



Santa Barbara County  
Housing and Community Development Department  
Housing Development and Grants Administration Division

Santa Barbara County HOME Consortium and  
Community Development Block Grant (CDBG) Urban  
County 2009-2010 Annual Action Plan



John McInnes, Director

# 2009-10 Annual Action Plan

- Annual update to Santa Barbara County's 2006-10 HOME Consortium and 2007-10 CDBG Urban County Consolidated Plans.
- Consolidated Plans represent County's funding priorities, objectives and goals for expenditure of federal entitlement funding.
- Entitlement Funding Includes:
  - HOME- Affordable Housing Development and Production
  - CDBG- Community Development, Economic Development, Human Services
  - ESG- Emergency Shelter Grant- Homeless Supportive Services; Shelter Operations
- Annual Action Plan represents County's formal grant application to HUD for federal entitlement funding.

# HOME Consortium Partnership

- HOME funding provides gap financing for affordable housing development in the form of low-interest loans
- Consortium includes County and cities of Santa Maria, Lompoc, Goleta, Carpinteria, Buellton and Solvang.
- Santa Maria and Lompoc undertake local funding review process and determine projects for inclusion in Annual Action Plan.
- County undertakes funding review process for cities of Goleta, Carpinteria, Buellton and Solvang.
- As lead agency, County serves as managerial, administrative and fiduciary agent for all HOME funding.

# HOME Funding Award Process

- HCD staff issues Notice of Funding Availability and receives applications for funding consideration.
- Technical Review Committee- Comprised of County Staff and outside experts versed in affordable housing development and finance; provides basis of staff reports and recommendations to Affordable Housing Loan Committee.
- Affordable Housing Loan Committee- Provides additional review through formal applicant interviews and makes final funding recommendations to Board of Supervisors.
- Steering Committee- Comprised of Management-level staff representing HOME Consortium Participating Jurisdictions.
- Project recommendations incorporated into draft of Annual Action Plan during required 30-day public review period; staff presents draft Annual Action Plan to BOS through formal public hearing.
- County Board of Supervisors / City Councils'– final approval.

## 2009-10 HOME Funding Allocations

■	Total Funding Amount:	<b>\$1,781,867</b>																								
■	County retains 15% for program administration, implementation and oversight:	<b>\$ 258,371</b>																								
■	Pro-rated share of remaining funding for projects:	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: center;">Estimated</th> <th style="width: 20%; text-align: center;">Actual</th> </tr> </thead> <tbody> <tr> <td style="padding-left: 20px;">Santa Barbara County</td> <td style="text-align: right;"><b>\$645,810</b></td> <td style="text-align: right;"><b>\$805,442</b></td> </tr> <tr> <td style="padding-left: 20px;">Santa Maria</td> <td style="text-align: right;"><b>\$408,145</b></td> <td style="text-align: right;"><b>\$408,145</b></td> </tr> <tr> <td style="padding-left: 20px;">Lompoc</td> <td style="text-align: right;"><b>\$211,157</b></td> <td style="text-align: right;"><b>\$188,000</b></td> </tr> <tr> <td style="padding-left: 20px;">Goleta</td> <td style="text-align: right;"><b>\$141,380</b></td> <td style="text-align: right;"><b>\$ 50,000</b></td> </tr> <tr> <td style="padding-left: 20px;">Carpinteria</td> <td style="text-align: right;"><b>\$ 71,909</b></td> <td style="text-align: right;"><b>\$ 71,909</b></td> </tr> <tr> <td style="padding-left: 20px;">Buellton</td> <td style="text-align: right;"><b>\$ 19,196</b></td> <td style="text-align: right;"><b>\$ 0</b></td> </tr> <tr> <td style="padding-left: 20px;">Solvang</td> <td style="text-align: right;"><b>\$ 25,899</b></td> <td style="text-align: right;"><b>\$ 0</b></td> </tr> </tbody> </table>		Estimated	Actual	Santa Barbara County	<b>\$645,810</b>	<b>\$805,442</b>	Santa Maria	<b>\$408,145</b>	<b>\$408,145</b>	Lompoc	<b>\$211,157</b>	<b>\$188,000</b>	Goleta	<b>\$141,380</b>	<b>\$ 50,000</b>	Carpinteria	<b>\$ 71,909</b>	<b>\$ 71,909</b>	Buellton	<b>\$ 19,196</b>	<b>\$ 0</b>	Solvang	<b>\$ 25,899</b>	<b>\$ 0</b>
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# Projects Recommended for County HOME Funding

