

## THIRD AMENDMENT 2010-2011

### TO AGREEMENT FOR SERVICES OF INDEPENDENT CONTRACTOR

This is an amendment (hereafter referred to as the “Third Amended Contract”) to the Agreement for Services of Independent Contractor, number **BC 10-036**, by and between the **County of Santa Barbara** (County) and **Phoenix of Santa Barbara** (Contractor), for the continued provision of **Adult Treatment and Co-occurring Services**.

Whereas, this Third Amended Contract incorporates the terms and conditions set forth in the contract approved by the County Board of Supervisors in June 2009, the First Amendment approved by the County Board of Supervisors in November 2009, the Second Amendment approved by the County Board of Supervisors in July 2010, except as modified by this Third Amended Contract.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, County and Contractor agree as follows:

**I. Delete “This Agreement Includes” section of the Agreement and replace with the following:**

**THIS AGREEMENT INCLUDES:**

- A. EXHIBIT A, A-1, A-2 – Statement of Work
- B. EXHIBIT B – Financial Provisions
- C. EXHIBIT B-1 – Schedule of Fees
- D. EXHIBIT B-2 – Contractor Budget
- E. EXHIBIT B-3 – Sliding Fee Scale
- F. EXHIBIT C – Standard Indemnification and Insurance Provisions
- G. EXHIBIT E – Program Goals, Outcomes and Measures

**II. Delete Section 1. PROGRAM SUMMARY from Exhibit A-1, Statement of Work, Recovery-Oriented Systems of Care, and replace with the following:**

- I. PROGRAM SUMMARY.** Recovery-Oriented Systems of Care (ROSC) (hereafter “the Program”) support person-centered and self-directed approaches to care that build on the personal responsibility, strengths, and resilience of individuals, families, and communities to achieve sustained health, wellness, and recovery from alcohol and drug problems<sup>1</sup>. The establishment of peer supported self-help groups is fundamental to a ROSC. These ROSC groups are usually alternatives or additions to the current menu of 12-Step meetings that have been established throughout the community. Contractor will establish one or more of the following groups: SMART Recovery®, Double Trouble and/or Psycho-educational drug abuse intervention groups. The Program will be located at 107 East

---

<sup>1</sup> Substance Abuse and Mental Health Services Administration (SAMHSA) “Working Definition of Recovery” available at [http://pfr.samhsa.gov/docs/ROSCs\\_principles\\_elements\\_handout.pdf](http://pfr.samhsa.gov/docs/ROSCs_principles_elements_handout.pdf)

### THIRD AMENDMENT 2010-2011

Micheltorena Street and the Probation Reporting and Referral Center (PRRC) at 4550 Hollister Avenue in Santa Barbara, California.

#### III. Delete Section 4. **SERVICES** from Exhibit A-1, Statement of Work, Recovery-Oriented Systems of Care, and replace with the following:

##### 4. **SERVICES.**

- A. Contractor will hold one (1) to two (2) of any combination of the groups listed in Section 3 per week per location.
  - i. Contractor will offer two (2) groups during evening and/or weekend hours.
  - ii. Groups will be sixty (60) to ninety (90) minutes in length each.
  - iii. SMART Recovery groups shall have a maximum of twelve (12) participants. Other groups may be as large as the location allows.
- B. Contractor will select group models from those listed in Section 3 that are best suited for their clients' needs.
- C. Contractor will follow the curriculum and guidelines established by SMART<sup>2</sup> and DTR<sup>3</sup>, as applicable.
- D. Contractor will provide staff to facilitate groups until clients / peers can facilitate groups on their own following the curriculum and guidelines established by the organizations listed in Section 3, as applicable, and the requirements of this Exhibit A-1.

