



BOARD OF SUPERVISORS
AGENDA LETTER

Agenda Number:

A-10

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

Department Name: Public Works
Department No.: 054
For Agenda Of: February 17, 2009
Placement: Administrative
Estimated Tme: N/A
Continued Item: No
If Yes, date from:
Vote Required: Majority

TO: Board of Supervisors
FROM: Department Scott D. McGolpin, Director Public Works Department, 568-3010
Director
Contact Info: Dacé B. Morgan, Deputy Director of Transportation, 568-3064
SUBJECT: El Colegio Road Improvements – Phase II, Addendum No. 1 for Professional Design Services Contract, County Project No. 864042; Third Supervisorial District

County Counsel Concurrence

As to form: Yes

Auditor-Controller Concurrence

As to form: Yes

Other Concurrence: Risk Management

As to form: Yes

Recommended Actions:

That the Board of Supervisors:

Authorize the Chair to execute Addendum No. 1, which provides a one year time extension and increases the professional service contract from \$267,500 to \$364,500 (an increase of \$97,000). This amendment would modify the existing professional design services agreement with Penfield and Smith Engineering (P&S) (a local vendor) for El Colegio Road Improvements-Phase II, County Project No. 864042.

Summary Text:

The El Colegio Road Improvements (Phase I and II) are a coordinated effort between UCSB and the County. The limits for the Phase I project are from UCSB Campus West Gate to just beyond the intersection with Los Carneros Road. The Phase I project will add two new traffic lanes on the north side of the roadway. The existing traffic lanes on the south side of the roadway through this area are not going to be improved as part of the Phase I portion of the project. Phase I is currently under construction by the University and is scheduled for completion in May, 2009.

The limits for Phase II of the project are from Los Carneros west to Camino Corto. Currently the scope of work for the improvements for Phase II only include the addition of two lanes on the north side of the roadway to match the Phase I improvements and no work to the south side of El Colegio.

The purpose of amending Penfield and Smith’s scope of work is to complete the detail design to ensure that the entire El Colegio roadway corridor is brought up to current roadway and American Disability Act (ADA) design standards, not only on the north side of the roadway, but the south side as well. The additional proposed improvements would remove a non-standard cross slope in the south side traffic lanes, replace non-standard ADA curb ramps with ones meeting current State and Federal guidelines throughout the entire length of El Colegio, and improve the drainage.

A request for a time extension is also included within the attached Addendum No. 1 for the purposes of completing this additional design work.

The limits covered by this amendment, as well as the original limits of improvements for Phase I and Phase II, are shown in Figure 1.

