

C-1

FILED *GA*

JUN 25 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Santa Barbara County Agricultural Commissioners Office

County Exhibit No. C-17

Mailing Address: 263 Camino del Remedio, Santa Barbara, CA 93110

Contact Person: Trae R. Lewis

Office Phone No: (805) 681-5600

E-Mail: trlewis@co.santa-barbara.ca.us

Fax No: (805) 681-5603 ✓

trlewis@agcommissioner.com

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:
(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

C. Fisher

Signature of Department Head or Director

6/24/14

Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC



June 24, 2014

LETTER OF MEMORANDUM (Conflict-of-Interest Code)

The Supervising Weights and Measures Inspector was re-classified to Deputy Sealer and the Agricultural Program Specialist was also re-classified. The Agricultural Program Specialist was reclassified to add another Deputy Agricultural Commissioner. We have added a Compliance Coordinator. The Compliance Coordinator is in the same classification as our Deputy Agricultural Commissioner.

CATHLEEN M. FISHER
Santa Barbara County Agricultural Commissioner

EXHIBIT C-7

SANTA BARBARA COUNTY
 AGRICULTURAL COMMISSION/SEALER OF WEIGHTS AND MEASURES;
 COOPERATIVE EXTENSION, UNIVERSITY OF CALIFORNIA

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
Persons occupying the following positions are "designated employees"* and must disclose financial interest in those categories described in Exhibit "B: Standard Disclosure Categories" of Santa Barbara County Resolution No. 95-450, as amended. The term "local agency" as used in said Exhibit B shall mean for purposes of this Exhibit C-7 the Santa Barbara County Agricultural Commission/Sealer of Weights and Measures; Cooperative Extension, University of California	
Positions:	
1. Agricultural Commissioner/ Director of Weights and Measures	1, 2, 3, 4, 5
2. Assistant Director - Administration	1, 2, 3, 4, 5
3. Deputy Agricultural Commissioner Deputy Sealer ✓	1, 2, 3, 4, 5
4. Supervising Weights and Measures Inspector	1, 2, 3, 4, 5
(The supervising W&M Inspector was reclassified)	
5. Supervising Agricultural Biologist	1, 2, 3, 4, 5
6. Agricultural Program Specialist ✓ (The Agricultural Program Specialist was reclassified) (to another Deputy Agricultural Commissioner)	1, 2, 3, 4, 5

REVISE

REVISE

*A "designated employee" is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term "designated employee" does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

(ADD) 6. Compliance Coordinator 1, 2, 3, 4, 5 ✓

C-2

FILED

2014 Local Agency Biennial Notice

OCT 08 2014

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Agricultural Preserve Advisory Committee
 County Exhibit No. C-39
 Mailing Address: 263 Camino del Remedio, Santa Barbara, CA 93110
 Contact Person: Delbie Trupe Office Phone No: 805-681-5600
 E-Mail: dtrupe@co.santa-barbara.ca.us Fax No: 805-681-5603

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
 (Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

10-3-14

Date

Complete this notice regardless of how recently your code was approved or amended.
 Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-3

2014 Local Agency Biennial Notice

FILED
SEP 29 2014
SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: Alcohol, Drug and Mental Health Services

County Exhibit No: C-20

Mailing Address: 300 N. San Antonio Road, Bldg. 3, Santa Barbara, CA 93110

Contact Person: Marcia Carstensen Office Phone No: 805-681-4742

E-Mail: mcarste@co.santa-barbara.ca.us Fax No: 805-681-5262

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Signature of Department Head or Director

Date

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Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-4

2014 Local Agency Biennial Notice

FILED
OCT 2 2014
OCT 02 2014
SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: Santa Barbara County Assessment Appeals Boards #1 & #2

County Exhibit Number: C-8

Mailing Address: 105 E. Anapamu St. Room 407 Santa Barbara, CA 93101

Contact Person: Jacquelyne Alexander Office Phone No: 805-568-2240

E-Mail: Jralexander@co.santa-barbara.ca.us Fax No: 805-568-2249

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

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 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

10/1/2014

Date

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Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-255

FILED
JUN 30 2014
SANTA BARBARA COUNTY
ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: SANTA BARBARA COUNTY AUDITOR - CONTROLLER
County Exhibit No. _____
Mailing Address: 105 E. ANAPAMU ST. RM 303 SANTA BARBARA CA 93102
Contact Person: ROBERT W GEIS Office Phone No: 805-568-2101
E-Mail: WGEIS@CO.SANTA-BARBARA.CA.US Fax No: 805-568-2016

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
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 - Revise disclosure categories.
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 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Robert W Geis
Signature of Department Head or Director

6/24/14
Date

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Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-9

SANTA BARBARA COUNTY
AUDITOR-CONTROLLER

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-9 the Santa Barbara County Auditor-Controller</p>	
<p>Positions:</p>	
<ol style="list-style-type: none">1. Auditor-Controller2. Assistant Auditor-Controller	<p>1, 2, 3, 4, 5 1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

6-A

RECEIVED
SEP 16 2014
SANTA BARBARA COUNTY
ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: SBC CENTRAL BOARD OF ARCHITECTURAL REVIEW
County Exhibit No. C-1/B
Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101
Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225
E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
(Mark all that apply.)
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 - Revise disclosure categories.
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 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

[Signature] _____ Date 9/12/14
Signature of Department Head or Director
CHAIR OF CBAR

Complete this notice regardless of how recently your code was approved or amended.
Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

le-B

RECEIVED SA

SEP 16 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

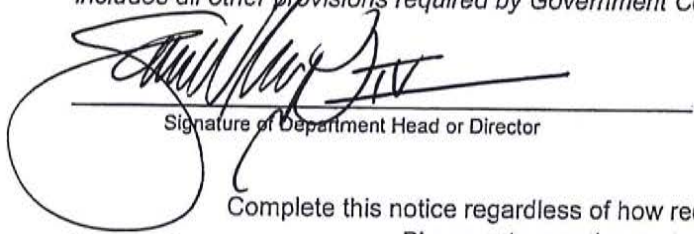
Name of Agency: SBC MONTECITO BOARD OF ARCHITECTURAL REVIEW
 County Exhibit No. C-1/D
 Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101
 Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225
 E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

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 Signature of Department Head or Director

9/10/14

 Date

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Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

RECEIVED
 SEP 11 2014
 S.B. COUNTY (NORTH)
 PLANNING & DEVELOPMENT

DO NOT RETURN THIS FORM TO THE FPPC

6-C

FILED

SEP 05 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: SBC NORTH BOARD OF ARCHITECTURAL REVIEW

County Exhibit No. C-1/C

Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101

Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225

E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

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 Signature of Department Head or Director

AUG. 26, 2014

 Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

6-D

FILED
SEP 22 2014

SEP 22 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: SBC SOUTH BOARD OF ARCHITECTURAL REVIEW
 County Exhibit No. C-1/A
 Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101
 Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225
 E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

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 Signature of Department Head or Director

8/22/14

 Date

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Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-7

SEP 11 2014

GA

2014 Local Agency Biennial Notice

FILED

SEP 11 2014

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Department of Child Support Services

County Exhibit No. EXHIBIT C-6

Mailing Address: 4 E. Carrillo Street , Santa Barbara CA. 93101

Contact Person: Irma Mera

Office Phone No: 805.884.8079

E-Mail: imeram@co.santa-barbara.ca.us

Fax No: 805.884.8017

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

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- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

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Verification

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Carrie M. Topliffe

Signature of Department Head or Director

Carrie M. Topliffe, CPA DIRECTOR

7-1-14

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-8

FILED GA

SEP 09 2014
SANTA BARBARA COUNTY
ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Civil Service Commission

County Exhibit No. _____

Mailing Address: 105 E. Anapamu St. Ste. 401-B, S.B. 93101

Contact Person: Shen Rajan Office Phone No: 568 2810

E-Mail: srajan@co.santa-barbara.ca.us Fax No: 568 2833

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

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S. Rajan Secretary to the
Signature of Department Head or Director
Commission

9/6/2014
Date

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Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-9

8A

2014 Local Agency Biennial Notice

FILED

SEP 09 2014

SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: County Clerk, Recorder and Assessor

County Exhibit No. C-12

Mailing Address: P.O. Box 159 Santa Barbara, CA 93102

Contact Person: Susan Kean

Office Phone No: 805-568-2570

E-Mail: shkean@co.santa-barbara.ca.us

Fax No: 805-560-1015

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

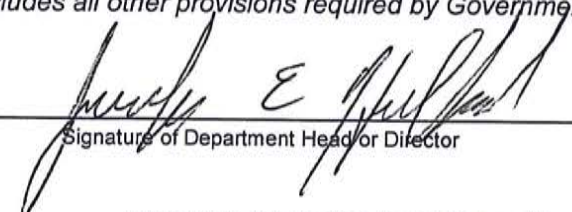
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Signature of Department Head or Director

September 9, 2014

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-12

SANTA BARBARA COUNTY
CLERK, RECORDER-AND ASSESSOR

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-12 the Santa Barbara County Clerk-Recorder-Assessor</p>	
1. Appraiser	1, 2, 3, 4, 5
2. Assessment Supervisor	1, 2, 3, 4, 5
3. Auditor Appraiser	1, 2, 3, 4, 5
4. Co Clerk-Recorder-Assessor	1, 2, 3, 4, 5
5. Mapping G/S Supervisor <i>MAPPING/GIS SUPERVISOR</i>	1, 2, 3, 4, 5
6. Enterprise Leader	1, 2, 3, 4, 5
7. Assistant Department Leader	1, 2, 3, 4, 5
8. Program Business Leader <i>PROGRAM/BUSINESS LEADER</i>	1, 2, 3, 4, 5
9. Team/Project Leader	1, 2, 3, 4, 5
10. Administrative Office Professional	1, 2, 3, 4, 5
11. EDP System & Program Analyst	1, 2, 3, 4, 5
12. EDP System & Program Analyst Sr.	1, 2, 3, 4, 5
13. Mapping/GIS Technician	1, 2, 3, 4, 5
14. Mapping/GIS Analyst	1, 2, 3, 4, 5
15. Computer Systems Specialist	1, 2, 3, 4, 5
16. EDP Office Automation Specialist	1, 2, 3, 4, 5
17. Financial Office Professional	1, 2, 3, 4, 5
18. Department Business Specialist	1, 2, 3, 4, 5
19. Financial Systems Analyst	1, 2, 3, 4, 5

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The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-10

SA

2014 Local Agency Biennial Notice

SEP 11 2014
FILED

SEP 11 2014

SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: Community Services Department

County Exhibit No. C-5

Mailing Address: 123 E. Anapamu Street, 2nd Floor, Santa Barbara, CA 93101

Contact Person: Jessica Rauch Office Phone No: (805) 568-2467

E-Mail: jrauch@parks.org Fax No: _____

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.

Other (describe) Delete Human Services Commission – Now under CEO Department

No amendment is required.

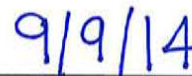
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Signature of Department Head or Director



Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-5

SANTA BARBARA COUNTY
COMMUNITY SERVICES DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are "designated employees"* and must disclose financial interest in those categories described in Exhibit "B: Standard Disclosure Categories" of Santa Barbara County Resolution No. 95-450, as amended. The term "local agency" as used in said Exhibit B shall mean for purposes of this Exhibit C-5 the Santa Barbara County Community Services Department</p>	
<p>Positions: Community Services Administration</p>	
<ul style="list-style-type: none"> 1. Director of Community Services. 2. Chief Financial Officer 3. Admin Professional 4. Cost Analyst I or II 	<ul style="list-style-type: none"> 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5
<p>Parks Division</p>	
<ul style="list-style-type: none"> 1. Deputy Director of Parks 2. Program and Project Business Leader 3. Planner III 4. Consultant** 	<ul style="list-style-type: none"> 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5
<p>Parks Commission</p>	
<ul style="list-style-type: none"> 1. Member of the Park Commission 	<ul style="list-style-type: none"> 1, 2, 3, 4, 5
<p>Housing & Community Development Division</p>	
<ul style="list-style-type: none"> 1. Deputy Director of Housing & Community Development 2. Senior Housing Specialist 3. Manager emPowerSBC Program 4. Administrative Leader, emPowerSBC Program 5. Consultant** 	<ul style="list-style-type: none"> 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5
<p>Human Services Commission*</p>	
<p>1. Members of the Human Services Commission*</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Human Services Commission Administrator</p>	<p>1, 2, 3, 4, 5</p>

C-11

FILED

JUN 27 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: County Council: County of Santa Barbara

County Exhibit No. C-13

Mailing Address: 105 E. Anapamu St. #201 Santa Barbara 93101

Contact Person: Keren Libby Office Phone No: 568-2958

E-Mail: KLibby@CO.SANTA-BARBARA.CA.US Fax No: 568-2982V

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

6/24/2014
Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-12

2014 Local Agency Biennial Notice

FILED SA

JUL 14 2014

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: SANTA BARBARA DISTRICT ATTORNEY

County Exhibit No. C

Mailing Address: 1112 SANTA BARBARA STREET, SANTA BARBARA, CA 93101

Contact Person: KENY SCOTT Office Phone No: (805) 568-2300

E-Mail: kdscoH@co.santa-barbara.ca.us Fax No: (805) 568-2398

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Kelly D. SSA, Chief Deputy DA
Signature of Department Head or Director

7-1-14
Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-13

FILED

SA

JUL 24 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Santa Barbara County, County Executive Office

County Exhibit No. C-21

Mailing Address: 105 E. Anapamu St., Rm. 406, Santa Barbara, CA 93101

Contact Person: Jette Y. Christiansson Office Phone No: (805) 568-3403

E-Mail: jchris@co.santa-barbara.ca.us Fax No: (805) 568-3414

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
 - Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

