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| 1. GRANT TITLE Traffic Records Improvement Project | |
| 2. NAME OF AGENCY Santa Barbara County | 3. Grant Period From: 10/01/2022 To: 09/30/2023 |
| 4. AGENCY UNIT TO ADMINISTER GRANT Santa Barbara County Sheriff's Department | |
| 5. GRANT DESCRIPTION State and local agencies need timely, accurate, complete, accessible, and uniform traffic records to identify and prioritize traffic safety issues, to choose appropriate safety countermeasures and evaluate their effectiveness. Traffic records improvement grants provide traffic safety stakeholders with the ability to plan and initiate traffic records improvement projects such as the purchase and implementation of traffic crash reporting systems as well as electronic citation equipment and software. | |
| 6. Federal Funds Allocated Under This Agreement Shall Not Exceed: \$86,550.00 | |
| <p>7. TERMS AND CONDITIONS: The parties agree to comply with the terms and conditions of the following which are by this reference made a part of the Agreement:</p> <ul style="list-style-type: none"> • Schedule A – Problem Statement, Goals and Objectives and Method of Procedure • Schedule B – Detailed Budget Estimate and Sub-Budget Estimate (if applicable) • Schedule B-1 – Budget Narrative and Sub-Budget Narrative (if applicable) • Exhibit A – Certifications and Assurances • Exhibit B* – OTS Grant Program Manual • Exhibit C – Grant Electronic Management System (GEMS) Access <p>*Items shown with an asterisk (*), are hereby incorporated by reference and made a part of this agreement as if attached hereto.</p> <p>These documents can be viewed at the OTS home web page under Grants: www.ots.ca.gov.</p> <p>We, the officials named below, hereby swear under penalty of perjury under the laws of the State of California that we are duly authorized to legally bind the Grant recipient to the above described Grant terms and conditions.</p> <p>IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.</p> | |
| 8. Approval Signatures | |
| <p>A. GRANT DIRECTOR</p> <p>NAME: Richard Brittingham TITLE: Lieutenant EMAIL: rcb3537@sbsheriff.org PHONE: (805) 681-4133 ADDRESS: 4434 Calle Real, PO Box 6427 Santa Barbara, CA 93160-6427</p> <p><i>Rich Brittingham</i> <small>Rich Brittingham (Oct 5, 2022 07:57 PDT)</small></p> <p>_____ Oct 5, 2022 (Signature) (Date)</p> | <p>B. AUTHORIZING OFFICIAL</p> <p>ADDRESS: Bill Brown Sheriff sheriff@sbsheriff.org 805-681-4290 4434 Calle Real, PO Box 6427 Santa Barbara, CA 93160</p> <p><i>Bill Brown</i> <small>Bill Brown (Oct 11, 2022 17:11 PDT)</small></p> <p>_____ Oct 11, 2022 (Signature) (Date)</p> |
| <p>C. FISCAL OFFICIAL</p> <p>ADDRESS: Hope Vasquez Chief Financial Officer hmv3606@sbsheriff.org (805) 681-4299 4434 Calle Real, PO Box 6427 Santa Barbara, CA 93160</p> <p><i>Hope Vasquez</i></p> <p>_____ Oct 5, 2022 (Signature) (Date)</p> | <p>D. AUTHORIZING OFFICIAL OF OFFICE OF TRAFFIC SAFETY</p> <p>ADDRESS: Barbara Rooney Director barbara.rooney@ots.ca.gov (916) 509-3030 2208 Kausen Drive, Suite 300 Elk Grove, CA 95758</p> <p><i>Barbara L. Rooney</i></p> <p>_____ Oct 12, 2022 (Signature) (Date)</p> |