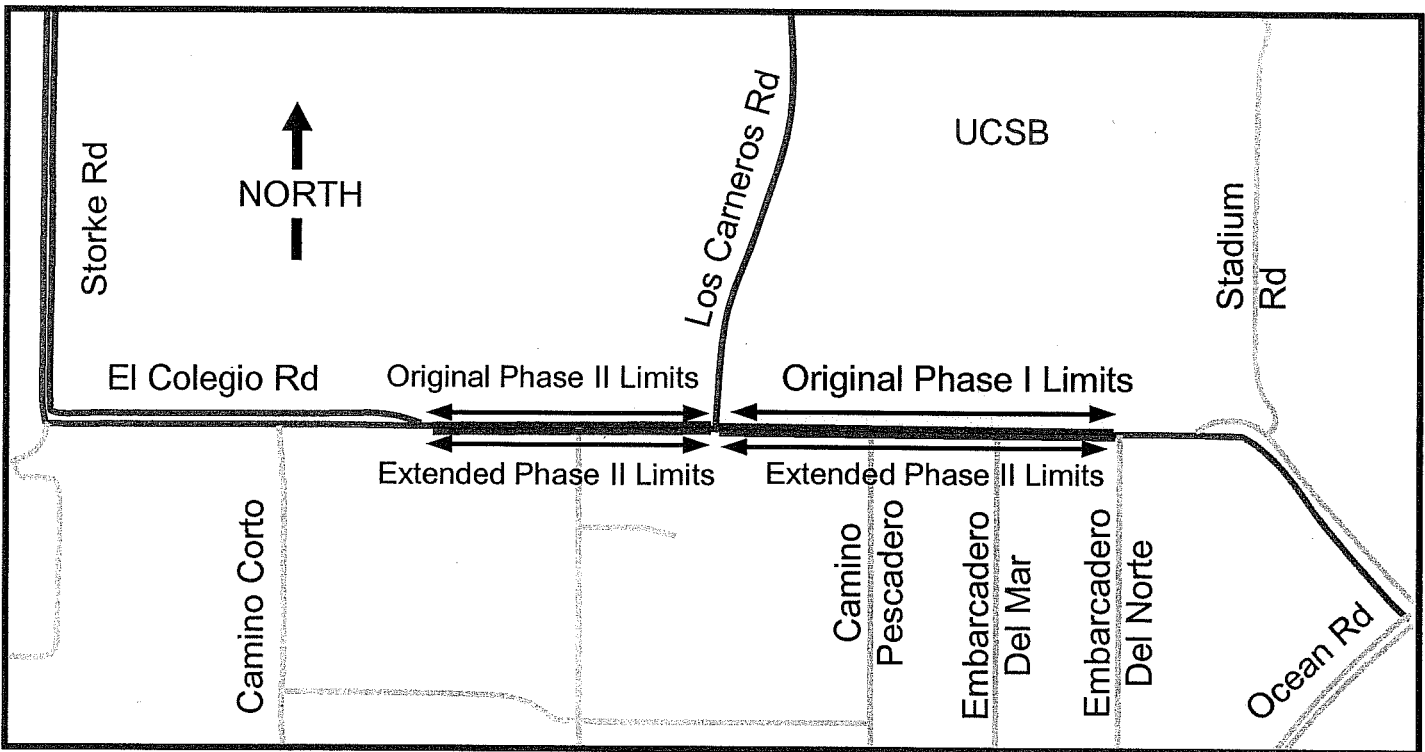


Figure 1: Original and Extended Project Limits

Background:

In compliance with County policy, the County advertised a Request for Proposal (RFP) for design services on the El Colegio Phase II Improvements. An evaluation committee from the County Public Works Department and the Redevelopment Agency evaluated the three proposals submitted based on a predetermined evaluation criterion. The committee ranked P&S Engineering as the most qualified firm and recommended that this firm be selected to perform design services for the project. On June 17, 2008 your Board approved and authorized the Chair to execute an agreement with P&S (File Reference No. 08-00487).

Fiscal and Facilities Impacts:

Budgeted: Yes

Fiscal Analysis:

<u>Funding Sources</u>	<u>Current FY Cost:</u>	<u>Annualized On-going Cost:</u>	<u>Total One-Time Project Cost</u>
General Fund			
State			
Federal			
Fees: GTIP			\$ 32,000.00
Other: LRDP Trust Fund			\$ 65,000.00
Total	\$ -	\$ -	\$ 97,000.00

Narrative:

Funds for Addendum No. 1 (\$97,000) will be covered by both the Goleta Transportation Improvement Plan (GTIP) (\$42,500) and 1990 Long Range Development Plan Trust Fund (LRDP) (\$54,500). Current fiscal year costs are budgeted in Dept 054, Fund 0017, Org 0600, Program 2830, and Account 7460.

Staffing Impacts:

Legal Positions:

FTEs:

Special Instructions:

Please forward a Certified Minute Order approving this action and two original executed documents to the Public Works Transportation Division, attention Beverly Cross, 568-3094.

Attachments:

1. Three (3) original Addendum No. 1 for Services of Independent Contractor El Colegio Road Improvements – Phase II, County Project No. 864042
2. Exhibit A – Original Scope of Work
3. Exhibit B – Additional Scope of Work for Addendum No. 1

Authored by:

Walter Rubalcava, Public Works Engineering, 739-8775

AGREEMENT OF SERVICES OF INDEPENDENT CONTRACTOR
EL COLEGIO IMPROVEMENTS – PHASE II
PROJECT NO. 864042 – CONTRACT #BC09-077
ADDENDUM NO.1

This Amendment (hereafter amendment) is made by and between the County of Santa Barbara, a political subdivision of the State of California (hereafter COUNTY) and Penfield and Smith Engineering, having its principal place of business at 111 East Victoria Street, Santa Barbara, CA 93101 (hereafter CONTRACTOR).