#### IV. Add Exhibit A-2, Statement of Work, Clean and Sober Drug Court:

##### **Exhibit A-2 Statement of Work Clean and Sober Drug Court (CSDC)**

1. **PROGRAM SUMMARY:** Phoenix of Santa Barbara (hereafter "Contractor") provides outpatient alcohol and other drug (AOD) treatment services to adults who have drug-related convictions and co-occurring substance use issues and mental illness (hereafter "clients"). Contractor shall serve clients in the Clean and Sober Drug Court (hereafter "the Program"), which is funded by a grant from the Federal Substance Abuse and Mental Health Services Administration (SAMHSA). The Program provides AOD treatment services to assist clients obtain and maintain sobriety and treatment for mental illness. Treatment services include best practice individual and group counseling and drug testing. The Council on Alcoholism and Drug Abuse (CADA) shall be responsible to conduct the initial screening; subsequently, the Program will provide varying levels of service, depending on client's needs. Sanctuary Psychiatric Centers shall serve clients who require the most intensive co-occurring treatment services, Phoenix will serve clients who require less intensive services and CADA will serve clients who require the least intensive treatment. The Program shall be certified by the California Department of

---

<sup>2</sup> Available at <http://www.smartrecovery.org/>

<sup>3</sup> Available at <http://www.doubletroubleinrecovery.org>

### THIRD AMENDMENT 2010-2011

Alcohol and Drug Programs (ADP) to provide Outpatient Alcohol and/or Other Drug Services and will be located at 107 E. Micheltorena Street, Santa Barbara, California.

#### 2. PROGRAM GOALS.

- A. Assist clients to establish a clean and sober lifestyle;
- B. Improve client's quality of life, and reduce episodes of criminality and psychiatric disorder;
- C. Increase capacity in the South Santa Barbara County Drug Courts for culturally competent and gender-specific co-occurring treatment.

3. **PROGRAM COLLABORATION.** The CADA shall receive and screen referrals from Santa Barbara area courts. The CSDC Court Team shall refer clients to appropriate providers based upon client's treatment needs. In addition to Contractor, Program services may be provided by other treatment providers.

#### 4. DEFINITIONS.

**Substance Abuse Mental Health Services Administration (SAMHSA):** SAMHSA is a division of the U.S. Department of Health and Human Services. SAMHSA aims to build resilience and facilitate recovery for people with or at risk for mental or substance use disorders. SAMHSA provides funding to support substance abuse treatment.

5. **SERVICES.** Contractor will comply with Program requirements, and shall provide services as described in the CSDC Treatment Guidelines, accepted by the Therapeutic Justice Policy Council. Contractor's services include:

A. **Assessment.** Assessment is designed to evaluate the current status of a client's mental, emotional or behavioral health, including substance use issues. Assessment includes, but is not limited to, one or more of the following: mental status determination, analysis of the client's clinical history; analysis of relevant cultural issues and history; diagnosis; and use of testing procedures. Contractor shall conduct assessments for each client deemed appropriate for Contractor's treatment program. Contractor's assessment of clients will include the SAMHSA Government Performance Reporting Assessment (GPRA), the Addiction Severity Index (ASI) and the Trauma Symptom Inventory (TSI) as described in Section 12.

B. **Outpatient Drug Free (ODF)** are treatment/recovery or rehabilitation services provided where the client does not reside in a treatment facility. Clients receive drug abuse or alcoholism treatment services with or without medication, including counseling and/or supportive services. ODF is also known as nonresidential services [Federal Definition].

i. **ODF – Group** [Service Code 33] Group counseling means face-to-face contacts in which one or more counselors treat four (4) or more clients, up to a total of ten (10) clients, at the same time, focusing on the needs of the individuals served, in a 90 minute session.

### THIRD AMENDMENT 2010-2011

- ii. **ODF – Individual** [Service Code 34] Individual counseling is face-to-face contact between a client and a therapist or counselor in a 50 minute session. Individual counseling is limited to intake, evaluation, assessment and diagnosis, treatment and discharge planning, collateral services, and crisis intervention, subject to the limitations described in Title 22 CCR Section 51341.1.
  - C. Contractor shall use the **Matrix Model** and **Seeking Safety** for all clients in accordance with SAMHSA grant requirements and specifications.
  - D. Contractor shall provide referrals to vocational, literacy, education, and family counseling as applicable.
  - E. Contractor shall provide random drug testing as described in the ADMHS Drug Testing Policy and Procedures, and CSDC Guidelines.
  - F. Contractor shall meet once per month as part of CSDC Oversight Committee.
  - G. Contractor shall participate in a quarterly graduate activity in collaboration with the Court and other treatment contractors, sharing in the cost of the celebratory activities.
  - H. Contractor shall attend Court Team meetings in Santa Barbara.
  - I. Contractor shall attend SACPA/SATC Core Team and Policy Council meetings and work with County to develop recommendations, guidelines, and procedures for adult treatment services.
6. **STAFFING.** Contractor shall use SAMHSA grant funds to provide a minimum of 0.5 Full Time Equivalent (FTE) alcohol and other drug (AOD) counselor who meets the requirements as described in California Code of Regulations, Title 9, Division 4, Chapter 8 to provide services as described in Section 5.
7. **CLIENTS/PROGRAM CAPACITY.** Contractor shall provide services to drug court participants identified as having co-occurring substance abuse and mental health issues referred by the CSDC Court Team.

