



BOARD OF SUPERVISORS
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

Department Name: County Executive
Office
Department No.: 012
For Agenda Of: May 3, 2011
Placement: Departmental
Estimated Time: 45 Minutes
Continued Item: No
If Yes, date from:
Vote Required: Majority

TO: Board of Supervisors

FROM: Department Chandra L. Wallar, County Executive Officer
Director(s)
Contact Info: Jason Stilwell, Assistant County Executive, 568-3413

SUBJECT: Five Year Capital Improvement Program, Fiscal Years 2011-16

County Counsel Concurrence

As to form: N/A

Auditor-Controller Concurrence

As to form: N/A

Other Concurrence:

Recommended Actions:

It is recommended that the Board of Supervisors:

- a) Receive the Five Year Capital Improvement Program (CIP)
- b) Review and approve in concept the projects included in the CIP and the Proposed Capital Budget for Fiscal Year 2011-2012
- c) Refer the CIP to the Planning Commission and request analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2011-2012 for conformity with the County Comprehensive Plan.

Summary Text:

The Five Year Capital Improvement Program (CIP) is a compilation of projects intended to implement various plans, including community plans, county facilities plans, and the circulation element of the County Comprehensive Plan. Projects in the CIP indicate current and future capital needs. Projects included in the CIP are non-recurring, have a long service life, are generally over \$100,000 and will be underway (or need to be underway, but are partially or entirely unfunded) at some point during FY 2011-2012. In line with the Operating Budget, the CIP presents projects grouped by department within each function.

While the CIP covers a five year planning period, it is updated each year to reflect ongoing changes; new projects are added, existing projects are modified, and completed projects are excluded. The FY 2011-2016 CIP contains 199 projects, including 30 projects that are new this year. The attached report 'New Project Index' (Attachment B) identifies, by title and page number, the 30 new projects in the CIP. Of the total 199 projects, 80 projects are fully funded, 61 are partially funded, and 58 are currently unfunded. A funded project is one that has identified specific funding to implement the program. An unfunded project is one that has been identified in the CIP as a need but has no funding secured to implement the program. The total cost of the Five Year CIP is \$812.8 million; of this, total 30.4%, \$247.1 million, is funded. The CIP identifies a need of \$130.2 million for FY 2011-2012; of this amount, 58.5%, \$76.2 million, is for funded projects and 41.5%, \$54.0 million, is for unfunded projects. Some of the proposed funded projects are highlighted within Section A of the CIP.

The FY 2011-2016 CIP will be referred to the Planning Commission for analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2011-2012, for conformity with the County Comprehensive Plan. The Planning Commission will be conducting its review on June 1, 2011. State Planning Law (Government Code Section 65401) requires that a list of all projects recommended for planning, initiation or construction during the ensuing fiscal year be referred to the Planning Commission for review and that the Planning Commission report to the Board as to the projects' conformity with the adopted Comprehensive Plan. The Board will be advised June 21, 2011 on the Planning Commission's findings as to whether proposed projects are generally consistent with the Plan and appropriate for orderly implementation. Detailed, "project specific" Comprehensive Plan Consistency Determinations will be provided through future Government Code Section 65402 Determinations, if required.

Actions Recommended:

It is recommended that the Board receive the FY 2011-2016 CIP.

It also is recommended that the Board receive a presentation on the proposed CIP. At the hearing, the Board will be asked to refer the CIP to the Planning Commission and request analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2011-2012 for conformity with the County Comprehensive Plan. Secondly, the Board will be asked to conceptually approve all projects included in the CIP as legitimate capital needs. In addition, the Board will be asked to conceptually approve the proposed capital budget for FY 2011-2012 (funding for Year 1 of all projects included in the CIP) and direct staff to return with a final FY 2011-2012 Capital Budget, recommended for adoption during budget hearings in June, 2011, as part of the annual budget adoption process.

Fiscal and Facilities Impacts:

The proposed capital budget for FY 2011-2012 includes both new and carryover discretionary General Fund (GF) allocations total \$2.11 million (\$110 thousand carry over, \$2.0 million new). All funds carryover allocation including General Fund (amounts not spent FY 2010-2011 to be re-budgeted for FY 2011-2012) total \$20.7 million.

