



BOARD OF SUPERVISORS  
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

**Department Name:** Behavioral Wellness  
**Department No.:** 043  
**For Agenda Of:** May 21, 2019  
**Placement:** Administrative  
**Estimated Tme:**  
**Continued Item:** No  
**If Yes, date from:**  
**Vote Required:** Majority

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**TO:** Board of Supervisors  
**FROM:** Department Alice Gleghorn, Ph.D., Director  
Director(s) Department of Behavioral Wellness, 681-5220  
Contact Info: Ana Vicuna, Division Chief of Clinical Operations, 681-5442  
**SUBJECT:** Department of Rehabilitation Cooperative Program FY 19-22 Revenue  
Agreement Transition-Age Youth Vocational Rehabilitation Services

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**County Counsel Concurrence**

As to form: Yes

**Other Concurrence:** Risk Management

As to form: Yes

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

That the Board of Supervisors:

- A. Approve and authorize the Director of Behavioral Wellness to execute the cooperative Agreement No. 31020 with the California Department of Rehabilitation (DOR), for Behavioral Wellness to coordinate and provide vocational rehabilitation services for transition-age youth (TAY), for a multiyear cost reimbursement not to exceed **\$1,409,472** (with a local cash match requirement) for the period of July 1, 2019 through June 30, 2022;
- B. Authorize the Director of Behavioral Wellness to execute any and all other documents required by the State DOR to carry out the multi-year grant Agreement, including contract certification, delegation of authority to request reimbursement of expenses, any data records required, and any subsequent amendments that do not change the amount or term of the grant;
- C. Determine that these activities are exempt from California Environmental Quality Act review per CEQA Guidelines Section 15378(b)(4) since the recommended actions are government fiscal activities which do not involve commitment to any specific project which may result in potentially significant physical impact on the environment.

**Summary Text:**

Behavioral Wellness has participated in cooperative agreements with the state Department of Rehabilitation (DOR) since 1998 to deliver vocational services to Behavioral Wellness clients. This is being presented to the Board of Supervisors to request approval to enter into a multiyear cooperative agreement with the DOR to provide transition-age youth (TAY) clients Vocational Rehabilitation Pre-Employment Transition Services.

Behavioral Wellness will receive up to \$1,409,472 over the contract period, with a required cash match of \$495,000. Approval of the recommended action will allow Behavioral Wellness to partner with DOR to secure ongoing federal funding to provide essential vocational and employment services to TAY clients with severe and persistent mental illness.

**Background:**

DOR provides vocational rehabilitation and supported employment services to Californians with disabilities under Title I and Title VI, Part B of the Rehabilitation Act of 1973, as amended. One of DOR's priorities is to expand outreach and services to transition-age youth between the ages of 16 to 25 years of age.

Behavioral Wellness provides specialty mental health services to Medi-Cal beneficiaries in Santa Barbara County. Some clients are also eligible to receive vocational rehabilitation services from DOR. For this cooperative agreement the Santa Barbara District of the DOR and Behavioral Wellness cooperatively utilize staff and resources to provide vocational rehabilitation services to Behavioral Wellness consumers who also receive services through our Behavioral Wellness TAY Program. The identified TAY clients will have a diagnosis of mental illness, meet DOR and Behavioral Wellness criteria for services and express motivation to seek employment.

DOR will determine eligibility, assist the client to develop an Individual Plan for Employment (IPE), provide vocational counseling, and provide service coordination. Behavioral Wellness will supplement the above services by providing psychiatric inpatient/outpatient treatment, medication monitoring, case management services and skill development services. The intent of these services is twofold, to stabilize the client mental health and to prepare the individual with skills necessary to secure and maintain competitive and successful employment which will lead to self-sufficiency.

Funding from this program will allow Behavioral Wellness to create a cohesive and cooperative team who will provide key vocational assessment services, motivational interviewing to resolve work barriers, identify support and assist the client in developing the skills and behaviors to achieve success. The grant will continue to fully fund three (3) vocation rehabilitation specialist positions previously allocated with the last award period and ongoing renewal funding after the three year period. The positions already exist in the salary model and have been for the last three years as a part of the grant. The renewal of the contract will continue to provide the funds for those positions and are already incorporated in the recommended 2019-2020 Budget.

Services will be provided at Behavioral Wellness, in the Santa Barbara, Lompoc, and Santa Maria communities at the Behavioral Wellness Children Center, which are being certified by DOR to provide the services. During the term of this multiyear agreement, approximately 450 clients will be served. An important aspect of client recovery is gaining and maintaining employment and the employment supports and services offered to Behavioral Wellness clients through this program are integral to their recovery process.

Activities included in this Agreement will contribute towards assisting clients of Behavioral Wellness with disabilities to successfully obtain and retain employment, and to develop the ability to live independently in their communities. The inclusion of the vocational rehabilitation services were discussed at the MHSA planning and stakeholders meeting conducted April 2017 and have been added to the FY 17-20 MHSA plan. The MHSA Plan was approved by the Board of Supervisors in June 2017.

