CONTRACTOR agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq.
2. CONTRACTOR agrees to report each violation to the California State Water Resources Control Board and understands and agrees that the California State Water Resources Control Board will, in turn, report each violation as required to assure notification to the COUNTY, Federal Agency which provided funds in support of this Agreement, and the appropriate Environmental Protection Agency Regional Office.
3. CONTRACTOR agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance.

36. CONTRACTOR ASSURANCE FOR COMPLIANCE

CONTRACTOR agrees it will comply with Title VI and VII of the Civil Rights Act of 1964 as amended; Section 504 of the Rehabilitation Act of 1973 as amended; the Age Discrimination Act of 1975 as amended; the Food Stamp Act of 1977, as amended and in particular Section 272.6; Title II of the Americans with Disabilities Act of 1990; California Civil Code Section 51, et seq., as amended; California Government Code Section 11135-11139.8, as amended; California Government Code Section 12940; California Government Code Section 4450; Title 22, California Code of Regulations Section 98000 – 98413; Title 24 of the California Code of Regulations, Section 3105A(e); the Dymally-Alatorre Bilingual Services Act (California Government Code Section 7290-7299.8); Section 1808 of the Removal of Barriers to Interethnic Adoption Act of 1996; and other applicable federal and state laws, as well as their implementing regulations [including 45 Code of Federal Regulations (CFR) Parts 80, 84, and 91, 7 CFR Part 15, and 28 CFR Part 42], by ensuring that employment practices and the administration of public assistance and social services programs are nondiscriminatory, to the effect that no person shall because of ethnic group identification, age, sex, sexual orientation, gender identity, color, disability, medical condition, national origin, race, ancestry, marital status, religion, religious creed, political belief, or other applicable protected basis be excluded from participation in or be denied the benefits of, or be otherwise subject to discrimination under any program or activity receiving federal or state financial assistance; and CONTRACTOR gives its assurance that it will immediately take any measures necessary to effectuate this agreement.

This assurance is given in consideration of and for the purpose of obtaining any and all federal and state assistance; and CONTRACTOR hereby gives assurance that administrative methods/procedures which have the effect of subjecting individuals to discrimination or defeating the objectives of the California Department of Social Services (CDSS) Manual of Policies and Procedures (MPP) Division 21, will be prohibited.

CONTRACTOR agrees to compile data, maintain records and submit reports as required, to permit effective enforcement of the aforementioned laws, rules and regulations and permit authorized COUNTY, CDSS and/or federal government personnel, during normal working hours, to review such records, books and accounts as needed to ascertain compliance. If there are any violations of this assurance, COUNTY and CDSS shall have the right to invoke

fiscal sanctions or other legal remedies in accordance with Welfare and Institutions Code Section 10605, or Government Code Section 11135-11139.8, or any other laws, or the issue may be referred to the appropriate federal agency for further compliance action and enforcement of this assurance.

37. CONFIDENTIAL INFORMATION

Contractor shall safeguard confidential information in accordance with applicable law, including Welfare and Institutions Code section 10850, et seq., and California Department of Social Services Manual of Policies and Procedures Division 19.

CONTRACTOR agrees to maintain the confidentiality of client records pursuant to: Title 42 United State Code (USC) Section 290 dd-2; Title 42 Code of Federal Regulations (CFR), Part 2; 45 CFR Section 96.132(e), 45 CFR Parts 160, 162, and 164; Title 22 California Code of Regulations (CCR) Section 51009; California Welfare & Institutions Code (W&IC) Section 14100.2; California Health and Safety Code (HSC) Sections 11812 and 11845.5; and California Civil Code Sections 56 - 56.37, and 1798.80 -1798.86. Client records must comply with all appropriate State and Federal requirements. CONTRACTOR shall ensure that no list of persons receiving services under this Agreement is published, disclosed, or used for any purpose except for the direct administration of this program or other uses authorized by law that are not in conflict with requirements for confidentiality contained in the preceding codes and regulations.

38. BUSINESS ASSOCIATE

The parties agree to the terms and conditions set forth in EXHIBIT D - HIPAA Business Associate Agreement (BAA), attached hereto and incorporated herein by reference.


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Agreement for Services of Independent Contractor between the **County of Santa Barbara** and **Casa Pacifica Centers for Children and Families**.

IN WITNESS WHEREOF, the parties have executed this Agreement to be effective on the date executed by COUNTY.

ATTEST:

Mona Miyasato
County Executive Officer
Clerk of the Board

By: 
Deputy Clerk

COUNTY OF SANTA BARBARA:

By: 
Laura Capps, Chair
Board of Supervisors
Date: 7-1-25


RECOMMENDED FOR APPROVAL:

Social Services

Signed by:
By: 
11A5E47EB26A45A...
Department Head

CONTRACTOR:

Casa Pacifica Centers for Children and Families

Signed by:
By: 
ADEF66E32CD84D8...
Authorized Representative
Name: Shawna Morris, MPA
Title: Chief Executive Officer


APPROVED AS TO FORM:

Rachel Van Mullem
County Counsel

DocuSigned by:
By: 
561262F0B51A41B...
Deputy County Counsel

APPROVED AS TO ACCOUNTING FORM:

Betsy M. Schaffer, CPA
Auditor-Controller

Signed by:
By: 
DF6DB6D7D6344E6...
Deputy

APPROVED AS TO FORM:

Greg Milligan, ARM
Risk Management

Signed by:
By: 
05F555F00269466...
Risk Management

EXHIBIT A

STATEMENT OF WORK

I. BACKGROUND

Senate Bill 163 (SB 163) (Chapter 795, Statutes of 1997) provides for the establishment of a statewide project to keep eligible foster care children/youth in or return them to permanent family settings (Wraparound Services).

In 2019, the California Legislature passed legislation requiring the state and counties to establish a coordinated Family Urgent Response System (FURS) for current and former foster children, youth, nonminor dependents (NMD) and their caregivers. [California Welfare & Institution Code (W&I) § 16526, et seq.] The intent of FURS is to establish a coordinated, statewide, regional, and county-level system designed to provide collaborative and timely state-level phone-based response and county-level in-home, in-person mobile response during situations of instability for the purposes of preserving the relationship of the caregiver and the child, youth, or NMD. FURS will provide developmentally appropriate relationship conflict management and resolution skills, stabilize the living situation, mitigate the distress of the caregiver or child, youth or NMD, connect the caregiver and child, youth or NMD to the existing array of local services, and promote a healthy and healing environment for children, youth, NMDs and families.

II. DEFINITIONS

Family Facilitator (FF) – The FF shall coordinate and assist in the implementation of the child/youth and family's individualized Family Care Plan (FCP); provide Wraparound Services to children/youth and their families; serve as a neutral mediator between team members and the child/youth and family; ensure communication between agencies and the child/youth and family's natural resources; and work in partnership with Parent Partners to facilitate meetings to establish and modify the FCP. FF shall be Master's level staff and licensed/waivered/registered mental health professionals as described in Title 9, California Code of Regulations Sections 1810.223 and 1810.254.

Parent Partner (PP) – The PP will function as part of the Facilitation Team and will have experience as a caregiver outside of a professional setting with an experience of having a child/youth who has been in a mental health setting. Preference will be given to those parents who have accessed services for their child/youth through a residentially based setting. PP's shall be Qualified Mental Health Workers (QMHWs).

Qualified Mental Health Workers (QMHW)s are individuals who hold a college degree in a field related to mental health, including child development, child psychology, counseling and guidance, counseling psychology, early childhood education, human services, social psychology, social science, social welfare, social work, sociology, or another discipline determined by the Mental Health Plan Director or designee to have mental health application: a) staff with an Associate's degree must have the equivalent of two years full-time experience in a mental health setting in the areas of psychosocial functioning, social adjustment, or vocational adjustment, or a combination thereof; or b) staff with a Bachelor's degree must have the equivalent of one year of full-time experience in a mental health setting in the areas of psychosocial functioning, social adjustment, or vocational adjustment, or a combination thereof.

Child and Family Specialist (CFS) - Works with the FF and PP and participates in the Child and Family Team (CFT) to provide services to the child/youth and family as identified in the FCP. These services include skill development, advocacy, intervention and support to the child/youth and family to help them meet identified goals. The CFS is responsible for working with children/youth and their families in their home/out-of-home placements. CFS's shall have a minimum of two years of experience working with children/youth and families in Wraparound Services and shall be responsible to participate in the CTF; to provide services directly to child/youth and their families as described in the FCP; to assist the child/youth and family with locating and/or accessing resources to further the FCP; to provide the child/youth and family with resources and support to further the FCP until the identified resource becomes available; to promote partnerships between the child/youth and family and the professionals serving them; and to promote family decision-making.

