

STANDARD AGREEMENT

STD 213 (Rev. 03/2019)

AGREEMENT NUMBER

31020

PURCHASING AUTHORITY NUMBER (If Applicable)

1. This Agreement is entered into between the Contracting Agency and the Contractor named below:

CONTRACTING AGENCY NAME

Department of Rehabilitation

CONTRACTOR NAME

Santa Barbara County Department of Behavioral Wellness

2. The term of this Agreement is:

START DATE

July 1, 2019

THROUGH END DATE

June 30, 2022

3. The maximum amount of this Agreement is:

-\$1,409,472.00

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of the Agreement.

EXHIBITS	TITLE	PAGES
Exhibit A	Standard Agreement	1
Exhibit A.1	Contractor's Program Scope of Work	9
Exhibit B	Budget Detail and Payment Provisions	4
Exhibit B.1	Contractor's Program Budget and Narrative	7
Exhibit C*	General Terms and Conditions GTC 4/2017	1
Exhibit D	Special Terms and Conditions (attached hereto as part of this agreement)	8
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Items shown with an asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.
 These documents can be viewed at <https://www.dgs.ca.gov/OLS/Resources>

IN WITNESS WHEREOF, THIS AGREEMENT HAS BEEN EXECUTED BY THE PARTIES HERETO.

CONTRACTOR

CONTRACTOR NAME (if other than an individual, state whether a corporation, partnership, etc.)

Santa Barbara County Department of Behavioral Wellness

CONTRACTOR BUSINESS ADDRESS

429 N. San Antonio Road

CITY

Santa Barbara,

STATE

CA

ZIP

93110

PRINTED NAME OF PERSON SIGNING

Alice Gleghorn, Ph.D.

TITLE

Director of Behavioral Wellness

CONTRACTOR AUTHORIZED SIGNATURE

DATE SIGNED

STANDARD AGREEMENT

STD 213 (Rev. 03/2019)

AGREEMENT NUMBER

31020

PURCHASING AUTHORITY NUMBER (If Applicable)

STATE OF CALIFORNIA

CONTRACTING AGENCY NAME

Department of Rehabilitation

CONTRACTING AGENCY ADDRESS

721 Capitol Mall, 6th Floor

CITY

Sacramento

STATE

CA

ZIP

95814

PRINTED NAME OF PERSON SIGNING

Cynthia Robinson

TITLE

Chief, Contracts and Procurement Section

CONTRACTING AGENCY AUTHORIZED SIGNATURE

DATE SIGNED

CALIFORNIA DEPARTMENT OF GENERAL SERVICES APPROVAL

EXEMPTION (If Applicable)

EXHIBIT A
(Standard Agreement - Subvention)

1. PURPOSE

Subvention: VR Third Party Cooperative/Case Service Agreements:

2. AUTHORITY

Legislation: Rehabilitation Act of 1973, as amended, Title I, Parts A and B, Sec. 100-111; 29 U.S.C. 720-731.

Regulations: 34 CFR 369.2 (b)

Catalog of Federal Domestic Assistance Number (CFDA) 84.126A

3. CONTRACT REPRESENTATIVES

Direct all inquiries during the term of this Agreement to the Contract Administrators listed herein:

<p><u>Department of Rehabilitation</u></p> <p>Valerie Larson 509 E. Montecito Street, Ste. 101 Santa Barbara, CA 93103 Phone: (805) 560-8141 FAX: (805) 560-8162 E-mail: valerie.larson@dor.ca.gov</p>	<p><u>Santa Barbara County</u> <u>Department of Behavioral Wellness</u></p> <p>Ana Vicuna 300 N. San Antonio Road Santa Barbara, CA 93110 Phone: (805) 681-4504 FAX (805) 934-6381 E-mail: avicuna@co.santa-barbara.ca.us</p>
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4. DESCRIPTION OF SERVICES/DELIVERABLES

See attached program description - EXHIBIT A.1

EXHIBIT A.1
(Standard Agreement - Subvention)

SCOPE OF WORK

COOPERATIVE CONTRACT

Santa Barbara County Department of Behavioral Wellness

I. INTRODUCTION

The Santa Barbara District of the Department of Rehabilitation (DOR) and County of Santa Barbara Department of Behavioral Wellness (SBCDBW) shall combine staff and resources to provide vocational rehabilitation services to SBCDBW TAY DOR clients who also receive services through the Santa Barbara County Department of Behavioral Wellness Cooperative Transitional Age Youth Programs. The intent of these services is to prepare the DOR/COSBDBW Transitional Age Youth (TAY) DOR clients served to obtain and maintain employment. All DOR applicants and/or TAY DOR clients referred will have a diagnosis of mental illness as designated by DMS-5, meet DOR and SBCDBW criteria for services and express motivation to seek employment, and be mutually identified by DOR and SBCDBW as a cooperative program TAY DOR client who is excepted to benefit from contract services. TAY DOR clients will be between the ages of 16 to 25 years of age and be referred through SBCDBW.

Services will be provided at SBCDBW, as well as in the Santa Barbara, Lompoc and Santa Maria communities. Services will be authorized by the DOR Rehabilitation Counselor based on individual TAY DOR client need and informed choices.

The services available under this contract are designed to prepare the DOR/SBCDBW TAY DOR clients with skills necessary to secure and maintain competitive employment in agreement with their Individual Plan for Employment (IPE).

SBCDBW Vocational Division Manager will be responsible for implementing standardization of Mental Health Cooperative design throughout the county.

Once the TAY DOR client and SBCDBW determine that the TAY DOR client is ready for referral to the Department of Rehabilitation, a referral packet containing, signed consent to release information forms, relevant medical, psychiatric and diagnostic information will be assembled and an appointment will be scheduled with the DOR Rehabilitation Counselor for intake.

SBCDBW will supplement the above services by providing psychiatric inpatient/outpatient treatment, medication monitoring, case management services and skill development services for DOR Cooperative TAY DOR clients.

Department of Rehabilitation will determine eligibility and functional limitations,

assist a TAY DOR client to develop an Individual Plan for Employment, provide vocational counseling, and provide services and service coordination that will lead to a successful employment outcome.

The Santa Barbara County Department of Behavioral Wellness will serve DOR applicants/TAY DOR clients from the Santa Barbara District at DOR offices in the cities of Santa Barbara and Santa Maria and at SBCDBW clinics in the same cities and Lompoc.

For fiscal year 2019-2020, a total of 150 applicants/unduplicated TAY DOR clients will receive services through this cooperative agreement. As a result of the services provided under this contract, it is expected that DOR will serve during Fiscal Year 2019-2020:

- Open 75 new cases (Applicant status)
- Develop 55 new IPE's
- Close 35 cases successfully (closed-rehabilitated)

For fiscal year 2020-2021, a total of 150 applicants/unduplicated TAY DOR clients will receive services through this cooperative agreement. As a result of the services provided under this contract, it is expected that DOR will serve during Fiscal Year 2020-2021:

- Open 75 new cases (Applicant status)
- Develop 55 new IPE's
- Close 35 cases successfully (closed-rehabilitated)

For fiscal year 2021-2022, a total of 150 applicants/unduplicated TAY DOR clients will receive services through this cooperative agreement. As a result of the services provided under this contract, it is expected that DOR will serve during Fiscal Year 2021-2022:

- Open 75 new cases (Applicant status)
- Develop 55 new IPE's
- Close 35 cases successfully (closed-rehabilitated)

II. SERVICES TO BE PROVIDED

A. Vocational Assessment

Upon referral for services by DOR counselor, Vocational Assessment Services provided to a TAY DOR client by a Vocational Rehabilitation Specialist are limited in scope and short in duration. Services assess basic information about a TAY DOR client's current educational and vocational levels, abilities, and interests. Assessment materials or questionnaires are not reflective of standardized tests and are developed by the SBCDBW. SBCDBW will seek information through enhanced vocational questionnaire(s), motivational interviewing, and review of collateral information. Vocational Assessment Services also includes Mental Health team coordination to resolve work barriers and identify needed supports.

Vocational Assessment Services provides information to a TAY DOR client and DOR SVRC/QRP that assist in assessing the nature and scope of DOR services to be provided and the development of the IPE.

Upon completion of an intake Vocational Rehabilitation Specialists will meet with the TAY DOR client to develop an Individual Service Plan (ISP) which will include:

Areas to be addressed

- Proposed training activities
- Proposed outcomes (measurable)
- Schedule / Timeline for completion
- Staff responsible

Upon completion of the Vocational Assessment service SBCDBW will prepare and provide the DOR SVRC/QRP with a report to assist with IPE development and recommendations for services and supports necessary to insure a successful employment outcome. This may include answers about employability questions requested by the referring DOR counselor and assists with information leading to the development or modification of rehabilitation services.