The following items within the contract shall be modified as follows:

ITEM #3 “SCOPE OF SERVICES”: Amend the scope of services to include the Board of Supervisors approved EXHIBIT A – “Original Scope of Work” (File Reference No. 08-00487) and EXHIBIT B – Additional Scope of Services for Addendum No. 1 attached hereto.

Therefore ITEM #3 shall be amended to read:

CONTRACTOR agrees to provide services to COUNTY in accordance with EXHIBIT A - “Original Scope of Work” and EXHIBIT B – “Additional Scope of Services for Addendum No. 1” attached hereto and incorporated herein by reference.

ITEM #4 “TERM”: Amend the expiration date of the contract from the original expiration date of December 30, 2008 to December 31, 2009.

ITEM #31 “MODIFICATION AMOUNTS”: Amend the total amount of this contract from the original amount of two hundred sixty seven thousand five hundred (\$267,500) to three hundred sixty four thousand five hundred (\$364,500). Amend the increased compensation to CONTRACTOR of up to \$292,500 to \$389,500.

Therefore ITEM #31 shall be amended to read:

The total value of this contract is three hundred sixty four thousand five hundred (\$364,500). COUNTY Board of Supervisors authorizes the Director of Public Works to make modifications as may be required by specific project requirements, to the service under this AGREEMENT and authorize in writing, changes in the service requiring increase compensation to CONTRACTOR of up to \$389,500. Changes requiring increase compensation which exceed \$25,000 must be authorized by the County Board of Supervisors. In no event may any changes in compensation be made without written approval by COUNTY in the form of an addendum.

All the other terms and conditions of the contract will remain in effect until the amended completion date unless notified in writing by CONTRACTOR or by the direction of the COUNTY. **IN WITNESS WHERE OF**, the parties have executed this amendment to be effective on the date executed by COUNTY.

EXHIBIT A

ORIGINAL SCOPE OF WORK

A. 35% Level Design Phase

1. Site visit to review field conditions and take photos.
2. Review available roadway layout plan provided by County.
3. Review available drainage concept study provided by County.
4. Attend a design kick-off meeting with County and design team to review project requirements, design approach and schedule.
5. Prepare civil design base plan in 1"=20' scale by merging the proposed road layout with the available El Colegio Road and Los Carneros Road topographic and utility maps, and showing existing and proposed right-of-way lines. Submit the design base plan to County for review.
6. Provide the design base plan to the design team.
7. Prepare 35% level design including pavement section, grading, drainage, typical sections and utility adjustments. We will design the pavement structural section based on the available geotechnical data provided by the County for Phase 1 design.
8. Prepare preliminary geometric control plan.
9. Prepare preliminary road profile along the center line and curb line.
10. Prepare preliminary road grading to join existing grades on El Colegio Road, Los Carneros Road and proposed grades from Phase 1 design at the El Colegio and Los Carneros intersection.
11. Perform supplemental topographic survey for obtaining precise grades along joining locations.
12. Prepare preliminary roadway drainage system design and connect to off-site drainage system provided by the County.
13. Prepare preliminary off-site drainage system design.
14. Prepare preliminary traffic striping and signage plan.
15. Coordinate utilities, i.e. water, sanitary, electrical, telecommunication, cable TV and gas line adjustments with utility districts and companies.
16. Prepare a preliminary earthwork quantity estimate.
17. Prepare cover sheet and plan notes.
18. Prepare Conditions of Approval sheet to list conditions provided by the County Planning approval process.
19. Prepare and organize preliminary construction cost estimate.
20. Prepare design report to summarize non-compliance items to County Standards.
21. Organize and submit 35% plans, cost estimate and design report to County for review.
22. Attend review meetings (total 2 times in this design phase).
23. Quality Control, constructability review and project management.

B. 65% Level Design Phase

1. Update design base plan based on the review comments from the 35% level design phase. Provide the base plan to the design team.
2. Prepare road design including grading, drainage, pavement section, erosion control, and utility adjustments.
3. Prepare preliminary road profile design along the center line and curb line.