Facilitation Team (FT) – FT will pair a FF and PP as equal collaborators. These positions will be employed through the contracted agency and both the FF and PP positions will be seen as neutral across the three (3) main Santa Barbara County Departments: Department of Social Services (DSS), Behavioral Wellness, and Probation, which are all involved in the Wraparound Services program. FT duties shall include resource development, convening and engaging Individualized Wraparound Teams including natural and system supports, scheduling and hosting Wraparound planning meetings for individual children/youth and families, facilitating an ongoing planning process and documenting, submitting and explaining FCP to the Interagency Placement Committee. FT will be required to perform these duties countywide.

Individualized Wraparound Team (IWT) – IWT is comprised of child/youth, family, FF, PP, CFT, and County Case Manager (CCM).

Interagency Placement Committee (IPC) – Committee is comprised of multiple agencies that must minimally include the COUNTY placement agencies and Behavioral Wellness as required under Welfare and Institutions Code Section 4096.

Family Budget (FB) – Budget for the child/youth and their family based on FCP and a reconciliation of actual costs against that budget.

Family Care Plan (FCP) - A dynamic document that describes the child/youth, family, IWT, and the work to be undertaken to meet the child/youth and family's needs and achieve the child/youth and family's long-term vision.

Family-based setting – A family-based setting refers to situations when a child or non-minor dependent reunifies with a parent, guardian, Indian custodian, or other caregivers or is placed with an approved resource family, including relatives and nonrelative extended family members, or a tribally approved home.

Family First Prevention Services Act (FFPSA) Part IV Aftercare Requirements – Pursuant to [All County Letter 21-116](#), by October 1, 2021, each county child welfare agency, probation department, and mental health plan (MHP), in consultation with the local interagency leadership team established pursuant to Welfare and Institutions Code, Section 16521.6, will jointly provide, arrange for, or ensure the provision of at least six months of post-discharge aftercare services to be provided to children discharged from a placement in an Short-Term Residential Treatment Program (STRTP) or from an out-of-state residential facility to a family-based setting. Initial implementation of aftercare services will leverage current Wraparound programs. By October 1, 2022, aftercare services in California must utilize the state's high-fidelity Wraparound model and be in full compliance with the California Wraparound Standards currently specified in [All County Information Notices I-52-15](#).

High-fidelity Wraparound – High-fidelity Wraparound model is a structured, team-based process that uses an evidence-based, nationally-recognized model which partners with families to use their voice and strengths to develop a family-driven plan which promotes self-advocacy. The high-fidelity Wraparound requirements for both providers and counties will include the following areas: workforce development (training, coaching, curriculum), fiscal planning and sustainability (fiscal structures, leveraging of funds across systems), and fidelity and outcomes data (data collection sources, fidelity and outcome measures, and continuous quality improvement processes).

No Eject, No Reject - No child/youth or family will be refused services because of their needs or history, and no family will be ejected from Wraparound Services because of challenges or difficulties.

Caregiver - Person responsible for meeting the daily care needs of a current or former foster child/youth, and who is entrusted to provide a loving and supportive environment for the child or youth to promote their healing from trauma. Caregiver is defined broadly and includes individuals beyond a parent who are acting in a caregiving role.

Current or former foster child or youth - Includes a child/youth adjudicated under California Welfare & Institution Code (W&I) § 300, 601, or 602 and who is served by a county child welfare agency or probation department, and a child/youth who has exited foster care to reunification, guardianship, or adoption. A current or former foster child/youth shall be eligible for services until they attain 21 years of age. There is no time restriction on when an exit must have occurred for a former foster youth. For example, a 17-year-old former foster youth adopted, reunified, or appointed a legal guardian at 2 years old can still access FURS.

Instability - A situation of emotional tension or interpersonal conflict between a caregiver and a child/youth that may threaten their relationship and may lead to a disruption in the current living situation. Instability is defined broadly to include situations in which the child, youth, or caregiver feel they need support and does not require the child/youth to be the presenting problem or for the situation to rise to the level of a mental health crisis.

In-home - The place where the child/youth and caregiver are located, preferably in the home, or at some other mutually agreeable location.

Mobile response - The provision of in-person, flexible, responsive, and supportive services where the caregiver and child/youth are located to provide them with support and prevent the need for a 911 call or law enforcement contact.

III. SCOPE OF SERVICES

A. CONTRACTOR shall: Provide eligible children/youth and families Wraparound Services ensuring they are individually tailored during each phase of the wraparound process: Engagement and Team Preparation, Initial Plan Development, Implementation, and Transition.

1. Engagement and Team Preparation - This phase includes the initial conversations about strengths, needs, culture and vision. It sets the tone for teamwork and for team interactions that are necessary, and consistent with wraparound principles. This is a phase that is completed in the early weeks of the relationship so that the IWT can begin the individualized process of developing the FCP.

2. Initial Plan Development - During this phase, team trust and mutual respect are built while the IWT creates the FCP using a high-quality planning process that reflects wraparound principles. In particular, child/youth and family should feel, during this phase, that they are heard, that the needs chosen are ones they want to work on, and that the options chosen have a reasonable chance of helping them meet these needs.
3. Implementation - During this phase, the initial wraparound plan is implemented, progress and successes are continually reviewed, and changes are made to the FCP and then implemented, all while maintaining or building team cohesiveness and mutual respect. The activities of this phase are repeated until the IWT's mission is achieved and formal wraparound is no longer needed.
4. Transition - During this phase, plans are made for a purposeful transition out of formal wraparound to a mix of formal and natural supports in the community (and, if appropriate, to services and supports in the adult system). The focus on transition is continual during the wraparound process, and the preparation for transition is apparent even during the initial engagement activities.
5. All services proposed shall reflect essential elements of Wraparound Services, which include but are not limited to:
 - i. Families have a high level of decision-making power at every level of Wraparound Services.
 - ii. Wraparound Services are community-based and encourage the family's use of natural support and community-based resources to establish a permanent family setting.
 - iii. Wraparound Services and supports are individualized, built on strengths, and meet the needs of children/youth and families across the life domains to promote success, safety, and permanency in their homes, schools and communities.
 - iv. The process is culturally relevant, building on the unique values, preferences, and strengths of children/youth, and families, and their communities.
 - v. FCP include a balance of formal services and informal community and family resources, with greater reliance on informal supports over time.
 - vi. Outcomes are determined and measured for the Wraparound Services program, and the child/youth and family.
6. Be responsible for ensuring community-based interventions, including the delivery of tiered, highly coordinated, highly individualized no reject/no eject services, to achieve positive outcomes in the life of the child/youth and their family.
7. Wraparound Services shall require CONTRACTOR to establish a program to maintain eligible children/youth in a permanent family setting or return children/youth to a permanent family setting.

8. Wraparound Services will require the development of FCP.
9. FCP shall be developed in consultation with each family to build upon individual and family strengths.
10. FCP shall identify specific strategies to establish a permanent family setting for children/youth and families.
11. FCP shall reflect the child/youth and family's cultural preferences.
12. Wraparound Services shall be structured to allow children/youth to grow up in a safe, stable, permanent family environment.
13. Wraparound Services shall incorporate the following programmatic principles:
 - i. Enhance strengths of each family to establish or maintain child/youth permanency with family.
 - ii. Promote child/youth and parent involvement to establish FCP.
 - iii. Use community-based services to complement Wraparound Services.
 - iv. Establish an FCP that will create independence and stability in child/youth's family setting.
 - v. Focus on achieving positive outcomes for the child/youth and family.
14. Provide a FURS Program including immediate phone response, triage services, mobile response, and follow-up referrals and support. Mobile response systems will provide in-person support twenty-four (24) hours per day, seven (7) days per week, including during normal business hours. FURS staff will identify any additional support or ongoing stabilization needs for the child, youth and/or caregiver, develop a plan for, or referral to, appropriate supportive services within the county. FURS is available to current and former foster children and youth up to the age of twenty one (21) and their caregivers with the following goals:
 - i. Prevent, reduce, and decrease placement disruptions and preserve the relationship between the child/youth and their caregiver.
 - ii. Prevent the need for a 911 call or law enforcement involvement and avoid the criminalization of traumatized children/youth.
 - iii. Prevent psychiatric hospitalization and placement of child/youth into congregate care.
 - iv. Provide current and former foster child/youth and their caregivers with immediate, trauma-informed support when they need it.
 - v. Prevent placement moves for children/youth.
 - vi. Preserve the relationship between the child or youth and their caregiver.