Service Outcomes/Number to be Served

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2019/2020, 70 TAY DOR clients will receive Vocational Assessment services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2020/2021, 70 TAY DOR clients will receive Vocational Assessment services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2021/2022, 70 TAY DOR clients will receive Vocational Assessment services

B. Personal, Vocational, Social Adjustment (PVSA)

Personal, Vocational, Social Adjustment (PVSA) services are provided to TAY DOR clients by Vocational Rehabilitation Specialist to assist in development or re-establishing skills, attitudes, personal characteristics, interpersonal skills, work behaviors, and functional capacities to achieve and maintain positive employment outcomes. This service will address one or more barriers that are preventing a TAY DOR client from successfully completing his/her DOR Individualized Plan for Employment (IPE). PVSA services are time-limited, individualized, and provided in the most appropriate environment.

PVSA services most often occur prior to job placement. However, services may be appropriate after placement when an individual demonstrates behaviors that are job threatening. PVSA services may be provided to ensure job retention.

PVSA targets the elimination/reduction of identified barriers to employment and may include, but are not limited to, issues such as:

- Appropriate workplace interaction
- Grooming and hygiene as related to work
- Mobility training in use of public transportation
- Work habits and attitudes such as attendance, punctuality, phoning in if ill, returning promptly from breaks and lunch
- Personal budgeting, banking, and bill payment

Upon completion of an intake Vocational Rehabilitation Specialists will meet with the TAY DOR client to develop an Individual Service Plan (ISP) which will include:

Areas to be addressed

- Proposed training activities
- Proposed outcomes (measurable)
- Schedule / Timeline for completion
- Staff responsible

Monthly Progress reports will be provided that identifies progress made towards goals and objectives, including the extent to which goals and objectives were met related to the provision of PVSA services.

Service Outcomes/Number to be Served

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2019/2020, 70 TAY DOR clients will receive PVSA services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2020/2021, 70 TAY DOR clients will receive PVSA services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2021/2022, 70 TAY DOR clients will receive PVSA services

C. Employment Services

Upon referral by the DOR Counselor, the Vocational Rehabilitation Specialist will provide Employment Services to TAY DOR clients who will be assisted in identifying, obtaining, and/or retaining employment commensurate with their strength, desires, vocational, social, psychological and medical needs and abilities. The Vocational Rehabilitation Specialist will develop an Individual Service Plan (ISP) to affirm employment goal, identify services to be provided consistent with the IPE, and include the following:

- Employment goals and objectives
- Employment components to be addressed
- Proposed activities
- Proposed outcomes
- Schedule/timeline for completion
- Persons responsible

1. Employment Preparation

Will be provided by Vocational Rehabilitation Specialist and accomplished in group (Job Club) or on a one-on-one through one or more of the following:

- Develop individualized job resumes
- Develop master and job applications with cover letters
- Develop, conduct, and critique job mock interview and work on professional appearance
- Provide labor market information
- Advise on appropriate work-related behaviors/etiquette
- Work on barriers and fears of returning to work which will include exercises and one-on-one discussion
- Research local market needs as they relate to the TAY DOR client's Individualized Plan for Employment

Service Outcomes/Number to be Served

As a result of the delivery services provided through this contract, it is

expected that:

- During FY 2019/2020, 80 TAY DOR clients will receive Employment Preparation

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2020/2021, 80 TAY DOR clients will receive Employment Preparation

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2021/2022, 80 TAY DOR clients will receive Employment Preparation

2. Job Development and Placement

Will be provided by Vocational Rehabilitation Specialist on a one-on-one or in a group setting through one or more of the following:

- One-on-one job search
- Access the internet for job openings
- Network with other vocational service programs regarding job openings and training opportunities such as the America's Job Centers, Youth Workforce Development direct service providers, and Employment Development Department (EDD) to enhance job development opportunities
- Partner with business interested in serving TAY DOR clients
- Identify potential employers for job placement
- Refer TAY DOR client to potential employers for interviews
- Assist TAY DOR client with completion of job applications
- Once job offer has been made, coordinate any pre-employment support services such as clothing, transportation, tools and materials, job coaching, and special accommodations

Upon Job Placement:

- Job destination training
- Job orientation assistance
- information on conditions of employment such as:
 - Job duties and job description
 - Performance expectations
 - Name of immediate supervisor
 - Responsibilities of employee
 - Wage payment practices
 - Benefits
 - Company policy and procedures including conflict resolution procedures and health and safety practices
 - Probation and performance evaluation procedures
 - Union status, as appropriate

3. Employment Retention

Upon TAY DOR client's acceptance of employment of a job that is consistent with the IPE goals and meets the needs for hours, wages and benefits, Vocational Rehabilitation Specialist will provide at least 90 days of the following Employment Retention activities:

- Phone or personal contact, on or off the job, with TAY DOR client to determine ongoing satisfaction with terms of employment
- With the consent of the TAY DOR client, phone or personal contact with the employer to determine ongoing satisfaction with the terms of employment
- No less than two (2) contacts a month are required for a minimum of 90 days
- Communication with DOR counselor at least monthly to discuss progress and coordinate additional services that may be required
- Assistance with necessary minimum support to sustain employment

Service Outcomes/Number to be Served

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2019/2020, 70 TAY DOR clients will receive Job Development/Placement services
- 50 TAY DOR clients will be placed in a job that is consistent with the IPE goals
- Of the TAY DOR clients who are placed in employment, 35 will result in a DOR successful closure.

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2020/2021, 70 TAY DOR clients will receive Job Development/Placement services
- 50 TAY DOR clients will be placed in a job that is consistent with the IPE goals
- Of the DOR clients who are placed in employment, 35 will result in a DOR successful closure.

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2021/2022, 70 TAY DOR clients will receive Job Development/Placement services
- 50 TAY DOR clients will be placed in a job that is consistent with the IPE goals
- Of the DOR clients who are placed in employment, 35 will result in a DOR successful closure.

4. Short Term Supports (STS)

Will be provided by Vocational Rehabilitation Specialist upon receipt of referral for Short-Term Support services by the DOR Counselor and is designed to prepare the TAY DOR client with the skills necessary to maintain competitive employment. Individual support is on-the-job if TAY DOR client approves. On or off job-site support services are

only employment-related and need to promote job adjustment and retention. Services depend upon individual TAY DOR client need.

Activities may include some of the following:

- Job orientation
- Job destination/transportation training
- Teaching job tasks
- Coworker/supervisor consultation

Contacts based on TAY DOR client and employer needs to ensure job satisfaction and work performance, phone or personal contact with the TAY DOR client will be made on or off the job-site to determine the TAY DOR client's ongoing satisfaction with their employment; discussing employer progress reports with the TAY DOR client; assisting with ideas to improve job performance if needed; and family and mental health provider consultation.

Service Outcomes/Number to be Served

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2019/2020, 35 TAY DOR clients will receive STS Job coaching services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2020/2021, 35 TAY DOR clients will receive STS Job coaching services

As a result of the delivery services provided through this contract, it is expected that:

- During FY 2021/2022, 35 TAY DOR clients will receive STS Job coaching services

III. CONTRACT ADMINISTRATORS

<p><u>Department of Rehabilitation</u></p> <p>Valerie Larson 509 E. Montecito Street STE. 101 Santa Barbara, CA 93103 T. T. (805) 560-8141 F. (805) 560-8162 valerie.larson@dor.ca.gov</p>	<p><u>Santa Barbara County Department of Behavioral Wellness</u></p> <p>Ana Vicuna 300 N. San Antonio Road Santa Barbara, CA 93110 T. (805) 681-5220 F. (805) 681-5262 avicuna@co.santa-barbara.ca.us</p>
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IV. LINKAGES TO OTHER COMMUNITY AGENCIES

UCP Work Inc., Transitions Mental Health Association, Mental Health Association in Santa Barbara County, Life Options, Vocational and Resource Center (Lompoc) and VTC Enterprises (Santa Maria) will provide Supported Employment/Transitional Employment Services for Mental Health Cooperative clients referred by the Department of Rehabilitation. In addition, Transitions Mental Health Association and Mental Health Association in Santa Barbara County will provide Employment Services.

V. IN-SERVICE TRAINING

The Mental Health Rehabilitation Specialists and the Mental Health Cooperative Rehabilitation Counselors have been active in educating mental health staff about the Department of Rehabilitation and vocational rehabilitation issues. Department of Rehabilitation staff in addition to becoming "part of the team" by attendance at mental health staff meetings has attended in-service training regarding mental health issues sponsored by SBCDBW. Two meetings a year will be held with all Mental Health Cooperative partners that will include cross training on each agency's mission, goals, services and procedures and program review.