<b>Project Sponsor</b>	<b>Project</b>	<b>Amount Requested</b>	<b>Reservation</b>
The Housing Authority of the County of Santa Barbara	Creekside Village	\$800,000	\$423,442
Lompoc Housing and Community Development Corporation	Casa del Desarrollo	\$200,000	\$200,000
Good Samaritan Shelter	Casa de Familia	\$364,000	\$182,000
Santa Barbara Housing Assistance Corporation	Ocean Plaza	\$448,000	\$0
Cabrillo Economic Development Corporation	Centennial Square	\$334,000	\$0
<b>Totals</b>		<b>\$2,146,000</b>	<b>\$805,442</b>

# Partner City HOME Project Recommendations

<b>Jurisdiction, Project Sponsor</b>	<b>Project</b>	<b>Amount Requested</b>	<b>Reservation</b>
City of Carpinteria, Peoples' Self-Help Housing Corporation	Dahlia Court Expansion	\$400,000	\$71,909
City of Santa Maria, Good Samaritan Shelter	Casa de Familia	\$364,000	\$408,145
City of Goleta, The Housing Authority of the County of Santa Barbara	Braddock House	\$50,000	\$50,000
City of Lompoc, Lompoc Housing and Community Development Corporation	Casa del Desarrollo	\$188,000	\$188,000
Totals		<b>\$1,002,000</b>	<b>\$718,054</b>

# Community Development Block Grant (CDBG) Urban County Partnership

- Comprised of County and cities of Lompoc, Carpinteria, Buellton and Solvang.
- Santa Maria and Goleta receive CDBG entitlement funding directly through HUD; not part of partnership.
- As with HOME, funding allocated on a pro-rated basis to participating jurisdictions according to HUD distribution formula.
- City of Lompoc administers its own funding process for all City CDBG, including capital and human services project review and funding.
- Participating Jurisdictions undertake their own Human Services funding review and allocation process.



# CDBG National Objectives and Funding Allocations

- CDBG funding must be allocated to projects meeting HUD National Objectives:
  - ⇒ Benefitting low-moderate income households, neighborhoods, or specific qualifying populations
  - ⇒ Removal of slum and blight
  - ⇒ Meeting an urgent need (generally, a declared emergency)
  
- Funding is allocated according to the following activities:
  - Program Administration: 20%
  - Capital Projects and Economic Development Activities: 65%
  - Human Services: 15%

# CDBG Capital Projects Funding Award Process

- HCD staff issues Notice of Funding Availability and receives application funding requests
- Staff reviews and evaluates funding requests based on numerous criteria including meeting HUD's National Objectives, Activity Eligibility, Feasibility, Readiness, etc.
- Staff prepares funding evaluation matrix and makes recommendations to Board of Supervisors.
- Steering Committee- Participating Jurisdictions approve projects recommended for funding to include in Annual Action Plan.
- Project recommendations incorporated into draft of Annual Action Plan during required 30-day public review period; staff presents draft Annual Action Plan to BOS through formal public hearing.
- County Board of Supervisors / City Councils' final approval.

## CDBG Human Services Funding Process

- HCD Staff issues NOFA and receives application funding requests.
- HCD Staff convenes review committee; provides programmatic technical assistance; coordinates interview schedule.
- Review committee conducts applicant interviews and makes funding recommendations.
- Participating Jurisdictions conduct independent Human Services application funding review.
- Steering Committee comprised of management-level staff of Participating Jurisdictions approves projects for inclusion in Annual Action Plan.
- Board of Supervisors, City Councils' approval.

# 2009-10 CDBG Projects Funding Allocations

- CDBG Funding Distribution based on Formula, similar to HOME.