General Fund Contribution

In accordance with adopted Budget Principles the CIP has proposed General Fund contributions of \$750 thousand to the Capital Maintenance Designation, \$500 thousand to Deferred Maintenance Capital Fund and \$750 thousand to the Capital Designation to be recommended for inclusion in the FY 2011-2012 Operating Budget. As of this writing, the budget climate may not allow for this contribution. The

proposed carryover and new GF appropriations for FY 2011-2012 associated with these designations, shown in thousands, are distributed as follows in the Proposed CIP:

Dept Title	Source	Project Title	PY	Carry Over	New	Year 1
Parks	General Fund Deferred Maintenance Designation	Tabano Hollow Bikeway	\$0.00	\$10.00	\$0.00	\$10.00
Parks	General Fund Deferred Maintenance Designation	Park Infrastructure Repairs Program 2011-16	\$40.00	\$0.00	\$300.00	\$300.00
Parks	General Fund Deferred Maintenance Designation	2011-16 Park Restrooms ADA Upgrade Program	\$35.00	\$0.00	\$50.00	\$50.00
Parks	General Fund Deferred Maintenance Designation	Park Repaving/Restriping Program	\$50.00	\$0.00	\$50.00	\$50.00
Parks	General Fund Deferred Maintenance Designation	2011-16 Countywide Park Furnishings and Equipment	\$30.00	\$0.00	\$100.00	\$100.00
General Services	General Fund Capital Designation	Betteravia Expansion - Phase II	\$0.00	\$100.00	\$0.00	\$100.00
General Services	General Fund Capital Designation	FM-Capital Maintenance Projects (2011-12 Minor)	\$0.00	\$0.00	\$750.00	\$750.00
General Services	General Fund Capital Maintenance Designation	FM-Capital Maintenance Projects (2011-12 Major)	\$0.00	\$0.00	\$750.00	\$750.00
Total (thousands)			\$155.00	\$110.00	\$2,000.00	\$2,110.00

CIP and Authorized Debt Financing

The CIP for FYs 2011-2016 includes projects that are using Certificates of Participation (COPs) for debt financing issued in 2005 and 2010. The chart below shows the projects by department, related revenue source to pay debt service, and project status

Department – CIP Project Name –	Revenue	Status
2010 COPs/Recovery Zone Economic Development Bonds		
Public Defender - Courthouse Annex Remodel – Santa Barbara	General Fund	Under construction with completion anticipated in Winter 2012.
General Services - Emergency Operations Center-Santa Barbara	General Fund	Under construction with completion anticipated in Spring 2011
General Services – Betteravia Administration Building Expansion	General Fund	Project on hold as of 2/15/2011 as per Board of Supervisor's action Substitute project(s) under consideration.
2005 COPs		
Fire - Station 51 (Lompoc-Mission Hills) Rebuild - Lompoc	General Fund	Complete
Sheriff - Isla Vista Foot Patrol Building - Isla Vista	General Fund	Complete
Sheriff - New Sheriff Station - Lompoc	General Fund	Complete
Sheriff - Sheriff HQ Expansion & Remodel - Santa Barbara	General Fund	Architect hired November 2005. Project funding and scope was increased and shifted from other major project by the Board of Supervisors in January 2009.
CRA - County Elections Facility	General Fund	Complete
SB 1732 Court Facilities Deficiencies Program - Countywide	General Fund	COP funds were transferred to the Santa Maria Court Clerks' project. Court facilities were transferred to the State 12/31/2008.
ADMHS - Children's Clinic	Mental Health Services Fund 0044	Complete

There are no fiscal or facility impacts resulting from the actions requested. However, significant short and long term fiscal and facility impacts would result from the adoption of the projects in the FY 2011-2012 Capital Budget in June.

Special Instructions:

None

Attachments:

(A) Five Year Capital Improvement Program, Fiscal Years 2011-2016

(B) New Project Index

Authored by:

Richard Morgantini, Fiscal and Policy Analyst, County Executive Office (568-3551)

cc:

Department Directors