EXHIBIT B
(Standard Agreement - Subvention)

BUDGET DETAIL AND PAYMENT PROVISIONS

1. INVOICING AND PAYMENT

A. Service Budget Payment of Expenditure

1. This is a cost reimbursement Agreement for subvention services. For services satisfactorily completed, and upon receipt and approval of the invoices, the Department of Rehabilitation (DOR) agrees to reimburse the Contractor for actual expenditures incurred subject to the approved Scope of Work, Service Budget, Budget Narrative, and applicable regulations as attached or referenced hereto and made a part of this Agreement.
2. All expenses shall be reviewed and approved by the DOR Contract Administrator before payment can be made to the Contractor.
3. The Service Budget must set forth in detail the reimbursable items, unit rates and extended total amounts for each line item. The Contractor's Service Budget shall include items directly related to this Agreement to include a Budget Narrative that fully explains why and how the costs are necessary to the Agreement.

B. Submission of Invoice(s)

1. Monthly invoices must be completed using the DR 801B Service Invoice form (DR801B) and shall provide an actual line-item detail of expenditure(s) that supports the approved Service Budget and Budget Narrative. The DR801B shall include the Agreement Number, and be submitted in duplicate not more frequently than monthly in arrears to the DOR Contract Administrator or designee (listed in Exhibit A).
2. An original DR801B must be submitted and signed by authorized personnel as listed on the Signature Authorization (DR 325) form.
3. Supporting documentation must be available upon request at any time by DOR staff, or other State and Federal representatives.
4. Federal and State funds are time limited, therefore, invoices (service and certified match) must be submitted as soon as possible, but no later than 60 days after the service month. Final submission of all fiscal year-end invoices is due no later than November 1st, to allow for payment and draw down prior to the close out of Federal/State funds.
5. If budgetary funds revert due to failure to submit timely invoices or failure to submit a properly prepared invoice, related Federal and State funds will no longer be available for use which will require the contractor to submit a claim through the Victims Compensation and Government Claims Board, where approval to pay is not guaranteed.
6. The DOR is committed to issue payments as quickly as possible following the receipt of an accurate and complete invoice of allowable costs as approved by the DOR Contract Administrator.

C. Appropriate Expenditures

Budgets must not contain line items that are or will be reimbursed/paid by another source of funding during the period covered by this Agreement. Unexpended funds for a fiscal year shall not be carried over to another fiscal year. Agreement expenditures reimbursed by DOR must be reported as federal funds in the contractor's accounting records and on the Schedule of Federal Awards under the CFDA # listed for this Agreement and prepared for the Title 2 Code of Federal Regulations, Part 200 (2 CFR 200) Single Audit.

D. Invoice Claim Adjustments

1. Surplus funds from a given line item, within a fiscal year budget may be used to defray allowable costs under the approved budget line items contained **within the same fiscal year**. A claim adjustment is required on the Service Invoice (DOR 801B) with an attached brief narrative explaining each line item impacted and may not exceed up to a cumulative amount of ten percent (10%) of the total annual contract Service Budget for all budget years as long, as there is neither an increase nor decrease of the total annual contract Service Budget. A formal amendment is required if it does not meet the above criteria.
2. Staff line item salary ranges and percentage of time are projected estimates and are subject to change based on actual salary and chargeable time costs. Claim adjustments are allowable as long as the annualized total line item costs do not exceed what is allowed in Item 1 above.

E. Budget Contract Amendments

A contract amendment between both parties is required for any budget changes not covered in Section D above. This includes any major category or detailed line item description changes to the approved Service Budget and Budget Narrative as outlined below:

- Adding and deleting a major category budget or detailed line item.
- Line item adjustments that exceed a cumulative amount of 10%.
- Decrease/increase to the total annual budget award or the total Agreement award for all budget years.
- Any word for word changes to the written budget narrative or budget cost detail.
(Note: ALL changes must be made in **bold**.)

F. Travel Reimbursements

If travel is reimbursable, the Contractor agrees that all travel expenses and per diem rates paid to its employees under this Agreement shall be reimbursed at actual costs not to exceed the California Department of Human Resources (CalHR) designated rates for excluded employees. Go to CalHR website at <http://www.calhr.ca.gov/employees/pages/travel-reimbursements.aspx>. No travel outside the State of California except for bordering California states shall be reimbursed without prior documented written authorization from DOR.

Upon request from the DOR, Contractor will provide sufficient documentation to support travel expenditures such as travel claims, mileage logs, and receipts for lodging, transportation, and meal costs.

2. BUDGET CONTINGENCY CLAUSE

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the State shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the State shall have the option to either cancel this Agreement with no liability occurring to the State, or offer an Agreement amendment to Contractor to reflect the reduced amount.

3. BUDGET CONTINGENCY CLAUSE FOR FEDERALLY FUNDED AGREEMENTS

- A. It is mutually understood between the parties that this Agreement may have been written for the mutual benefit of both parties before ascertaining the availability of congressional appropriation of funds to avoid program and fiscal delays that would occur if the Agreement were executed after that determination was made.
- B. This Agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the current year and/or any subsequent year for the purpose of this program. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by Congress or to any statute enacted by Congress that may affect the provisions, terms, or funding of this Agreement in any manner.
- C. The parties mutually agree that if Congress does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.

4. PROMPT PAYMENT CLAUSE

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with section 927.

5. PRINCIPLES AND STANDARDS FOR DETERMINING ALLOWABLE COSTS, INCLUDING REQUIREMENTS FOR DOCUMENTING PERSONNEL ACTIVITY CHARGEABLE TO THE AGREEMENT

Agreements awarded by the Department shall be subject to actual costs for services rendered under this Agreement. Allowable costs under this Agreement must meet the following general criteria:

- Be generally recognized and necessary for the operation of the Contractor's organization.
- Be reasonable for the performance of the Agreement, including acceptable sound business practices.
- Be subject to the terms and conditions of the Agreement and approved DOR budgeted line items.
- Not be used for general expenses required to carry out other responsibilities of the Contractor.
- Be properly documented and supported.

Documenting and supporting the distribution of all costs, including the allocation of time chargeable to the Agreement, is required. The Contractor agrees to comply with the 2 CFR 200 cost principles regarding documentation for the support of personnel activity chargeable to the Agreement.

6. ACCOUNTING SYSTEM REQUIREMENTS

- A. Contractor must maintain an appropriate fund accounting system that accurately accumulates and segregates reasonable, allocable, and allowable costs in compliance with state and federal regulations, and generally accepted accounting principles. The Contractor's financial management system shall provide:
- Accurate, current, and complete disclosure of the financial results of each federally sponsored project.
 - Records that identify adequately the source and application of funds for federally sponsored activities.
 - Written procedures for determining the reasonableness, allocable, and allowable costs in accordance with the provisions of the applicable federal cost principles and the terms and conditions of the Agreement.
 - Accurate fund accounting records that track the revenues received from funders/sources and the expenditures paid to vendors for goods and services, and that are supported by adequate source documentation.
- B. Contractor shall submit to State such reports, accounts, and records as deemed necessary by the State to discharge its obligation under State and Federal laws and regulations

Program Budget

Santa Barbara County Department of Behavioral Wellness (TAY)

Program Budget and Match Summary
July 1, 2019 - June 30, 2022

	FY 7/1/2019 to 6/30/2020	FY 7/1/2020 to 6/30/2021	FY 7/1/2021 to 6/30/2022
	<u>TOTALS</u>	<u>TOTALS</u>	<u>TOTALS</u>
DOR PROGRAM COSTS (From DOR Program Budget)	\$304,824	\$304,824	\$304,824
TOTAL PAYMENT BY DOR TO CONTRACTOR (From Service Budget)	\$469,824	\$469,824	\$469,824
TOTAL FEDERAL COSTS	\$774,648	\$774,648	\$774,648
Certified Match (If applicable)	0%	0%	0%
Total Federal Share	\$0 0%	\$0 0%	\$0 0%
Cash Match (If applicable)	\$165,000 21.3%	\$165,000 21.3%	\$165,000 21.3%
Total Federal Share	\$609,648 78.7%	\$609,648 78.7%	\$609,648 78.7%
TOTAL STATE MATCH	\$165,000	\$165,000	\$165,000

Cooperative agency certified match expenditure and cash match expenditure must be from non-federal funds and cannot be used to draw down other federal funds. The cash match expenditure must equal at least 21.3% of the designated share and the certified match expenditure must equal at least 25% of the designated share.