[Signature]
Signature of Department Head or Director

7-21-14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-21

SANTA BARBARA COUNTY
EXECUTIVE OFFICE

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-21 the Santa Barbara County Executive Office.</p>	
<p>Positions:</p>	
<p>County Executive Office</p>	
<p>1. Fiscal & Policy Analyst</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Business Manager III</p>	<p>1, 2, 3, 4, 5</p>
<p>3. Deputy County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>4. Assistant County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>5. Assistant to the County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>6. Communications Director</p>	<p>1, 2, 3, 4, 5</p>
<p>7. Emergency Operations Chief</p>	<p>1, 2, 3, 4, 5</p>
<p><u>7. Director of Emergency Management</u></p>	
<p>8. Emergency Manager</p>	<p>1, 2, 3, 4, 5</p>
<p>9. CSBTV Manager</p>	<p>1, 2, 3, 4, 5</p>
<p><u>10. Budget Director</u></p>	<p><u>1, 2, 3, 4, 5</u></p>
<p><u>11. Risk Manager</u></p>	<p><u>1, 2, 3, 4, 5</u></p>
<p><u>12. Admin Leader</u></p>	<p><u>1, 2, 3, 4, 5</u></p>
<p><u>13. Team/Project Leader</u></p>	<p><u>1, 2, 3, 4, 5</u></p>
<p>Clerk of the Board</p>	
<p>1. Chief Deputy Clerk of the Board</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Members, Assessment Appeals Board</p>	<p>1, 2, 3, 4, 5</p>

A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

EXHIBIT C-21

SANTA BARBARA COUNTY
EXECUTIVE OFFICE

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-21 the Santa Barbara County Executive Office.</p>	
<p>Positions:</p>	
<p><u>County Executive Officer</u></p>	
<p>1. Fiscal & Policy Analyst</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Business Manager III</p>	<p>1, 2, 3, 4, 5</p>
<p>3. Deputy County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>4. Assistant County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>5. Assistant to the County Executive Officer</p>	<p>1, 2, 3, 4, 5</p>
<p>6. Communications Director</p>	<p>1, 2, 3, 4, 5</p>
<p>7. Emergency Operations Chief</p>	<p>1, 2, 3, 4, 5</p>
<p>8. Emergency Manager</p>	<p>1, 2, 3, 4, 5</p>
<p>9. CSBTV Manager</p>	<p>1, 2, 3, 4, 5</p>
<p><u>Clerk of the Board</u></p>	
<p>1. Chief Deputy Clerk of the Board</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Members, Assessment Appeals Board</p>	<p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-14

2012 Local Agency Biennial Notice

Name of Agency: Santa Barbara County Finance Corporation, Inc.

County Exhibit No. C-43

Mailing Address: Office of County Counsel, 105 East Anapamu Street, #201

Contact Person: Anne Rierison

Office Phone No: 568-2950

E-Mail: arierson@co.santa-barbara.ca.us

Fax No: 568-2982

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that *(Check on box)*:

An amendment is required. The following amendments are necessary:
(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other *(describe)* _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's code accurately designates all positions that make or participate in the making of the governmental decisions; the disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foresee ably be affected materially by the decisions made by those holding the designated positions; and the code includes all other provisions required by Government Code Section 87302.

Trent Bonatti

Signature of Department Head or Director

9/21/12

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2012**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-43 (Designated Positions)

SANTA BARBARA COUNTY FINANCE CORPORATION, INC.

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-43, the Santa Barbara County Finance Corporation, Inc.</p> <p>Positions:</p> <ol style="list-style-type: none"> 1. Directors of the Santa Barbara County Finance Corporation, Inc. (5) 2. <u>County Treasury Finance Chief</u> 3. <u>Deputy County Counsel Assigned to the Corporation</u> 	<p>1, 2, 3, 4</p> <p><u>1, 2, 3, 4</u></p> <p><u>1, 2, 3, 4</u></p>

*A. “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-14

SA

2014 Local Agency Biennial Notice

FILED

SEP 11 2014

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Santa Barbara County Finance Corporation, Inc.
County Exhibit No. C-43
Mailing Address: 105 East Anapamu Street #201, Santa Barbara, CA 93101
Contact Person: Anne Rierson Office Phone No: 805-568-2950
E-Mail: arierson@co.santa-barbara.ca.us Fax No: 805-568-2982

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary: (Mark all that apply.)
o Include new positions (including consultants) that must be designated.
o Delete positions that manage public investments from the list of designated positions.
o Revise disclosure categories.
o Revise the titles of existing positions.
o Delete titles of positions that have been abolished.
o Other (describe)
No amendment is required.
The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

[Handwritten Signature]

Signature of Department Head or Director

9-9-14

Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-15

FILED 

SEP 15 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Santa Barbara County Fire

County Exhibit No. 14

Mailing Address: 4410 Cathedral Oaks Rd. Santa Barbara, CA 93110

Contact Person: Courtney Stockton Office Phone No: (805)681-5552

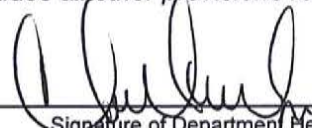
E-Mail: courtney.stockton@sbcfire.com Fax No: _____

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



 Signature of Department Head or Director

9-10-14

 Date

Complete this notice regardless of how recently your code was approved or amended.
 Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

14
EXHIBIT C-31-B

SANTA BARBARA COUNTY
FIRE DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-31-B the Santa Barbara County Fire Department</p> <p>Positions:</p> <ol style="list-style-type: none"> 1. Fire Chief 2. Deputy Fire Chief 3. Support Services Division Chief 4. Fire Marshal 5. Assistant Fire Marshal 6. Fiscal Manager 7. Operations Division Chief 8. Human Resources Manager 	<p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p> <p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

New Position: Air & Wildland Battalion Chief

C-16

FILED 

JUN 25 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: First 5 Santa Barbara County, Children and Families Commission

County Exhibit No: Exhibit C-35

Mailing Address: 1306 Santa Barbara Street, Santa Barbara, CA 93101

Contact Person: Ben Romo

Office Phone No: 805-884-8085

E-Mail: benromo@countyofsb.org

Fax No: 805-564-8586 

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

6/25/14

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-35

FIRST 5 SANTA BARBARA COUNTY
CHILDREN AND FAMILIES COMMISSION

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-35 the First 5 Santa Barbara County, Children and Families Commission</p>	
<p>Positions:</p>	
<p>1. Assistant Department Leader – Executive – First 5 Santa Barbara County, Children and Families Commission</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Enterprise Leader – General</p>	<p>1, 2, 3, 4, 5</p>
<p>3. First 5 Specialist</p>	<p>1, 2, 3, 4, 5</p>
<p>4. Administrative Office Professional III Overseeing funding allocation</p>	<p>1, 2, 3, 4, 5</p>
<p>5. Commissioner, First 5 Santa Barbara County, Children and Families Commission</p>	<p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-17

FILED

SEP 29 2014

2014 Local Agency Biennial Notice SANTA BARBARA COUNTY ELECTIONS

Name of Agency: COUNTY OF SANTA BARBARA FISH & GAME COMMISSION
County Exhibit No. C-41
Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101
Contact Person: STAR SCHATZ-HAMMOND Office Phone No: 805-934-6225
E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- Options for conflict of interest code review: An amendment is required... No amendment is required... The code is currently under review...

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions.

Signature of Department Head or Director: [Handwritten Signature] Date: 8/20/14
Chairman S.B.C. Fish & Game Commission

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-18

FILED

05 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Santa Barbara County Flood Control and Water Conservation District

County Exhibit No. C-30-B

Mailing Address: 130 E. Victoria Street, Suite 200, Santa Barbara, CA 93101

Contact Person: Christina Lopez Office Phone No: (805) 568-3440

E-Mail: clopez@cosbpw.net Fax No: (805) 568-3434

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)


- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

7/2/14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-30-B

SANTA BARBARA COUNTY
FLOOD CONTROL AND WATER CONSERVATION DISTRICT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B”: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-30-B the Santa Barbara County Flood Control and Water Conservation District.</p>	
<p>Positions:</p>	
<ol style="list-style-type: none"> 1. Members of the Board of Directors 2. DEPT/CORP LDR - EXECUTIVE 3. ASST DEPT LDR - EXEC 4. PROGRAM/BUS LDR - EXEC 5. PROGRAM/BUS LDR - GEN 6. TEAM/PROJECT LDR - GEN 	<p>1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-19

FILED

OCT 08 2014

SANTA BARBARA COUNTY
ELECTIONS
2014

2014 Local Agency Biennial Notice

Name of Agency: Santa Barbara County General Services Department

County Exhibit No. _____

Mailing Address: 105 E Anapamu Suite 108 Santa Barbara CA 93101

Contact Person: Rosa Rojas

Office Phone No: 805-568-2653 _____

E-Mail: rrojas@countyofsb.org

Fax No: 805-568-2663

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Signature of Department Head or Director

10/6/14

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-16

SANTA BARBARA COUNTY
GENERAL SERVICES DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-16 the Santa Barbara County General Services Department.</p>	
<p>Positions:</p>	
<ol style="list-style-type: none"> 1. Administrative Leader - General 2. Architect 3. Assistant Department Leader - Executive 4. Buyer 5. Department /Corporate Leader - Executive 6. Department Business Specialist 7. Enterprise Leader - General 8. Program/Business Leader - General 9. Real Property Agent 10. Shop Supervisor 11. Team/Project Leader - General 	<p>1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-20

FILED

AUG 01 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: SBC HISTORIC LANDMARKS ADVISORY COMMISSION

County Exhibit No. C-42

Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101

Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225

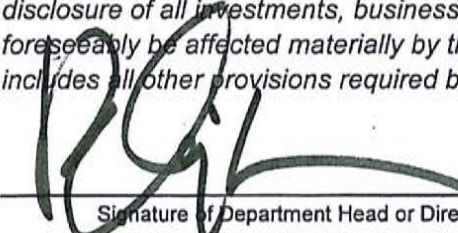
E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



 Signature of Department Head or Director

7/20/14

 Date

Complete this notice regardless of how recently your code was approved or amended.
 Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-21

FILED

8A

JUL 07 2014

SANTA BARBARA COUNTY
ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: County of Santa Barbara / CEO / Human Resources

County Exhibit No. C-25

Mailing Address: 1226 Anacapa Street, Santa Barbara, CA 93101

Contact Person: Tracy Ibarra Office Phone No: 805.568.3273

E-Mail: tibarra@co.santa-barbara.ca.us Fax No: 805.568.2833

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
(Mark all that apply.)
- Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

7/7/14
Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-20

SANTA BARBARA COUNTY
COUNTY EXECUTIVE OFFICE / HUMAN RESOURCES DEPARTMENT DIVISION

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-25 the Santa Barbara County Human Resources Department</p>	
<p>Positions:</p>	
<p>1. Dept/Corp. Leader - HR Director</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Asst. Dept. Leader - Asst. HR Director</p>	<p>1, 2, 3, 4, 5</p>
<p>3. <u>Enterprise Leader-Asst. HR Director</u></p>	<p>1, 2, 3, 4, 5</p>
<p>2-4. <u>Program/Business Leader-Business Center</u></p>	<p>1, 2, 3, 4, 5</p>
<p>3-5. <u>Program/Business Leader - Benefits</u></p>	<p>1, 2, 3, 4, 5</p>
<p>4-6. <u>Program/Business Leader - Budget</u></p>	<p>1, 2, 3, 4, 5</p>
<p>5-7. <u>Enterprise Leader - EE Relations</u></p>	<p>1, 2, 3, 4, 5</p>
<p>6-8. <u>Enterprise Leader - Recruitment</u></p>	<p>1, 2, 3, 4, 5</p>
<p>7-9. <u>Enterprise Leader - Org. Development</u></p>	<p>1, 2, 3, 4, 5</p>
<p>8-10. <u>Program Business Leader - Recruitment</u></p>	

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-22

2014 Local Agency Biennial Notice

FILED

OCT 16 2014

Name of Agency: County Executive Offices / Human Services Comm. SANTA BARBARA COUNTY ELECTIONS

County Exhibit No. _____

Mailing Address: County Administration Building, 105 Anapamu St. # 406 Santa Barbara CA 93101

Contact Person: Susan Foley Office Phone No 805.568.3522

E-Mail:foley@countyofsb.org Fax No: 805.568.3414

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

[X] An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- o Include new positions (including consultants) that must be designated.
o Delete positions that manage public investments from the list of designated positions.
o Revise disclosure categories.
o Revise the titles of existing positions.
o Delete titles of positions that have been abolished.
o Other (describe) Adding to County Code as own agency

[] No amendment is required.