4. Prepare geometric control plans.
5. Design and draft preliminary road cross sections.
6. Prepare preliminary traffic striping and signage plan.
7. Prepare preliminary construction staging and traffic control plan.
8. Coordinate utilities, i.e. water, sanitary, electrical, telecommunication, cable TV and gas line adjustments with utility districts and companies.
9. Prepare cover sheet, details and note plans.
10. Prepare Conditions of Approval Sheet to list conditions provided per the County Planning approval process.
11. Prepare a preliminary earthwork quantity calculation.
12. Prepare a preliminary drainage calculation for sizing roadway storm drain system. The proposed storm drain will connect to the off-site drainage system provided by the County.
13. Prepare a design report to summarize non-compliance items to County Standards.
14. Prepare and organize project technical specifications per County format.
15. Prepare and organize preliminary construction cost estimate.
16. Prepare responses to County's 35% QA/QC items.
17. Submit 65% level design plans, specifications, design report, response to QA/QC and cost estimate to County for review.
18. Attend review meetings (total 2 times at this design phase).
19. Quality control, constructability review and project management.

C. 90% and 100% Level Design Phases

In each of the 90% and 100% level design phases, we propose:

1. Prepare and update design base plans based on the review comments from the 65% (and 90%) level design phase and provide them to design team.
2. Prepare final design including pavement section, grading, drainage, and utility adjustments.
3. Prepare geometric control plans.
4. Prepare street profiles design along the center line and curb line.
5. Prepare cover sheet, note and detail plans.
6. Prepare erosion control plans.
7. Prepare cross sections plans.
8. Prepare traffic striping and signage plan.
9. Prepare construction staging and traffic control plan.
10. Coordinate utilities, i.e. water, sanitary, electrical, telecommunication, cable TV and gas line adjustments with utility districts and companies.
11. Prepare drainage calculations to size roadway drainage system improvements, off site drainage system, and prepare a drainage report.
12. Prepare an earthwork quantity calculation.
13. Prepare a design report to summarize non-compliance items to County Standards.
14. Prepare and organize technical specifications in County format.
15. Prepare and organize construction cost estimates.

16. Prepare responses to 65% and 90% QA/QC items.
17. Submit and update design plans, specifications, drainage report, design report and cost estimate to County, GWD and GWSD for review at 90% and 100% design phases.
18. Address County and utility districts' design comments.
19. Attend review meetings (total 4 meetings).
20. Prepare Storm Water Pollution Prevention Plan (SWPPP) Report and Notice of Intent (NOI) to satisfy NPDES requirements.
21. Quality Control, constructability review and project management.

D. Bidding Services

1. Attend pre-bid meeting.
2. Assist in bidding services, provide bidding information to the County and answer questions.
3. Prepare and organize addenda.
4. Update documents to incorporate addendum items.
5. Attend meetings (assumed 2 meetings).

E. Street Lighting Services

Provided by JMPE Electrical Engineering.

1. Street lighting plans design per County standards.
2. Photometric report on resultant light levels.
3. The street light will be located on the proposed center medium lane of El Colegio Road. It should light the proposed 4-lane road and the intersection.
4. Removal of existing lights.
5. Attend meetings.
6. Coordination with Penfield & Smith.

F. Landscape and Irrigation Services

Provided by Arcadia Studio.

a. 35% Level Design Phase

1. Visit the site to review and assess existing conditions and design opportunities.
2. Meet with the Penfield & Smith and supervising agencies as required to coordinate design criteria and preparation of documents.
3. Prepare schematic landscape design indicating locations of all trees, shrubs and groundcovers.
4. Prepare schematic irrigation design indicating mainline, point of connection and stations proposed.
5. Meet with Penfield & Smith and supervising agencies to review the design. (One meeting)
6. Revise conceptual landscape design based on comments from Penfield & Smith, UCSB and the County of Santa Barbara.
7. Prepare an opinion of cost based on 35% design.

b. 65% Level Design Phase

1. Refine conceptual landscape design and prepare preliminary landscape plan based on comments from Penfield & Smith and the County of Santa Barbara.