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| <p>E. ACCOUNTING OFFICER OF OFFICE OF TRAFFIC SAFETY</p> <p>NAME: Carolyn Vu ADDRESS: 2208 Kausen Drive, Suite 300 Elk Grove, CA 95758</p> | <p>9. SAM INFORMATION</p> <p>SAM #: P1J5PKEMNW28 REGISTERED ADDRESS: 4434 Calle Real CITY: Santa Barbara ZIP+4: 93110-1002</p> |
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| 10. PROJECTED EXPENDITURES | | | | | | |
|--|--------|--------------------|-----------------------------|---------|--|------------------------|
| FUND | CFDA | ITEM/APPROPRIATION | F.Y. | CHAPTER | STATUTE | PROJECTED EXPENDITURES |
| 405c TR-23 | 20.616 | 0521-0890-101 | 2022 | 43/22 | BA/22 | \$86,550.00 |
| | | | | | AGREEMENT TOTAL | \$86,550.00 |
| | | | | | AMOUNT ENCUMBERED BY THIS DOCUMENT | \$86,550.00 |
| <p><i>I CERTIFY upon my own personal knowledge that the budgeted funds for the current budget year are available for the period and purpose of the expenditure stated above.</i></p> | | | | | PRIOR AMOUNT ENCUMBERED FOR THIS AGREEMENT | \$ 0.00 |
| | | | | | TOTAL AMOUNT ENCUMBERED TO DATE | \$86,550.00 |
| OTS ACCOUNTING OFFICER'S SIGNATURE <i>Carolyn Vu</i> | | | DATE SIGNED Oct 11, 2022 | | | |

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| <p>1. PROBLEM STATEMENT</p> <p>The Sheriff's Office performs traffic enforcement activities in four cities within Santa Barbara County, specifically Goleta, Carpinteria, Buellton and Solvang. This traffic enforcement includes responding to, investigating and writing reports for traffic crashes. Between the four contract cities, the department writes over 500 traffic crash reports a year, with the City of Goleta being the largest and generating the most reports (typically about 350 a year). The City of Goleta, situated north of the City of Santa Barbara, has a population of nearly 30,000 people which nearly doubles during business hours as people drive to and from work, attend college (University of California Santa Barbara and Santa Barbara City College), shop and arrive/depart at the Santa Barbara Airport.</p> <p>Our current procedure for traffic crash reports is that the field deputy handwrites the appropriate information in the field, goes back to the station where he/she transfers that information into a Microsoft Word template. After the deputy completes the report, he/she prints it out for approval and once approved, the printed report is handed to Records Personnel to have the information manually input into the Record Management System (RMS). The Records Personnel then mails a printed copy to the California Highway Patrol SWITRS, where they they would manually input the information into their database system. Our current RMS does not provide the ability for deputies to write a traffic crash report, nor does it have the ability to electronically transfer the data to SWITRS.</p> <p>During traffic enforcement, patrol deputies and traffic deputies conduct various traffic enforcement activities within the City of Goleta. Deputies write about 1,150 moving/equipment citations annually. These citations are written on a paper citation, then the citation is delivered to Records Personnel to be entered into RMS. Once Records Personnel has completed the entry, the citation is hand delivered to the courts where it is manually entered into their system.</p> | |
| <p>2. PERFORMANCE MEASURES</p> <p>A. Goals:</p> <ol style="list-style-type: none"> 1. Reduce the number of persons killed in traffic crashes. 2. Reduce the number of persons injured in traffic crashes. | |
| <p>B. Objectives:</p> <ol style="list-style-type: none"> 1. Purchase a new electronic crash reporting program or upgrade an existing electronic crash reporting program to the latest version available that includes the capability of exporting complete crash report records to a departmental records management system (RMS) and the California Highway Patrol's Statewide Integrated Traffic Records System (SWITRS). | <p>Target Number 1</p> |
| <ol style="list-style-type: none"> 2. Purchase and implement a new complete electronic citation solution that includes the capability of exporting data to both a departmental records management system (RMS) as well as the local court records system if and when the local court can receive electronic citation data. | <p>1</p> |
| <p>3. METHOD OF PROCEDURE</p> <p>A. Phase 1 – Program Preparation (1st Quarter of Grant Year)</p> <ul style="list-style-type: none"> • Determine specific system requirements. • Determine specific equipment requirements. • Request vendor price quotation per host agency requirements. • Procure an electronic crash reporting system with the following functionalities: <ul style="list-style-type: none"> • System licensing with installation and training. • GIS based mapping. • Segment crash diagramming tool. • Ability to create or import crash reports. • Ability to import and export agency RMS files. • Ability to perform complete analysis of crash reports. • Secure database accessible by appropriate agency personnel. • Ability to export complete crash report to the California Highway Patrol's Statewide Integrated Traffic Records System. | |