[] The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Signature of Department Head or Director

10.17.14 Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-23

2014 Local Agency Biennial Notice

FILED

JUL 24 2014

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Indian Gaming Local Community Benefit Committee

County Exhibit No. C-44

Mailing Address: 105 E. Anapamu St., Rm. 406, Santa Barbara, CA 93101

Contact Person: Jette Y. Christiansson Office Phone No: (805) 568-3403

E-Mail: jchris@co.santa-barbara.ca.us Fax No: (805) 568-3414

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Signature of Department Head or Director: [Handwritten Signature]

Date: 7.21.14

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-44

SANTA BARBARA COUNTY
INDIAN GAMING LOCAL COMMUNITY BENEFIT COMMITTEE

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-44, the Santa Barbara County Indian Gaming Local Community Benefit Committee.	
Positions:	
1. Regular Members of Committee	1, 2, 3, 4, 5
2. Alternate Members of Committee	1, 2, 3, 4, 5

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-24

FILED SBA

2014 Local Agency Biennial Notice

JUL 18 2014

SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: In-Home Care Network - Public Authority

County Exhibit No. C-36

Mailing Address: 234 Camino del Remedio

Contact Person: Paul Nipper Office Phone No: 681-4625

E-Mail: pnippe@sbc-socialserv.org Fax No: _____

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:
(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

7.16.2014

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-36

SANTA BARBARA COUNTY
IN-HOME CARE NETWORK - PUBLIC AUTHORITY

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-36 the In-Home Care Network, Santa Barbara County Public Authority</p> <p>Positions:</p>	
<p>1. Members of the Board of Directors</p> <p>2. Program Business Leader – General</p> <p>3. Department Business Specialist</p>	<p>1, 2, 3, 4, 5</p> <p>Remove 1, 2, 3, 4, 5</p> <p>Remove 1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Social Services Director may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description, a statement of the extent of disclosure requirements. The Social Services Director’s determination is public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

FILED

JUL 29 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY ELECTIONS

C-25

Name of Agency: SANTA BARBARA COUNTY LAGUNA SANITATION DISTRICT

County Exhibit No. C-4

Mailing Address: 123 E. ANAPAMU ST, SANTA BARBARA, CA 93101

Contact Person: MICHELLE GARCIA

Office Phone No: 805-568-3010

E-Mail: M.GARCIA@COSBPLO.NET

Fax No: 805-568-3019

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

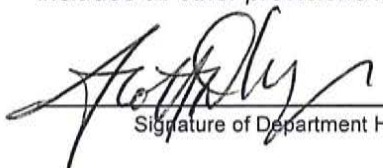
- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

7/10/14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-4

SANTA BARBARA COUNTY
LAGUNA SANITATION DISTRICT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-4 the Santa Barbara County Laguna Sanitation District</p>	
<p>Positions:</p>	
<p>1. Dept/Corp. Ldr. Executive</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Asst. Dept. Ldr - Executive</p>	<p>1, 2, 3, 4, 5</p>
<p>3. Program Bus. Ldr. - Engineering</p>	<p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C.26

Received

JAN 22 2013

**OVERSIGHT BOARD OF THE SUCCESSOR AGENCY TO THE FORMER
COUNTY OF SANTA BARBARA REDEVELOPMENT AGENCY**

RESOLUTION NO. 12- 08

FILED

JAN 22 2013

SANTA BARBARA COUNTY
ELECTIONS

**A RESOLUTION OF THE OVERSIGHT BOARD OF THE SUCCESSOR
AGENCY TO THE FORMER COUNTY OF SANTA BARBARA
REDEVELOPMENT AGENCY ADOPTING A CONFLICT OF INTEREST
CODE**

WHEREAS, the Political Reform Act, Government Code Section 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes, and the Fair Political Practices Commission has adopted Section 18730 of the California Code of Regulations which contains the terms and provisions for a *Standard Conflict of Interest Code*, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings.

NOW, THEREFORE, IT IS HEREBY RESOLVED AS FOLLOWS:

1. The terms and provisions of Section 18730 of the California Code of Regulations attached hereto as Exhibit A, and any amendments to it duly adopted by the Fair Political Practices Commission, are hereby incorporated by reference and, along with attached Exhibit B which sets forth disclosure categories, and attached Exhibit C in which officials, members and employees are designated, constitute the *Standard Conflict of Interest Code* for the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency.
2. Pursuant to Section 4 of the *Standard Conflict of Interest Code*, designated employees shall file original statements of economic interest with their agency. Upon receipt of the statements, the Filing Official of the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency shall make and retain a copy and forward the original of the statements to the Elections Division of the Office of the Santa Barbara County Clerk-Recorder at the Basement, 1100 Anacapa Street, Santa Barbara, California, or at such other location as is hereinafter designated by the Clerk-Recorder.

PASSED and ADOPTED by the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency at a regular meeting held on August 16, 2012 by the following vote:

AYES: 7

NOES: 0

ABSENT: None


Oversight Board Chair

Attest:


Secretary of the Oversight Board

Approved as to Form:

OVERSIGHT BOARD COUNSEL
DAVID L. ALLEN

By: _____
Oversight Board Counsel

(Regulations of the Fair Political Practices Commission, Title 2, Division 6, California Code of Regulations.)

§ 18730. Provisions of Conflict-of-Interest Codes.

(a) Incorporation by reference of the terms of this regulation along with the designation of employees and the formulation of disclosure categories in the Appendix referred to below constitute the adoption and promulgation of a conflict-of-interest code within the meaning of Section 87300 or the amendment of a conflict-of-interest code within the meaning of Section 87306 if the terms of this regulation are substituted for terms of a conflict-of-interest code already in effect. A code so amended or adopted and promulgated requires the reporting of reportable items in a manner substantially equivalent to the requirements of article 2 of chapter 7 of the Political Reform Act, Sections 81000, et seq. The requirements of a conflict-of-interest code are in addition to other requirements of the Political Reform Act, such as the general prohibition against conflicts of interest contained in Section 87100, and to other state or local laws pertaining to conflicts of interest.

(b) The terms of a conflict-of-interest code amended or adopted and promulgated pursuant to this regulation are as follows:

(1) Section 1. Definitions.

The definitions contained in the Political Reform Act of 1974, regulations of the Fair Political Practices Commission (Regulations 18110, et seq.), and any amendments to the Act or regulations, are incorporated by reference into this conflict-of-interest code.

(2) Section 2. Designated Employees.

The persons holding positions listed in the Appendix are designated employees. It has been determined that these persons make or participate in the making of decisions which may foreseeably have a material effect on economic interests.

(3) Section 3. Disclosure Categories.

This code does not establish any disclosure obligation for those designated employees who are also specified in Section 87200 if they are designated in this code in that same capacity or if the geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction in which those persons must report their economic interests pursuant to article 2 of chapter 7 of the Political Reform Act, Sections 87200, et seq.

In addition, this code does not establish any disclosure obligation for any designated employees who are designated in a conflict-of-interest code for another agency, if all of the following apply:

(A) The geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction of the other agency;

(B) The disclosure assigned in the code of the other agency is the same as that required under article 2 of chapter 7 of the Political Reform Act, Section 87200; and

(C) The filing officer is the same for both agencies.¹

Such persons are covered by this code for disqualification purposes only. With respect to all other designated employees, the disclosure categories set forth in the Appendix specify which kinds of economic interests are reportable. Such a designated employee shall disclose in his or her statement of economic interests those economic interests he or she has which are of the kind described in the disclosure categories to which he or she is assigned in the Appendix. It has been determined that the economic interests set forth in a designated employee's disclosure categories

are the kinds of economic interests which he or she foreseeably can affect materially through the conduct of his or her office.

(4) Section 4. Statements of Economic Interests: Place of Filing.

The code reviewing body shall instruct all designated employees within its code to file statements of economic interests with the agency or with the code reviewing body, as provided by the code reviewing body in the agency's conflict-of-interest code.²

(5) Section 5. Statements of Economic Interests: Time of Filing.

(A) Initial Statements. All designated employees employed by the agency on the effective date of this code, as originally adopted, promulgated and approved by the code reviewing body, shall file statements within 30 days after the effective date of this code. Thereafter, each person already in a position when it is designated by an amendment to this code shall file an initial statement within 30 days after the effective date of the amendment.

(B) Assuming Office Statements. All persons assuming designated positions after the effective date of this code shall file statements within 30 days after assuming the designated positions, or if subject to State Senate confirmation, 30 days after being nominated or appointed.

(C) Annual Statements. All designated employees shall file statements no later than April 1.

(D) Leaving Office Statements. All persons who leave designated positions shall file statements within 30 days after leaving office.

(5.5) Section 5.5. Statements for Persons Who Resign Prior to Assuming Office.

Any person who resigns within 12 months of initial appointment, or within 30 days of the date of notice provided by the filing officer to file an assuming office statement, is not deemed to have assumed office or left office, provided he or she did not make or participate in the making

of, or use his or her position to influence any decision and did not receive or become entitled to receive any form of payment as a result of his or her appointment. Such persons shall not file either an assuming or leaving office statement.

(A) Any person who resigns a position within 30 days of the date of a notice from the filing officer shall do both of the following:

(1) File a written resignation with the appointing power; and

(2) File a written statement with the filing officer declaring under penalty of perjury that during the period between appointment and resignation he or she did not make, participate in the making, or use the position to influence any decision of the agency or receive, or become entitled to receive, any form of payment by virtue of being appointed to the position.

(6) Section 6. Contents of and Period Covered by Statements of Economic Interests.

(A) Contents of Initial Statements.

Initial statements shall disclose any reportable investments, interests in real property and business positions held on the effective date of the code and income received during the 12 months prior to the effective date of the code.

(B) Contents of Assuming Office Statements.

Assuming office statements shall disclose any reportable investments, interests in real property and business positions held on the date of assuming office or, if subject to State Senate confirmation or appointment, on the date of nomination, and income received during the 12 months prior to the date of assuming office or the date of being appointed or nominated, respectively.

(C) Contents of Annual Statements. Annual statements shall disclose any reportable investments, interests in real property, income and business positions held or received during the

previous calendar year provided, however, that the period covered by an employee's first annual statement shall begin on the effective date of the code or the date of assuming office whichever is later, or for a board or commission member subject to Section 87302.6, the day after the closing date of the most recent statement filed by the member pursuant to Regulation 18754.

(D) Contents of Leaving Office Statements.

Leaving office statements shall disclose reportable investments, interests in real property, income and business positions held or received during the period between the closing date of the last statement filed and the date of leaving office.

(7) Section 7. Manner of Reporting.

Statements of economic interests shall be made on forms prescribed by the Fair Political Practices Commission and supplied by the agency, and shall contain the following information:

(A) Investment and Real Property Disclosure.

When an investment or an interest in real property³ is required to be reported,⁴ the statement shall contain the following:

1. A statement of the nature of the investment or interest;
2. The name of the business entity in which each investment is held, and a general description of the business activity in which the business entity is engaged;
3. The address or other precise location of the real property;
4. A statement whether the fair market value of the investment or interest in real property equals or exceeds \$2,000, exceeds \$10,000, exceeds \$100,000, or exceeds \$1,000,000.

(B) Personal Income Disclosure. When personal income is required to be reported,⁵ the statement shall contain:

1. The name and address of each source of income aggregating \$500 or more in value, or \$50 or more in value if the income was a gift, and a general description of the business activity, if any, of each source;

2. A statement whether the aggregate value of income from each source, or in the case of a loan, the highest amount owed to each source, was \$1,000 or less, greater than \$1,000, greater than \$10,000, or greater than \$100,000;

3. A description of the consideration, if any, for which the income was received;

4. In the case of a gift, the name, address and business activity of the donor and any intermediary through which the gift was made; a description of the gift; the amount or value of the gift; and the date on which the gift was received;

5. In the case of a loan, the annual interest rate and the security, if any, given for the loan and the term of the loan.

(C) Business Entity Income Disclosure. When income of a business entity, including income of a sole proprietorship, is required to be reported,⁶ the statement shall contain:

1. The name, address, and a general description of the business activity of the business entity;

2. The name of every person from whom the business entity received payments if the filer's pro rata share of gross receipts from such person was equal to or greater than \$10,000.

(D) Business Position Disclosure. When business positions are required to be reported, a designated employee shall list the name and address of each business entity in which he or she is a director, officer, partner, trustee, employee, or in which he or she holds any position of management, a description of the business activity in which the business entity is engaged, and the designated employee's position with the business entity.

(E) Acquisition or Disposal During Reporting Period. In the case of an annual or leaving office statement, if an investment or an interest in real property was partially or wholly acquired or disposed of during the period covered by the statement, the statement shall contain the date of acquisition or disposal.

(8) Section 8. Prohibition on Receipt of Honoraria.

(A) No member of a state board or commission, and no designated employee of a state or local government agency, shall accept any honorarium from any source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.

Subdivisions (a), (b), and (c) of Section 89501 shall apply to the prohibitions in this section.

This section shall not limit or prohibit payments, advances, or reimbursements for travel and related lodging and subsistence authorized by Section 89506.

(8.1) Section 8.1. Prohibition on Receipt of Gifts in Excess of \$420.

(A) No member of a state board or commission, and no designated employee of a state or local government agency, shall accept gifts with a total value of more than \$420 in a calendar year from any single source, if the member or employee would be required to report the receipt of income or gifts from that source on his or her statement of economic interests. This section shall not apply to any part-time member of the governing board of any public institution of higher education, unless the member is also an elected official.

Subdivisions (e), (f), and (g) of Section 89503 shall apply to the prohibitions in this section.

(8.2) Section 8.2. Loans to Public Officials.

(A) No elected officer of a state or local government agency shall, from the date of his or her election to office through the date that he or she vacates office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the elected officer holds office or over which the elected officer's agency has direction and control.

(B) No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any officer, employee, member, or consultant of the state or local government agency in which the public official holds office or over which the public official's agency has direction and control. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.

(C) No elected officer of a state or local government agency shall, from the date of his or her election to office through the date that he or she vacates office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status.

(D) No public official who is exempt from the state civil service system pursuant to subdivisions (c), (d), (e), (f), and (g) of Section 4 of Article VII of the Constitution shall, while he or she holds office, receive a personal loan from any person who has a contract with the state or local government agency to which that elected officer has been elected or over which that elected officer's agency has direction and control. This subdivision shall not apply to loans made by banks or other financial institutions or to any indebtedness created as part of a retail installment or credit card transaction, if the loan is made or the indebtedness created in the lender's regular course of business on terms available to members of the public without regard to the elected officer's official status. This subdivision shall not apply to loans made to a public official whose duties are solely secretarial, clerical, or manual.