Contractor shall provide services to 16 unduplicated clients during the period of January 1, 2011 through June 30, 2011. Contractor is expected to serve at least 36 unduplicated clients by the conclusion of the grant period.

8. **LENGTH OF STAY.** Clients shall receive Program services for nine (9) to twelve (12) months.
9. **REFERRALS.**
- A. Contractor shall receive identified and eligible referrals from the CSDC Court Team and CADA. Referrals shall be accompanied by written documentation.
  - B. Contractor shall contact the referral source within 72 hours with a verification of enrollment.

### THIRD AMENDMENT 2010-2011

#### 10. ADMISSION PROCESS.

- A. Contractor shall admit clients whose substance use issues and treatment needs are within the scope of the Contractor's practice referred by sources described in Section 9.A.
  - i. Admission criteria have been established by the CSDC Guidelines; determination of client's eligibility for enrollment in the Program shall be made by the CSDC team.
  - ii. Contractor shall interview and screen client to confirm client's appropriateness for the Program.
- B. **Admission Packet.** At Contractor's intake meeting with client, Contractor shall complete an admission packet with the following information:
  - i. Consent to Treatment form, Program rules and guidelines, signed by client;
  - ii. Release of information form, signed by client;
  - iii. Financial assessment and contract for fees.
  - iv. Personal/ demographic information of client, as described in State of California Standards for Drug Treatment Programs, including:
    - 1. Social, economic and family background;
    - 2. Education;
    - 3. Vocational achievements;
    - 4. Criminal history, legal status;
    - 5. Medical history;
    - 6. Drug history;
    - 7. Previous treatment.
  - v. Emergency contact information for client.
- C. Contractor shall notify referral source if client is not accepted into the Program, based on Section 11, within one business day of receiving the initial referral.
- D. Contractor shall complete and send a Verification of Enrollment form to the referral source upon acceptance of client into Program, no later than 72 hours after admission.
- E. Should space not be available in the Program, Contractor shall place client on a waiting list, and refer client to interim services.

### THIRD AMENDMENT 2010-2011

11. **EXCLUSION CRITERIA.** In addition to exclusionary criteria specified in the CSDC Guidelines, the following may be cause for client exclusion from the program on a case-by-case basis:

- A. Client threat of or actual violence toward staff or other clients;
- B. Rude or disruptive behavior that cannot be redirected.

### 12. **DOCUMENTATION REQUIREMENTS.**

- A. No later than seven (7) days after client entry into Program, Contractor shall complete the GPRA;
- B. No later than thirty (30) days after client entry into Program, Contractor shall complete:
  - i. Addiction Severity Index (ASI). Contractor shall administer and score ASI. Results of the ASI shall be utilized for treatment and discharge planning. Contractor shall report the results of the ASI and recommendations to the court;
  - ii. Trauma Symptom Inventory (TSI);
  - iii. Treatment Plan. The Treatment Plan must include a statement of the problems to be addressed, the goals to be achieved for each problem, the action steps to be taken, and the target dates that these goals are to be achieved. The Treatment Plan shall describe the services to be provided (type and frequency of counseling), the diagnosis (DSM IV), and the assignment of a primary counselor. The Plan shall be consistent with the results of the client's ASI. The Treatment Plan is considered complete and effective on the date of the counselor's signature. Contractor shall periodically review and update the Treatment Plan every ninety (90) days.

#### C. Follow up Assessments:

- i. Per SAMHSA Grant requirements, Contractor shall administer a second ASI six (6) months after the initial ASI.
- ii. Per SAMHSA Grant requirements, Contractor shall administer a follow up GPRA assessment with each client six (6) months after entry into the Program, at discharge and six (6) months after discharge.