- vii. Provide a trauma-informed alternative for children, youth and/or caregivers who previously resorted to calling 911 or law enforcement.
 - viii. Reduce child/youth hospitalizations, law enforcement contacts, and placement in out-of-home facilities.
 - ix. Promote healing as a family.
 - x. Improve retention of current foster caregivers.
 - xi. Promote stability for child/youth in foster care, including youth in extended foster care.
15. Operate a 24/7/365 phone line for FURS services to receive incoming calls from child/youth and/or caregiver, and provide a warm handoff to callers from the state FURS hotline. Must establish a dedicated and published phone number for the provision of access to immediate intervention services through telephone support and in-person mobile response. Answering services cannot be used. Phone line must include protocols for obtaining interpreter services for limited English proficient and Deaf and/or Hard of Hearing callers.
16. For in-person responses, the FURS Response Team (FRT) staff will be deployed to provide mobile in-person/face-to-face trauma informed and culturally responsive crisis intervention, mediation, and support for foster child/youth and/or their caregivers who are experiencing crisis, or emotional, physical, or behavioral distress that, without immediate support, risks disruption to the current living situation. The hotline and FRT will not include law enforcement.
17. Whether by phone or in person, FURS services will include the following trauma informed, culturally and linguistically responsive interventions for children, youth and/or caregiver:
- i. Brief mental health and substance use disorder screening.
 - ii. Strength based risk assessment.
 - iii. Crisis intervention.
 - iv. De-escalation and stabilization of the situation.
 - v. Safety planning.
 - vi. Mediation.
 - vii. Coaching.
 - viii. Stress reduction.
 - ix. Information and referrals.

- x. Linkages to needed services and resources.

IV. TARGET POPULATION

A. The target population of children/youth who are eligible to receive Wraparound Services shall be:

1. At high-risk of dependency, or a Welfare and Institutions Code Section 300 dependent or Welfare and Institutions Code Section 602 ward, or Adoption Assistance Program (AAP) eligible; and
2. Currently placed, or at risk of placement, in a group home or STRTP, or, discharged from a STRTP placement to a family-based setting (must receive at least six months of Wraparound Services per the FFPSA Part IV aftercare requirements); and
3. A family member, legal guardian or potential substitute care provider has agreed to strength-based, family-centered, community-based services and has the willingness and ability to utilize Wraparound Services.

B. The target population who are eligible to receive FURS services shall be:

1. Current or former foster youth and caregivers who are referred directly through the state operated FURS hotline.

V. DUTIES AND RESPONSIBILITIES:

A. CONTRACTOR shall:

1. Develop, provide and case manage all Wraparound Services activities in a manner consistent with Wraparound philosophy of providing unconditional commitment to support families to safely and competently care for their children/youth and in the best interests of the child/youth and family.
2. Provide intensive, individualized and strength-based, needs-driven intervention activities that support the engagement and participation of the child/youth and his/her significant support persons and to help the child/youth develop skills and achieve the goals and objectives of the FCP.
3. Provide Wraparound Services an average ongoing caseload of up to fifteen (15) children/youth and their families.
4. Facilitate the Wraparound Services program planning process (individualized, family-centered, strength-based, and needs driven care).
5. Provide intensive case management to children/youth and families.

6. Provide Wraparound Services to child/youth and families twenty-four (24) hours per day, seven (7) days a week.
7. Be flexible in provision of Wraparound Services in terms of location, time, planning, response, and funding.
8. Secure Wraparound Services from a network of providers and complete appropriate service authorization and agreements.
9. Develop and facilitate all Wraparound Service activities in a manner consistent with Wraparound philosophy.
10. Meet high-fidelity Wraparound program requirements and obtain Wraparound certification from CDSS and DHCS, or their designee.
11. Adhere to a “no reject/no eject” policy.
12. Work in collaboration with major Wraparound Services stakeholders including DSS, Probation and Behavioral Wellness.
13. Coordinate, select, and convene IWT for purposes of providing Wraparound Services to children/youth and families.
14. Develop and coordinate formal as well as natural supports and Wraparound Services for children/youth, and families.
15. Develop a parent support network for parents and/or caregivers of children/youth in connection with providing Wraparound Services.
16. Employ and train staff who can meet the cultural and linguistic needs of children/youth.
17. Ensure CONTRACTOR staff attend training to support ongoing skill development and the incorporation of evidence based practices into the Wraparound Services delivery.
18. Provide outcome measures consistent with SB 163 requirements, and meet DSS outcome expectations.
19. Comply with the State Department of Mental Health to maintain Medi-Cal certification/eligibility and be able to provide the full range of services.
20. Ensure CFT, including all professional and non-professional participants, have a voice in all aspects of the Wraparound Services process.
21. Coordinate Wraparound Services delivery with COUNTY agencies, staff, the courts, community members, families and schools.

22. Aggregate all revenue to provide flexibility in service provision to maximize service to all children/youth and families in the Wraparound Services program.
23. Receive incoming calls from the FURS statewide hotline staff and be prepared and able to provide immediate, in-person support 24 hours per day, seven days per week, 365 days per year.
24. Utilize individuals with specialized training in trauma of children or youth and the foster care system on the mobile response and stabilization team. Efforts should be made to include peer partners and those with lived experience in the response team and follow-up efforts whenever possible.
25. Utilize the information provided by the statewide hotline staff during the warm handoff to determine if and when a mobile response and stabilization team will be sent to the child/youth and caregiver's home.
26. Respond preferably within one hour, but not to exceed three hours in extenuating circumstances for urgent needs, or same-day response within 24 hours for non-urgent situations.
27. Develop a plan to identify if the child/youth has an existing CFT so that efforts can be coordinated to address the instability.
28. Be responsible for making referrals to other county- or community-based supports and services to ensure continuity of care, including, but not limited to, linkage to additional trauma-informed and culturally and linguistically responsive family support services and child/youth and family wellness resources.
29. Provide the family with a plan of action to address identified additional support or ongoing stabilization needs and connect them to needed ongoing services through the existing local system of care.
30. Ensure provision to child/youth and/or caregiver of in-home de-escalation, stabilization, and support services and supports, including all of the following:
 - i. Establishing in-person, face-to-face contact with the child/youth and caregiver.
 - ii. Identifying the underlying causes of, and precursors to, the situation that led to the instability.
 - iii. Identifying the caregiver interventions attempted.
 - iv. Observing the child/youth and caregiver interaction.
 - v. Diffusing the immediate situation.
 - vi. Coaching and working with the caregiver and the child/youth in order to:

1. Preserve the family unit and maintain the current living situation or;
 2. Create a temporary alternative plan if necessary.
 - vii. Establishing connections to other county or community based supports and services to ensure continuity of care, including, but not limited to, linkage to additional trauma-informed and culturally and linguistically responsive family supportive services and youth and family wellness resources.
 - viii. Following up with the family after the initial face-to-face response, for up to 72 hours, to determine if additional supports or services are needed.
 - ix. Identifying any additional support or ongoing stabilization needs for the family and developing a plan for, or referral to, appropriate child/youth and family supportive services within the county.
31. CONTRACTOR will develop a process of communication with Child Welfare Services, Probation Department or Department of Behavioral Wellness within twenty-four (24) business hours for any calls received/services provided to any youth receiving services from these agencies.

B. DSS shall:

1. Provide administrative oversight of the Wraparound Services.
2. Participate as a core member of the IPC.
3. CCM shall:
 - a. Work collaboratively as part of the IWT.
 - b. Ensure that Wraparound Services planning is in support of the Child Welfare Services (CWS) court approved case plan.
 - c. Monitor child/youth and family compliance with applicable Juvenile court orders.
4. Act as lead fiscal agent for the Wraparound Services program.
5. Act as administrator for all contractual functions related to non-Medi-Cal billing.
6. Provide administrative oversight of the FURS Program.
7. Designate department liaison who will be available to provide updates on the FURS system and participate in FURS monthly or quarterly meetings, as scheduled.

C. Behavioral Wellness shall:

1. Chair/Participate as a core member of the IPC.

2. Act as administrator for all contractual functions related to Medi-Cal billing in relation to Wraparound Services.
3. Monitor, process and authorize all Medi-Cal billing in relation to Wraparound Services.