- Total Funding Amount: **\$2,020,535**
- County of Santa Barbara program administration **\$ 303,074**
- City of Lompoc program administration **\$ 101,033**

- Pro-rated share of CDBG funding for **Capital** projects:

	<u>Estimated</u>	<u>Actual</u>
Santa Barbara County	<b>\$ 716,047</b>	<b>\$ 835,772</b>
Lompoc	<b>\$ 424,121</b>	<b>\$ 424,121</b>
Carpinteria	<b>\$ 112,260</b>	<b>\$ 99,000</b>
Buellton	<b>\$ 80,770</b>	<b>\$ 0</b>
Solvang	<b>\$ 25,695</b>	<b>\$ 0</b>

- Pro-rated share of CDBG funding for **Human Services** projects (15% maximum):

	<u>Estimated</u>	<u>Actual</u>
Santa Barbara County	<b>\$ 126,263</b>	<b>\$126,503</b>
Lompoc	<b>\$ 92,674</b>	<b>\$ 92,674</b>
Carpinteria	<b>\$ 19,811</b>	<b>\$ 19,571</b>
Buellton	<b>\$ 4,534</b>	<b>\$ 4,534</b>
Solvang	<b>\$ 14,253</b>	<b>\$ 14,253</b>

## County of Santa Barbara CDBG Capital Projects

<b>Project Sponsor</b>	<b>Project</b>	<b>Amount Requested</b>	<b>Recommendation</b>
County of Santa Barbara General Services Department	New Cuyama Recreation Center	\$400,000	\$353,766
County of Santa Barbara General Services Department	Lompoc Veterans Memorial Building Upgrade	\$350,000	\$309,545
Habitat for Humanity of Northern Santa Barbara County	North County Home Restoration and Rehabilitation	\$120,000	\$106,130
Lompoc Housing and Community Development Corporation	Bridgehouse Greenhouses	\$50,000	\$44,221
Women's Economic Ventures	Self Employment Training Course	\$50,000	\$22,110
Lompoc Hospital District Foundation	Lompoc Valley Medical Center Birthing Center	\$350,000	\$0
VTC Enterprises	VTC Quality of Life Services Building	\$100,000	\$0
<b>Totals</b>		<b>\$1,420,000</b>	<b>\$835,772</b>

## Participating Jurisdiction's CDBG Capital Projects

Project Sponsor	Project	Amount Requested	Reservation
City of Lompoc	Public Facilities Improvements	\$284,121	\$284,121
City of Lompoc	Code Enforcement	\$100,000	\$100,000
City of Lompoc, Catholic Charities	Housing Emergency Repair Grant	\$40,000	\$40,000
City of Carpinteria, Peoples' Self Help Housing Corporation	Chapel Court Apartments Rehabilitation	\$50,000	\$50,000
City of Carpinteria, Peoples' Self Help Housing Corporation	Dahlia Court Expansion	\$49,000	\$49,000
<b>Totals</b>		<b>\$523,121</b>	<b>\$523,121</b>

## County CDBG Human Services Projects

<b>Program Sponsor</b>	<b>Program</b>	<b>Amount Requested</b>	<b>Reservation</b>
LHCDC	Bridgehouse	\$10,000	\$22,444
Bringing our Community Home	10-Year Plan to End Chronic Homelessness	\$50,000	\$15,303
Foodbank of Santa Barbara County	Warehouse Operations	\$20,000	\$12,242
St. Athanasius Orthodox Church	St. Brigid Fellowship Day Center	\$24,000	\$12,242
AIDS Housing	Sarah House	\$12,000	\$9,182
Willbridge of Santa Barbara	Peer Street Outreach Program	\$21,960	\$9,182
Legal Aid Foundation	Housing Advocacy Project	\$16,112	\$7,651
Pacific Pride Foundation	Necessities of Life	\$10,000	\$7,651
LHCDC	Mark's House	\$5,000	\$5,101
Casa of Santa Barbara County	Advocacy Program	\$10,000	\$5,101
Community Partners in Caring	Volunteer Program	\$7,500	\$5,101
Santa Barbara Rape Crisis Center	Long Term Counseling	\$15,000	\$5,101
North County Rape Crisis Center	Santa Maria Program	\$15,000	\$5,101
North County Rape Crisis Center	Lompoc Program	\$14,000	\$5,101
Cabrillo Economic Development Corporation	Santa Maria CEDC NeighborWorks Homeownership Center	\$50,000	\$0
City of Santa Barbara	Rental Housing Mediation Task Force	\$15,000	\$0
Isla Vista Parks & Recreation District	Recreation Enhancement Voucher Program	\$8,500	\$0
<b>Totals</b>		<b>\$304,072</b>	<b>\$126,503</b>