DOR Budget

Santa Barbara County Department of Behavioral Wellness (TAY)

DOR Program Budget
July 1, 2019 - June 30, 2022

<u>ITEM</u>	<u>FTE</u> <u>EXPENDITURE</u>	<u>FY 7/1/2019</u> <u>to 6/30/2020</u> <u>TOTAL</u>	<u>FY 7/1/2020</u> <u>to 6/30/2021</u> <u>TOTAL</u>	<u>FY 7/1/2021</u> <u>to 6/30/2022</u> <u>TOTAL</u>
Rehabilitation Team Unit 1 FTE = \$110,377	Units	1.65	1.65	1.65
		\$182,122	\$182,122	\$182,122
Case Services (Individual Consumer Expenses)		122,702	122,702	122,702
	SUBTOTAL	\$304,824	\$304,824	\$304,824
Case Service Contract(s):				
TOTAL DOR PROGRAM COST		\$304,824	\$304,824	\$304,824

STATE OF CALIFORNIA
SERVICE BUDGET

DEPARTMENT OF REHABILITATION

Original

Amendment

Contractor Name and Address: Santa Barbara County

Federal ID Number: 95-6002833

Page 1 of 1

Contract Name and Address		Contract Number		Federal ID Number		Page 1 of 1	
Budget Period		Budget Period		Budget Period		Budget Period	
July 1 2019 to June 30 2020		July 1 2020 to June 30 2021		July 1 2021 to June 30 2022		July 1 2021 to June 30 2022	
Effective Date (Amendments Only)		Effective Date (Amendments Only)		Effective Date (Amendments Only)		Effective Date (Amendments Only)	

Line No.	PERSONNEL-Position Title & Time Base	Annual Salary Per FTE	Annual FTE	Amount Budgeted	Annual Salary Per FTE	Annual FTE	Amount Budgeted	Annual Salary Per FTE	Annual FTE	Amount Budgeted
1	Vocational Division Manager - Special Projects (1 FTE=40 hrs/week, 12 months)	\$235,770.00	0.0800	18,861.60	\$235,770.00	0.0800	18,861.60	\$235,770.00	0.0800	18,861.60
2	Voc Rehabilitation Specialist # 1 (1 FTE = 40 hrs/week, 12 months)	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08
3	Voc Rehabilitation Specialist # 2 (1 FTE = 40 hrs/week, 12 months)	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08
4	Voc Rehabilitation Specialist # 3 (1 FTE = 40 hrs/week, 12 months)	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08	\$123,232.00	0.9400	115,838.08
5										
6										
7										
8										
9										
10										
11	Subtotal			\$366,375.84			366,375.84			366,375.84
12	OPERATING EXPENSES									
13	Office Supplies			3,800			3,800			3,800
14	Instructional Materials			3,400			3,400			3,400
15	Training			3,500			3,500			3,500
16	Mileage			4,700			4,700			4,700
17	Transportation			6,767			6,767			6,767
18	Travel			4,700			4,700			4,700
19	Printing/Postage			2,200			2,200			2,200
20	Communication			3,500			3,500			3,500
21	Theft Sensitive Items			9,600			9,600			9,600
22	Operating Subtotal			42,167.00			42,167.00			42,167.00
23	Personnel and Operating Subtotal			408,542.84			408,542.84			408,542.84
24	Indirect Rate Percentage			15.00%			15.00%			15.00%
25	Indirect Cost			61,281			61,281			61,281
26	TOTAL (rounded to nearest dollar)			469,824			469,824			469,824

Service Budget Narrative

Santa Barbara County Department of Behavioral Wellness

BENEFITS:

Benefits paid for the employee such as FICA, Medicare, Unemployment Coverage, Workers' Compensation Insurance, Medical Insurance and Retirement plan.

Unemployment	1.0%
W/C	2.5%
Social Security	6.2%
Medicare Tax	1.45%

Medical Insurance based on actual rates, times percentage work for the program. Retirement Plan is 32.84% of Salaries/Wages times the percentage work for the program.

PERSONNEL:

Cooperative Program Functions

Vocational Division Manager – Special Projects

- Provides administrative direction of Mental Health Cooperative Program SBCDBW Department.
- Responsible for providing program guidance to the Vocational Rehabilitation Specialist.

Traditional Mental Health Functions

Division Chief, Administrative Services

Provides administrative oversight and management staff supervision to Human Resources, Facilities & Safety, Quality Assurance/Utilization Review, Medical Records, Contract and Administrative Office. Represent Administration Services Division in meetings with Fiscal and Programs Divisions. Authorize Purchase Orders related to Contract providers.

Cooperative Program Functions

Vocational Rehabilitation Specialist (#1, #2, #3)

Provides the following direct Cooperative Programs TAY services: Vocational Assessment, PVSA, Employment Services including, Employment Preparation, Job Development and Placement, Employment Retention and Short Term Supports to individuals and in group settings.

- Liaison to Department of Rehabilitation.
- Process TAY DOR client/referrals from DOR and introduces TAY DOR client to Vocational Services.
- Perform intake.
- Provides Vocational Assessment services and necessary TAY DOR client information to the DOR counselor to assist in determining DOR eligibility and assists TAY DOR client in setting vocational goals.
- Writes up Vocational Assessment report including TAY DOR client goals and recommendations and submits to DOR counselor.

- Assists TAY DOR client in developing and executing their Individualized Service Plan (ISP) including Employment Preparation, Job Development and Employment Retention.
- Provides supportive PVSA service to assist TAY DOR client and DOR counselor in the successful completion of an IPE.
- Educates TAY DOR clients on job search techniques including interviewing strategies, identifying employment opportunities, as well as implication of the Americans with Disabilities Act (ADA) and the California Fair Employment Housing Act.
- Works with the TAY DOR client to complete resumes, applications, and cover letters.
- Develops employment opportunities for TAY DOR clients by developing new partnership with businesses in Santa Barbara County.
- Completes a job site analysis to ensure that the environment and job demands are commiserate with TAY DOR client's abilities.
- Documents contacts with TAY DOR clients and tracks time, develops and delivers Monthly Progress Reports, a final Vocational Assessment Report and End of Service Reports.
- Maintains regular communication with DOR counselors regarding TAY DOR clients' progress.
- Provides employment-related support to promote job adjustment and retention.
- Makes sound and informed recommendations to DOR counselors based upon the progress and status of TAY DOR clients.
- Attends staff meetings as needed to support TAY DOR clients
- Participates in case conferences regarding services coordination meetings regarding TAY DOR clients.
- Attends TAY Quarterly meetings
- Attends training related to contracts services.
- Participates in cross-training opportunities.

Traditional Mental Health Functions

Behavioral Wellness Rehabilitation Specialist

Evaluates the social adjustment or vocational needs of persons with mental or emotional disturbances. Works within a system of interdisciplinary departmental teams providing assessment, prevention, intervention, treatment and related ancillary support services to people with alcohol and other drug-related problems, mental illness, and/or co-occurring conditions.

OPERATING EXPENSES:

Office Supplies

Consumable office supplies used by staff on this cooperative contract may include but are not limited to materials such as: TAY DOR client's folders, staplers, calendars, envelopes, pens, pencils, paper clips, tape, notepads, binders, computer printer cartridges, thumb drives, batteries, letterhead stationery and resume paper.

Instructional Materials

Supplies used for TAY DOR clients on this cooperative contract that include assessment materials and instructional supplies for individual and group work with TAY DOR clients.

Training

Registration and fees for contract staff to attend training or to bring in a trainer to provide training related to contract services. Written requests must be received at least 8 weeks in advance by the DOR contract administrator. Training must be pre-approved in writing by the DOR Contract Administrator and federal prior approval must be received for all training costs. Training costs estimated at \$500.00 per FTE.

These funds will be directed towards providing staff on this cooperative contract with enhancements of knowledge in providing employment services to DOR TAY DOR clients. The training funds will be used for training and topic areas such as vocational assessment, job development and job retention.

Mileage

Mileage consists of mileage expenses incurred for staff on this cooperative contract related services. Reimbursement for mileage expenses when contract staff use their own private vehicles in the provision of contract services such as, local job development, job coaching, monitoring and other program related activities. Reimbursement rates not to exceed the California Department of Human Resources (CalHR) designated rates.

Transportation

Costs for transporting clients in chartered and Santa Barbara County owned vehicles for the provision of contract services.

Travel

Per diem and travel costs for contract staff to travel to contract related trainings within the State of California (e.g. airfare, bus, train, rental cars, personal vehicle mileage, lodging and food costs). Reimbursed at actual costs not to exceed the CalHR designated rates as stated on CalHR website. All training-related travel costs must receive prior federal approval.

Printing/Postage

Printing: Funds will be used to develop literature on the TAY to be used with potential employers for job development purposes, and for use with families of TAY DOR clients to explain the program and services offered.