D. Probation shall:

1. Participate as a core member of the IPC.
2. CCM shall:
 - a. Work collaboratively as part of the IWT.
 - b. Ensure that Wraparound Services planning is in support of the CWS court approved case plan.
 - c. Monitor child/youth and family compliance with applicable Juvenile court orders.

E. IPC shall:

1. Monitor the implementation of Wraparound Services.
2. Assess the quality and effectiveness of Wraparound Services.
3. Identify and evaluate additional resources and make recommendations for Wraparound Services program improvement.

VI. OTHER SERVICE REQUIREMENTS

A. CONTRACTOR shall:

1. Conduct Criminal Records Check:
 - a. Ensure that all prospective and existing CONTRACTOR staff performing services as part of, related to, or in connection with this agreement shall have a criminal record check. CONTRACTOR shall pay for any and all associated costs. The criminal record check shall consist of a California Department of Motor Vehicle (CDOJ).
2. Staff Records Check form and Criminal Law Violation Notification:
 - a. Complete and submit the Staff Record Check form (attached hereto as ATTACHMENT A-1) as appropriate existing and prospective staff.
 - b. Prospective CONTRACTOR staff shall commence services only after the results of the live scan have been reported to COUNTY and COUNTY deemed the person suitable for work pursuant to this Agreement. Failure by CONTRACTOR to comply with the criminal record check requirements may result in withholding of invoice payments until compliant.
 - c. CONTRACTOR shall provide written and oral notice, within twenty-four (24) hours of CONTRACTOR's knowledge, of any new criminal law violation by CONTRACTOR staff.

VII. FINANCIAL REPORTING AND TRACKING REQUIREMENTS

A. CONTRACTOR shall:

1. Establish procedures in which the FT in conjunction with the entire IWT develops a FB for the child/youth and their family based anticipated needs. An initial FB must be completed and submitted to the IPC no later than ten (10) days from the completion of the FCP. The FB must cover six (6) months of Wraparound Services along with an estimated FB for the following 6 months. A new FB that outlines costs by month will need to be developed every 6 months thereafter along with a conceptual budget for the following 6 months (12 months total). All new FBs must be approved by IPC prior to the effective date of the FB. Available funding from one FB does not roll forward to the new FB.

All FB's must be approved by the IPC before CONTRACTOR has the authorization to expend any funds to be reimbursed by SB 163 funds. The IPC will meet weekly to discuss all aspects of the Wraparound Services program including screening referrals, determine enrollments and discharges, reviewing/approving the FCP and FBs, reviewing crisis safety plans, monitoring progress towards achieving child/youth, family goals, and service delivery to child/youth and families.

"Emergency Purchases" as described below do not require IPC approval:

- a. Unusual and unforeseen non-medical expenses that are less than \$5,000 that are critical to the success of the FCP, needed immediately, and not part of the currently approved FB.
- b. Costs, up to \$5,000, that need to be incurred after the initial FB is developed and submitted, but prior to the next IPC meeting.

Prior to expending any funds under Emergency Purchases, CONTRACTOR shall obtain authorization from the COUNTY DESIGNATED REPRESENTATIVE. CONTRACTOR must submit, within 48 hours of expending the Emergency Purchases, documentation outlining requested Emergency Purchases, name of child/youth, case number, if applicable, and proof of appropriate authorization. CONTRACTOR can submit this information electronically.

2. Attend the weekly IPC meetings to justify, request and obtain approval for all FBs and any adjustments made to them. This includes final approval of all previously authorized emergency purchases.
3. Reimburse all providers of services rendered under the Wraparound Services program timely.
4. Track all individual child/youth expenditures against the appropriate budget, by individual category and child/youth. CONTRACTOR will be required to allocate all individual costs to their appropriate funding source.

5. Submit or have available for review all original backup documentation that supports all costs being submitted to COUNTY for reimbursement.

VIII. REPORTING REQUIREMENTS

- A. CONTRACTOR shall maintain records, collect data, and provide reports mandated by federal and state governments, and as they may be requested by COUNTY.

Reports shall include, but are not limited to, the following:

1. A monthly project activity report that details participation statistics of activities for the Wraparound Services program for each region as required by the evaluation charts including but not limited to the number of children/youth and families served, including total service hours billed.
2. An accounting report that tracks project related expenditures for Wraparound Services.
3. CONTRACTOR information regarding caseload of Wraparound Services, identification of CONTRACTOR supervisors and staff information, CONTRACTOR employee evaluation information, and training information provided to CONTRACTOR staff in relation to SB 163 and Wraparound Services.
4. CONTRACTOR shall be subject to any monitoring activity necessary to assure compliance with regulations and contractual requirements of Wraparound Services.
5. A monthly project activity report that details participation statistics of activities for FURS as required. The report will include the following:
 - a. List of calls received from statewide hotline staff. The list will include:
 - i. The date and time of call and issue.
 - ii. Name of child(ren)/youth involved.
 - iii. Type of response (i.e.: in person, phone etc).
 - iv. Referral to other agency if any.
 - v. Outcome and follow up findings.
 - vi. Any other pertinent notes that will help the Department Social Services Worker (SSW).
 - b. Reports shall be sent electronically in an Excel format as provided by COUNTY by the fifteenth (15) calendar day of each month following the month in which services were rendered.

IX. PERFORMANCE OBJECTIVES

A. CONTRACTOR shall meet the following Performance Measures:

1. Safety:
 - a. 100% of children/youth receiving Wraparound Services will not have any new, substantiated allegations of child abuse/neglect while receiving Wraparound Services.
 - b. 90% of children/youth who have graduated from the Wraparound Services program will not have any new, substantiated allegations of child abuse/neglect within 6 months of graduation.
2. Permanency:
 - a. 80% of children/youth will maintain community placement (parent/guardian/home based family placement) at three-month follow-up point for Wraparound Services (excluding neutral disenrollments).
 - b. 90% of children/youth will maintain community placement (parent/guardian/home based family placement) at 72-hour follow-up of FURS response.
3. Well-being:
 - a. 50% of children/youth will have improved in emotional and behavioral adjustments by 6 months into the Wraparound Services program as measured by the Child and Adolescent Needs Tool (CANS).
 - b. 80% of children/youth who have graduated from the Wraparound Services program will have improved in emotional and behavioral adjustments as measured by the CANS.
4. Service Delivery Goals:
 - a. 75% of children/youth will be maintained in the Wraparound Services program for a minimum of 3 months (excluding neutral disenrollments).
 - b. 90% of FCP and "FB's" will be completed within 90 days of first contact with child/youth and families for Wraparound Services.
 - c. 90% of child(ren)/youth and families state overall satisfaction with Wraparound Services program at the time of closure/graduation.
 - d. 95% of FURS calls will be responded to timely (not to exceed 3 hours for urgent calls and 24 hours for non-urgent calls).
 - e. 90% of FURS calls will be successfully de-escalated without the need for law enforcement or hospitalization.

- f. 90% of the participants surveyed will express overall satisfaction with the FURS response.

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EXHIBIT B

PAYMENT ARRANGEMENTS Periodic Compensation

- A. For CONTRACTOR services to be rendered under this Agreement, CONTRACTOR shall be paid a total contract amount, including cost reimbursements, not to exceed **\$1,027,947.78**.
- B. Payment for services and /or reimbursement of costs shall be made upon CONTRACTOR's satisfactory performance, based upon the scope and methodology contained in **EXHIBIT A** as determined by COUNTY. Payment for services and/or reimbursement of costs shall be based upon the costs, expenses, overhead charges and rates for personnel, as defined in **EXHIBIT B-1**. Invoices submitted for payment that are based upon **EXHIBIT B-1** must contain sufficient detail to enable an audit of the charges and provide supporting documentation if so specified in **EXHIBIT A**.
- C. On the 15th of the month following the provisions of services, CONTRACTOR shall submit to the COUNTY DESIGNATED REPRESENTATIVE an invoice or certified claim on the County Treasury for the service performed over the period specified. These invoices or certified claims must cite the assigned Board Contract Number. COUNTY DESIGNATED REPRESENTATIVE shall evaluate the quality of the service performed and if found to be satisfactory and within the cost basis of **EXHIBIT B-1** shall initiate payment processing. COUNTY shall pay invoices or claims for satisfactory work within 30 days of receipt of correct and complete invoices or claims from CONTRACTOR.
- D. In order to avoid the double billing of costs, CONTRACTOR agrees to reduce total program expenditures by the cost billed to Medi-cal/Early and Periodic Screening, Diagnosis and Treatment (EPSDT) each month. Based on the annual cost report, COUNTY will prepare an annual reconciliation of Medi-Cal eligible costs and the SB 163 funded costs incurred by families.
- E. Semi-annually, CONTRACTOR must reconcile FB's and submit to COUNTY.
- F. COUNTY's failure to discover or object to any unsatisfactory work or billings prior to payment will not constitute a waiver of COUNTY's right to require CONTRACTOR to correct such work or billings or seek any other legal remedy.
- G. CONTRACTOR will provide COUNTY the receipts for all FB expenditures for each family. COUNTY will reimburse CONTRACTOR for all costs approved in the FB incurred per FY by all families enrolled in Wraparound Services. COUNTY and CONTRACTOR shall approve FB's prior to expenditures identified in the FB.
- H. CONTRACTOR accepts fiscal responsibility for any future audit findings resulting from CONTRACTOR's billings under the Agreement. CONTRACTOR shall refund COUNTY for all costs related to this Agreement, which are disallowed by the California Department of Health Care Services as a result of audit findings or insufficient funds available from the state. CONTRACTOR will not bill COUNTY for any disallowed costs originally billed to Medi-Cal/EPSDT in conjunction with this Wraparound Services program.