(E) This section shall not apply to the following:

1. Loans made to the campaign committee of an elected officer or candidate for elective office.
2. Loans made by a public official's spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such persons, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.
3. Loans from a person which, in the aggregate, do not exceed five hundred dollars (\$500) at any given time.
4. Loans made, or offered in writing, before January 1, 1998.

(8.3) Section 8.3. Loan Terms.

(A) Except as set forth in subdivision (B), no elected officer of a state or local government agency shall, from the date of his or her election to office through the date he or she

vacates office, receive a personal loan of \$500 or more, except when the loan is in writing and clearly states the terms of the loan, including the parties to the loan agreement, date of the loan, amount of the loan, term of the loan, date or dates when payments shall be due on the loan and the amount of the payments, and the rate of interest paid on the loan.

(B) This section shall not apply to the following types of loans:

1. Loans made to the campaign committee of the elected officer.
2. Loans made to the elected officer by his or her spouse, child, parent, grandparent, grandchild, brother, sister, parent-in-law, brother-in-law, sister-in-law, nephew, niece, aunt, uncle, or first cousin, or the spouse of any such person, provided that the person making the loan is not acting as an agent or intermediary for any person not otherwise exempted under this section.

3. Loans made, or offered in writing, before January 1, 1998.

(C) Nothing in this section shall exempt any person from any other provision of Title 9 of the Government Code.

(8.4) Section 8.4. Personal Loans.

(A) Except as set forth in subdivision (B), a personal loan received by any designated employee shall become a gift to the designated employee for the purposes of this section in the following circumstances:

1. If the loan has a defined date or dates for repayment, when the statute of limitations for filing an action for default has expired.

2. If the loan has no defined date or dates for repayment, when one year has elapsed from the later of the following:

- a. The date the loan was made.

b. The date the last payment of \$100 or more was made on the loan.

c. The date upon which the debtor has made payments on the loan aggregating to less than \$250 during the previous 12 months.

(B) This section shall not apply to the following types of loans:

1. A loan made to the campaign committee of an elected officer or a candidate for elective office.

2. A loan that would otherwise not be a gift as defined in this title.

3. A loan that would otherwise be a gift as set forth under subdivision (A), but on which the creditor has taken reasonable action to collect the balance due.

4. A loan that would otherwise be a gift as set forth under subdivision (A), but on which the creditor, based on reasonable business considerations, has not undertaken collection action. Except in a criminal action, a creditor who claims that a loan is not a gift on the basis of this paragraph has the burden of proving that the decision for not taking collection action was based on reasonable business considerations.

5. A loan made to a debtor who has filed for bankruptcy and the loan is ultimately discharged in bankruptcy.

(C) Nothing in this section shall exempt any person from any other provisions of Title 9 of the Government Code.

(9) Section 9. Disqualification.

No designated employee shall make, participate in making, or in any way attempt to use his or her official position to influence the making of any governmental decision which he or she knows or has reason to know will have a reasonably foreseeable material financial effect,

distinguishable from its effect on the public generally, on the official or a member of his or her immediate family or on:

(A) Any business entity in which the designated employee has a direct or indirect investment worth \$2,000 or more;

(B) Any real property in which the designated employee has a direct or indirect interest worth \$2,000 or more;

(C) Any source of income, other than gifts and other than loans by a commercial lending institution in the regular course of business on terms available to the public without regard to official status, aggregating \$500 or more in value provided to, received by or promised to the designated employee within 12 months prior to the time when the decision is made;

(D) Any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management; or

(E) Any donor of, or any intermediary or agent for a donor of, a gift or gifts aggregating \$420 or more provided to, received by, or promised to the designated employee within 12 months prior to the time when the decision is made.

(9.3) Section 9.3. Legally Required Participation.

No designated employee shall be prevented from making or participating in the making of any decision to the extent his or her participation is legally required for the decision to be made. The fact that the vote of a designated employee who is on a voting body is needed to break a tie does not make his or her participation legally required for purposes of this section.

(9.5) Section 9.5. Disqualification of State Officers and Employees.

In addition to the general disqualification provisions of section 9, no state administrative official shall make, participate in making, or use his or her official position to influence any

governmental decision directly relating to any contract where the state administrative official knows or has reason to know that any party to the contract is a person with whom the state administrative official, or any member of his or her immediate family has, within 12 months prior to the time when the official action is to be taken:

(A) Engaged in a business transaction or transactions on terms not available to members of the public, regarding any investment or interest in real property; or

(B) Engaged in a business transaction or transactions on terms not available to members of the public regarding the rendering of goods or services totaling in value \$1,000 or more.

(10) Section 10. Disclosure of Disqualifying Interest.

When a designated employee determines that he or she should not make a governmental decision because he or she has a disqualifying interest in it, the determination not to act may be accompanied by disclosure of the disqualifying interest.

(11) Section 11. Assistance of the Commission and Counsel.

Any designated employee who is unsure of his or her duties under this code may request assistance from the Fair Political Practices Commission pursuant to Section 83114 and Regulations 18329 and 18329.5 or from the attorney for his or her agency, provided that nothing in this section requires the attorney for the agency to issue any formal or informal opinion.

(12) Section 12. Violations.

This code has the force and effect of law. Designated employees violating any provision of this code are subject to the administrative, criminal and civil sanctions provided in the Political Reform Act, Sections 81000-91014. In addition, a decision in relation to which a violation of the disqualification provisions of this code or of Section 87100 or 87450 has occurred may be set aside as void pursuant to Section 91003.

¹Designated employees who are required to file statements of economic interests under any other agency's conflict-of-interest code, or under article 2 for a different jurisdiction, may expand their statement of economic interests to cover reportable interests in both jurisdictions, and file copies of this expanded statement with both entities in lieu of filing separate and distinct statements, provided that each copy of such expanded statement filed in place of an original is signed and verified by the designated employee as if it were an original. See Section 81004.

²See Section 81010 and Regulation 18115 for the duties of filing officers and persons in agencies who make and retain copies of statements and forward the originals to the filing officer.

³For the purpose of disclosure only (not disqualification), an interest in real property does not include the principal residence of the filer.

⁴Investments and interests in real property which have a fair market value of less than \$2,000 are not investments and interests in real property within the meaning of the Political Reform Act. However, investments or interests in real property of an individual include those held by the individual's spouse and dependent children as well as a pro rata share of any investment or interest in real property of any business entity or trust in which the individual, spouse and dependent children own, in the aggregate, a direct, indirect or beneficial interest of 10 percent or greater.

⁵A designated employee's income includes his or her community property interest in the income of his or her spouse but does not include salary or reimbursement for expenses received from a state, local or federal government agency.

⁶Income of a business entity is reportable if the direct, indirect or beneficial interest of the filer and the filer's spouse in the business entity aggregates a 10 percent or greater interest. In

addition, the disclosure of persons who are clients or customers of a business entity is required only if the clients or customers are within one of the disclosure categories of the filer.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 87103(e), 87300-87302, 89501, 89502 and 89503, Government Code.

HISTORY

1. New section filed 4-2-80 as an emergency; effective upon filing (Register 80, No. 14).
Certificate of Compliance included.
2. Editorial correction (Register 80, No. 29).
3. Amendment of subsection (b) filed 1-9-81; effective thirtieth day thereafter (Register 81, No. 2).
4. Amendment of subsection (b)(7)(B)1. filed 1-26-83; effective thirtieth day thereafter (Register 83, No. 5).
5. Amendment of subsection (b)(7)(A) filed 11-10-83; effective thirtieth day thereafter (Register 83, No. 46).
6. Amendment filed 4-13-87; operative 5-13-87 (Register 87, No. 16).
7. Amendment of subsection (b) filed 10-21-88; operative 11-20-88 (Register 88, No. 46).
8. Amendment of subsections (b)(8)(A) and (b)(8)(B) and numerous editorial changes filed 8-28-90; operative 9-27-90 (Reg. 90, No. 42).
9. Amendment of subsections (b)(3), (b)(8) and renumbering of following subsections and amendment of Note filed 8-7-92; operative 9-7-92 (Register 92, No. 32).
10. Amendment of subsection (b)(5.5) and new subsections (b)(5.5)(A)-(A)(2) filed 2-4-93; operative 2-4-93 (Register 93, No. 6).

11. Change without regulatory effect adopting Conflict of Interest Code for California Mental Health Planning Council filed 11-22-93 pursuant to title 1, section 100, California Code of Regulations (Register 93, No. 48). Approved by Fair Political Practices Commission 9-21-93.
12. Change without regulatory effect redesignating Conflict of Interest Code for California Mental Health Planning Council as chapter 62, section 55100 filed 1-4-94 pursuant to title 1, section 100, California Code of Regulations (Register 94, No. 1).
13. Editorial correction adding History 11 and 12 and deleting duplicate section number (Register 94, No. 17).
14. Amendment of subsection (b)(8), designation of subsection (b)(8)(A), new subsection (b)(8)(B), and amendment of subsections (b)(8.1)-(b)(8.1)(B), (b)(9)(E) and Note filed 3-14-95; operative 3-14-95 pursuant to Government Code section 11343.4(d) (Register 95, No. 11).
15. Editorial correction inserting inadvertently omitted language in footnote 4 (Register 96, No. 13).
16. Amendment of subsections (b)(8)(A)-(B) and (b)(8.1)(A), repealer of subsection (b)(8.1)(B), and amendment of subsection (b)(12) filed 10-23-96; operative 10-23-96 pursuant to Government Code section 11343.4(d) (Register 96, No. 43).
17. Amendment of subsections (b)(8.1) and (9)(E) filed 4-9-97; operative 4-9-97 pursuant to Government Code section 11343.4(d) (Register 97, No. 15).
18. Amendment of subsections (b)(7)(B)5., new subsections (b)(8.2)-(b)(8.4)(C) and amendment of Note filed 8-24-98; operative 8-24-98 pursuant to Government Code section 11343.4(d) (Register 98, No. 35).
19. Editorial correction of subsection (a) (Register 98, No. 47).
20. Amendment of subsections (b)(8.1), (b)(8.1)(A) and (b)(9)(E) filed 5-11-99; operative

5-11-99 pursuant to Government Code section 11343.4(d) (Register 99, No. 20).

21. Amendment of subsections (b)(8.1)-(b)(8.1)(A) and (b)(9)(E) filed 12-6-2000; operative 1-1-2001 pursuant to the 1974 version of Government Code section 11380.2 and Title 2, California Code of Regulations, section 18312(d) and (e) (Register 2000, No. 49).

22. Amendment of subsections (b)(3) and (b)(10) filed 1-10-2001; operative 2-1-2001.

Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2001, No. 2).

23. Amendment of subsections (b)(7)(A)4., (b)(7)(B)1.-2., (b)(8.2)(E)3., (b)(9)(A)-(C) and footnote 4. filed 2-13-2001. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2001, No. 7).

24. Amendment of subsections (b)(8.1)-(b)(8.1)(A) filed 1-16-2003; operative 1-1-2003.

Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2003, No. 3).

25. Editorial correction of History 24 (Register 2003, No. 12).

26. Editorial correction removing extraneous phrase in subsection (b)(9.5)(B) (Register 2004, No. 33).

27. Amendment of subsections (b)(2)-(3), (b)(3)(C), (b)(6)(C), (b)(8.1)-(b)(8.1)(A), (b)(9)(E) and (b)(11)-(12) filed 1-4-2005; operative 1-1-2005 pursuant to Government Code section 11343.4 (Register 2005, No. 1).
28. Amendment of subsection (b)(7)(A)4. filed 10-11-2005; operative 11-10-2005 (Register 2005, No. 41).
29. Amendment of subsections (a), (b)(1), (b)(3), (b)(8.1), (b)(8.1)(A) and (b)(9)(E) filed 12-18-2006; operative 1-1-2007. Submitted to OAL pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements) (Register 2006, No. 51).
30. Amendment of subsections (b)(8.1)-(b)(8.1)(A) and (b)(9)(E) filed 10-31-2008; operative 11-30-2008. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2008, No. 44).
31. Amendment of section heading and section filed 11-15-2010; operative 12-15-2010. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2010, No. 47).
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EXHIBIT "B"

STANDARD DISCLOSURE CATEGORIES

CATEGORY 1

Interest(s) in real property which is located in whole or in part within the jurisdiction of the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista, including any leasehold, beneficial or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$1,000 or more.

CATEGORY 2

Business positions or investments in or income from persons or business entities engaged in the appraisal, acquisition or disposal of, real property within the jurisdiction of the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista.