2. Coordinate with the Penfield & Smith as required regarding pavement and grading and drainage design.
3. Meet with Penfield & Smith and supervising agencies to review the design.
4. Coordinate design development with team consultants.
5. Prepare outline specifications.
6. Submit for preliminary design approval from supervising agencies prior to starting construction documentation.
7. Prepare an opinion of cost based on completed 65% level design documents.

c. 90% and 100% Level Design Phases

1. Prepare final planting plan and plant list locating and identifying quantities, sizes, and varieties of plant materials to be utilized in the design.
2. Design irrigation system and prepare irrigation plan depicting layout of piping, valves, control equipment, and sprinkler heads/emitters for the appropriate irrigation of the landscaping.
3. Prepare detail drawings and specifications as required to identify materials to be used, and to establish and specify standards for installation of the elements covered by this scope of work.
4. Meet with Penfield & Smith to review the drawings.
5. Refine construction documents based on comments from Penfield & Smith and the County of Santa Barbara.
6. Submit 90% level design construction documents to Penfield & Smith.
7. Prepare cost estimate based on completed landscape and irrigation construction documents.
8. Attend review meetings.
9. Revise construction documents based on comments from Penfield & Smith, UCSB and the County of Santa Barbara.

d. Bidding Phase

1. Prepare and submit bid documents to the Penfield & Smith for distribution to Bidders.
2. Answer questions and coordinate documentation of materials to the Client throughout the Bid Process.
3. Attend bid information meeting. (One meeting)

EXHIBIT B

ADDITIONAL SCOPE OF WORK FOR ADDENDUM NO. 1

A. Existing El Colegio Road Pavement and Curb & Gutter Improvements (Stadium Road to Los Carneros Road)

1. Review project requirements with the County to understand the project assignments.
2. Provide consultation and cost magnitude estimate to County for review.
3. Field visit to visually review curb and gutter conditions, mark damaged sections and compiled them onto the base plan.
4. Attend a design kick-off meeting with County to review design approach and schedule.
5. Prepare civil design base plan in plan and profile format. The base plan will show existing El Colegio Road with available grades, as well as the phase I construction as existing condition.
6. Prepare pavement cross section design per County standard. The design will include grades and typical sections and required utility final grade adjustments. The existing pavement will be removed and reconstruct with same pavement structural section as the Phase I project (on north side).
7. Prepare grading design at the El Colegio Road intersections with Del Norte, Del Mar, Pescadero and Los Carneros to transition from Phase 1 construction at each intersection.
8. Prepare the curb and gutter improvement design to show removal of damaged portion and reconstruct. Note that no sidewalk improvement design will be included in this proposal.
9. Prepare gutter profile along the existing southerly curb line and southerly median curb line.
10. Prepare traffic striping and signage plan, which will match existing conditions.
11. Prepare erosion control plan.
12. Prepare an earthwork quantity estimate.
13. Prepare cover sheet and plan notes.
14. Prepare details sheet.
15. Prepare construction cost opinion estimate.
16. Prepare book specifications including the front portion and technical sections.
17. Organize and submit 65%, 90%, 100% and final plans, cost estimate and replies to QA/QC comments to County for review. These designs will be included as a part of the Phase 2 design package.
18. Attend review meetings (total 3 times in design phase).
19. Quality Control, constructability review and project management.

B. Existing El Colegio Road Pavement and Curb & Gutter Improvements (West of Los Carneros Road to the West End of Phase 2)

1. Review project requirements with the County to comprehend the project assignments.
2. Provide consultation and cost magnitude estimate to County for review.
3. Field visit to visually review curb and gutter conditions, mark damaged sections and compiled them onto the base plan.
4. Prepare civil design base plan in plan and profile format. The base plan will show existing El Colegio Road with available grades, as well as the phase I construction as existing condition.
5. Prepare pavement cross section design per County standard. The design will include grades and typical sections and required utility final grade adjustments. The existing pavement will be removed to

adequate depth and reconstruct with same pavement structural section as the phase I project (on north side).