EXHIBIT B-1

FY 2025/2026

July 1, 2025 through June 30, 2026

A. SALARIES AND EMPLOYEE BENEFITS

1) Salaries - List each position to be funded by this award.

Position(s)	Full-Time Equivalent (FTE) ¹	Budget for Contract Term	WRAP - SB 163 Funded	FURS - SB 163 Funded
Direct Service Positions				
Family Facilitators	1.50	\$ 70,710	\$ 11,742	\$ 58,968
Lead Family Facilitator	0.25	\$ 17,103	\$ 17,103	
Parent Partners	1.00	\$ 47,132	\$ 23,566	\$ 23,566
Lead Parent Partner	0.00	\$ -	\$ -	\$ -
Child Family Specialists	2.00	\$ 114,289	\$ 60,521	\$ 53,768
Lead Child and Family Specialist	0.50	\$ 29,120	\$ 29,120	
Lead Wraparound Program Liaison	0.50	\$ 33,707	\$ 33,707	
Clinical Supervisors	0.50	\$ 42,672	\$ 42,672	
Program Manager	0.55	\$ 45,052	\$ 38,232	\$ 6,820
Administrative Assistant	0.53	\$ 29,746	\$ 22,156	\$ 7,590
Regional Director	0.05	\$ 24,156	\$ 8,052	\$ 16,104
Assistant Regional Director	0.10	\$ 12,554	\$ 12,554	\$ -
Program Coordinator	0.25	\$ 19,250		\$ 19,250
Overnight/Weekend Coverage	2.95	\$ 92,040		\$ 92,040
Administrative				
Administrative Overhead		\$ 90,812	\$ 48,555	\$ 42,257
Sub-Total Salaries:		\$ 668,343	\$ 347,979	\$ 320,363

¹ FTE = Amount of time employee works on this program. State as decimal based upon a 40 hour work week.

2) Employee Benefits - List type of employee benefit(s) and amount budgeted.

Type of Employee Benefit	Budget for Contract Term	SB 163 Funded	FURS - SB 163 Funded
Direct Service Staff			
Payroll Taxes	\$ 44,181	\$ 22,906	\$ 21,275
Other (Insurance, 401K, Worker Comp)	\$ 121,281	\$ 62,879	\$ 58,402
Administrative Staff			
Payroll Taxes	\$ 6,947	\$ 5,924	\$ 4,531
Other (Insurance, 401K, Worker Comp)	\$ 19,071	\$ 16,263	\$ 12,437
Sub-Total Employee Benefits	\$ 191,480	\$ 107,972	\$ 96,645
Percentage Benefits	28.7%	31.0%	30.2%
TOTAL SALARIES AND EMPLOYEE BENEFITS	\$ 859,823	\$ 455,952	\$ 417,009

B. SERVICES AND SUPPLIES**1) Services - List any consultant(s) or contract services**

Name of Consultant(s)/Contract Services	Budget for Contract Term	SB 163 Funded	FURS - SB 163 Funded
N/A			
Sub-Total Services	\$ -	\$ -	

2) Supplies

Item	Budget for Contract Term	SB 163 Funded	FURS - SB 163 Funded
Office Supplies	\$ 563	\$ 338	\$ 225
Supplies	\$ 563	\$ 338	\$ 225
Auto Expense	\$ 25,145	\$ 15,084	\$ 10,061
Mileage	\$ 4,500	\$ 2,700	\$ 1,800
Telephone	\$ 5,625	\$ 3,375	\$ 2,250
Sub-Total Supplies	\$ 36,395	\$ 21,834	\$ 14,561
TOTAL SERVICES AND SUPPLIES	\$ 36,395	\$ 21,834	\$ 14,561

C. OPERATING EXPENSES

Item	Budget for Contract Term	SB 163 Funded	FURS - SB 163 Funded
Recruiting	\$ 600	\$ 360	\$ 240
Child Related	\$ 2,550	\$ 1,550	\$ 1,000
Conferences and Meetings	\$ 840	\$ 600	\$ 240
Equipment Maint. and rental	\$ 360	\$ 300	\$ 60
Insurance	\$ 13,650	\$ 10,000	\$ 3,650
Payroll Services	\$ 2,370	\$ 1,920	\$ 450
Quality	\$ 17,460	\$ 14,400	\$ 3,060
Rent	\$ 48,000	\$ 44,000	\$ 4,000
Staff Training	\$ 6,540	\$ 5,100	\$ 1,440
Repairs & Maintenance	\$ 3,360	\$ 3,000	\$ 360
Utilities	\$ 10,500	\$ 8,000	\$ 2,500
Computer	\$ 18,900	\$ 13,500	\$ 5,400
Family Budget Costs	\$ 6,000	\$ 6,000	\$ -
Other	\$ 600	\$ 510	\$ 90
Total Operating Expenses	\$ 131,730	\$ 109,240	\$ 22,490
GRAND TOTAL LINE ITEM BUDGET	\$ 1,027,948	\$ 587,026	\$ 454,060
Minus Match	\$ -	\$ -	\$ -
TOTAL BEING REQUESTED	\$ 1,027,947.78	\$ 587,025.79	\$ 454,059.52

D. REVENUE

List all of your organization's current and projected sources and amounts of revenue.

Revenue Source	Revenue Expiration Date	Budget for Contract Term
State & Ventura County Residential Services	6/30/2025	\$ 7,341,898
Private Contract Services	6/30/2025	\$ 4,397,704
Transitional Youth	6/30/2025	\$ 887,196
Ventura County Community Based Services	6/30/2025	\$ 4,023,320
Santa Barbara County Community Based Services	6/30/2025	\$ 8,007,076
Non Public School Services	6/30/2025	\$ 4,036,053
Fundraising/Events	6/30/2025	\$ 4,313,881
Total Revenue		\$ 33,007,128

E. TEN (10) Percent Cash or In-Kind Match Minimum

List all of your organization's current and projected sources and amounts of matching funds for the services your agency is applying to provide.

Source of Matching Funds	Dates funds will be available	Match Amount for Contract Term	Projected or Confirmed
N/A			
Total Match		\$ -	

EXHIBIT C

Indemnification and Insurance Requirements (For contracts involving the care/supervision of children, seniors or vulnerable persons)

INDEMNIFICATION

CONTRACTOR agrees to indemnify, defend (with counsel reasonably approved by COUNTY) and hold harmless COUNTY and its officers, officials, employees, agents and volunteers from and against any and all claims, actions, losses, damages, judgments and/or liabilities arising out of this Agreement from any cause whatsoever, including the acts, errors or omissions of any person or entity and for any costs or expenses (including but not limited to attorneys' fees) incurred by COUNTY on account of any claim except where such indemnification is prohibited by law. CONTRACTOR'S indemnification obligation applies to COUNTY'S active as well as passive negligence but does not apply to COUNTY'S sole negligence or willful misconduct.

NOTIFICATION OF ACCIDENTS AND SURVIVAL OF INDEMNIFICATION PROVISIONS

CONTRACTOR shall notify COUNTY immediately in the event of any accident or injury arising out of or in connection with this Agreement. The indemnification provisions in this Agreement shall survive any expiration or termination of this Agreement.

INSURANCE

CONTRACTOR shall procure and maintain for the duration of this Agreement insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder and the results of that work by the CONTRACTOR, its agents, representatives, employees or subcontractors.