CATEGORY 3

Business positions or investments in business entities and income from any source(s) of income, if

a) The business entities or the source(s) of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide services, supplies, materials, machinery or equipment to or for the use of the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista; and

b) Within the previous two years, the designated employee has made, participated in making, or in any way has attempted to use his or her official position to influence, the governmental decision to obtain or procure services, supplies, materials, machinery or equipment of the same

or a similar type as those provided or contracted to be provided to the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista or if the duties of the designated employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 4

Business positions or investments in business entities and income from any source(s) of income, if

a) The business entities or the source(s) of income are of the type which, within the previous two years, have furnished or contracted to furnish, or in the future with reasonable foreseeability might furnish or contract to furnish services, supplies, materials, machinery or equipment as a subcontractor in any contract with the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista to provide services, supplies, materials, machinery or equipment to or for the use of the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista; and

b) Within the previous two years, the designated employee has made, participated in making, or in any way has attempted to use his or her official position to influence, the governmental decision to obtain or procure services, supplies, materials, machinery or equipment of the same or a similar type as those so provided or contracted to be provided to the Successor Agency to the former County of Santa Barbara Redevelopment Agency in Isla Vista or if the duties of the designated employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 5

Business positions or investments in business entities and income from any source(s) of income, if

**OVERSIGHT BOARD OF THE SUCCESSOR AGENCY TO THE FORMER
COUNTY OF SANTA BARBARA REDEVELOPMENT AGENCY**

RESOLUTION NO. 12- 13

**A RESOLUTION OF THE OVERSIGHT BOARD OF THE SUCCESSOR
AGENCY TO THE FORMER COUNTY OF SANTA BARBARA
REDEVELOPMENT AGENCY REQUESTING THE SANTA BARBARA
COUNTY CLERK-RECORDER-ASSESSOR TO ADD THE OVERSIGHT
BOARD'S CONFLICT OF INTEREST CODE TO THE SANTA BARBARA
COUNTY SINGLE COMPREHENSIVE CONFLICT OF INTEREST CODE**

WHEREAS, the Political Reform Act, Government Code Section 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. Title 2, Section 18730 of the California Code of Regulations contains the terms and provisions for a *Standard Conflict of Interest Code*, which can be adopted by a local agency and incorporated by reference; , and

WHEREAS, on December 5, 1995 the Santa Barbara County Clerk-Recorder-Assessor adopted a comprehensive conflict of interest code for County Departments, certain County related Commissions/Boards/Committees and Dependent Districts. The comprehensive code is referred to as the Santa Barbara County Single Comprehensive Conflict of Interest Code, and is intended to coordinate biennial reviews and amendments of each member's component of conflict of interest code. Additionally, all financial disclosure statements (Form 700's) are filed in the Office of the Clerk-Recorder-Assessor, which provides a central repository for public access. A qualifying agency may request to be added to the County's Single Comprehensive Conflict of Interest Code.

WHEREAS, the Oversight Board adopted and incorporated by reference the *Standard Conflict of Interest Code*, as its stand-alone Conflict of Interest Code on August 16, 2012 by Resolution 12-08 (Exhibit A) and now wishes to be added to the County's Single Comprehensive Conflict of Interest Code.

NOW, THEREFORE, IT IS HEREBY RESOLVED AS FOLLOWS:

1. That the Oversight Board to the Successor Agency of the former County of Santa Barbara Redevelopment Agency requests that the County Clerk-Recorder-Assessor add the Oversight Board's adopted Conflict of Interest Code to the Santa Barbara County Single Comprehensive Conflict of Interest Code.

PASSED and ADOPTED by the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency at a regular meeting held on October 12, 2012 by the following vote:

AYES: 6

NOES: 0

ABSENT: 1 - Adomaitis


Oversight Board Chair

Attest:



Secretary of the Oversight Board

OVERSIGHT BOARD COUNSEL
DAVID L. ALLEN

By: 
Oversight Board Counsel

EXHIBIT C (Designated Positions)

OVERSIGHT BOARD OF THE SUCCESSOR AGENCY TO THE FORMER
COUNTY OF SANTA BARBARA REDEVELOPMENT AGENCY

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are "designated employees"* and must disclose financial interest in those categories described in Exhibit "B: Standard Disclosure Categories" of Santa Barbara County Resolution No. 95-450, as amended. The term "local agency" as used in said Exhibit B shall mean the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency.</p> <p>Positions:</p> <ol style="list-style-type: none">1. Members of the Oversight Board of the Successor Agency to the former County of Santa Barbara Redevelopment Agency (7)	<p>1, 2, 3, 4, 5</p>

*A "designated employee" is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term "designated employee" does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-27

FILED SA

JUL 18 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Planning & Development

County Exhibit No. C-27

Mailing Address: 123 East Anapamu Street, Santa Barbara, CA 93101

Contact Person: Star Schatz-Hammond Office Phone No: 805-934-6225

E-Mail: sschatz@countyofsb.org Fax No: 805-934-6258

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

7-9-14
Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-27
 SANTA BARBARA COUNTY
 PLANNING & DEVELOPMENT DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees” and must disclose financial interest in those categories described in: <input type="checkbox"/> Exhibit “___ (Disclosure Categories)” attached hereto;</p> <p>or</p> <p><input checked="" type="checkbox"/> Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-27 the Santa Barbara County Planning and Development Department</p>	
<p>Positions:</p>	
1. Administrative Office Professional, Expert	1, 2, 3, 4, 5.
2. Assistant Plan Checker	1, 2, 3, 4, 5.
3. Building Engineering Inspector I/II/III	1, 2, 3, 4, 5.
4. Building Engineering Inspector Specialist	1, 2, 3, 4, 5.
5. Building Engineering Inspector, Supervising	1, 2, 3, 4, 5.
6. Program Business Leader-General	1, 2, 3, 4, 5.
7. Computer Systems Specialist I/II	1, 2, 3, 4, 5.
8. Electronic Data Processing Systems and Programming Analyst I/II	1, 2, 3, 4, 5.
9. Electronic Data Processing Systems and Programming Analyst, Sr.	1, 2, 3, 4, 5.
10. Energy Specialist	1, 2, 3, 4, 5.
11. Grading Inspector I/II	1, 2, 3, 4, 5.
12. Grading Inspector, Senior	1, 2, 3, 4, 5.
13. Petroleum Specialist	1, 2, 3, 4, 5.
14. Plan Check Engineer	1, 2, 3, 4, 5.
15. Planner I/II/III	1, 2, 3, 4, 5.
16. Assistant Department Leader-Executive	1, 2, 3, 4, 5.
17. Enterprise Leader-General	1, 2, 3, 4, 5.
18. Department Corporate Leader- Executive	1, 2, 3, 4, 5.
19. Agricultural Land Use Planner	1, 2, 3, 4, 5.
20. Supervising Planner	1, 2, 3, 4, 5.
21. Mapping/GIS Analyst, Supervisor	1, 2, 3, 4, 5.
22. Consultant	1, 2, 3, 4, 5.
23. Team Project Leader-General	1, 2, 3, 4, 5.
24. Accountant III	1, 2, 3, 4, 5.
25. Civil Engineer	1, 2, 3, 4, 5.

EXHIBIT C-27
SANTA BARBARA COUNTY
PLANNING & DEVELOPMENT DEPARTMENT

*A "designated employee" is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.) *Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code.

The term "designated employee" does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

EXHIBIT C-27
SANTA BARBARA COUNTY
PLANNING & DEVELOPMENT DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees” and must disclose financial interest in those categories described in: <input type="checkbox"/> Exhibit “___ (Disclosure Categories)” attached hereto; or <input checked="" type="checkbox"/> Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-27 the Santa Barbara County Planning and Development Department</p>	
<p>Positions:</p>	
1. Administrative Office Professional, Expert	1, 2, 3, 4, 5.
2. Assistant Plan Checker	1, 2, 3, 4, 5.
3. Building Engineering Inspector I/II/III	1, 2, 3, 4, 5.
4. Building Engineering Inspector Specialist	1, 2, 3, 4, 5.
5. Building Engineering Inspector, Supervising	1, 2, 3, 4, 5.
6. Program Business Leader-General	1, 2, 3, 4, 5.
7. Computer Systems Specialist I/II	1, 2, 3, 4, 5.
8. Electronic Data Processing Systems and Programming Analyst, Sr.	1, 2, 3, 4, 5.
9. Energy Specialist	1, 2, 3, 4, 5.
10. Grading Inspector I/II	1, 2, 3, 4, 5.
11. Grading Inspector, Senior	1, 2, 3, 4, 5.
12. Petroleum Specialist	1, 2, 3, 4, 5.
13. Plan Check Engineer	1, 2, 3, 4, 5.
14. Planner I/II/III	1, 2, 3, 4, 5.
15. Assistant Department Leader-Executive	1, 2, 3, 4, 5.
16. Enterprise Leader-General	1, 2, 3, 4, 5.
17. Department Corporate Leader- Executive	1, 2, 3, 4, 5.
18. Agricultural Land Use Planner	1, 2, 3, 4, 5.
19. Supervising Planner	1, 2, 3, 4, 5.
20. Mapping/GIS Analyst, Supervisor	1, 2, 3, 4, 5.
21. Consultant	1, 2, 3, 4, 5.
22. Team Project Leader-General	1, 2, 3, 4, 5.
23. Accountant III	1,2,3,4,5
24. Civil Engineer	1, 2, 3, 4, 5.

EXHIBIT C-27
SANTA BARBARA COUNTY
PLANNING & DEVELOPMENT DEPARTMENT

*A "designated employee" is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.) *Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code.

The term "designated employee" does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-28

SA

2014 Local Agency Biennial Notice

FILED
JUL 31 2014
SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: Santa Barbara County Probation Department

County Exhibit No.: C-28

Mailing Address: 117 East Carrillo Street Santa Barbara, CA 93101

Contact Person: Kristina Brumbaugh

Office Phone No: 805-882-3659

E-Mail: kbrumba@co.santa-barbara.ca.us

Fax No: 805-882-3767

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Beverly Taylor
Signature of Department Head or Director

7/10/14
Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-28

SANTA BARBARA COUNTY
PROBATION DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-28 the Santa Barbara County Probation Department.</p>	
<p>Positions:</p>	
<ol style="list-style-type: none"> 1. Chief Probation Officer (1) 2. Deputy Chief Probation Officer (3) 3. Probation Managers (10) 4. Administrative Deputy Director (1) 5. Project Manager (2) 6. Fiscal Manager (1) 7. Senior IT Manager (1) 	<p>1, 2, 3, 4 1, 2, 3, 4 1, 2, 3, 4 1, 2, 3, 4 1, 2, 3, 4 1, 2, 3, 4 1, 2, 3, 4</p>
<p><i>The Senior IT Manager was reclassified to Chief Innovation Officer</i></p>	

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-29

FILED

8/14

SEP 10 2014

2014 Local Agency Biennial Notice

SANTA BARBARA COUNTY ELECTIONS

Name of Agency: Santa Barbara County Public Defender
 County Exhibit No. C-29
 Mailing Address: 1100 Anacapa Street, Courthouse Annex
Santa Barbara, CA 93101
 Contact Person: Dec Engler Office Phone No: 805-568-3474
 E-Mail: dengler@co.santa-barbara.ca.us Fax No: 805-568-3564

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:
 (Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


 Signature of Department Head or Director

9-9-14
 Date

Complete this notice regardless of how recently your code was approved or amended.
 Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
 Attn: Sheila Hess
 PO Box 61510
 Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-30

FILED

JUL 24 2014

SANTA BARBARA COUNTY
ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Santa Barbara County Public Health Department

County Exhibit No. _____

Mailing Address: 300 N. San Antonio Road, Santa Barbara, CA. 93110

Contact Person: Hortensia Cruz Office Phone No: 681-5102

E-Mail: Hortensia.Cruz@sbcphd.org Fax No: 681-5191

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary:**
(Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.**
- The code is currently under review by the code reviewing body.**

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

Signature of Department Head or Director

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-17 (Designated Positions)

SANTA BARBARA COUNTY PUBLIC HEALTH DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-17 the Santa Barbara County Public Health Department</p>	
<p>Positions:</p>	
<p>1. Dept/Corp Ldr-Atty/Phy</p>	<p>1, 2, 3, 4, 5</p>
<p>2. Asst Dept Ldr-Atty/Phy</p>	<p>1, 2, 3, 4, 5</p>
<p>3. Program/Bus Ldr-Phy</p>	<p>1, 2, 3, 4, 5</p>
<p>4. Asst Dept Ldr-Exec</p>	<p>1, 2, 3, 4, 5</p>
<p>5. Enterprise Ldr-Exec</p>	<p>1, 2, 3, 4, 5</p>
<p>6. Program/Bus Ldr-Gen</p>	<p>1, 2, 3, 4, 5</p>
<p>7. Team/Proj Ldr-Gen</p>	<p>1, 2, 3, 4, 5</p>
<p>8. Environmental Health Specialist, Supervisor</p>	<p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

FILED

JUL 29 2014

SANTA BARBARA COUNTY ELECTIONS

C-31

2014 Local Agency Biennial Notice

Name of Agency: SANTA BARBARA COUNTY PUBLIC WORKS DEPARTMENT

County Exhibit No. C-30-A

Mailing Address: 123 E. ANAPAMU ST, SANTA BARBARA, CA 93101

Contact Person: MICHELLE GARCIA

Office Phone No: 805-568-3010

E-Mail: MGARCIA@COSBPW.NET

Fax No: 805-568-3019

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.