### 13. **DISCHARGES.**

- A. Contractor shall develop a Discharge Plan for clients prior to discharge, in coordination with the referral source and client, as detailed in the California Standards for Drug Treatment Programs. The Discharge Plan shall include:
  - i. Recommendations for post-discharge;
  - ii. Linkages to other services, if appropriate;
  - iii. Reason for discharge;

### THIRD AMENDMENT 2010-2011

iv. Clinical discharge summary.

B. Contractor shall give client one copy of the Discharge Plan, and place one copy in the client's file.

**V. Delete Section II. MAXIMUM CONTRACT AMOUNT from Exhibit B, Financial Provisions, and replace with the following:**

#### **II. MAXIMUM CONTRACT AMOUNT.**

The Maximum Contract Amount has been calculated based on the total UOS to be provided pursuant to this Agreement as set forth in Exhibit B-1 and shall not exceed **\$156498**. The Maximum Contract Amount shall consist of County, State, and/or Federal funds as shown in Exhibit B-1. Notwithstanding any other provision of this Agreement, in no event shall County pay Contractor more than this Maximum Contract Amount for Contractor's performance hereunder without a properly executed amendment.

### THIRD AMENDMENT 2010-2011

**VI. Delete Exhibit B-1, Schedule of Rates and Contract Maximum, and replace with the following:**

#### EXHIBIT B-1 ALCOHOL, DRUG AND MENTAL HEALTH SERVICES SCHEDULE OF RATES AND CONTRACT MAXIMUM

**CONTRACTOR NAME:** Phoenix **FISCAL YEAR:** 2010-2011

	Unit	PROGRAM			
		Outpatient Treatment	ROSC	Clean & Sober Drug Court 1/1/11 - 6/30/11	Total
DESCRIPTION/MODE/SERVICE FUNCTION:					
NUMBER OF UNITS PROJECTED (based on history):					
33-ODF Group	session	4075			4,075
34-ODF Individual	session	363			363
85-SATTA (8 tests = 1 staff hour)	staff hour	38			38
18-Recovery-Oriented Systems of Care	cost reimbursed		\$14,600		\$ 14,600
68-SAMHSA CSDC Grant Services	cost reimbursed			\$15,090	\$ 15,090
COST PER UNIT/PROVISIONAL RATE:					
33-ODF Group			\$28.69		
34-ODF Individual			\$67.53		
85-SATTA (8 tests = 1 staff hour)			\$67.53		
18-Recovery-Oriented Systems of Care			As Budgeted		
68-SAMHSA CSDC Grant Services			As Budgeted		
<b>GROSS COST:</b>		\$ 150,808	\$ 14,600	\$ 15,090	\$ 180,498
<b>LESS REVENUES COLLECTED BY CONTRACTOR:</b> (as depicted in Contractor's Budget Packet)					
A CLIENT FEES		\$ 18,000			\$18,000
B CLIENT INSURANCE					\$0
C CONTRIBUTIONS/GRANTS (includes unsecured)		\$ 6,000			\$6,000
D FOUNDATIONS/TRUSTS					\$0
E SPECIAL EVENTS					\$0
F OTHER (LIST): OTHER GOVERNMENT					\$0
OTHER (LIST): INVESTMENT INCOME					\$0
<b>TOTAL CONTRACTOR REVENUES*</b>		\$ 24,000	\$ -		\$24,000
<b>MAXIMUM (NET) CONTRACT AMOUNT:</b>		<b>\$ 126,808</b>	<b>\$ 14,600</b>	<b>\$ 15,090</b>	<b>\$ 156,498</b>
DM/C Administrative Fee (15%) **		\$ 17,527			
DM/C Gross Claim Maximum		\$ 116,845			