- Procure an electronic citation system with the following functionalities:
 - System licensing with installation and training.
 - Ability to import and export to agency RMS files.
 - Ability to perform complete analysis of citation reports.
 - Secure database accessible by appropriate agency personnel.
 - Ability to export complete citation reports to the local county court.

B. Phase 2 – Program Operations (Throughout Grant Year)

- Select vendor for system development, implementation, and installation.
- Monitor and oversee progress of system/software development.

C. Phase 3 – Data Collection & Reporting (Throughout Grant Year)

- Prepare and submit invoice claims (due January 30, April 30, July 30, and October 30).
 - Successful project completion and confirmation of successful transmission of crash reports by the California Highway Patrol is required before submission of invoice claims seeking reimbursement from OTS.
- Prepare and submit quarterly performance reports (due January 30, April 30, July 30, and October 30).
 - Collect and report quarterly appropriate data that supports the progress of goals and objectives.
 - Provide a summary of quarterly accomplishments and explanations for objectives not completed.
 - Collect, analyze, and report statistical data relating to the grant goals and objectives.

4. METHOD OF EVALUATION

Using the data compiled during the grant, the Grant Director will complete the “Final Evaluation” section in the fourth/final Quarterly Performance Report (QPR). The Final Evaluation should provide a brief summary of the grant’s accomplishments, challenges and significant activities. This narrative should also include whether goals and objectives were met, exceeded, or an explanation of why objectives were not completed.

5. ADMINISTRATIVE SUPPORT

This program has full administrative support, and every effort will be made to continue the grant activities after grant conclusion.

| FUND NUMBER | CATALOG NUMBER (CFDA) | FUND DESCRIPTION | TOTAL AMOUNT | | |
|---|-----------------------|--|-------------------|-------|---------------------|
| 405c TR-23 | 20.616 | State Traffic Safety Information System Improvements | \$86,550.00 | | |
| COST CATEGORY | | FUND NUMBER | UNIT COST OR RATE | UNITS | TOTAL COST TO GRANT |
| A. PERSONNEL COSTS | | | | | |
| <u>Straight Time</u> | | | | | \$0.00 |
| <u>Overtime</u> | | | | | \$0.00 |
| Category Sub-Total | | | | | \$0.00 |
| B. TRAVEL EXPENSES | | | | | |
| | | | | | \$0.00 |
| | | | | | \$0.00 |
| Category Sub-Total | | | | | \$0.00 |
| C. CONTRACTUAL SERVICES | | | | | |
| | | | | | \$0.00 |
| Category Sub-Total | | | | | \$0.00 |
| D. EQUIPMENT | | | | | |
| Complete Traffic Crash and Electronic Citation Data Collection System | | 405c TR-23 | \$86,550.00 | 1 | \$86,550.00 |
| Category Sub-Total | | | | | \$86,550.00 |
| E. OTHER DIRECT COSTS | | | | | |
| | | | | | \$0.00 |
| Category Sub-Total | | | | | \$0.00 |
| F. INDIRECT COSTS | | | | | |
| | | | | | \$0.00 |
| Category Sub-Total | | | | | \$0.00 |
| GRANT TOTAL | | | | | \$86,550.00 |