A. Minimum Scope of Insurance

Coverage shall be at least as broad as:

1. **Commercial General Liability (CGL):** Insurance Services Office (ISO) Form CG 00 01 covering CGL on an "occurrence" basis, including products-completed operations, personal & advertising injury, with limits no less than \$1,000,000 per occurrence and \$2,000,000 in the aggregate.
2. **Automobile Liability:** Insurance Services Office Form Number CA 0001 covering, Code 1 (any auto), or if CONTRACTOR has no owned autos, Code 8 (hired) and 9 (non-owned), with limit no less than \$1,000,000 per accident for bodily injury and property damage.
3. **Workers' Compensation:** Insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease. *(Not required if CONTRACTOR provides written verification that it has no employees)*
4. **Professional Liability:** (Errors and Omissions) Insurance appropriate to the CONTRACTOR'S profession, with limit no less than \$2,000,000 per occurrence or claim, \$2,000,000 aggregate.
5. **Sexual Misconduct Liability:** Insurance covering actual or alleged claims for sexual misconduct and/or molestation with limits of not less than \$2 million per claim and \$2 million aggregate, and claims for negligent employment, investigation, supervision, training or retention of, or failure to report to proper authorities, a person(s) who committed any act of abuse, molestation, harassment, mistreatment or maltreatment of a sexual nature.

If the CONTRACTOR maintains broader coverage and/or higher limits than the minimums shown above, the COUNTY requires and shall be entitled to the broader coverage and/or the higher limits maintained by the CONTRACTOR. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the COUNTY.

B. Other Insurance Provisions

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. **Additional Insured** – COUNTY, its officers, officials, employees, agents and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the CONTRACTOR including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the CONTRACTOR'S insurance at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).
2. **Primary Coverage** – For any claims related to this contract, the CONTRACTOR'S insurance coverage shall be primary insurance primary coverage at least as broad as ISO CG 20 01 04 13 as respects the COUNTY, its officers, officials, employees, and volunteers. Any insurance or self-insurance maintained by the COUNTY, its officers, officials, employees, or volunteers shall be excess of the CONTRACTOR'S insurance and shall not contribute with it.
3. **Notice of Cancellation** – Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to the COUNTY.
4. **Waiver of Subrogation Rights** – CONTRACTOR hereby grants to COUNTY a waiver of any right to subrogation which any insurer of said CONTRACTOR may acquire against the COUNTY by virtue of the payment of any loss under such insurance. CONTRACTOR agrees to obtain any endorsement that may be necessary to effect this waiver of subrogation, but this provision applies regardless of whether or not the COUNTY has received a waiver of subrogation endorsement from the insurer.
5. **Deductibles and Self-Insured Retention** – Any deductibles or self-insured retentions must be declared to and approved by the COUNTY. The COUNTY may require the CONTRACTOR to purchase coverage with a lower deductible or retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention.
6. **Acceptability of Insurers** – Unless otherwise approved by Risk Management, insurance shall be written by insurers authorized to do business in the State of California and with a minimum A.M. Best's Insurance Guide rating of "A- VII".
7. **Verification of Coverage** – CONTRACTOR shall furnish the COUNTY with proof of insurance, original certificates and amendatory endorsements as required by this Agreement. The proof of insurance, certificates and endorsements are to be received and approved by the COUNTY before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the CONTRACTOR'S obligation to provide them. The CONTRACTOR shall furnish evidence of renewal of coverage throughout the term of the Agreement. The COUNTY reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.
8. **Failure to Procure Coverage** – In the event that any policy of insurance required under this Agreement does not comply with the requirements, is not procured, or is canceled and not replaced, COUNTY has the right but not the obligation or duty to terminate the Agreement. Maintenance of required insurance coverage is a material element of the Agreement and failure to maintain or renew such

coverage or to provide evidence of renewal may be treated by COUNTY as a material breach of contract.

9. **Subcontractors** – CONTRACTOR shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and CONTRACTOR shall ensure that COUNTY is an additional insured on insurance required from subcontractors.

10. **Claims Made Policies** – If any of the required policies provide coverage on a claims-made basis:

- i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.
- ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of contract work.
- iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the CONTRACTOR must purchase “extended reporting” coverage for a minimum of five (5) years after completion of contract work.

11. **Special Risks or Circumstances** – COUNTY reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

Any change requiring additional types of insurance coverage or higher coverage limits must be made by amendment to this Agreement. CONTRACTOR agrees to execute any such amendment within thirty (30) days of receipt.

Any failure, actual or alleged, on the part of COUNTY to monitor or enforce compliance with any of the insurance and indemnification requirements will not be deemed as a waiver of any rights on the part of COUNTY.

EXHIBIT D

HIPAA BUSINESS ASSOCIATE AGREEMENT (BAA)

This Business Associate Agreement ("BAA") supplements and is made a part of the Agreement between COUNTY (referred to herein as "Covered Entity") and CONTRACTOR (referred to herein as "Business Associate").

RECITALS

Covered Entity wishes to disclose certain information to Business Associate pursuant to the terms of the Agreement, some of which may constitute Protected Health Information ("PHI") (defined below).

Covered Entity and Business Associate intend to protect the privacy and provide for the security of PHI disclosed to Business Associate pursuant to the Agreement in compliance with the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 ("HIPAA"), the Health Information Technology for Economic and Clinical Health Act, Public Law 111-005 ("HITECH Act"), and 45 CFR Parts 160 and 164, Subpart C (the "Security Rule"), Subpart D (the "Data Breach Notification Rule") and Subpart E (the "Privacy Rule") (collectively, the "HIPAA Regulations").

As part of the HIPAA Regulations, the Privacy Rule and the Security Rule (defined below) require Covered Entity to enter into a contract containing specific requirements with Business Associate prior to the disclosure of PHI, as set forth in, but not limited to, Title 45, Sections 164.314(a), 164.502(e) and 164.504(e) of the Code of Federal Regulations (C.F.R.) and contained in this BAA.

In consideration of the mutual promises below and the exchange of information pursuant to this BAA, the parties agree as follows:

A. Definitions

1. **Breach** shall have the meaning given to such term under the HITECH Act [42 U.S.C. Section 17921].
2. **Business Associate** shall have the meaning given to such term under the Privacy Rule, the Security Rule, and the HITECH Act, including but not limited to, 42 U.S.C. Section 17938 and 45 C.F.R. Section 160.103.
3. **Covered Entity** shall have the meaning given to such term under the Privacy Rule and the Security Rule, including, but not limited to, 45 C.F.R. Section 160.103.
4. **Data Aggregation** shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.
5. **Designated Record Set** shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.
6. **Electronic Protected Health Information** means Protected Health Information that is maintained in or transmitted by electronic media.
7. **Electronic Health Record** shall have the meaning given to such term in the HITECH Act, including, but not limited to, 42 U.S.C. Section 17921.
8. **Health Care Operations** shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501.
9. **Privacy Rule** shall mean the HIPAA Regulation that is codified at 45 C.F.R. Parts 160 and 164, Subparts A and E.

10. **Protected Health Information or PHI** means any information, whether oral or recorded in any form or medium: (i) that relates to the past, present or future physical or mental condition of an individual; the provision of health care to an individual; or the past, present or future payment for the provision of health care to an individual; and (ii) that identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual, and shall have the meaning given to such term under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.501. Protected Health Information includes Electronic Protected Health Information [45 C.F.R. Sections 160.103, 164.501].
11. **Protected Information** shall mean PHI provided by Covered Entity to Business Associate or created or received by Business Associate on Covered Entity's behalf.
12. **Security Rule** shall mean the HIPAA Regulation that is codified at 45 C.F.R. Parts 160 and 164, Subparts A and C.
13. **Unsecured PHI** shall have the meaning given to such term under the HITECH Act and any guidance issued pursuant to such Act including, but not limited to, 42 U.S.C. Section 17932(h).