A  
B  
C  
D  
E  
F

SOURCES OF FUNDING FOR MAXIMUM CONTRACT AMOUNT					
A	Medi-Cal Treatment Services (6241)		\$99,318		\$99,318
B	Medi-Cal Perinatal Services (6242)				\$0
C	Drug Testing SB 233/SATTA (6239)		\$2,020		\$2,020
D	SACPA Treatment Services (6240)		\$18,700		\$18,700
E	ADP Treatment Services - SAPT (6243)				\$0
F	Recovery Oriented System of Care (ROSC) (6243)			\$14,600	\$14,600
G	Perinatal Non-Drug Medi-Cal (6244)				\$0
H	SAMHSA SWHF Grant (6244)				\$0
I	Drug Court Services (6246)		\$6,770		\$6,770
J	SAMHSA MARS Grant (6246)				\$0
K	SAMHSA CSDC Grant (6246)			\$15,090	\$15,090
L	CalWORKS (6249)				\$0
M	Youth Services (6250)				\$0
N	SAMHSA B2R Grant (6250)				\$0
O	Prevention Services (6351)				\$0
	<b>TOTAL (SOURCES OF FUNDING)</b>		<b>\$ 126,808</b>	<b>\$ 14,600</b>	<b>\$ 15,090</b>
					<b>\$156,498</b>

CONTRACTOR SIGNATURE: \_\_\_\_\_

STAFF ANALYST SIGNATURE: \_\_\_\_\_

FISCAL SERVICES SIGNATURE: \_\_\_\_\_

\*Total Contractor Revenues serve as a hard match for SAPT funds. The source of the hard match shall be non-ADMHS sources secured by Contractor. The match does not apply to DM/C.

\*\*The 15% Administrative Fee is deducted from the Drug Medi-Cal Gross Claim Maximum. Maximum (Net) Contract Amount is less Administrative Fee of 15% (Drug Medi-Cal only).



### THIRD AMENDMENT 2010-2011

**VII. Delete Exhibit B-2, Contractor Budget, and replace with the following:**

**Santa Barbara County Alcohol, Drug and Mental Health Services ( )  
Entity Budget By Program**

AGENCY NAME: **The Phoenix of Santa Barbara**

COUNTY FISCAL YEAR: 2010-11

**Gray Shaded cells contain formulas, do not overwrite**

LINE #	COLUMN #	1	2	3	4	5	6	7
	I. REVENUE SOURCES:		TOTAL AGENCY/ ORGANIZATION BUDGET	COUNTY ADMHS PROGRAMS TOTALS	Phoenix House	Mountain House	ADP	CSDC
1	Contributions		\$ 125,000	\$ 69,000	\$ 40,500	\$ 22,500	\$ 6,000	
2	Foundations/Trusts			\$ -				
3	Special Events			\$ -				
4	Legacies/Bequests			\$ -				
5	Associated Organizations			\$ -				
6	Membership Dues			\$ -				
7	Program Service Fees		\$ 71,192	\$ 18,000			\$ 18,000	
8	SAMHSA CSDC Grant		\$ 15,090	\$ 15,090			\$ -	\$ 15,090
9	ROSC		\$ 8,600	\$ 14,600			\$ 14,600	
10	ADMHS Funding - MH MC & ADP MC		\$ 971,134	\$ 985,452	\$ 406,145	\$ 479,989	\$ 99,318	
11	ADP - SACPA Services		\$ 18,700	\$ 18,700			\$ 18,700	
12	Residential - Shelter Plus		\$ 33,745	\$ 37,618	\$ 19,368	\$ 18,250		
13	Residential Board & Care Rent		\$ 241,196	\$ 251,683	\$ 115,355	\$ 136,328		
14	Residential Private Pay		\$ 147,397	\$ 94,628	\$ 49,490	\$ 45,138		
15	Drug Testing		\$ 2,020	\$ 2,020			\$ 2,020	
16	Drug Court		\$ 6,770	\$ 6,770			\$ 6,770	
17	Interest Income		\$ 1,555	\$ -				
18	Total Other Revenue (Sum of lines 1 through 17)		\$ 1,642,399	\$ 1,513,561	\$ 630,858	\$ 702,205	\$ 165,408	\$ 15,090
	I.B Client and Third Party Revenues:							
19	Medicare			-				
20	Client Fees			-				
21	Insurance			-				
22	SSI			-				
23	Other (specify)			-				
24	Total Client and Third Party Revenues (Sum of lines 19 through 23)		-	-	-	-	-	-
25	GROSS PROGRAM REVENUE BUDGET (Sum of lines 18 + 24)		1,642,399	1,513,561	630,858	702,205	165,408	15,090