[Signature]
Signature of Department Head or Director

7/30/14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-30-A

SANTA BARBARA COUNTY
PUBLIC WORKS DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-30-A the Santa Barbara County Public Works Department.</p> <p>Positions:</p> <ol style="list-style-type: none">1. DEPT/CORP LEADER - EXECUTIVE2. ASST DEPT LDR - EXEC3. PROGRAM BUS LDR - ENG4. PROGRAM/BUS LDR - GEN5. TEAM/PROJECT LDR - GEN6. SAFETY OFFICER	<ol style="list-style-type: none">1, 2, 3, 4, 51, 2, 3, 4, 51, 2, 3, 4, 51, 2, 3, 4, 51, 2, 3, 4, 51, 2, 3, 4, 5

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

FILED SK

JUL 07 2014

SANTA BARBARA COUNTY ELECTIONS

C-32

2014 Local Agency Biennial Notice

Name of Agency: SANTA BARBARA COUNTY SHERIFF/CORONER DEPARTMENT

County Exhibit No. C-31-A

Mailing Address: 4434 Calle Real, Santa Barbara, CA 93110

Contact Person: Janie Taylor Office Phone No: (805) 681-4290

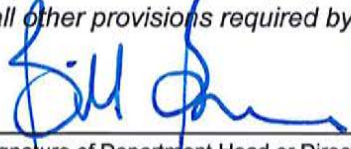
E-Mail: jmb0796@sbsheriff.org Fax No: (805) 681-4322

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

- An amendment is required. The following amendments are necessary: (Mark all that apply.)
 - Include new positions (including consultants) that must be designated.
 - Delete positions that manage public investments from the list of designated positions.
 - Revise disclosure categories.
 - Revise the titles of existing positions.
 - Delete titles of positions that have been abolished.
 - Other (describe) _____
- No amendment is required.
- The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

06/26/14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

C-33

2014 Local Agency Biennial Notice

SEP 19 2014
FILED

Name of Agency: Department of Social Services

County Exhibit No. _____

Mailing Address: 234 Camino del Remedio, Santa Barbara CA 93440

Contact Person: Judy Doughty

Office Phone No: (805)346-7302

E-Mail: j.doughty@sbcsocialserv.org

Fax No: (805)346-8377

SEP 19 2014
SANTA BARBARA COUNTY
ELECTIONS

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:
(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
Delete positions that manage public investments from the list of designated positions.
Revise disclosure categories.
- Revise the titles of existing positions.
Delete titles of positions that have been abolished.
Other (describe) _____

No amendment is required.

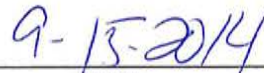
The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director



Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-32

SANTA BARBARA COUNTY
SOCIAL SERVICES DEPARTMENT

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-32 the Santa Barbara County Social Services Department</p> <p>Positions:</p>	
1. Department/Corporate LDR-EXEC	1, 2, 3, 4, 5
2. Assistant Departmental LDR-EXEC	1, 2, 3, 4, 5
3. Enterprise LDR-GEN	1, 2, 3, 4, 5
4. Program/BUS LDR-GEN	1, 2, 3, 4, 5
5. DBS (Contracts)	1, 2, 3, 4, 5
6. Team Project Leader	1, 2, 3, 4, 5
7. DBS (Facilities)	1, 2, 3, 4, 5
8. Cost Analysis-II	1, 2, 3, 4, 5
9. Building Maint. Supv.	1, 2, 3, 4, 5
10. Consultant**	1, 2, 3, 4, 5
11. DBS (CalWORKs/WTW)	1, 2, 3, 4, 5
12. DBS (Children & Adult)	1, 2, 3, 4, 5

* A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

** Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Social Services Director may determine in writing that a particular consultant, although a “Designated Position”, is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this exhibit. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Social Services Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

8) Job class misspelled. Job class should be revised as Cost Analyst II.

11) Include DBS (CalWORKs/WTW)

12) Include DBS (Children & Adult)

C-34

2014 Local Agency Biennial Notice

COPY

10/1/14 Rec. email original in the mail, SA

Name of Agency: Surf Development Company

County Exhibit No. _____

Mailing Address: 815 W Ocean Ave., Lompoc, CA 93436

Contact Person: Jackie Bordon Office Phone No: (805) 736-3423 Ext. 4002

E-Mail: jackiebordon@hasbarco.org Fax No: (805) 735-7672

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

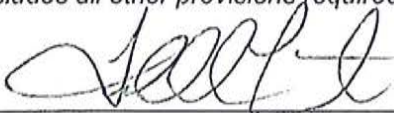
- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) Adding to County of SB Code

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

09/30/14

Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

RESOLUTION NO 2012-046

**RESOLUTION OF THE BOARD OF DIRECTORS
OF SURF DEVELOPMENT COMPANY ADOPTING
A CONFLICT OF INTEREST CODE**

WHEREAS; the Political Reform Act, Government Code Sections 81000 et seq. requires state and local government agencies to adopt and promulgate Conflict of Interest Codes; and

WHEREAS; Surf Development Company ("Surf") is a nonprofit public benefit corporation organized under California law; and

WHEREAS, Surf receives financial assistance from the Housing Authority of the County of Santa Barbara ("Authority"); and

WHEREAS, in recent letter rulings issued by the Fair Political Practices Commission ("FPPC"), the FPPC concludes that entities similar to Surf may constitute a "local government agency" for purposes of the Political Reform Act requiring, among other things, the adoption of a Conflict of Interest Code; and

WHEREAS; to keep codes current with changes in the law and regulations, the FPPC has adopted a regulation, 2 California Code of Regulations Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the FPPC to conform to the amendment to the Political Reform Act after public notices and hearings; and

WHEREAS; the FPPC, by adopting the standard Code, includes the basic provisions required by Government Code Sections 81000-91015, in particular, Section 87300, requiring covered entities to adopt and promulgate a Conflict of Interest Code; and

WHEREAS; Surf desires to adopt a Conflict of Interest Code for Surf by adopting and incorporating by reference the standard Conflict of Interest Code adopted by the FPPC; and

WHEREAS; Surf also desires to adopt a list of designated positions and disclosure categories for such positions.

NOW, THEREFORE BE IT RESOLVED THAT:

1. The terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and along with the attached Appendices "A" (designated positions) and "B" (categories of disclosure) in which officials and employees are designated and disclosure categories are set forth, constituting the Conflict of Interest Code of Surf Development Company ("Surf").

2. Pursuant to Section 4 of the standard Code, designated employees shall file statements of economic interest with Surf. Upon receipt of the statement filed by any designated position, a copy shall be retained by Surf and the original shall be forwarded to the Elections Division of the Santa Barbara County Clerk-Recorder.

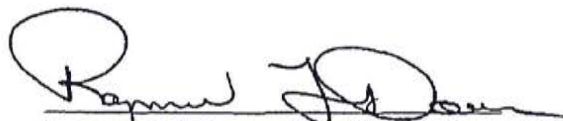
3. This resolution shall take effect immediately upon its passage.

Passed and adopted this 21st day of June, 2012, by the following vote:

AYES: 4

NAYES: 0

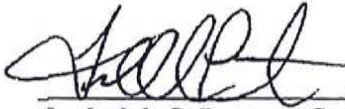
ABSENT: 2



Raymond F. Down, President
Surf Development Company

CERTIFICATE OF THE SECRETARY

The undersigned Secretary of Surf Development Company does hereby attest and certify that the foregoing Resolution is a true, full and correct copy of a resolution duly adopted at the June 21, 2012 board meeting of Surf Development Company.

A handwritten signature in black ink, appearing to read 'F. Lamont', written over a horizontal line.

Frederick C. Lamont, Secretary
Surf Development Company

APPENDIX A

Surf Development Company

Designated Positions

Disclosure Categories

Persons occupying the following are designated employees and must disclose financial interests in those categories described in Appendix B which are listed opposite their respective designated positions:

1. Director	1, 2, 3, 4
2. Executive Director	1, 2, 3, 4
3. General Counsel	1, 2, 3, 4
4. Human Resources Director	1, 2, 3, 4
5. Housing Director	1, 2, 3, 4
6. Finance Director	1, 2, 3, 4
7. Consultant	*

* Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

APPENDIX "B"

SURF DEVELOPMENT COMPANY

CONFLICT OF INTEREST CODE

CATEGORIES OF DISCLOSURE

CATEGORY 1

Interests in real property which is located in whole or in part within the jurisdiction of the County of Santa Barbara, including any leasehold, benefit or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$2,000 or more.

CATEGORY 2

Business positions or investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of, real property within the jurisdiction of the County of Santa Barbara.

CATEGORY 3

Business positions or investments in and income from any source or sources of income, if:

- a) The business entities or the source or sources of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide, including as a subcontractor in any contract with Surf Development Company, services, supplies, materials, machinery or equipment to or for the use of Surf Development Company; and
- b) Within the previous two years, the designated employee has made, participated in making, or in any way has attempted to use his or her official position to

influence, the governmental decision to obtain or procure services, supplies, materials, machinery, or equipment of the same or a similar type as those so provided or contracted to be provided to Surf Development Company or if the duties of the designated employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 4

Business positions or investments in business entities and sources of income (including gifts of over \$50.00) from any source or sources of income, if:

- a) The business entities or sources of income have filed a claim, or have a claim pending against Surf Development Company, and
- b) The designated employee's duties involve the handling or processing of such claim.

ANNUAL MEETING OF THE
BOARD OF DIRECTORS OF
SURF DEVELOPMENT COMPANY

AGENDA

815 West Ocean Avenue
Lompoc, CA 93436

1:00 P.M.
THURSDAY, JUNE 21, 2012

- I. ROLL CALL: Raymond Down
Barbara Hauter
Alfred Holzheu
Joyce Howerton
Thomas Miller
Craig Zimmerman
- II. NEW BUSINESS:
- A. Approval of Minutes of February 15, 2012. M____ S____
- B. ELECTION OF DIRECTORS – MOTION to Re-Elect the
Current Board Members to serve. M____ S____
- C. APPOINTMENT OF OFFICERS. M____ S____
- D. PROJECT STATUS REPORT.
- E. FIANCIAL AND OPERATIONAL UPDATE.
- F. Resolution No. 2012-045 – Authorizing Borrowing and Certification
of Incumbancy and Corporate Documents for Creekside Village,
Los Alamos. M____ S____
- G. Resolution No. 2012- 046 – Approval of Conflict of Interest Code
for Surf Development Company. M____ S____
- H. MOTION to Approve 2010 Independent Audit. M____ S____
- III. WRITTEN COMMUNICATIONS – Lompoc Record Ron Fink Commentary
- IV. ADJOURNMENT M____ S____

C-35

COPY

2014 Local Agency Biennial Notice

10/1/14 Rec. email original is in the mail.sh

Name of Agency: Surf Solar Company, Inc.

County Exhibit No. _____

Mailing Address: 815 W Ocean Ave., Lompoc, CA 93436

Contact Person: Jackie Bordon Office Phone No: (805) 736-3423 Ext. 4002

E-Mail: jackiebordon@hasbarco.org Fax No: (805) 735-7672

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

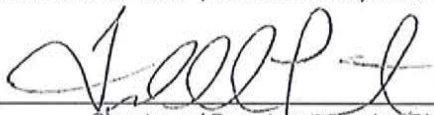
- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) Adding to County of SB Code

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

09/30/14

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

RESOLUTION NO 2012-01

**RESOLUTION OF THE BOARD OF DIRECTORS OF
SURF SOLAR COMPANY, INC.
ADOPTING A CONFLICT OF INTEREST CODE**

WHEREAS; the Political Reform Act, Government Code Sections 81000 et seq. requires state and local government agencies to adopt and promulgate Conflict of Interest Codes; and

WHEREAS; Surf Solar Company, Inc. ("Surf Solar") is a nonprofit public benefit corporations organized under California law; and

WHEREAS, Surf Solar receives financial assistance from the Housing Authority of the County of Santa Barbara ("Authority"); and

WHEREAS, in recent letter rulings issued by the Fair Political Practices Commission ("FPPC"), the FPPC concludes that entities similar to Surf Solar may constitute a "local government agency" for purposes of the Political Reform Act requiring, among other things, the adoption of a Conflict of Interest Code; and

WHEREAS; to keep codes current with changes in the law and regulations, the FPPC has adopted a regulation, 2 California Code of Regulations Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the FPPC to conform to the amendment to the Political Reform Act after public notices and hearings; and

WHEREAS; the FPPC, by adopting the standard Code, includes the basic provisions required by Government Code Sections 81000-91015, in particular, Section 87300, requiring covered entities to adopt and promulgate a Conflict of Interest Code; and

WHEREAS; Surf Solar desires to adopt a Conflict of Interest Code for Surf Solar by adopting and incorporating by reference the standard Conflict of Interest Code adopted by the FPPC; and

WHEREAS; Surf Solar also desires to adopt a list of designated positions and disclosure categories for such positions.

NOW, THEREFORE BE IT RESOLVED THAT:

1. The terms of 2 California Code of Regulations Section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and along with the attached Appendices "A" (designated positions) and "B" (categories of disclosure) in which officials and employees are designated and disclosure categories are set forth, constituting the Conflict of Interest Code of Surf Solar Company, Inc. ("Surf Solar").

2. Pursuant to Section 4 of the standard Code, designated employees shall file statements of economic interest with Surf Solar. Upon receipt of the statement filed by any designated position, a copy shall be retained by Surf Solar and the original shall be forwarded to the Elections Division of the Santa Barbara County Clerk-Recorder.


3. This resolution shall take effect immediately upon its passage.

Passed and adopted this 21st day of June, 2012, by the following vote:

AYES: 4

NAYES: 0


ABSENT: 2



Alfred Holzheu, President
Surf Solar Company, Inc.

CERTIFICATE OF THE SECRETARY

The undersigned Secretary of Surf Solar Company, Inc. does hereby attest and certify that the foregoing Resolution is a true, full and correct copy of a resolution duly adopted at the June 21, 2012 board meeting of Surf Solar Company, Inc.

A handwritten signature in black ink, appearing to read 'F. Lamont', written over a horizontal line.

Frederick C. Lamont, Secretary
Surf Solar Company, Inc.

APPENDIX A

Surf Solar Company, Inc.