6. Prepare grading design at the El Colegio Road and Del Sur intersection. Note that the Del Sur intersection will be a full intersection to provide access to UCSB Santa Ynez Apartments as directed by UCSB and agreed by the County.
7. Prepare the curb and gutter improvement design to show removal of damaged portion and reconstruct. Please note that no sidewalk improvement design will be included in this proposal.
8. Prepare gutter profile along the existing south curb line and south median curb line.
9. Prepare traffic striping and signage plan.
10. Prepare erosion control plan.
11. Prepare an earthwork quantity estimate.
12. Prepare cover sheet and plan notes.
13. Prepare details sheet.
14. Prepare construction cost opinion estimate.
15. Prepare book specifications including the front portion and technical sections.
16. Organize and submit 65%, 90%, 100% and final plans, cost estimate and replies to QA/QC comments to County for review. These designs will be included as a part of the Phase 2 design package.
17. Quality Control, constructability review and project management.

C. Prepare Gutter Flow Analysis

1. Prepare gutter flow analysis for the existing gutter and pavement conditions from Stadium Road to the west end of Phase 2 project. Note that we will not analyze the storm drain and drainage inlet capacity, and will assume drainage runoff will be completely received by underground system at each drainage inlet location for both pre- and post construction conditions.
2. Prepare gutter flow analysis per the proposed gutter and pavement conditions from Stadium Road to the west end of Phase 2 project.
3. Prepare a calculation summary and submit it to the County for review and make 1 update. Note that this analysis will be submitted separately from the Phase 2 design package.

D. Prepare Ramp Improvements at Del Norte, Del Mar and Pescadero Intersections (6 Ramps)

1. Prepare field supplemental survey for existing 6 ramps on the south side of El Colegio Road intersections with Del Norte, Del Mar and Pescadero.
2. Compile the field survey data into AutoCAD and prepare design base plan.
3. Prepare ramp grading design by inserting County/Caltrans standard ramp (similar to the proposed ramp at Del Sur intersection in Phase 1 project). As a part of the ramp design, we will review the existing curb, sidewalk and parkway for their geometric and grades, and make required adjustments.
4. Insert the signal design on the Phase 1 project onto the proposed ramps, and adjust them to the proper location per the updated ramp design.
5. Submit the ramp improvements design in 4 submittals as a part of the Phase 2 project design package. The first ramp improvement design and signal location adjustment will be submitted earlier to accommodate the Phase 1 construction schedule.

E. Prepare Signal Design at El Colegio and Del Sur Intersection

1. Prepare signal design at El Colegio Road and Del Sur intersection. Note that the intersection will be modified to a 4-way intersection with new driveway entrance to Santa Ynez Apartments.

2. Coordinate traffic signal and street lighting design.
3. Submit the signal design in 4 submittals as a part of the Phase 2 project design package.

F. Prepare Construction Staging and Traffic Control Design

1. Prepare conceptual layout for construction staging and traffic control design including the existing pavement (south side) improvements between Stadium Road to Los Carneros Road, and the entire El Colegio Road improvements west of Los Carneros Road.
2. Meet with County staff to review conceptual staging and traffic control layout and receive comments.
3. Prepare construction staging and traffic control design per the County approved design concept.
4. Submit the construction staging and traffic control design in 4 submittals as a part of the Phase 2 project design package.

G. Bidding Services

1. Attend pre-bid meeting.
2. Assist in bidding services, provide bidding information to the County and answer questions.
3. Prepare and organize addenda.
4. Update documents to incorporate addendum items.

COUNTY OF SANTA BARBARA

Joseph Centeno, Chair
Board of Supervisors
Date: _____

ATTEST
MICHAEL F. BROWN
CLERK OF THE BOARD

CONTRACTOR

By: _____
Deputy

By: Stephen C. Wang
SocSec or TaxID Number:
Stephen C. Wang, RCE 44253
Director
95-2088979
PFS w.o.# 18275.04

APPROVED AS TO FORM:
DENNIS A. MARSHALL,
COUNTY COUNSEL

APPROVED AS TO ACCOUNTING FORM:
ROBERT W. GEIS, CPA
AUDITOR-CONTROLLER

By: [Signature]
Deputy County Counsel

By: [Signature]

APPROVED AS TO FORM:
RAY AROMATORIO
RISK MANAGEMENT

By: [Signature]
Risk Manager

Contract Summary

BC 09-077

Complete data below, print, obtain signature of authorized departmental representative, and submit this form (and attachments) to the Clerk of the Board (>\$25,000) or Purchasing (<\$25,000). See also "Contracts for Services" policy. Form is not applicable to revenue contracts.