### THIRD AMENDMENT 2010-2011

III. DIRECT COSTS		TOTAL AGENCY/ ORGANIZATION BUDGET	COUNTY ADMHS PROGRAMS TOTALS	Phoenix House	Mountain House	ADP	CSDC
26	Salaries (Complete Staffing Schedule)	793,521	\$ 722,514	\$ 316,826	\$ 297,568	\$ 97,540	\$ 10,580
27	Employee Benefits	129,836	\$ 119,164	\$ 66,509	\$ 45,487	\$ 7,168	
28	Consultants	18,000	\$ 17,480	\$ 8,460	\$ 7,400	\$ 1,620	
29	Payroll Taxes	68,233	\$ 62,125	\$ 27,247	\$ 25,590	\$ 8,388	\$ 900
30	Personnel Costs Total (Sum of lines 26 through 29)	\$ 1,009,590	\$ 921,283	\$ 419,042	\$ 376,045	\$ 114,716	\$ 11,480
31	Professional Fees	11,225	\$ 10,867	\$ 5,275	\$ 4,582	\$ 910	\$ 100
32	Supplies	106,744	\$ 104,838	\$ 51,044	\$ 44,153	\$ 9,096	\$ 545
33	Telephone	14,273	\$ 13,379	\$ 5,742	\$ 6,411	\$ 1,101	\$ 125
34	Postage & Shipping	1,018	\$ 987	\$ 478	\$ 417	\$ 92	
35	Occupancy (Facility Lease/Rent/Costs)	77,235	\$ 76,863	\$ 4,550	\$ 72,000	\$ 313	
36	Rental/Maintenance Equipment	27,717	\$ 27,353	\$ 13,263	\$ 12,976	\$ 1,014	\$ 100
37	Printing/Publications	5,727	\$ 5,555	\$ 2,692	\$ 2,348	\$ 465	\$ 50
38	Transportation	5,897	\$ 4,828	\$ 2,098	\$ 1,666	\$ 989	\$ 75
39	Conferences, Mtgs, Memberships & Dues	10,964	\$ 8,869	\$ 4,083	\$ 3,604	\$ 1,072	\$ 110
40	Insurance	15,388	\$ 14,350	\$ 5,797	\$ 6,194	\$ 2,119	\$ 240
41	Utilities	34,024	\$ 32,435	\$ 13,684	\$ 16,863	\$ 1,703	\$ 185
42	Office Supplies	10,123	\$ 9,933	\$ 4,796	\$ 4,287	\$ 765	\$ 85
43	Community Outreach	11,448	\$ 11,104	\$ 5,380	\$ 4,694	\$ 1,030	
44	Depreciation	84,412	\$ 82,687	\$ 14,761	\$ 58,428	\$ 9,403	\$ 95
45	Other - Recruitment	747	\$ 747	\$ 634	\$ 113	\$ -	
46	SUBTOTAL DIRECT COSTS	\$ 1,426,532	\$ 1,326,078	\$ 553,319	\$ 614,781	\$ 144,788	\$ 13,190
III. INDIRECT COSTS							
47	Administrative Indirect Costs	215,867	\$ 187,483	\$ 77,539	\$ 87,424	\$ 20,620	\$ 1,900
48	GROSS DIRECT AND INDIRECT COSTS (Sum of lines 46+ 47)	\$ 1,642,399	\$ 1,513,561	\$ 630,858	\$ 702,205	\$ 165,408	\$ 15,090

**THIRD AMENDMENT 2010-2011**

**SIGNATURE PAGE**

Amendment to Agreement for Services of Independent Contractor between the County of Santa Barbara and Phoenix of Santa Barbara.

