

**Notices of Violation (NOV) Issued to ERG Resources, LLC.**  
**January 1, 2011 - February 28, 2017**  
**Cat Canyon Sources (West, Central, and East)**

NOV #	Facility	Company	Location	Source Of Violation	Self Reported	Violation Issued	Rule	Date Paid	Total Penalty Received
9655	Williams Holding Lease (ex RMR)	ERG Resources, LLC.	Santa Maria	Failed to maintain a leak-free oil tank		6/27/2011	325	12/9/2011	\$1,000
9873	Williams Holding Lease (ex RMR)	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		11/9/2011	331	11/28/2012	\$250
9879	Cantin Lease	ERG Resources, LLC.	Santa Maria	Failed to maintain leak-free underground gas piping		4/5/2012	325	11/28/2012	\$1,000
9887	Tognazzini Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		8/2/2012	331	2/5/2013	\$750
9828	Williams Holding Lease	ERG Resources, LLC.	Santa Maria	Violated flare emissions and usage limits, resulting in excess NOx, ROC, CO, SOx, and PM/PM10 emissions. Daily emissions exceedances (5-25 lbs range) occurred for 364 days.		6/18/2012	206	8/21/2012	\$205,125
10231	Wickenden Lease	ERG Resources, LLC.	Santa Maria	Vented produced gas		1/10/2013	325	4/29/2013	\$1,000
10232	Wickenden Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		1/10/2013	331	4/29/2013	\$1,000
10233	Wickenden Lease	ERG Resources, LLC.	Santa Maria	Exceeded sulfur content of produced gas burned		1/10/2013	311	4/29/2013	\$1,000
10234	Fugler 80 Lease	ERG Resources, LLC.	Santa Maria	Operated equipment without a permit		1/11/2013	201	4/29/2013	\$1,000
10237	Cantin Lease	ERG Resources, LLC.	Santa Maria	Exceeded sulfur content of produced gas burned		2/5/2013	206	5/22/2013	\$250
10242	Cantin Lease	ERG Resources, LLC.	Santa Maria	Used H2S scavenger chemical without a permit		7/2/2013	201	N/A	\$0 ^
10324	Williams "B" Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		7/8/2013	331	8/7/2014	\$350
10247	Tognazzini Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		9/10/2013	331	2/10/2014	\$1,000
10373	Recruit Fee	ERG Resources, LLC.	Santa Maria	Failed to include all integral equipment associated with a project in the Authority to Construct		9/11/2013	201	N/A	\$0 ^
10379	Williams Holding Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		10/14/2013	331	N/A	\$0
10385	Fugler 80 Lease	ERG Resources, LLC.	Santa Maria	Failed to submit complete Annual Report for 2012		12/5/2013	206	N/A	\$0
10396	Los Alamos Fee	ERG Resources, LLC.	Santa Maria	Failed to maintain leak free vapor recovery system		2/3/2014	325	8/7/2014	\$750
10782	Tognazzini Lease	ERG Resources, LLC.	Santa Maria	Exceeded throughput and did not adhere to permit		2/12/2015	206	6/14/2016	\$875
10783	Williams Holding Lease (ex RMR)	ERG Resources, LLC.	Santa Maria	Exceeded throughput and did not adhere to permit		2/12/2015	206	9/30/2015	\$500
11001	GWP Fee	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		4/5/2016	331	6/14/2016	\$1,500
11010	Cantin Lease	ERG Resources, LLC.	Santa Maria	Exceeded the number of allowable leaks		8/2/2016	331	11/18/2016	\$250

**Notes**

A. NOV was settled for no monetary penalty because double fees were paid for the permit that was required in response to NOV.