B. Obligations of Business Associate

1. **Permitted Uses.** Business Associate shall not use Protected Information except for the purpose of performing Business Associate's obligations under the Agreement and as permitted under the Agreement and this BAA. Further, Business Associate shall not use Protected Information in any manner that would constitute a violation of the Privacy Rule or the HITECH Act if so used by Covered Entity. However, Business Associate may use Protected Information (i) for the proper management and administration of Business Associate, (ii) to carry out the legal responsibilities of Business Associate, or (iii) for Data Aggregation purposes for the Health Care Operations of Covered Entity [45 C.F.R. Sections 164.504(e)(2)(ii)(A) and 164.504(e)(4)(i)].
2. **Permitted Disclosures.** Business Associate shall not disclose Protected Information except for the purpose of performing Business Associate's obligations under the Agreement and as permitted under the Agreement and this BAA. Business Associate shall not disclose Protected Information in any manner that would constitute a violation of the Privacy Rule or the HITECH Act if so disclosed by Covered Entity. However, Business Associate may disclose Protected Information (i) for the proper management and administration of Business Associate; (ii) to carry out the legal responsibilities of Business Associate; (iii) as required by law; or (iv) for Data Aggregation purposes for the Health Care Operations of Covered Entity. If Business Associate discloses Protected Information to a third party, Business Associate must obtain, prior to making any such disclosure, (i) reasonable written assurances from such third party that such Protected Information will be held confidential as provided pursuant to this BAA and only disclosed as required by law or for the purposes for which it was disclosed to such third party, and (ii) a written agreement from such third party to immediately notify Business Associate of any breaches of confidentiality of the Protected Information, to the extent the third party has obtained knowledge of such breach [42 U.S.C. Section 17932; 45 C.F.R. Sections 164.504(e)(2)(i), 164.504(e)(2)(i)(B), 164.504(e)(2)(ii)(A) and 164.504(e)(4)(ii)].
3. **Prohibited Uses and Disclosures.** Business Associate shall not use or disclose Protected Information for fundraising or marketing purposes. Business Associate shall not disclose Protected Information to a health plan for payment or health care operations purposes if the patient has requested this special restriction, and has paid out of pocket in full for the health care item or service to which the PHI solely relates [42 U.S.C. Section 17935(a)]. Business Associate shall not directly or indirectly receive remuneration in exchange for Protected Information, except with the prior written consent of Covered Entity and as

permitted by the HITECH Act, 42 U.S.C. section 17935(d)(2); however, this prohibition shall not affect payment by Covered Entity to Business Associate for services provided pursuant to the Agreement. Business Associate shall mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of PHI by Business Associate in violation of this Agreement, the BAA, or the HIPAA Regulations.

4. **Appropriate Safeguards.** Business Associate shall implement appropriate safeguards as are necessary to prevent the use or disclosure of Protected Information otherwise than as permitted by the Agreement or this BAA, including, but not limited to, administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the Protected Information, in accordance with 45 C.F.R. Sections 164.308, 164.310, and 164.312. [45 C.F.R. Section 164.504(e)(2)(ii)(B); 45 C.F.R. Section 164.308(b)]. Business Associate shall comply with the policies and procedures and documentation requirements of the HIPAA Security Rule, including, but not limited to, 45 C.F.R. Section 164.316 [42 U.S.C. Section 17931].
5. **Reporting of Improper Access, Use or Disclosure.** Business Associate shall report to Covered Entity in writing of any access, use or disclosure of Protected Information not permitted by the Agreement and this BAA, and any Breach of Unsecured PHI, as required by the Data Breach Notification Rule, of which it becomes aware without unreasonable delay and in no case later than 60 calendar days after discovery [42 U.S.C. Section 17921; 45 C.F.R. Section 164.504(e)(2)(ii)(C); 45 C.F.R. Section 164.308(b)].
6. **Business Associate's Subcontractors and Agents.** Business Associate shall ensure that any agents and subcontractors to whom it provides Protected Information, agree in writing to the same restrictions and conditions that apply to Business Associate with respect to such PHI and implement the safeguards required by paragraph (c) above with respect to Electronic PHI [45 C.F.R. Section 164.504(e)(2)(ii)(D); 45 C.F.R. Section 164.308(b)]. Business Associate shall implement and maintain sanctions against agents and subcontractors that violate such restrictions and conditions and shall mitigate the effects of any such violation (see 45 C.F.R. Sections 164.530(f) and 164.530(e)(1)).
7. **Access to Protected Information.** To the extent that the Covered Entity keeps a designated record set then Business Associate shall make Protected Information maintained by Business Associate or its agents or subcontractors in Designated Record Sets available to Covered Entity for inspection and copying within five (5) days of a request by Covered Entity to enable Covered Entity to fulfill its obligations under state law [Health and Safety Code Section 123110] and the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.524 [45 C.F.R. Section 164.504(e)(2)(ii)(E)]. If Business Associate maintains an Electronic Health Record, Business Associate shall provide such information in electronic format to enable Covered Entity to fulfill its obligations under the HITECH Act, including, but not limited to, 42 U.S.C. Section 17935(e).
8. **Amendment of PHI for Business Associate who is Required to Maintain a Record Set.** If Business Associate is required to maintain a designated record set on behalf of the Covered Entity the Business Associate shall within ten (10) days of receipt of a request from Covered Entity for an amendment of Protected Information or a record about an individual contained in a Designated Record Set, Business Associate or its agents or subcontractors shall make such Protected Information available to Covered Entity for amendment and incorporate any such amendment to enable Covered Entity to fulfill its obligations under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.526. If any individual requests an amendment of Protected Information directly from Business Associate or its agents or subcontractors, Business Associate must notify Covered Entity in writing within five (5) days of the request. Any approval or denial of amendment of Protected Information maintained by Business Associate or its agents or subcontractors shall be the responsibility of Covered Entity [45 C.F.R. Section 164.504(e)(2)(ii)(F)].

9. **Accounting Rights.** Within ten (10) days of notice by Covered Entity of a request for an accounting of disclosures of Protected Information, Business Associate and its agents or subcontractors shall make available to Covered Entity the information required to provide an accounting of disclosures to enable Covered Entity to fulfill its obligations under the Privacy Rule, including, but not limited to, 45 C.F.R. Section 164.528, and the HITECH Act, including but not limited to 42 U.S.C. Section 17935(c), as determined by Covered Entity. Business Associate agrees to implement a process that allows for an accounting to be collected and maintained by Business Associate and its agents or subcontractors for at least six (6) years prior to the request. However, accounting of disclosures from an Electronic Health Record for treatment, payment or health care operations purposes are required to be collected and maintained for only three (3) years prior to the request, and only to the extent that Business Associate maintains an electronic health record and is subject to this requirement. At a minimum, the information collected and maintained shall include: (i) the date of disclosure; (ii) the name of the entity or person who received Protected Information and, if known, the address of the entity or person; (iii) a brief description of Protected Information disclosed and (iv) a brief statement of purpose of the disclosure that reasonably informs the individual of the basis for the disclosure, or a copy of the individual's authorization, or a copy of the written request for disclosure. In the event that the request for an accounting is delivered directly to Business Associate or its agents or subcontractors, Business Associate shall within five (5) days of a request forward it to Covered Entity in writing. It shall be Covered Entity's responsibility to prepare and deliver any such accounting requested. Business Associate shall not disclose any Protected Information except as set forth in Sections B.2 of this BAA [45 C.F.R. Sections 164.504(e)(2)(ii)(G) and 165.528]. The provisions of this subparagraph shall survive the termination of this Agreement.
10. **Governmental Access to Records.** Business Associate shall make its internal practices, books and records relating to the use and disclosure of Protected Information available to Covered Entity and to the Secretary of the U.S. Department of Health and Human Services (Secretary) for purposes of determining Business Associate's compliance with the Privacy Rule [45 C.F.R. Section 164.504(e)(2)(ii)(H)]. Business Associate shall provide to Covered Entity a copy of any Protected Information that Business Associate provides to the Secretary concurrently with providing such Protected Information to the Secretary.
11. **Minimum Necessary.** Business Associate (and its agents or subcontractors) shall request, use and disclose only the minimum amount of Protected Information necessary to accomplish the purpose of the request, use, or disclosure [42 U.S.C. Section 17935(b); 45 C.F.R. Section 164.514(d)(3)]. Business Associate understands and agrees that the definition of "minimum necessary" is in flux and shall keep itself informed of guidance issued by the Secretary with respect to what constitutes "minimum necessary."
12. **Data Ownership.** Business Associate acknowledges that Business Associate has no ownership rights with respect to the Protected Information.
13. **Business Associate's Insurance.** Business Associate represents and warrants that it purchases commercial insurance to cover its exposure for any claims, damages or losses arising as a result of a breach of the terms of this BAA.
14. **Notification of Possible Breach.** During the term of the Agreement, Business Associate shall notify Covered Entity within twenty-four (24) hours of any suspected or actual breach of security, or any access, use or disclosure of Protected Information not permitted by the Agreement or this BAA or unauthorized use or disclosure of PHI of which Business Associate becomes aware and/or any actual or suspected use or disclosure of data in violation of any applicable federal or state laws or regulations. Business Associate shall take (i) prompt corrective action to cure any such deficiencies and (ii) any action pertaining to such unauthorized disclosure required by applicable federal and state laws and regulations. [42 U.S.C. Section 17921; 45 C.F.R. Section 164.504(e)(2)(ii)(C); 45 C.F.R. Section 164.308(b)]
15. **Breach Pattern or Practice by Covered Entity.** Pursuant to 42 U.S.C. Section 17934(b), if the Business Associate knows of a pattern of activity or practice of the Covered Entity that constitutes a material breach

or violation of the Covered Entity's obligations under the Agreement or this BAA or other arrangement, the Business Associate must take reasonable steps to cure the breach or end the violation. If the steps are unsuccessful, the Business Associate must terminate the Agreement or other arrangement if feasible, or if termination is not feasible, report the problem to the Secretary. Business Associate shall provide written notice to Covered Entity of any pattern of activity or practice of the Covered Entity that Business Associate believes constitutes a material breach or violation of the Covered Entity's obligations under the Agreement or this BAA or other arrangement within five (5) days of discovery and shall meet with Covered Entity to discuss and attempt to resolve the problem as one of the reasonable steps to cure the breach or end the violation.