D1. Fiscal Year..... FY 07-08
D2. Budget Unit Number (plus –Ship/Bill codes in parenthesis)..... 054
D3. Requisition Number
D4. Department Name Public Works
D5. Contact Person..... Walter Rubalcava
D6. Telephone..... (805) 568-8775

K1. Contract Type (check one): Personal Service Capital
K2. Brief Summary of Contract Description/Purpose El Colegio Road Improvements
K3. Original Contract Amount 1000000
K4. Contract Begin Date 7/1/208
K5. Original Contract End Date..... 6/30/2009
K6. Amendment History (leave blank if no prior amendments)
K7. Department Project Number 864042

B1. Is this a Board Contract? (Yes/No) Yes
B2. Number of Workers Displaced (if any) N/A
B3. Number of Competitive Bids (if any) N/A
B4. Lowest Bid Amount (if bid) N/A
B5. If Board waived bids, show Agenda Date N/A
and Agenda Item Number.....
B7. Boilerplate Contract Text Unaffected? (Yes / or cite Paragraph)

F1. Encumbrance Transaction Code 0000
F2. Current Year Encumbrance Amount..... 1000000
F3. Fund Number..... 0015
F4. Department Number 054
F5. Division Number (if applicable)
F6. Account Number 7460
F7. Cost Center number (if applicable)
F8. Payment Terms

V1. Vendor Numbers (A=Auditor; P=Purchasing) 624480
V2. Payee/Contractor Name..... Penfield & Smith
V3. Mailing Address 111 East Victoria Street
V4. City State (two-letter) Zip (include +4 if known)..... Santa Barbara, CA 93101
V5. Telephone Number 805-966-6801
V6. Contractor's Federal Tax ID Number (EIN or SSN) 95-2088979
V7. Contact Person Stephen Wang (805) 963-9532
V8. Workers Comp Insurance Expiration Date.....
V9. Liability Insurance Expiration Date[s] (G=Genl; P=Prof).....
V10. Professional License Number
V11. Verified by (name of county staff) Stephen Williams
V12. Company Type (Check one): individual Sole Proprietorship Partnership Corporation

I certify information complete and accurate; designated funds available; required concurrences evidenced on signature page.

Date: _____ Authorized Signature: _____

ACORD CERTIFICATE OF LIABILITY INSURANCE

OP ID JD
PENF-01

DATE (MM/DD/YYYY)
01/30/09

PRODUCER
ACEC/MARSH
701 Market St., Ste. 1100
St. Louis MO 63101
Phone: 800-338-1391 Fax: 888-621-3173

INSURED
Penfield & Smith Engineers Inc
P. O. Box 98
Santa Barbara CA 93102

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

INSURERS AFFORDING COVERAGE	NAIC #
INSURER A: Hartford Underwriters Ins. Co.	
INSURER B: Hartford Accident & Indemnity	
INSURER C: Hartford Underwriters Ins. Co.	
INSURER D:	
INSURER E:	

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR ADD'L LTR INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS	
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> CONTRACT LIAB. <input checked="" type="checkbox"/> XCU INCLUDED GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PROJECT <input type="checkbox"/> LOC	84SBWVL9749 SEVERABILITY OF INTEREST PROFESSIONAL LIAB EXCL	09/01/08	09/01/09	EACH OCCURRENCE	\$ 2,000,000
	DAMAGE TO RENTED PREMISES (Ea occurrence)				\$ 2,000,000	
	MED EXP (Any one person)				\$ 10,000	
	PERSONAL & ADV INJURY				\$ 2,000,000	
					GENERAL AGGREGATE	\$ 4,000,000
					PRODUCTS - COMP/OP AGG	\$ 4,000,000
B	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	84UEGNY1948	09/01/08	09/01/09	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000
					BODILY INJURY (Per person)	\$
					BODILY INJURY (Per accident)	\$
					PROPERTY DAMAGE (Per accident)	\$
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT	\$
					OTHER THAN AUTO ONLY: EA ACC	\$
					AGG	\$
C	EXCESS/UMBRELLA LIABILITY <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> DEDUCTIBLE RETENTION \$	84XHGYH1365	09/01/08	09/01/09	EACH OCCURRENCE	\$ 1,000,000
					AGGREGATE	\$ 1,000,000
						\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below				WC STATUTORY LIMITS	OTHER
					E.L. EACH ACCIDENT	\$
					E.L. DISEASE - EA EMPLOYEE	\$
					E.L. DISEASE - POLICY LIMIT	\$
	OTHER					