| BUDGET NARRATIVE | |
|-------------------------------|---|
| PERSONNEL COSTS | - |
| TRAVEL EXPENSES | - |
| CONTRACTUAL SERVICES | - |
| EQUIPMENT | Complete Traffic Crash and Electronic Citation Data Collection System-A combination traffic crash and electronic citation data collection system will handle both traffic crash and traffic citation data collection and processing. The traffic crash database system will collect, analyze, and display crash and enforcement data, including a full featured module for analysis, allowing queries for top ranking lists of locations, breakdowns by cause, type, injury, conditions, and many other attributes. Queries and Reports will be provided in a variety of formats including pie charts, bar charts, and summaries. The system provides for crash mapping on Google Earth, ESRI GIS, or similar mapping products. Costs may include laptop/desktop computers, software and licenses, printers, accessories, training, and associated shipping and taxes. The traffic citation system will collect citation data electronically in the field, print a violator copy of the citation, and transfer the information electronically to the agency RMS system and the courts for prosecution. The system will be used by traffic officers to improve the efficiency and accuracy of writing traffic citations. Costs may include the purchase of electronic citation devices, mag-strip readers, fingerprint readers, audio recorders, cameras, docking/charging stations, laptop/desktop computers, software, licenses, printers, accessories, training, and associated shipping and taxes. |
| OTHER DIRECT COSTS | - |
| INDIRECT COSTS | - |
| STATEMENTS/DISCLAIMERS | There will be no program income generated from this grant. |

CERTIFICATIONS AND ASSURANCES FOR HIGHWAY SAFETY GRANTS
(23 U.S.C. Chapter 4; Sec. 1906, Pub. L. 109-59, As Amended By Sec. 4011, Pub. L. 114-94)

The officials named on the grant agreement, certify by way of signature on the grant agreement signature page, that the Grantee Agency complies with all applicable Federal statutes, regulations, and directives and State rules, guidelines, policies, and laws in effect with respect to the periods for which it receives grant funding. Applicable provisions include, but are not limited to, the following:

GENERAL REQUIREMENTS

- 23 U.S.C. Chapter 4 – Highway Safety Act of 1966, as amended
- Sec. 1906, Pub. L. 109-59, as amended by Sec. 4011, Pub. L. 114-94
- 23 CFR part 1300 – Uniform Procedures for State Highway Safety Grant Programs
- 2 CFR part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards
- 2 CFR part 1201 – Department of Transportation, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

NONDISCRIMINATION

(applies to all subrecipients as well as States)

The State highway safety agency will comply with all Federal statutes and implementing regulations relating to nondiscrimination (“Federal Nondiscrimination Authorities”). These include but are not limited to:

- **Title VI of the Civil Rights Act of 1964** (42 U.S.C. 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;
- **The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970**, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- **Federal-Aid Highway Act of 1973**, (23 U.S.C. 324 *et seq.*), **and Title IX of the Education Amendments of 1972**, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- **Section 504 of the Rehabilitation Act of 1973**, (29 U.S.C. 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- **The Age Discrimination Act of 1975**, as amended, (42 U.S.C. 6101 *et seq.*), (prohibits discrimination on the basis of age);
- **The Civil Rights Restoration Act of 1987**, (Pub. L. 100-209), (broadens scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal aid recipients, subrecipients and contractors, whether such programs or activities are Federally-funded or not);
- **Titles II and III of the Americans with Disabilities Act** (42 U.S.C. 12131-12189) (prohibits discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37 and 38;
- **Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations** (prevents discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations); and
- **Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency** (guards against Title VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR 74087-74100).