Designated Positions

Disclosure Categories

Persons occupying the following are designated employees and must disclose financial interests in those categories described in Appendix B which are listed opposite their respective designated positions:

1.	Director	1, 2, 3, 4
2.	Executive Director	1, 2, 3, 4
3.	General Counsel	1, 2, 3, 4
4.	Human Resources Director	1, 2, 3, 4
5.	Housing Director	1, 2, 3, 4
6.	Finance Director	1, 2, 3, 4
7.	Consultant	*

* Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

APPENDIX "B"

SURF SOLAR COMPANY, INC.

CONFLICT OF INTEREST CODE

CATEGORIES OF DISCLOSURE

CATEGORY 1

Interests in real property which is located in whole or in part within the jurisdiction of the County of Santa Barbara, including any leasehold, benefit or ownership interest or option to acquire such interest in real property, if the fair market value of the interest is \$2,000 or more.

CATEGORY 2

Business positions or investments in or income from persons or business entities engaged in the appraisal, acquisition, or disposal of, real property within the jurisdiction of the County of Santa Barbara.

CATEGORY 3

Business positions or investments in and income from any source or sources of income, if:

- a) The business entities or the source or sources of income are of the type which, within the previous two years, have provided or contracted to provide, or in the future with reasonable foreseeability might provide or contract to provide, including as a subcontractor in any contract with Surf Solar Company, Inc., services, supplies, materials, machinery or equipment to or for the use of Surf Solar Company, Inc.; and
- b) Within the previous two years, the designated employee has made, participated in making, or in any way has attempted to use his or her official position to

influence, the governmental decision to obtain or procure services, supplies, materials, machinery, or equipment of the same or a similar type as those so provided or contracted to be provided to Surf Solar Company, Inc., or if the duties of the designated employee's position make it reasonably foreseeable that he or she might engage in such activity.

CATEGORY 4

Business positions or investments in business entities and sources of income (including gifts of over \$50.00) from any source or sources of income, if:

- a) The business entities or sources of income have filed a claim, or have a claim pending against Surf Solar Company, Inc., and
- b) The designated employee's duties involve the handling or processing of such claim.

ANNUAL MEETING OF THE
BOARD OF DIRECTORS OF
SURF SOLAR COMPANY, INC.

AGENDA

815 West Ocean Avenue
Lompoc, CA 93436

1:00 P.M.
THURSDAY, JUNE 21, 2012

- I. ROLL CALL: Raymond Down
Barbara Hauter
Alfred Holzheu
Joyce Howerton
Thomas Miller
Craig Zimmerman
- II. NEW BUSINESS:
- A. Approval of Minutes of June 15, 2011. M____ S____
- B. ELECTION OF DIRECTORS – MOTION to Re-Elect the
Current Board Members to serve. M____ S____
- C. APPOINTMENT OF OFFICERS. M____ S____
- D. Resolution No. 2012-01 – Approval of Conflict of Interest Code
for Surf Solar Company. M____ S____
- III. ADJOURNMENT M____ S____

C-36

FILED *SK*

JUN 30 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Santa Barbara County Treasurer-Tax Collector – Public Administrator

County Exhibit No. C-33

Mailing Address: PO Box 579, Santa Barbara, CA 93102-0079 ✓

Contact Person: Kim Tesoro ✓

Office Phone No: 805-568-2153 ✓

E-Mail: ktesoro@co.santa-barbara.ca.us ✓

Fax No: 805-568-2488 ✓

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (*describe*) Adding the Assistant Treasurer-Tax Collector-Public Administrator

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

6-26-14

Date

Complete this notice regardless of how recently your code was approved or amended.

Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

SA

EXHIBIT C-33

SANTA BARBARA COUNTY
TREASURER-TAX COLLECTOR-PUBLIC ADMINISTRATOR

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are "designated employees"* and must disclose financial interest in those categories described in Exhibit "B: Standard Disclosure Categories" of Santa Barbara County Resolution No. 95-450, as amended. The term "local agency" as used in said Exhibit B shall mean for purposes of this Exhibit C-33 the Santa Barbara County Treasurer-Tax Collector -Public Administrator</p>	
<p>Positions:</p>	
<p>1. Investment/Debt Manager (Enterprise Leader)</p>	<p>1, 2, 3, 4, 5</p>
<p>2. ^{INFORMATION TECHNOLOGY} Data-Processing Manager (Program - Business Leader)</p>	<p>1, 2, 3, 4, 5</p>
<p>3. Treasury/Tax Operations Manager(Enterprise Leader)</p>	<p>1,2, 3, 4, 5</p>
<p>4. Treasury Finance Chief (Enterprise Leader)</p>	<p>1,2, 3, 4, 5</p>
<p>5. Business Manager (Program - Business Leader)</p>	<p>1,2, 3, 4, 5</p>
<p>6. ^{ADMINISTRATOR/PUBLIC} Administration/Guardian/Veterans</p>	<p>1,2, 3, 4, 5</p>
<p>7. Manager (Program-Business Leader)</p>	<p>1,2,3,4,5</p>
<p>7. ^{PUBLIC ADMINISTRATOR} ASSISTANT TREASURER - TAX COLLECTOR (ASSISTANT DEPARTMENTAL LEADER)</p>	<p>1, 2, 3, 4, 5</p>

*A "designated employee" is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term "designated employee" does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-37

FILED

SEP 05 2014

SANTA BARBARA COUNTY ELECTIONS

2014 Local Agency Biennial Notice

Name of Agency: Santa Barbara County Water Agency

County Exhibit No. C-30-C

Mailing Address: 130 E. Victoria Street, Suite 200, Santa Barbara, CA 93101

Contact Person: Christina Lopez Office Phone No: (805) 568-3440

E-Mail: clopez@cosbpw.net Fax No: (805) 568-3434

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:

(Mark all that apply.)

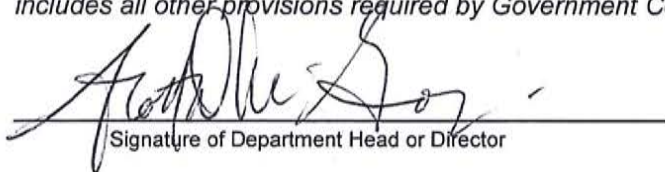
- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.


Signature of Department Head or Director

7/2/14
Date

Complete this notice regardless of how recently your code was approved or amended. Please return notice no later than October 1, 2014, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-30-C

SANTA BARBARA COUNTY
WATER AGENCY

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C-30-C the Santa Barbara County Water Agency</p>	
<p>Positions:</p>	
<ol style="list-style-type: none">1. Members of the Board of Directors2. DEPT/CORP LDR - EXECUTIVE3. ASST DEPT LDR - EXEC4. PROGRAM/BUS LDR-GEN	<p>1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5 1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

C-38

8A

2014 Local Agency Biennial Notice

FILED

SEP 24 2014

SANTA BARBARA COUNTY
ELECTIONS

Name of Agency: _____ Santa Barbara County Workforce Investment Board

County Exhibit No. _____ C-37 _____

Mailing Address: _____ 260 N San Antonio Rd., Ste C Santa Barbara, CA 93110

Contact Person: Raymond McDonald, Exec. Director Office Phone No: _681-4446

E-Mail: s.fodor@sbcsocialserv.org Fax No 805-681-4674

Accurate disclosure is essential to monitor wither officials have conflicts of interest and to help ensure public trust in government. This agency has reviewed its conflict-of-interest code and has determined that (Check on box):

An amendment is required. The following amendments are necessary:
(Mark all that apply.)

- Include new positions (including consultants) that must be designated.
- Delete positions that manage public investments from the list of designated positions.
- Revise disclosure categories.
- Revise the titles of existing positions.
- Delete titles of positions that have been abolished.
- Other (describe) _____

No amendment is required.

The code is currently under review by the code reviewing body.

Verification

The agency's conflict of interests code accurately designates all positions that make or participate in the making of the governmental decisions. The disclosure categories assigned to those positions accurately require the disclosure of all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions. The code includes all other provisions required by Government Code Section 87302.



Signature of Department Head or Director

24 Sept 14

Date

Complete this notice regardless of how recently your code was approved or amended.
Please return notice **no later than October 1, 2014**, to

Santa Barbara County Elections
Attn: Sheila Hess
PO Box 61510
Santa Barbara, CA 93160-1510

DO NOT RETURN THIS FORM TO THE FPPC

EXHIBIT C-36

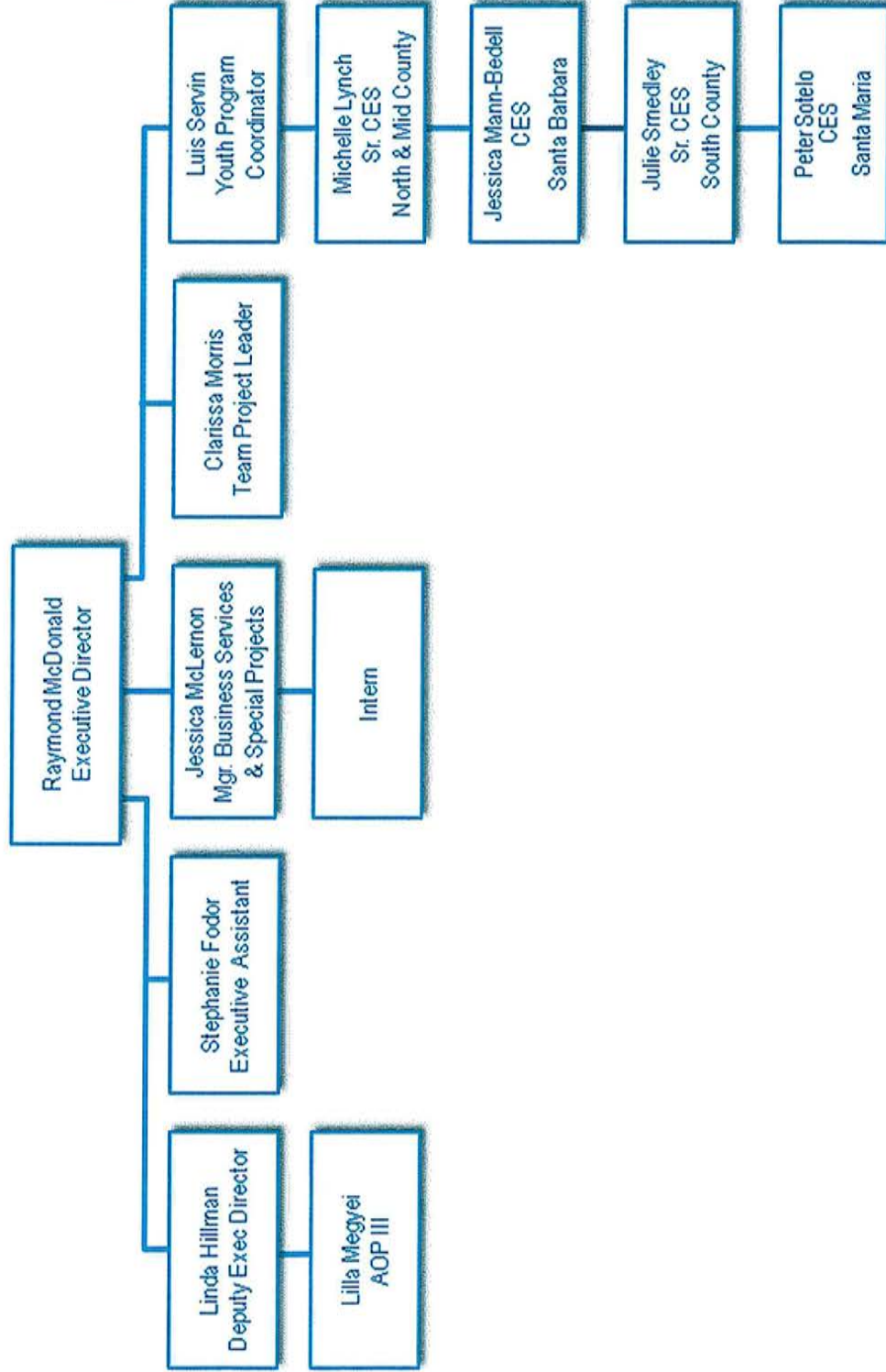
SANTA BARBARA COUNTY
WORKFORCE INVESTMENT BOARD

<u>Designated Employee Positions:</u>	<u>Disclosure Categories for Positions:</u>
<p>Persons occupying the following positions are “designated employees”* and must disclose financial interest in those categories described in Exhibit “B: Standard Disclosure Categories” of Santa Barbara County Resolution No. 95-450, as amended. The term “local agency” as used in said Exhibit B shall mean for purposes of this Exhibit C37 the Economic Investment Board</p> <p>Positions:</p> <ol style="list-style-type: none"> 1. Workforce Investment Board Members 2. Assistant Departmental Leader Team Leader 3. Enterprise Leader 4. Program/Business Leader/PM Workforce <p>* Position 1: no changes * Position 2: There is no Assistant Department Leader for Santa Barbara WIB Team Leader replacing Position * Position 3: no changes * Position 4: no changes</p>	<p>1, 2, 3, 4, 5</p>

*A “designated employee” is anyone within the above-mentioned agency who is an officer, employee, member or consultant who is designated in the code because the position entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest. (Government Code § 82019.)

The term “designated employee” does not include any officer identified in Government Code § 87200, i.e., members of planning commissions, members of the board of supervisors, district attorneys, county counsels, county treasurers, chief administrative officers and other public officials who manage public investments.

**Workforce Investment Board
Staff Org Chart
September 2014**



Workforce Investment Board

Board Meeting Minutes

CenCal Health Conference Room

4050 Calle Real, Santa Barbara, CA 93110

Friday, August 22, 2014

9:30 a.m. – 12:00 p.m.