Postage: Costs of mailing contract related documents to TAY DOR clients and DOR staff.

Communication

Costs associated with telephone, Internet, and fax service.

Theft Sensitive Items

Theft Sensitive Items: Nonexpendable items purchased with contract funds that have a normal life expectancy of one year or more but have a purchase price of less than \$5,000 per item. These items must be inventoried, and a copy of the inventory record must be submitted to DOR upon request. Costs related to purchase of theft sensitive items to promote job readiness skills and gainful employment. Purchase of laptop computers, printers/scanners, and electronic signature pads. Items will be used by the TAY DOR clients to receive contractual employment services including but not limited to Vocational Assessment, Personal, Vocational, Social Adjustment (PVSA), Employment Preparation, Job Development and Placement, Employment Retention, and Short Term Supports. These items include: laptop computers, printers/scanners, and electronic signature pads.

Computers are essential in conducting and teaching the job search process, including employment preparation activities such as resume and cover letter development, locating job

openings, completing employment applications, and conducting an organized job search. Computers are also necessary for conducting labor market research, e-mail correspondence with TAY DOR clients, e-mail correspondence with employers, documenting TAY DOR client progress and activities, and sharing information with DOR Counselors and Contract Administrator.

Additionally, a printer/scanner will allow for scanning and electronic storing of documents that will contribute to more organized records and streamlined sharing of information among contract staff. The computers and laptops would only be purchased if currently existing computers and/or laptops need updating or become defective.

Vocational Rehabilitation Specialists will also need electronic signature pads to electronically save signed program related documents. Having the electronic signature pads will allow the program staff to get client signatures on required forms in a paperless and secure format to help ensure confidentiality.

The purchase of theft-sensitive items needed for program efficiency will include the following:

Fiscal Year 2019-2022

- 9 laptop computers @ \$1,000
- 9 desk top computers @ \$700
- 9 printers/scanners @ \$500
- 9 electronic signature pads @ \$300
- 9 cellphones @ \$700

\$9,600 per fiscal year for a total of \$28,800 during the 3-year contract

INDIRECT COST

The indirect cost rate established for Santa Barbara County Department of Behavioral Wellness (Fund 0048) is 29%, however we are charging 15% to this contract.

EXHIBIT C

GENERAL TERMS AND CONDITIONS (GTC 4/2017)

PLEASE NOTE: The General Terms and Conditions will be included in the Agreement by reference, you can view them at the Department of General Services, Office of Legal Services website at: <https://www.dgs.ca.gov/OLS/Resources/Page-Content/Office-of-Legal-Services-Resources-List-Folder/Standard-Contract-Language>. Go to Resources, click on the Standard Contract Language section to expand, then click on GTC 4/2017.

EXHIBIT D
(Standard Agreement - Subvention)

SPECIAL TERMS AND CONDITIONS

1. NOTIFICATION & COMPLIANCE

All notices required by either party shall be in writing and sent by email, mail, or personally delivered to the appropriate address. Mailing addresses may be changed by written notice.

Contractor agrees to comply with all laws, regulations, ordinances, and policies of any governmental unit having jurisdiction over the rehabilitation program with regards to construction, medicine, health, safety, wages, hours, working conditions, workers' compensation, licensing and all other activities requiring compliance. Contractor shall accept financial responsibilities in the event of non-compliance.

2. DISPUTES

If Contractor believes that there is a dispute or grievance between Contractor and the State arising out of or relating to this Agreement, Contractor shall first discuss and attempt to resolve the issue informally with the DOR Contract Administrator. If the issue cannot be resolved at this level, Contractor shall follow the following procedures:

- A. If the issue cannot be resolved informally with the DOR Contract Administrator, Contractor shall submit, in writing, a grievance report together with any evidence to the DOR Contract Administrator's Supervisor. The grievance report must state the issues in the dispute, the legal authority, or other basis for the Contractor's position and the remedy sought. Within ten (10) working days of receipt of the written grievance report from the Contractor the DOR Supervisor shall make a determination on the problem and shall respond in writing to the Contractor indicating the decision and reasons therefore. Should the Contractor disagree with the Supervisor's decision, Contractor may appeal to the next level following the procedure in "Disputes", paragraph B listed below.
- B. Contractor's letter of appeal must be submitted within ten (10) working days of the receipt of the Contract Administrator's Supervisor's written decision. Contractor must submit a letter of appeal to the Department's Contract Officer explaining the disagreement with the Contract Administrator's supervisor's decision. The letter must include, as an attachment, copies of the Contractor's original grievance report, evidence originally submitted, and response from Supervisor. The Contracting Officer shall, within twenty (20) working days of receipt of Contractor's letter of appeal, review the issues raised and shall render a written decision to the Contractor. The decision of the Director or designee shall be final.

3. RIGHT TO TERMINATE

- A. Either party reserves the right to terminate this Agreement subject to 30 days written notice.
- B. However, the Agreement can be immediately terminated for cause. The term "for cause" shall mean that the Contractor fails to meet the terms, conditions, and/or responsibilities of the Agreement. In this instance, the Agreement termination shall be effective as of the date indicated on the State's notification to the Contractor.

4. TRAINING SEMINARS, WORKSHOPS OR CONFERENCES

If said Contractor provides training seminars, workshops, or conferences, Contractor must obtain prior DOR approval for the location, costs, dates, agenda, instructors, instructional materials, and attendees at any reimbursable training seminar, workshop, or conference pursuant to this Agreement and of any reimbursable publicity or educational materials to be made available for distribution. The Contractor shall acknowledge the support of the State whenever publicizing the work under this Agreement in any media. The provision does not apply to necessary staff meetings or training sessions held for the staff of the Contractor to conduct routine business matters.

5. INSURANCE REQUIREMENTS

General Provisions Applying to All Policies

- A. Coverage Term** – Coverage needs to be in force for the complete term of the contract. If insurance expires during the term of the contract, a new certificate must be received by the State at least ten (10) days prior to the expiration of this insurance. Any new insurance must still comply with the original terms of the contract.
- B. Policy Cancellation or Termination & Notice of Non-Renewal** – Contractor is responsible to notify the State within five business days before the effective date of any cancellation, non-renewal, or material change that affects required insurance coverage. In the event Contractor fails to keep in effect at all times the specified insurance coverage, the State may, in addition to any other remedies it may have, terminate this Contract upon the occurrence of such event, subject to the provisions of this Contract.
- C. Deductible** – Contractor is responsible for any deductible or self-insured retention contained within their insurance program.
- D. Primary Clause** – Any required insurance contained in this contract shall be primary, and not excess or contributory, to any other insurance carried by the State.
- E. Insurance Carrier Required Rating** – All insurance companies must carry a rating acceptable to the Office of Risk and Insurance Management. If the Contractor is self-insured for a portion or all of its insurance, review of financial information including a letter of credit may be required.
- F. Endorsements** – Any required endorsements requested by the State must be physically attached to all requested certificates of insurance and not substituted by referring to such coverage on the certificate of insurance.
- G. Inadequate Insurance** – Inadequate or lack of insurance does not negate the contractor obligations under the contract.
- H. Satisfying an SIR** - All insurance required by this contract must allow the State to pay and/or act as the contractor's agent in satisfying any self-insured retention (SIR). The choice to pay and/or act as the contractor's agent in satisfying any SIR is at the State's discretion.
- I. Available Coverages/Limits** - All coverage and limits available to the contractor shall also be available and applicable to the State.
- J. Subcontractors** - In the case of Contractor utilization of subcontractors to complete the contracted scope of work, contractor shall include all subcontractors as insured's under Contractor and insurance or supply evidence of insurance to The State equal to policies, coverages and limits required of Contractor.

- i. Commercial General Liability – Contractor's liability shall be primary and non-contributory over any other valid or collectible insurance and self-insurance. Contractor shall maintain general liability on an occurrence form with limits not less than \$1,000,000 per occurrence for bodily injury and property damage liability combined with a \$2,000,000 annual policy aggregate. The policy shall include coverage for liabilities arising out of premises, operations, independent contractors, products, completed operations, personal & advertising injury, and liability assumed under an insured Agreement. This insurance shall apply separately to each insured against whom claim is made or suit is brought subject to the Contractor's limit of liability. The **policy must include:**

The State of California, its officers, agents, and employees as additional insured, but only with respect to work performed under the Agreement.

Endorsements must be physically attached to all requested certificates of insurance and not substituted by referring to such coverage on the certificate of insurance. The endorsement must be acceptable to the DGS Office of Risk and Insurance Management.