The Subgrantee-

- Will take all measures necessary to ensure that no person in the United States shall, on the grounds of race, color, national origin, disability, sex, age, limited English proficiency, or membership in any other class protected by Federal Nondiscrimination Authorities, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any of its programs or activities, so long as any portion of the program is Federally-assisted;
- Will administer the program in a manner that reasonably ensures that any of its subrecipients, contractors, subcontractors, and consultants receiving Federal financial assistance under this program will comply with all requirements of the Non- Discrimination Authorities identified in this Assurance;
- Agrees to comply (and require its subrecipients, contractors, subcontractors, and consultants to comply) with all applicable provisions of law or regulation governing US DOT's or NHTSA's access to records, accounts, documents, information, facilities, and staff, and to cooperate and comply with any program or compliance reviews, and/or complaint investigations conducted by US DOT or NHTSA under any Federal Nondiscrimination Authority;
- Acknowledges that the United States has a right to seek judicial enforcement with regard to any matter arising under these Non-Discrimination Authorities and this Assurance;
- Agrees to insert in all contracts and funding agreements with other State or private entities the following clause:
 - "During the performance of this contract/funding agreement, the contractor/funding recipient agrees—
 - a. To comply with all Federal nondiscrimination laws and regulations, as may be amended from time to time;
 - b. Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in appendix B of 49 CFR part 21 and herein;
 - c. To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
 - d. That, in event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
 - e. To insert this clause, including paragraphs (a) through (e), in every subcontract and subagreement and in every solicitation for a subcontract or sub-agreement, that receives Federal funds under this program.

THE DRUG-FREE WORKPLACE ACT OF 1988 (41 U.S.C. 8103)

The Subgrantee will provide a drug-free workplace by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing a drug-free awareness program to inform employees about:
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs;
 4. The penalties that may be imposed upon employees for drug violations occurring in the workplace;
 5. Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- c. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will –
 1. Abide by the terms of the statement;
 2. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
- d. Notifying the agency within ten days after receiving notice under subparagraph (c)(2) from an

- employee or otherwise receiving actual notice of such conviction;
- e. Taking one of the following actions, within 30 days of receiving notice under subparagraph (c)(2), with respect to any employee who is so convicted –
 1. Taking appropriate personnel action against such an employee, up to and including termination;
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
 - f. Making a good faith effort to continue to maintain a drug-free workplace through implementation of all of the paragraphs above.

POLITICAL ACTIVITY (HATCH ACT)

(applies to all subrecipients as well as States)

The State will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

CERTIFICATION REGARDING FEDERAL LOBBYING

(applies to all subrecipients as well as States)

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The signed certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;
3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

RESTRICTION ON STATE LOBBYING

(applies to all subrecipients as well as States)

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

(applies to all subrecipients as well as States)

Instructions for Primary Tier Participant Certification (States)

1. By signing and submitting this proposal, the prospective primary tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective primary tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary tier participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default or may pursue suspension or debarment.
4. The prospective primary tier participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms *covered transaction*, *civil judgment*, *debarment*, *suspension*, *ineligible*, *participant*, *person*, *principal*, and *voluntarily excluded*, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (<https://www.sam.gov/>).
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency may terminate the transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Tier Covered Transactions

(1) The prospective primary tier participant certifies to the best of its knowledge and belief, that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment

rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

(2) Where the prospective primary tier participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Participant Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR parts 180 and 1200.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms *covered transaction*, *civil judgment*, *debarment*, *suspension*, *ineligible*, *participant*, *person*, *principal*, and *voluntarily excluded*, as used in this clause, are defined in 2 CFR parts 180 and 1200. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Participant Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR parts 180 and 1200.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any prospective lower tier participants, each participant may, but is not required to, check the System for Award Management Exclusions website (<https://www.sam.gov/>).
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

BUY AMERICA ACT

(applies to all subrecipients as well as States)

The State and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or subrecipient, to purchase with Federal funds only steel, iron and manufactured products produced in the United States, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification for approval by the Secretary of Transportation.