**IN WITNESS WHEREOF**, the parties have executed this Agreement to be effective on the date executed by County.

COUNTY OF SANTA BARBARA

By: \_\_\_\_\_  
CHAIR  
BOARD OF SUPERVISORS  
Date: \_\_\_\_\_

ATTEST:  
CHANDRA L. WALLAR  
CLERK OF THE BOARD

**CONTRACTOR**

By: \_\_\_\_\_  
Deputy  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
Tax Id No .  
Date: \_\_\_\_\_

APPROVED AS TO FORM:  
DENNIS MARSHALL  
COUNTY COUNSEL

APPROVED AS TO ACCOUNTING FORM:  
ROBERT W. GEIS, CPA  
AUDITOR-CONTROLLER

By \_\_\_\_\_  
Deputy County Counsel  
Date: \_\_\_\_\_

By \_\_\_\_\_  
Deputy  
Date: \_\_\_\_\_

APPROVED AS TO FORM :  
ALCOHOL, DRUG, AND MENTAL HEALTH  
SERVICES  
ANN DETRICK, PH.D.  
DIRECTOR

APPROVED AS TO INSURANCE FORM:  
RAY AROMATORIO  
RISK PROGRAM ADMINISTRATOR

By \_\_\_\_\_  
Director  
Date: \_\_\_\_\_

By: \_\_\_\_\_  
Date: \_\_\_\_\_

### THIRD AMENDMENT 2010-2011

**CONTRACT SUMMARY PAGE**

**BC 10-036**

Complete data below, print, obtain signature of authorized departmental representative, and submit this form (and attachments) to the Clerk of the Board (>\$25,000) or Purchasing (<\$25,000). See also "Contracts for Services" policy. Form is not applicable to revenue contracts.

D1. Fiscal Year ..... 10-11  
 D2. Budget Unit Number ..... 043  
 D3. Requisition Number ..... N/A  
 D4. Department Name ..... Alcohol, Drug, & Mental Health  
 D5. Contact Person..... Danielle Spahn  
 D6. Telephone ..... (805) 681-5229

K1. Contract Type (*check one*):  Personal Service  Capital  
 K2. Brief Summary of Contract Description/Purpose..... Adult Treatment and Co-occurring  
 K3. Contract Amount ..... \$156498  
 K4. Contract Begin Date ..... 7/1/2010  
 K5. Original Contract End Date ..... 6/30/11  
 K6. Amendment History .....

Seq#	Effective Date	ThisAmndtAmt	CumAmndtToDate	NewTotalAmt	NewEndDate	Purpose
1	7/1/10	\$121090		\$121090	6/30/2011	Renew contract for FY 10-11
2	1/1/11	\$35408	\$156498	\$156498	6/30/2011	Add program & funds

B1. Is this a Board Contract? (*Yes/No*)..... True  
 B2. Number of Workers Displaced (*if any*) ..... N/A  
 B3. Number of Competitive Bids (*if any*)..... N/A  
 B4. Lowest Bid Amount (*if bid*) ..... N/A  
 B5. If Board waived bids, show Agenda Date..... N/A  
 and Agenda Item Number .....

B6. Boilerplate Contract Text Unaffected? (*Yes / or cite*) Yes

F1. Encumbrance Transaction Code ..... 1701  
 F2. Current Year Encumbrance Amount ..... \$156498  
 F3. Fund Number ..... 0049  
 F4. Department Number ..... 043  
 F5. Division Number (*if applicable*)..... N/A  
 F6. Account Number..... 7461  
 F7. Cost Center number (*if applicable*)..... 6100  
 F8. Payment Terms ..... Net 30

V1. Vendor Numbers (A=Auditor; P=Purchasing) EID ..... A=633270  
 V2. Payee/Contractor Name ..... Phoenix of Santa Barbara  
 V3. Mailing Address..... 107 E. Micheltorena St.  
 V4. City, State (two-letter) Zip (include +4 if known)..... Santa Barbara, CA 93101  
 V5. Telephone Number..... 8059653434  
 V6. Contractor's Federal Tax ID Number (*EIN or SSN*)..... 23-7220562  
 V7. Contact Person..... John Turner, MFT, Executive  
 V8. Workers Comp Insurance Expiration Date ..... 4/1/2011  
 V9. Liability Insurance Expiration Date[s] ..... GL=8/1/2011, PL=8/1/2011  
 V10. Professional License Number ..... DMC#4275  
 V11. Verified by (name of county staff)..... Danielle Spahn  
 V12. Company Type (*Check one*): Individual  Sole Proprietorship  Partnership  Corporation

**I certify** information complete and accurate; designated funds available; required concurrences evidenced on signature page.

Date: \_\_\_\_\_ Authorized Signature: \_\_\_\_\_