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES / EXCLUSIONS ADDED BY ENDORSEMENT / SPECIAL PROVISIONS

Project: 18275.04-Design Services-E1 Colegio Road Improvements Phase 2
Project; Santa Barbara County is included as additional insured as respects liability arising out of the operations of the named insured. 10 Day Notice of Cancellation for non-payment of premium. CG2010R

CERTIFICATE HOLDER

CNTYSB4

COUNTY OF SANTA BARBARA
ATTN: RAY AROMATORIO
PUBLIC WORKS DEPARTMENT
123 EAST ANAPAMU STREET
SANTA BARBARA CA 93101

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

Alfred A. Peterson

POLICY NUMBER: 84SBWVL9749
INSURED: Penfield & Smith Engineers Inc

COMMERCIAL GENERAL LIABILITY

**FORM CG 2010 1185 REPLACEMENT
ADDITIONAL INSURED BY CONTRACT, AGREEMENT
OR PERMIT**

This is a summary of the Coverage provided by the BUSINESS LIABILITY COVERAGE FORM SS 00 08 which is included in this policy. No coverage is provided by this summary. Refer to coverage form SS 00 08 to determine the scope of your insurance protection.

WHO IS AN INSURED (Section C) states that the following is also an insured:

Additional Insureds by Contract, Agreement or Permit

Any person or organization with whom you agreed, because of a written contract or agreement or permit, to provide insurance such as is afforded under this Business Liability Coverage Form, but only with respect to your operations, "your work" or facilities owned or used by you.

However, coverage under this provision does not apply:

- (1) Unless the written contract or agreement has been executed or a permit has been issued prior to the "bodily injury", "property damage" or "personal and advertising injury".
- (2) To any person or organization included as an insured under provision g. (Broad Form Vendors).
- (3) To any other person or organization shown in the Declarations as an Additional Insured.

Additional provisions and exclusions apply. Refer to coverage form SS 00 08 to determine the scope of your insurance protection.

When required by contract, coverage is primary and any insurance held by the additional insured(s) shall be excess and non-contributory.

ACORD™ CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YY)
01/30/09

PRODUCER Dealey, Renton & Associates 199 S Los Robles Ave Ste 540 Pasadena, CA 91101 626 844-3070	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.
	INSURERS AFFORDING COVERAGE
INSURED Penfield & Smith P. O. Box 98 Santa Barbara, CA 93102	INSURER A: American Automobile Ins. Co.
	INSURER B: ACE American Insurance Company
	INSURER C:
	INSURER D:
	INSURER E:

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC				EACH OCCURRENCE \$ FIRE DAMAGE (Any one fire) \$ MED EXP (Any one person) \$ PERSONAL & ADV INJURY \$ GENERAL AGGREGATE \$ PRODUCTS - COMP/OP AGG \$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT (Ea accident) \$ BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT \$ OTHER THAN EA ACC \$ AUTO ONLY: AGG \$
	EXCESS LIABILITY <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> DEDUCTIBLE RETENTION \$				EACH OCCURRENCE \$ AGGREGATE \$ \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	WZP80965609	09/01/08	09/01/09	X WVC STATUTORY LIMITS <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
B	OTHER Professional Liability	G23633346002	03/04/08	03/04/09	\$2,000,000 per claim \$4,000,000 annl aggr.

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS
Re: WO #18275.04 - Design Services - El Colegio Roadway Improvements Project Phase 2

CERTIFICATE HOLDER	ADDITIONAL INSURED; INSURER LETTER: _____	CANCELLATION
County of Santa Barbara Dept. of Public Works Attn: Ray Aromatorio 123 E. Anapamu Street Santa Barbara, CA 93101		SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. AUTHORIZED REPRESENTATIVE <i>Mary E. Le</i>