PROHIBITION ON USING GRANT FUNDS TO CHECK FOR HELMET USAGE

(applies to all subrecipients as well as States)

The State and each subrecipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

POLICY ON SEAT BELT USE

In accordance with Executive Order 13043, Increasing Seat Belt Use in the United States, dated April 16, 1997, the Grantee is encouraged to adopt and enforce on-the-job seat belt use policies and programs for its employees when operating company-owned, rented, or personally-owned vehicles. The National Highway Traffic Safety Administration (NHTSA) is responsible for providing leadership and guidance in support of this Presidential initiative. For information and resources on traffic safety programs and policies for employers, please contact the Network of Employers for Traffic Safety (NETS), a public-private partnership dedicated to improving the traffic safety practices of employers and employees. You can download information on seat belt programs, costs of motor vehicle crashes to employers, and other traffic safety initiatives at www.trafficsafety.org. The NHTSA website (www.nhtsa.gov) also provides information on statistics, campaigns, and program evaluations and references.

POLICY ON BANNING TEXT MESSAGING WHILE DRIVING

In accordance with Executive Order 13513, Federal Leadership On Reducing Text Messaging While Driving, and DOT Order 3902.10, Text Messaging While Driving, States are encouraged to adopt and enforce workplace safety policies to decrease crashes caused by distracted driving, including policies to ban text messaging while driving company-owned or rented vehicles, Government-owned, leased or rented vehicles, or privately-owned vehicles when on official Government business or when performing any work on or behalf of the Government. States are also encouraged to conduct workplace safety initiatives in a manner commensurate with the size of the business, such as establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving, and education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

INSTRUCTIONS FOR ADDING OR UPDATING GEMS USERS

1. Each agency is allowed a total of **FIVE (5) GEMS Users**.
2. GEMS Users listed on this form will be authorized to login to GEMS to complete and submit Quarterly Performance Reports (QPRs) and reimbursement claims.
3. Complete the form if adding, removing or editing a GEMS user(s).
4. The Grant Director must sign this form and return it with the Grant Agreement.

GRANT DETAILS

| | |
|-----------------------|---|
| Grant Number: | TR23007 |
| Agency Name: | Santa Barbara County Sheriff's Department |
| Grant Title: | Traffic Records Improvement Project |
| Agreement Total: | \$86,550.00 |
| Authorizing Official: | Bill Brown |
| Fiscal Official: | Hope Vasquez |
| Grant Director: | Richard Brittingham |

CURRENT GEMS USER(S)

1. Jeff Farmer

Title: Sr. Deputy

Phone: (805) 961-7514

Email: jlf3077@sbsheriff.org

Media Contact: Yes

Complete the below information if adding, removing or editing a GEMS user(s)

| | | |
|--|--|---|
| GEMS User 1 | | Add as a media contact? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Add/Change <input checked="" type="checkbox"/> | Remove Access <input type="checkbox"/> | |
| Jeff Farmer | | Sr. deputy |
| Name | | Job Title |
| JLF3077@sbsheriff.org | | 805-961-7514 |
| Email address | | Phone number |
| GEMS User 2 | | Add as a media contact? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| Add/Change <input checked="" type="checkbox"/> | Remove Access <input type="checkbox"/> | |
| Noel Rivas | | Sergeant |
| Name | | Job Title |
| NNR4615@sbsheriff.org | | 805-961-7516 |
| Email address | | Phone number |
| GEMS User 3 | | Add as a media contact? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Add/Change <input checked="" type="checkbox"/> | Remove Access <input type="checkbox"/> | |
| Divine Mallow | | Cost Analyst |
| Name | | Job Title |
| DRM4586@sbsheriff.org | | 805-448-8306 |
| Email address | | Phone number |
| GEMS User 4 | | Add as a media contact? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Add/Change <input type="checkbox"/> | Remove Access <input type="checkbox"/> | |
| Name | | Job Title |
| | | |
| Email address | | Phone number |
| GEMS User 5 | | Add as a media contact? Yes <input type="checkbox"/> No <input type="checkbox"/> |
| Add/Change <input type="checkbox"/> | Remove Access <input type="checkbox"/> | |
| Name | | Job Title |
| | | |
| Email address | | Phone number |
| Form completed by: <u>Jeff Farmer</u> <small>Jeff Farmer (Sep 20, 2022 10:53 PDT)</small> | | Date: Sep 20, 2022 |
| As a signatory I hereby authorize the listed individual(s) to represent and have GEMS user access. | | |
| <u>Rich Brittingham</u> <small>Rich Brittingham (Oct 5, 2022 07:57 PDT)</small> | | Rich Brittingham |
| Signature | | Name |
| Oct 5, 2022 | | Grant Director |
| Date | | Title |