## Participating Jurisdiction's Human Services Programs

Participating Jurisdiction / Sponsor	Program	Requested	Reservation
<i>City of Lompoc, Catholic Charities</i>	Food Distribution Services	\$20,000	\$15,875
<i>City of Lompoc, Boys &amp; Girls Club</i>	Drop-in Scholarships	\$34,000	\$14,250
<i>City of Lompoc, Catholic Charities</i>	Community Services	\$20,000	\$14,100
<i>City of Lompoc, Domestic Violence Solutions</i>	Emergency Domestic violence Shelter	\$20,000	\$13,500
<i>City of Lompoc, Community Action Commission</i>	Senior Nutrition	\$18,000	\$12,215
<i>City of Lompoc, Legal Aid Foundation</i>	Emergency Legal Services	\$20,000	\$11,100
<i>City of Lompoc, North County Rape Crisis Center</i>	Lompoc Program	\$22,000	\$5,834
<i>City of Lompoc, Transitional Mental Health</i>	Lompoc Drop-in Program	\$5,200	\$4,300
<i>City of Lompoc, Valley Haven</i>	Senior Day Care	\$2,000	\$1,500
<i>City of Carpinteria, City of Santa Barbara</i>	Rental Housing Mediation Task Force	\$10,000	\$7,500
<i>City of Carpinteria, Peoples' Self-Help Housing</i>	Youth Education Enhancement	\$10,000	\$5,000
<i>City of Carpinteria, Boys and Girls Club</i>	Carpinteria Daycare Program	\$25,000	\$5,000
<i>City of Carpinteria, Peoples' Self-Help Housing</i>	Housing the Homeless	\$6,000	\$2,071
<i>City of Buellton, Santa Ynez Valley People Helping People</i>	Family Support Program	\$5,000	\$2,720
<i>City of Buellton, Legal Aid Foundation</i>	Housing Advocacy Project	\$3,332	\$1,814
<i>City of Solvang, Santa Ynez Valley People Helping People</i>	Family Support Program	\$12,000	\$9,502
<i>City of Solvang, Bethania Preschool and After-School</i>	Preschool Scholarships	\$6,000	\$4,751
<b>Totals</b>		<b>\$238,532</b>	<b>\$131,032</b>



# Emergency Shelter Grant (ESG)

- ESG funding announced through Notice of Funding Availability process
- Funding utilized to provide homeless services, programs and shelter operating costs.
- Second Year County has received entitlement funding under ESG.
- County received an allocation of \$88,873; no allocation formula assigned to funding.
- County retains \$4,443 (5%) for program administration
- ESG funding recommendations occur through the same funding process as CDBG Human Services.

# ESG Program Funding

<b>Program Sponsor</b>	<b>Program</b>	<b>Amount Requested</b>	<b>Reservation</b>
Good Samaritan Shelters / Casa Esperanza	Shelter Operations	\$60,000	\$52,500
Transition House	Homeless Prevention Program	\$25,000	\$12,500
LHCDC	Marks House Transitional Shelter Operations	\$24,300	\$7,500
Willbridge of Santa Barbara	Transitional Housing	\$25,000	\$7,500
LHCDC	Bridgehouse Shelter Operations	\$37,600	\$4,430
<b>Totals</b>		<b>\$171,900</b>	<b>\$84,430</b>

## 2009-2010 Action Plan Funding Summary

### Entitlement Program Funds:

CDBG	\$1,454,647
HOME	\$1,781,867
ESG	<u>\$ 88,873</u>
<b>Total Entitlement Funds</b>	<b>\$3,325,387</b>

2009-2010 Projects Funded: 54

# Staff Recommendation

- Adopt a Resolution approving the Santa Barbara County HOME Consortium and Community Development Block Grant (CDBG), Urban County Partnership's 2009-2010 Annual Action Plan for submittal to the U.S. Department of Housing and Urban Development; and
- Authorize the County Executive Officer to execute all certifications, standard forms, and other related documents required for HOME and CDBG Program administration and implementation pursuant to submittal and approval of the 2009-2010 Annual Action Plan.