16. **Audits, Inspection and Enforcement.** Within ten (10) days of a written request by Covered Entity, Business Associate and its agents or subcontractors shall allow Covered Entity to conduct a reasonable inspection of the facilities, systems, books, records, agreements, policies and procedures relating to the use or disclosure of Protected Information pursuant to this BAA for the purpose of determining whether Business Associate has complied with this BAA; provided, however, that (i) Business Associate and Covered Entity shall mutually agree in advance upon the scope, timing and location of such an inspection, (ii) Covered Entity shall protect the confidentiality of all confidential and proprietary information of Business Associate to which Covered Entity has access during the course of such inspection; and (iii) Covered Entity shall execute a nondisclosure agreement, upon terms mutually agreed upon by the parties, if requested by Business Associate. The fact that Covered Entity inspects, or fails to inspect, or has the right to inspect, Business Associate's facilities, systems, books, records, agreements, policies and procedures does not relieve Business Associate of its responsibility to comply with this BAA, nor does Covered Entity's (i) failure to detect or (ii) detection, but failure to notify Business Associate or require Business Associate's remediation of any unsatisfactory practices, constitute acceptance of such practice or a waiver of Covered Entity's enforcement rights under the Agreement or this BAA, Business Associate shall notify Covered Entity within ten (10) days of learning that Business Associate has become the subject of an audit, compliance review, or complaint investigation by the Office for Civil Rights.

C. Termination

1. **Material Breach.** A breach by Business Associate of any provision of this BAA, as determined by Covered Entity, shall constitute a material breach of the Agreement and shall provide grounds for immediate termination of the Agreement, any provision in the Agreement to the contrary notwithstanding [45 C.F.R. Section 164.504(e)(2)(iii)].
2. **Judicial or Administrative Proceedings.** Covered Entity may terminate the Agreement, effective immediately, if (i) Business Associate is named as a defendant in a criminal proceeding for a violation of HIPAA, the HITECH Act, the HIPAA Regulations or other security or privacy laws or (ii) a finding or stipulation that the Business Associate has violated any standard or requirement of HIPAA, the HITECH Act, the HIPAA Regulations or other security or privacy laws is made in any administrative or civil proceeding in which the party has been joined.
3. **Effect of Termination.** Upon termination of the Agreement for any reason, Business Associate shall, at the option of Covered Entity, return or destroy all Protected Information that Business Associate or its agents or subcontractors still maintain in any form, and shall retain no copies of such Protected Information. If return or destruction is not feasible, as determined by Covered Entity, Business Associate shall continue to extend the protections of Section B of this BAA to such information, and limit further use of such PHI to those purposes that make the return or destruction of such PHI infeasible. [45 C.F.R. Section 164.504(e)(ii)(2)(I)]. If Covered Entity elects destruction of the PHI, Business Associate shall certify in writing to Covered Entity that such PHI has been destroyed.

D. Indemnification

If Business Associate fails to adhere to any of the privacy, confidentiality, and/or data security provisions set forth in this BAA or if there is a Breach of PHI in Business Associate's possession and, as a result, PHI or any other confidential information is unlawfully accessed, used or disclosed, Business Associate agrees to reimburse Covered Entity for any and all costs, direct or indirect, incurred by Covered Entity associated with any Breach notification obligations. Business Associate also agrees to pay for any and all fines and/or administrative penalties imposed for such unauthorized access, use or disclosure of confidential information or for delayed reporting if it fails to notify the Covered Entity of the Breach as required by this BAA.

E. Disclaimer

Covered Entity makes no warranty or representation that compliance by Business Associate with this BAA, HIPAA, the HITECH Act, or the HIPAA Regulations will be adequate or satisfactory for Business Associate's own purposes. Business Associate is solely responsible for all decisions made by Business Associate regarding the safeguarding of PHI.

F. Certification

To the extent that Covered Entity determines that such examination is necessary to comply with Covered Entity's legal obligations pursuant to HIPAA relating to certification of its security practices, Covered Entity or its authorized agents or contractors, may, at Covered Entity's expense, examine Business Associate's facilities, systems, procedures and records as may be necessary for such agents or contractors to certify to Covered Entity the extent to which Business Associate's security safeguards comply with HIPAA, the HITECH Act, the HIPAA Regulations or this BAA.

G. Amendment to Comply with Law

The parties acknowledge that state and federal laws relating to data security and privacy are rapidly evolving and that amendment of the Agreement or this BAA may be required to provide for procedures to ensure compliance with such developments. The parties specifically agree to take such action as is necessary to implement the standards and requirements of HIPAA, the HITECH Act, the Privacy Rule, the Security Rule and other applicable laws relating to the security or confidentiality of PHI. The parties understand and agree that Covered Entity must receive satisfactory written assurance from Business Associate that Business Associate will adequately safeguard all Protected Information. Upon the request of either party, the other party agrees to promptly enter into negotiations concerning the terms of an amendment to this BAA embodying written assurances consistent with the standards and requirements of HIPAA, the HITECH Act, the Privacy Rule, the Security Rule or other applicable laws. Covered Entity may terminate the Agreement upon thirty (30) days written notice in the event (i) Business Associate does not promptly enter into negotiations to amend the Agreement or this BAA when requested by Covered Entity pursuant to this Section or (ii) Business Associate does not enter into an amendment to the Agreement or this BAA providing assurances regarding the safeguarding of PHI that Covered Entity, in its sole discretion, deems sufficient to satisfy the standards and requirements of applicable laws.

H. Assistance in Litigation of Administrative Proceedings

Business Associate shall make itself, and any subcontractors, employees or agents assisting Business Associate in the performance of its obligations under the Agreement or this BAA, available to Covered Entity, at no cost to Covered Entity, to testify as witnesses, or otherwise, in the event of litigation or administrative proceedings being commenced against Covered Entity, its directors, officers or employees based upon a claimed violation of HIPAA, the HITECH Act, the Privacy Rule, the Security Rule, or other laws relating to security and privacy, except where Business Associate or its subcontractor, employee or agent is named adverse party.

I. No Third-Party Beneficiaries

Nothing express or implied in the Agreement or this BAA is intended to confer, nor shall anything herein confer, upon any person other than Covered Entity, Business Associate and their respective successors or assigns, any rights, remedies, obligations or liabilities whatsoever.

J. Effect on Agreement

Except as specifically required to implement the purposes of this BAA, or to the extent inconsistent with this BAA, all other terms of the Agreement shall remain in force and effect.

K. Entire Agreement of the Parties

This BAA supersedes any and all prior and contemporaneous business associate agreements between the parties and constitutes the final and entire agreement between the parties hereto with respect to the subject matter hereof. Covered Entity and Business Associate acknowledge that no representations, inducements, promises, or agreements, oral or otherwise, with respect to the subject matter hereof, have been made by either party, or by anyone acting on behalf of either party, which are not embodied herein. No other agreement, statement or promise, with respect to the subject matter hereof, not contained in this BAA shall be valid or binding.

L. Interpretation

The provisions of this BAA shall prevail over any provisions in the Agreement that may conflict or appear inconsistent with any provision in this BAA. This BAA and the Agreement shall be interpreted as broadly as necessary to implement and comply with HIPAA, the HITECH Act, the Privacy Rule and the Security Rule. The parties agree that any ambiguity in this BAA shall be resolved in favor of a meaning that complies and is consistent with HIPAA, the HITECH Act, the Privacy Rule and the Security Rule.