Grant Agreement - TR23007

Final Audit Report

2022-10-12

| | |
|-----------------|--|
| Created: | 2022-09-12 |
| By: | Stephanie Spaid (stephanie.spaid@ots.ca.gov) |
| Status: | Signed |
| Transaction ID: | CBJCHBCAABAA9BOrndaKwVnf_FxTDuHOFvhQxHGQ1_d6 |

"Grant Agreement - TR23007" History


-  Document created by Stephanie Spaid (stephanie.spaid@ots.ca.gov)
2022-09-12 - 6:34:25 PM GMT
-  Document emailed to jlf3077@sbsheriff.org for signature
2022-09-12 - 6:34:31 PM GMT
-  Email viewed by jlf3077@sbsheriff.org
2022-09-20 - 5:40:20 PM GMT
-  Signer jlf3077@sbsheriff.org entered name at signing as Jeff Farmer
2022-09-20 - 5:53:21 PM GMT
-  Document e-signed by Jeff Farmer (jlf3077@sbsheriff.org)
Signature Date: 2022-09-20 - 5:53:22 PM GMT - Time Source: server
-  Document emailed to rcb3537@sbsheriff.org for signature
2022-09-20 - 5:53:24 PM GMT
-  Email viewed by rcb3537@sbsheriff.org
2022-10-05 - 2:55:43 PM GMT
-  Signer rcb3537@sbsheriff.org entered name at signing as Rich Brittingham
2022-10-05 - 2:57:23 PM GMT
-  Document e-signed by Rich Brittingham (rcb3537@sbsheriff.org)
Signature Date: 2022-10-05 - 2:57:25 PM GMT - Time Source: server
-  Document emailed to Hope Vasquez (hmv3606@sbsheriff.org) for signature
2022-10-05 - 2:57:27 PM GMT
-  Email viewed by Hope Vasquez (hmv3606@sbsheriff.org)
2022-10-05 - 2:59:56 PM GMT

 Document e-signed by Hope Vasquez (hmv3606@sbsheriff.org)

Signature Date: 2022-10-05 - 3:01:59 PM GMT - Time Source: server

 Document emailed to sheriff@sbsheriff.org for signature

2022-10-05 - 3:02:00 PM GMT

 Email viewed by sheriff@sbsheriff.org


2022-10-06 - 3:49:52 PM GMT

 Signer sheriff@sbsheriff.org entered name at signing as Bill Brown

2022-10-12 - 0:11:22 AM GMT

 Document e-signed by Bill Brown (sheriff@sbsheriff.org)


Signature Date: 2022-10-12 - 0:11:24 AM GMT - Time Source: server

 Document emailed to Carolyn Vu (carolyn.vu@ots.ca.gov) for signature


2022-10-12 - 0:11:25 AM GMT

 Email viewed by Carolyn Vu (carolyn.vu@ots.ca.gov)


2022-10-12 - 0:20:49 AM GMT

 Document e-signed by Carolyn Vu (carolyn.vu@ots.ca.gov)

Signature Date: 2022-10-12 - 0:20:56 AM GMT - Time Source: server

 Document emailed to Barbara Rooney (barbara.rooney@ots.ca.gov) for signature

2022-10-12 - 0:21:00 AM GMT

 Email viewed by Barbara Rooney (barbara.rooney@ots.ca.gov)

2022-10-12 - 5:29:31 PM GMT

 Document e-signed by Barbara Rooney (barbara.rooney@ots.ca.gov)

Signature Date: 2022-10-12 - 5:29:42 PM GMT - Time Source: server

 Agreement completed.

2022-10-12 - 5:29:42 PM GMT