- ii. Automobile Liability (If Applicable) – For DOR consumers being provided transportation under said Agreement, the Contractor shall maintain motor vehicle liability with limits not less than \$1,000,000 combined single limit per accident. Such insurance shall cover liability arising out of a motor vehicle including owned, hired and non-owned motor vehicles to include the following additional insurance coverage below:

- **For public schools and for-profit organizations:** Automobile Liability insurance must include Any-Auto, Hired-Autos, Non-Owned Autos, and any other auto used in performing services under the Agreement. For **seating capacity up to 7 people** (includes driver), the Contractor's certificate of insurance shall state a limit of liability of not less than **\$1,000,000** per occurrence for bodily injury and property damage liability combined. For **seating capacity for 8 –15 people** (includes driver) the certificate of insurance shall state a limit of liability of not less than **\$1,500,000** per occurrence for bodily injury and property damage liability combined. For **seating capacity for 16 passengers** or more the certificate of insurance shall state a limit of liability of not less than **\$5,000,000** per occurrence for bodily injury and property damage liability combined.
- **For non-profit organizations:** Automobile Liability insurance must include Any-Auto, Hired-Autos, Non-Owned Autos, and any other auto used in performing services under the Agreement. For **seating capacity of up to 15 people** (includes driver) the certificate of insurance shall state a limit of liability of not less than **\$1,000,000** per occurrence for bodily injury and property damage liability combined. For **seating capacity for 16 passengers** or more the certificate of insurance shall state a limit of liability of not less than **\$5,000,000** per occurrence for bodily injury and property damage liability combined.

The same additional insured designation and endorsement required for general liability is to be provided for Automobile coverage.

- iii. Workers Compensation and Employers Liability – Contractor shall maintain statutory worker's compensation and employer's liability coverage for all its employees who will be engaged in the performance of the Agreement. Employer's liability limits of \$1,000,000 are required.

The workers' compensation policy shall contain a waiver of subrogation in favor of the State. The waiver of subrogation endorsement shall be provided.

- iv. Self-insurance - Contractor shall supply the consent letter of self-insurance or the Certificate of Consent to Self-Insure. The Waiver of Subrogation is not required.

6. CONFLICT OF INTEREST

- A. Contractor certifies that its employees and the officers of its governing body shall avoid any actual or potential conflicts of interest and that no officer or employee who exercises any functions or responsibilities in connection with this Agreement shall have any personal financial interest or benefit which either directly or indirectly arises from this Agreement.
- B. Contractor shall establish safeguards to prohibit its employees or its officers from using their positions for a purpose which could result in private gain or which gives the appearance of being motivated for private gain for themselves or others, particularly those with whom they have family, business, or other ties.

7. CONFIDENTIALITY

- A. Contractor agrees to comply with the provisions applicable to consumer information as set forth in 34 Code of Federal Regulations, Section 361.38 and Title 9, California Code of Regulations, Section 7140 et seq., and personal information as set forth in the Information Practices Act of 1977 (California Civil Code Section 1798 et seq.).
- B. Contractor agrees that any personal information, as defined by the Information Practices Act of 1977 (California Civil Code Section 1798 et seq.) and this Agreement, obtained in the performance of this Agreement is classified as confidential and shall not be subject to disclosure to any source except as required by this contract or otherwise authorized by DOR.
- C. Contractor agrees to remove all confidential, sensitive, or personal information from any reports, publications, or other materials created during the performance of this contract prior to being released to the scientific and academic community, or other individuals or entities. The removal method(s) must be reasonable and appropriate to ensure that any confidential, sensitive, or personal information cannot be recovered, accessed, used or disclosed, which would result in a security breach or an information security incident.
- D. Subject to the applicable requirements of the regulations cited above, Contractor agrees to report any security breach or information security incident involving confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract to the DOR's Contract Administrator and the DOR's Information Security Officer. The DOR's Information Security Officer can be contacted via e-mail at iso@dor.ca.gov.
- E. Security breaches or information security incidents that shall be reported include, but are not limited to:
1. Inappropriate use or unauthorized disclosure of confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract by the Contractor or the Contractor's assignees. Disclosure methods include, but are not limited to, electronic, paper, and verbal.

2. Unauthorized access to confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract. Information can be held in medium that includes, but is not limited to, electronic and paper.
 3. Loss or theft of information technology (IT) equipment, electronic devices/media, paper media, or data containing confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract. IT equipment and electronic devices/media include, but are not limited to, computers (e.g., laptops, desktops, tablets), smartphones, cell phones, CDs, DVDs, USB flash drives, servers, printers, peripherals, assistive technology devices (e.g., notetakers, videophones), and copiers. Data can be held in medium that includes, but is not limited to, electronic and paper.
- F. Contractor agrees to provide annual security and privacy training for all individuals who have access to confidential, sensitive, or personal information (e.g., consumer information) obtained in the performance of this contract.
- G. Contractor agrees to obtain and maintain acknowledgements from all individuals to evidence their understanding of the consequences of violating California privacy laws and the contractor's information privacy and security policies.
- H. For contractors that do not have a security program that includes annual security and privacy training, a self-training manual is available on the DOR website in the "Requirements for Becoming a Service Provider" section under "Annual Security and Privacy Training for VR Service Providers." The self-training manual is named "Protecting Privacy in State Government" and can be downloaded at the following link:
<https://www.dor.ca.gov/Home/SecurityandPrivacy>.
- I. Additional training and awareness tools are available at the California Information Security Office (CISO) website and the California Department of Justice – Privacy Enforcement and Protection website. These state entities created the self-training manual, "Protecting Privacy in State Government" that DOR revised to meet its business needs.

8. AUDIT AND REVIEW REQUIREMENTS

- A. General Audit and Review Requirements
1. The State shall have the right to conduct inspections, reviews, and/or audits of the Contractor to determine whether the services provided and the expenditures invoiced by the Contractor were in compliance with this Agreement and other applicable federal or state statutes and regulations.
 2. Contractor agrees that Department of Rehabilitation, State Controller's Office, Department of General Services, Bureau of State Audits, Federal Department of Education Auditors, or their designated representatives shall have the right to review and to copy any records and supporting documentation pertaining to the performance of the Agreement, including but not limited to, accounting records, consumer service records, records and evaluations of individuals referred to the program, and other supporting documentation that may be relevant to the audit or investigation.
 3. The Contractor shall submit to the State such reports, accounts, and records deemed necessary by the State to discharge its obligation under State and Federal laws and regulations, including the applicable OMB cost principles and administrative requirements.

4. Contractor agrees to allow the auditors access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records.
 5. Contractor agrees to maintain such records for possible audit for a minimum of five (5) years after final payment or until resolution of all issues which may arise as a result of any litigation, claim, negotiation, audit, or any other action involving the records prior to expiration of the five (5) year period, whichever is later.
- B. Annual Federal Audit (For Agreements that received Federal Funds \$750,000 and above):
1. In addition to the General Audit and Review Requirements above, the Contractor agrees to provide an annual audit as required by the federal "Single Audit Act" of 1994, as amended. This audit shall be made in accordance with 2 CFR 200.

9. COMPETITIVE BIDDING AND PROCUREMENTS

- A. Contractor shall comply with applicable laws and regulations regarding securing competitive bids and undertaking negotiations in Contractor's Agreements with other entities for acquisition of goods and services with funds provided by the State or Federal under this Agreement. A minimum of two competitive quotations is required for any purchase order or subcontract for services over \$2,500, and should be submitted to the DOR contract administrator or adequate justification provided for the absence of bidding.
- B. Contractors must maintain a copy of the narrative description of the procurement systems guidelines, rules or regulations that will be used to make purchases under this Agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor at any time.
- C. The Contractor should seek prior approval for any purchase or subcontract exceeding \$2,500 per unit or more for commodities, supplies, and services related to this Agreement. The Contractor must provide in its request for approval all particulars necessary, as specified by DOR, for evaluating the necessity or desirability of incurring such costs.
- D. For all purchases made, subject to this Agreement, the Contractor must maintain copies of all paid vendor invoices, documents, bids and other information used in vendor selection, for inspection or audit.

10. USE OF SUBCONTRACTOR(S)

If the Contractor desires to accomplish part of the services through the use of one (1) or more subcontractors, the following conditions must be met:

- A. The Contractor shall submit any subcontracts to the State for approval prior to starting any of the work;
- B. The Agreement between the primary Contractor and the subcontractor must be in writing;
- C. The subcontract must include specific language which establishes the rights of the auditors of the State to examine the records of the subcontractor relative to the services and materials provided under the Agreement; and
- D. Upon termination of any subcontract, the State shall be notified immediately, in writing.
- E. Contractor shall assure that all subcontractor administrative fees are reasonable considering the services being provided, and they may only pay overhead charges on the first \$25,000 for each subcontract.

Further, any subcontract in excess of \$100,000 entered into as a result of this Agreement shall contain all applicable provisions stipulated in this Agreement.