Board Members in Attendance:

Sue Larsen, Robert Manning, Tony Bauer, Ruth Ann Bowe, Randy Copperman, Angela Hacker, Timothy Harrington, Julie Holmes, Anthony Mitchell, Christopher Montigny, Dianne Owens, Joe Pierre, Kathy Simas

Board Members not in Attendance:

Karen Dwyer, Cindy Burton, Daniel Nielson, Teresa Gallavan, Judy Hawkins, Chuck Huddleston, Gabriel Morales, Melissa Moreno, Gene Morones, Ken Oplinger, Rick Rantz, Grace Schoch-Manzano, Steven Weiner

Guests:

Luciano Santini (EDD), Mona Baker (SBC Workforce Resource Center), Jason Ramirez (EDD), Tony Castillo & Bryan Matthews (Laborers International Union of North America), Ron Painter (National Association of Workforce Boards) via Skype

County Staff in Attendance:

Ray McDonald, Luis Servin, Stephanie Fodor, Karen Oliveros, Lilla Megyei

Roll Call and Introductions:

Meeting called to order by Sue Larsen, WIB Vice Chair at 9:49 a.m. A quorum was not established.

Public Comment:

No public comment was heard.

Reorganization of the Agenda:

The agenda was not reorganized.

A. Old Business

1. Approval of June 20, 2014 Minutes:

No action taken since there was no quorum. Minutes were not approved.

B. New Business

1. Introduction of New County Counsel

Ray McDonald introduced Mike Munoz, new county counsel.

2. Approval of ETPL Applications

Mr. McDonald explained that the Executive Committee has approved the Drug and Alcohol course at Allan Hancock for the Eligible Trainer Provider List; we can move forward with it. Julie Holmes said she would like to see local labor market information attached. Items are now being posted on the WIB website - no password is required for the agenda and minutes.

3. Written Information Reports

a. One-Stop/Adult & Dislocated Workers

Mona Baker distributed and presented two Activity and Data Reports on the One-Stop Center/Adult Dislocated Workers for May and June. The information is not available until the 20th so it is always going to be a bit behind. Unemployment was 6.7% at the beginning of last fiscal year and ended at 5.4%. Good Job Fair - 32 employers at the fairgrounds in Santa Maria. More people are coming in to utilize services even though unemployment rate is lower. Randy Copperman would like to see a chart similar to the one trending for unemployment to show WRC visits to see how we are doing every year. Mr. Copperman also raised a question regarding rapid response and Allergan. Ms. Baker replied that the warn notices come from the state and we have not gotten one for Allergan. Their layoffs are spread out and in different locations, so it is not all at once. If the total number is over 50, there will be a warn notice filed and each county will be notified.

b. Youth Update

Dianne Owens introduced Luis Servin as the new Youth Program Coordinator. We had a great retreat in June; instead of bringing you the paper it's on the website. Rather than planning lofty goals, we decided to figure out what's working and what's not, and then came up with some recommendations. Working: job fairs. Not working: even though we're getting good reports we want the program overall to be a better, high-quality experience. Our set goal for the new fiscal year is 75% out of school youth, which is aligned with the new WIOA requirement. We are focusing on four subgroups: gang, foster, Basic Skills Deficient, and disabled youth. We are ensuring everyone gets the ten elements and job search workshops, and are creating a more structured program with incentives. Cohorts of 8-10 people at a time will make it easier for vendors to provide services. Looking to possibly outsource payroll.

Ron Painter, President/CEO of the National Association of Workforce Boards (NAWB) gave a presentation via Skype regarding the activities and priorities of the NAWB. He also spoke about the new WIOA legislation. The NAWB has 300 member boards and a number of associate members. The NAWB does a lot of advocacy on Capitol Hill, White House, etc. The focus is on industry partnerships and sector work. In the conversation for the new legislation, they are trying to convince Congress and other interested parties that business-led and local WIBs are capable and the way to go to increase efficiency and effectiveness. The core for the unified plan is data in the labor market. WIOA makes changes to board structure: One-stops will no longer be mandated to participate and the boards will also have less required members. In addition, WIOA has more stringent performance measures. The NAWB is also working on a piece around planning -- how to integrate industry sector work with the notion of a pipeline.

Mr. Copperman asked if there will be any way to get the legislation piece-by-piece so that we may get a head start on it. Mr. Painter replied that part of the conversation was when does it come online and what can happen in the interim --there are some staggered times in the legislation in how things need to be implemented and it is not too early to look to see what is in that unified plan, but we have to figure out where we can find that information and gather it. Mr. McDonald added that the state Board Chair does not see California as being an early implementer, but sounds like January will be a good kickoff point when regulations come out.

5. Apprenticeship Presentation

Bryan Matthews of the Laborer's International Union of North America (LIUNA) gave a presentation on apprenticeships --laborers will be needed for the high speed rail. Construction jobs are coming --they have over 2500 contractor partners. An influx of apprentices will be needed for 3 huge solar projects throughout the state. We need to create a link from pre-apprenticeship programs such as the ones at the One-Stops with apprenticeships at the union. LIUNA is on the ETPL as a laborers training program, but not in Southern California yet.

Adjourn:

The meeting was adjourned at 11:54 a.m. by Sue Larsen.

Workforce Investment Board

Board Meeting Minutes (Minutes to be approved on 10.24.14)

Chase Palm Park Center Building

236 E. Cabrillo Blvd, Santa Barbara, CA 93101

Friday, June 20, 2014

9:30 a.m. – 2:00 p.m.

Board Members in Attendance:

Karen Dwyer, Robert Manning, Ruth Ann Bowe, Randy Copperman, Teresa Gallavan, Timothy Harrington, Judy Hawkins, Diane Hollems, Julie Holmes, Anthony Mitchell, Christopher Montigny, Gabriel Morales, Dianne Owens, Joe Pierre, Kathy Simas, Grace Schoch-Manzano

Board Members not in Attendance:

Sue Larsen, Cindy Burton, Tony Bauer, Angela Hacker, Chuck Huddleston, Kristen Miller, Gene Morones, Daniel Nielson, Ken Oplinger, Rick Rantz, Steven Weiner

Guests:

Reva Bear (WIB Services Manager, SLO); Theresa Salazar Vital (WIB Administration Manager, Ventura); Talia Barrera (WIB Administration Manager, Ventura); John Cordova (Deputy Sector Navigator Health – Regional Director South Central Region, College of the Canyons); Adriana Barbara-Kuhnle (Deputy Regional Director of the Central Region, EDD); Justin Weiner (Research Program Specialist, EDD); Eileen Rohlfing (Regional Advisor, EDD); Tim Rainey (CA WIB Executive Director); Bob Lanter (CWA Executive Director); John Baker (WIB Consultant); Jason Ramirez (Departmental Business Specialist, WJA & WRC); Matthew Green (Director, Workforce Economic Development & Community Programs, Cuesta College)

County Staff in Attendance:

Ray McDonald, Stephanie Fodor, Lilla Megyei, Karen Oliveros, Luis Servin, Julie Smedley

Roll Call and Introductions:

Meeting called to order by Karen Dwyer, WIB Chair at 9:43 am. A quorum was established.

Public Comment:

No public comment was heard.

Reorganization of the Agenda:

The agenda was not reorganized.

A. Old Business:

1. Approve Board Meeting Minutes for February 28, 2014:

A motion was made by Julie Holmes for approval of the minutes for the February 28, 2014 meeting, seconded by Robert Manning. Kathy Simas abstained as she was not at the last meeting. Minutes were approved.

B. New Business:

1. Acknowledgement of Retiring WIB Member:

Ray McDonald and Karen Dwyer presented Diane Hollems with a letter of appreciation and praised her time as a WIB Member. Melissa Moreno, J.D., will be taking her place.

2. Approval of ETPL Applications

Ray McDonald explained that because of the new CalJOBS system, all ETPL providers had to be approved by the Board. They were already approved by the Executive Committee. Robert Manning made the first motion to pass the ETPL applications. Ruth Ann Bowe seconded. Gabriel Morales abstained, ETPL applications were approved.

3. Approval of New WIB Mission Statement

Karen Dwyer summarized the work done at the Branding Retreat and read the new WIB Mission Statement. Tim Harrington moved to approve Mission Statement, Robert Manning seconded, all in favor, motion approved.

4. CWIB Presentation: Roles, Goals, and Objectives

Tim Rainey, California WIB Executive Director, gave a presentation and answered questions regarding what the state workforce board has been doing and what their objectives are.

5. Update on CWA Activities

Bob Lanter, CWA Executive Director, gave a presentation about what the California Workforce Association has been doing, and spoke about reauthorization of WIA.

6. Slingshot Presentation

Tim Rainey and Bob Lanter gave a presentation regarding the Slingshot Initiative and explained how the idea of the initiative was to have WIBs work together in regional coalitions to "move the needle" on workforce issues. Copies of the presentation were emailed to Board Members.

- D.** The next meeting of the Board is TBD. Karen Dwyer mentioned that there will be a presentation from the National Association of Workforce Boards at the WIB Meeting in August.

Adjourn:

The meeting was adjourned at 12:32 p.m. by Karen Dwyer

After the meeting, lunch was provided and a discussion regarding the Slingshot Initiative was facilitated by John Baker.



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Current Job Openings

Clarissa Morris



Class Title: Team/Project Leader - General

Bargaining Unit: Management and Unrepresented Attorneys

Class Code: 8023

Salary: \$24.97 - \$46.81 Hourly
 \$2,004.20 - \$3,757.94 Biweekly
 \$4,342.43 - \$8,142.20 Monthly
 \$52,109.13 - \$97,706.44 Annually

[Print Job Information](#)

Description	Benefits
<p>This management classification is responsible for a unit, section, or specific function within a County department or for leading significant projects and project teams.</p> <p>DISTINGUISHING CHARACTERISTICS: Team/Project Leaders are civil service managers who possess journey-level skills and abilities and may supervise others, including Administrative Leaders. All County leaders are expected to uphold the values of accountability, customer-focus, and efficiency (ACE). Incumbents lead others and model behaviors that exemplify quality customer service; provide effective and ethical leadership; creatively and strategically plan, develop, and implement programs that use human and financial resources to achieve results; are accountable for strategy and goal formation consistent with the County's mission and key business objectives; engage stakeholders; communicate and collaborate effectively at multiple levels; develop employees; analyze issues and data; and efficiently use public resources. Leadership positions in a County Supervisor's office are at-will and serve at the pleasure of the Supervisor.</p>	
<p>Examples of Duties:</p> <ol style="list-style-type: none"> 1. Leads teams, units, sections, and/or significant departmental projects; sets team/project goals and measurements; takes full leadership responsibility for assigned teams and projects; obtains and deploys resources necessary to manage team/project; and assumes full accountability for team/project outcomes. 2. May supervise staff, including Administrative Leaders; designs and implements customer service solutions and initiatives; and ensures high-quality customer service is delivered by subordinate staff; 3. Develops and manages budgets. 4. Exercises a high-level of independence in team/project-related decision making. 	
<p>Employment Standards:</p> <p>Possession of:</p>	

1. The core competencies listed below and the ability to immediately demonstrate these competencies consistent with the position's level in the leadership series and the specific work assignment; and,
- **Intensity:** Goes after the goal with passion; is results oriented, and gets the job done. Key Concepts: Risk-taker; results-oriented; and initiative driver.
 - **Ethical Behavior:** Does what is right regardless of temptations and pressures to do otherwise; upholds the public's trust; and conducts self according to a set of principles. Key Concepts: Respect; trust; responsible; fair; and caring.
 - **Influence:** Affects successful outcomes for the organization through the use of masterful leadership, collaboration, and a keen understanding of the organization, its goals, and the interests of all parties. Key Concepts: Engaged; collaborative; strategic orientation; situational awareness; organizationally savvy; inspirational; energizing-empowering; team orientation; and change agent.
 - **Commitment:** Successfully builds relationships with and promotes involvement of diverse groups; considers the needs of diverse clients when developing policies and procedures related to service; works closely with diverse groups to identify and deliver services that meet their needs and the strategic objectives of the program; establishes customer service as the single purpose to which all resources are dedicated; focuses on delivering the best services possible to the public; focuses on customer needs; and is committed to public service. Key Concepts: Public servant; and customer service.
 - **Interpersonal Skills:** Possesses and uses versatile communication styles and approaches; understands the underlying psychology of why people act as they do and changes approach to affect positive outcomes; builds rapport throughout the organization; and develops human potential. Key Concepts: Staff development; communication; listening; delegation; recognition; and buy-in.
 - **Resiliency:** Is adaptable; takes direct action; leads by example; exhibits tenacity. This leader is ready, flexible, self-reliant, and has a reputation for finding opportunities in difficult situations. Key Concepts: Action-orientation; adaptability; flexibility; agility; tenacity; survivability; courage; confidence; and intuition.
 - **Craftsmanship:** Rejects the "good enough for government work" attitude; takes ownership of work done and results accomplished; takes pride in delivering quality services to customers; seeks out opportunities to develop new and creative solutions and programs; imagines possibilities; defines a vision, and works to bring vision into reality. Key Concepts: Innovative; imaginative; inventive; pride-in-work; accountability; self-development; and self starter;
- and,
2. experience and/or education directly related to the level of leadership and the scope of organizational authority/responsibility required by the position. (This is typically determined at the time of recruitment.)

Supplemental Information:

Some assignments may require incumbents to possess some or all of the following:

- Current technical/professional knowledge of the complex principles, methods, standards, and techniques associated with the scope of work of a recognized profession.
- Possession of license(s) and/or certifications associated with the assignment.
- Educational degree in a specific area of study.
- Possession of a valid California Driver's License, Class C or higher, by the date of appointment.