11. POTENTIAL SUBCONTRACTORS

Nothing contained in this Agreement or otherwise, shall create any contractual relation between the State and any subcontractors, and no subcontract shall relieve the Contractor of his responsibilities and obligations hereunder. The Contractor agrees to be as fully responsible to the State for the acts and omissions of its subcontractors and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by the contractor. The Contractor's obligation to pay its subcontractors is an independent obligation from the State's obligation to make payments to the Contractor. As a result, the State shall have no obligation to pay or to enforce the payment of any moneys to any subcontractor. Contractor shall not subcontract any services under this Agreement without prior approval of the State.

12. CONTRACT AMENDMENTS

In the event that additional program services must be performed which was wholly unanticipated and is not specified in the written Scope of Work, but is, in the opinion of both parties necessary to the successful accomplishment of the general scope of work outlined, an amendment to the Agreement is required.

13. SOFTWARE

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this contract for the acquisition, operation or maintenance of computer software in violation of copyright laws.

14. THEFT SENSITIVE ITEMS

DOR is requiring nonexpendable items to be listed and purchased under a separate line item titled "Theft Sensitive Items". The contractor shall maintain an inventory record for each nonexpendable item purchased or built with funds provided under the terms of the contract. The inventory record of each item shall include the date acquired, total cost, serial number, model identification and any other information or description necessary to identify said item. A copy of the inventory record must be submitted annually to the State Contract Administrator.

The following items, regardless of cost must be inventoried:

1. Computers/printers
2. Laptops/tablets
3. Copiers/fax
4. Smart phones/cell phones
5. Other items required to provide contract services

15. ATTRIBUTION

The Contractor agrees to acknowledge the sponsorship of DOR with respect to any public statement, press release, news item, or publication related to a program funded all or in part with funds from DOR. Contractor further agrees to identify the role of DOR with respect to any individual highlighted or publicized by or through Contractor, when such individual is a DOR consumer.

16. UNRUH CIVIL RIGHTS ACT AND THE FAIR EMPLOYMENT & HOUSING ACT

Pursuant to Public Contract Code section 2010, if a bidder or proposer executes or renews a contract over \$100,000 on or after January 1, 2017, the bidder or proposer hereby certifies compliance with the following:

The contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and

If the contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

EXHIBIT E

(Standard Agreement - Subvention)

ADDITIONAL PROVISIONS – Federally Funded Agreements

1. FEDERAL REQUIREMENTS

The Federal Office of Management and Budget (OMB) has established uniform administrative requirements and cost principles for determining allowable costs chargeable to Federal awards. The Contractor agrees to abide by the Title 2 Code of Federal Regulations, Part 200 (2 CFR 200), except where the Agreement is more restrictive. The federal regulations are available for review on the Internet at www.ecfr.gov under Title 2-Grants and Agreements.

2. FEDERAL FUNDING INTELLECTUAL PROPERTY

- A. In any Agreement funded in whole or in part by the federal government, DOR may acquire and maintain the Intellectual Property rights, title and ownership, which results directly and indirectly from the Agreement. However, the federal government shall have non-exclusive, non-transferable, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.
- B. Evaluation of Discovery or Invention: If any discovery or invention arises as a result of funded work, the Contractor must refer the discovery or invention to the DOR. The Rehabilitation Services Administration (RSA) and its representatives have the sole and exclusive power to determine whether or not and where a patent should be filed and the disposition of all rights, including title and license rights, which may result. RSA's determination of these issues shall be considered final. In addition, the DOR and RSA shall acquire at least an irrevocable, non-exclusive, and royalty-free license to utilize for government purposes of any of these inventions. By signing this Agreement, the Contractor agrees that determinations of rights to inventions made in the course of or under the Agreement shall be made by RSA or its authorized representative.
- C. Copyrights and Patents: The Federal awarding agency and/or the DOR reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, for Federal Government purposes:
1. The copyright in any work developed under a grant, subgrant, or Agreement under a grant or subgrant; and
 2. Any rights of copyright to which a grantee, subgrantee or a contractor purchases ownership with grant support.

3. DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

Federal and State agencies shall not award assistance to applicants that are debarred or suspended, or otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549. By signing this Agreement, Contractor certifies that neither it nor its principals or subcontractors are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

4. PROHIBITION ON TAX DELINQUENCY

Any Agreement that a state agency enters into after July 1, 2012, is void if the contract is between a state agency and a contractor, or subcontractor, whose name appears on either list of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. In accordance with Public Contract Code Section 10295.4, agencies are required to cancel Agreements with entities that appear on either list.

(Franchise Tax Board) https://www.ftb.ca.gov/aboutFTB/Delinquent_Taxpayers.shtml,
(Board of Equalization) <https://www.cdtfa.ca.gov/taxes-and-fees/top500.htm>

5. THE FOLLOWING PROVISIONS ARE SUBJECT TO THIS AGREEMENT

- A. Equal Employment Opportunity--All Agreements require compliance with E.O. 11246--Equal Employment Opportunity, as amended by E.O. 1137--Amending Executive Order 11246 Relating to Equal Employment Opportunity, and as supplemented by regulations at 41 CFR Chapter 60 Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor, Part 60-1 Obligations of Contractors and Subcontractors, Subpart A. Preliminary Matters; Equal Opportunity Clause; Compliance Reports.
- B. Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended--Agreements of amounts in excess of \$100,000 shall require the Contractor to agree to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq.). Violations shall be reported to ED and the Regional Office of the Environmental Protection Agency (EPA).
- C. Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)--By signing this Agreement, the Contractor who is awarded an Agreement of \$100,000 or more certifies that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant, or any other award covered by 31 U.S.C. 1352. Contractor shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award.
- D. All contractors shall comply with the following statutes and regulations:
1. Subject: Discrimination on the basis of race, color, or national origin.
Statute: Title VI of the Civil Rights Act of 1964 (45 U.S.C. 2000 through 2000d-4).
Regulation: 34 CFR part 100.
 2. Subject: Discrimination on the basis of sex
Statute: Title IX of the Education Amendments of 1972 (20 U.S.C. 1681-1683).
Regulations: 34 CFR part 106.
 3. Subject: Discrimination on the basis of handicap.
Statute: Section 504 of the Rehabilitation Act of 1973 (29U.S.C. 794).
Regulation: 34 CFR part 104handicap.
 4. Subject: Discrimination on the basis of age.
Statute: The Age Discrimination Act (42 U.S.C. 6101 et seq.).
Regulation: 34 CFR part 110

6. RETURN OF INAPPROPRIATE USE OF FUNDS

By signing this Agreement, Contractor shall certify that in the event of funds used inappropriately, funds must be returned to DOR.

7. AMERICANS WITH DISABILITIES ACT (ADA)

By signing this Agreement, Contractor/Grantee agrees to comply with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as, all applicable regulations and guidelines issued pursuant to the ADA (42 U.S.C. 12101 et seq.). In compliance with the Rehabilitation Act of 1973, 29 U.S.C. §794 et seq. and Government Code, Section 11135 et seq.; Section 504 imposes affirmative disability-related responsibilities on recipients of federal financial assistance as well as federal programs and activities and prohibits disability-based discrimination; and Section 508, requires electronic and information technology be accessible to people with disabilities.

EXHIBIT F
(COOP/Case Services Agreements-Subvention)

ADDITIONAL PROVISIONS - COOPERATIVE/CASE SERVICES

1. MATCH REQUIREMENTS

For Agreements that include **CERTIFIED EXPENDITURE MATCH**:

- A. Contractor shall certify to the State, on a monthly basis as specified in Exhibit B & G, the Contractor's allowable costs to provide the cooperative program services identified in the Scope of Work, in accordance with the Cooperative Agency Certified Expenditure Budget Summary and Narrative, and applicable Federal regulations. All such expenditures shall be under the administrative supervision of the State and no portion of the certified expenditures shall come from Federal funds. The State shall not be obligated to pay the Contractor for any contributions made by the Contractor in accordance with the Cooperative Agency Certified Expenditure Budget Summary.
- B. The total Cooperative Agency certified expenditure share will be matched to Federal funds at no less than 25%, as indicated on the DOR Program Budget Summary. If the value of the certified expenditures by the Contractor is below 25% of the actual total program cost, the Service Budget may be reduced after review by the DOR Contract Administrator. The State will not pay the Contractor for actual costs claimed on the Service Invoice (DOR 801B) until the certified expenditure summary for the same period has been submitted.
- C. Contractor contributions, including any excess of the amount specified in the "Cooperative Agency Certified Expenditure Budget Summary", will be used by the State to obtain Federal funds under Section 110 of the Rehabilitation Act of 1973, as amended. Federal funds obtained in excess of the "Total Program Cost" as identified on the "DOR Program Budget Summary" shall accrue to the State.

For Agreements that include **CASH MATCH**:

- A. Each fiscal year Contractor will pay to State, no less than quarterly and in advance, upon receipt of an invoice from the State, all those cash matching funds which are identified within the Program Budget Summary for that fiscal year. The State shall not be obligated to pay the Contractor for any contributions made by the Contractor in accordance with the approved budget, it being understood that all matching funds obtained by the State from the Contractor shall be exclusive funds of the State and no portion of the cash match shall come from Federal funds.
- B. The total Cooperative Agency cash share will be matched to Federal funds at no less than 21.3% as indicated on the "DOR Program Budget Summary."

2. INDIRECT COSTS

Indirect costs are allowable expenses incurred by an organization which support the activities of a program or contract, but are not directly assigned to the specific program or contract and are allocated to the program or contract using a method in compliance with 2 CFR 200. The allocation method must be fully explained in the contract budget narrative and must be supported by actual costs incurred and paid by the organization. The allocation of indirect costs cannot be based on an arbitrary fixed rate and there is a 15% cap on the service budget. There is no cap on the certified match, however, indirect costs over 40% require a copy of the rate

approval document from the cognizant federal agency or state department designee (e.g. California Department of Education {CDE} or established through an independent audit).

3. CONTRACT HANDBOOK

Contractor acknowledges and agrees with the policies requirements and conditions of the Department of Rehabilitation's Contract Handbook and its additional policy requirements and conditions for Case Services/Cooperative Program Agreements as applicable for the Fiscal Year(s) covered under this Agreement. Match requirements are applicable to Cooperative Programs Agreements only.

4. DOR'S CONTRACT MONITORING

The DOR Contract Administrator will monitor and document the contractor's performance to ensure compliance with all Agreement provisions. The DOR Contractor Administrator will:

- A. Maintain documentation on all Agreement activities, including the performance of the Agreement services, invoice reviews and approvals, monitoring activities, and other Agreement administration activities.
- B. Monitor the Agreement to ensure services were performed according to the quality, quantity, objectives, timeframes and manner specified in the Agreement, and that the Contractor prepares and maintains adequate documentation to support the services provided, expenditures reimbursements, and/or any applicable match requirements.
- C. Review and approve invoices for payment to substantiate expenditures for the work performed, including verification that costs invoiced for the provision of services to DOR applicants/consumers during the Agreement period are based on reasonable costs, and that the invoices are current, correct, and timely.
- D. Ensure that all Service Invoices (DR801B) and Certified Expenditure Summaries, if applicable, are received no later than November 1st, to allow for payment and draw down prior to the close out of Federal/State funds.
- E. Verify that the contractor has fulfilled all requirements of the Agreement before approving the final invoice.
- F. Ensure there are sufficient funds to pay for all services rendered as required by the Agreement.
- G. Ensure, by the end of the second quarter, that the projected certified expenditure match will be sufficient to support the budgets as outlined in this Agreement. If not, contact the appropriate Collaborative Services Program Specialist. (Cooperative Program Agreements only)
- H. Identify low usage levels and consider partial disencumbrance of Agreement funds.
- I. Periodically review personnel activity reports for staff funded by the Agreement to ensure that the Contractor is preparing and maintaining personnel activity reports in compliance with the applicable OMB cost principle.

J. Verify that all Agreement staff are providing services in accordance to their duties specified in the Agreement, including ensuring that:

- Personnel duty statements or a copy of the Agreement Budget Narrative/Agreement Duty Statement has been provided to each staff person to communicate the specific duties to be performed under the Agreement.
- Verify that job duties, as provided by the Agreement staff, match Agreement duty statements and service descriptions.
- Ensure that the contractor has submitted to DOR appropriate documentation that supports the services provided to DOR applicants/consumers, including monthly (or otherwise specified) progress reports, consumer listings, utilization/service reports, and/or other agreed-upon documentation.
- Verify that Contract staff provide services only to authorized DOR consumers.

EXHIBIT G
(COOP/TPP Agreements-Subvention)

ADDITIONAL PROVISIONS-CONTRACTOR'S MONITORING & TRANSPORTATION

I. CONTRACT MONITORING AND REPORTING

The Contract Administrator/Program Manager shall monitor the contract by:

- Submitting Service Invoices (801B) and Certified Expenditure Summaries on a monthly basis, with a list of student/DOR clients served that month
- Ensuring Personnel Activity Reports or time reporting documents and a list of student/DOR clients served are prepared and maintained by Contract staff in accordance with 2CFR200 and reflect accurate reporting
- Submitting Personnel Activity Reports or time reporting documents, supporting documentation, and a list of student/DOR clients served as requested by DOR contract administrator
- Meeting with DOR Contract Administrator and program staff to discuss contract progress at Quarterly Meetings
- Reporting the current and cumulative achievement of contract service goals and outcomes as part of the Quarterly Meetings or more often as directed by the DOR Contract Administrator
- Preparing and submitting to the assigned vocational rehabilitation counselor monthly progress reports for student/DOR clients' receiving contract services. Progress reports should include student/DOR client's name and other necessary or required information to document the services provided and individual student/DOR client progress in those services

II. Transportation

The Contractor will provide transportation to 7 student/DOR clients including the driver.

CCC 04/2017

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

<i>Contractor/Bidder Firm Name (Printed)</i> Santa Barbara County Department of Behavioral Wellness		<i>Federal ID Number</i> 95-6002833
<i>By (Authorized Signature)</i> 		
<i>Printed Name and Title of Person Signing</i> Alice Gleghorn, Ph.D., Director Department of Behavioral Wellness		
<i>Date Executed</i> 	<i>Executed in the County of</i> Santa Barbara	

CONTRACTOR CERTIFICATION CLAUSES

1. STATEMENT OF COMPLIANCE: Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 11102) (Not applicable to public entities.)

2. DRUG-FREE WORKPLACE REQUIREMENTS: Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the

certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that Contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.3.

8. GENDER IDENTITY: For contracts of \$100,000 or more, Contractor certifies that Contractor is in compliance with Public Contract Code section 10295.35.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.





6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.


8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

GRANTEE/CONTRACTOR: STATE OF CALIFORNIA Department of Rehabilitation 721 Capitol Mall Sacramento, California 95814	SUBGRANTEE/CONTRACTEE: (Legal Corporation/Public Agency Name & Address) Santa Barbara County Department of Behavioral Wellness 429 N. San Antonio Rd. Santa Barbara, CA 93110
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The following persons are authorized to request reimbursement of expenses incurred as a result of the agreement between the Grantee/Contractor and Subgrantee/Contractee named above:

Signature 	Name (Please Type or Print) Chris Ribeiro	Title (Please Type or Print) Chief Financial Officer
Signature 	Name (Please Type or Print) Emma Godinez	Title (Please Type or Print) Fiscal Manager
Signature 	Name (Please Type or Print) Josue Sanchez	Title (Please Type or Print) Accounting Supervisor
Signature 	Name (Please Type or Print)	Title (Please Type or Print)

I hereby delegate authority to request reimbursement of expenses as shown above.

Authorized Signature per Board Resolution 	Name (Please Type or Print) Alice Gleghorn, Ph.D., Director, Behavioral Wellness	Date Signed
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Pursuant to Public Contract Code section 2010, a person that submits a bid or proposal to, or otherwise proposes to enter into or renew a contract with, a state agency with respect to any contract in the amount of \$100,000 or above shall certify, under penalty of perjury, at the time the bid or proposal is submitted or the contract is renewed, all of the following:

1. CALIFORNIA CIVIL RIGHTS LAWS: For contracts executed or renewed after January 1, 2017, the contractor certifies compliance with the Unruh Civil Rights Act (Section 51 of the Civil Code) and the Fair Employment and Housing Act (Section 12960 of the Government Code); and
2. EMPLOYER DISCRIMINATORY POLICIES: For contracts executed or renewed after January 1, 2017, if a Contractor has an internal policy against a sovereign nation or peoples recognized by the United States government, the Contractor certifies that such policies are not used in violation of the Unruh Civil Rights Act (Section 51 of the Civil Code) or the Fair Employment and Housing Act (Section 12960 of the Government Code).

CERTIFICATION

I, the official named below, certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Proposer/Bidder Firm Name (Printed)	Federal ID Number
Santa Barbara County Department of Behavioral Wellness	95-6002833

By (Authorized Signature)

Printed Name and Title of Person Signing

Alice Gleghorn, Ph.D. Director, Department of Behavioral Wellness

Executed in the County of	Executed in the State of
Santa Barbara County	CA

Date Executed