### **Performance Outcomes**

Overall, DOR and the TAY mental health cooperative team performed well over the three year contract period. The DOR TAY cooperative contract was executed on September 14, 2017 and was not fully staffed until the beginning of March 2018. This resulted in the TAY mental health cooperative only being staff for a period of a year and a half.

As a new program, the DOR TAY cooperative program has higher goal attainment numbers than most other new contracts do at only the first year and a half in effect. It is typical for a brand new program not to reach the goals in the first contract cycle. A DOR contract is not considered mature until about three (3) to four (4) years after the program begins.

The previous DOR TAY contract included Fiscal Years 16-19. Below provides a summary of the Performance Outcomes for Fiscal Years 16-19:

**FY 16-17 Performance Outcomes:** There are no Performance Outcomes to report for FY 16-17, due to the fact that the contract was not executed until September 2017 and clients did not receive services pertaining to this agreement until October 2017.

**FY 17-18 Performance Outcomes.** The below Performance Outcomes reflect the period of 9/14/17 to 6/30/18: For FY 17-18, the goal attainment for the DOR TAY cooperative contract is far better than is typically seen with a brand new contract. It is atypical for a program to have five (5) successful closures the first year out. The goal attainment was achieved without the benefit of having all three Vocational Rehabilitation Specialists in place for the full fiscal year.

**FY 18-19 Performance Outcomes:** The below Performance Outcomes reflect the period of 7/1/18 to 5/3/19: For FY 18-19: The TAY mental health cooperative team surpassed the service goals for Personal, Vocational, Social Adjustment (PVSA) services and Employment Preparation as of 4/9/19.

Below illustrates the Performance Outcomes for Fiscal Years 16-19:

**1. Personal, Vocational, Social Adjustment (PVSA) services received.**

A. For FY 17-18, it was expected that 50 TAY DOR clients will receive PVSA services.

- **During FY 17-18, 34 TAY DOR clients received PVSA services.**

B. For FY 18-19, it was expected that 70 TAY DOR clients will receive will receive PVSA services.

- **During FY 18-19, 80 TAY DOR clients received PVSA services.**

**2. Employment Preparation services received.**

A. For FY 17-18, it was expected that 70 TAY DOR clients will receive Employment Preparation services.

- **During FY 17-18, 35 TAY DOR clients received Employment Preparation services.**

B. For FY 18-19, it was expected that **80** TAY DOR clients will receive will receive Employment Preparation services.

- **During FY 18-19, 87 TAY DOR clients received PVSA services.**

**3. Successful client Closure.**

A. For FY 17-18, it was expected that of the DOR clients who are placed in employment, 25 will result in DOR successful closure.

- **During FY 17-18, 5 cases were closed.**

B. For FY 18-19, it was expected that of the DOR clients who are placed in employment, 35 will result in DOR successful closure.

- **During FY 18-19, 14 clients have been closed successfully.**

**Fiscal and Facilities Impacts:**

There is no fiscal impact to Behavioral Wellness. Approval of this Agreement will not increase the use of general fund dollars by the Department of Behavioral Wellness. The DOR Agreement will fund the added services and three (3) Vocational Rehabilitation Specialist positions. Behavioral Wellness will cover the cash match for the three year period with existing MHSA funds and it was included in the recommended budget for FY 2019-20.

**Fiscal Analysis:**

| <b><u>Funding Sources</u></b> | <b><u>Current FY<br/>19-20<br/>Revenue:</u></b> | <b><u>Annualized FY<br/>20-21<br/>On-going<br/>Revenue:</u></b> | <b><u>Annualized<br/>FY 21-22<br/>On-going<br/>Revenue</u></b> |
|-------------------------------|---|---|--|
| General Fund                  | \$ -  | \$ -  | \$ -   |
| State                         |   |   | \$ -   |
| Federal                       | \$ 469,824.00                                   | \$ 469,824.00   | \$ 469,824.00  |
| Fees                          | \$ -  | \$ -  | \$ -   |
| Other:                        | \$ -  | \$ -  | \$ -   |
| <b>Total</b>                  | <b>\$ 469,824.00</b>                            | <b>\$ 469,824.00</b>  | <b>\$ 469,824.00</b>   |

Narrative: This agreement between Behavioral Wellness Mental Health Services and DOR will provide cost reimbursement from DOR. The expenditures will be tracked and included in the Department’s 2019-20 Budget.

**Key Contract Risks:**

As with any contract funded by State and Federal sources, there is a risk of future audit disallowances and repayments. However, this agreement is a cost reimbursement Agreement for services that are satisfactorily completed. After the contract ends if it is not renewed staff will be reassigned to existing programs. If budgetary funds revert due to failure to submit claims or invoices in a timely manner, Behaviors Wellness does have the option to submit claims through the Department of General Services where approval to pay is not guaranteed. If the claims are not approved the Department of Behavioral Wellness would need to use their own existing funds to support the cost, which would be minimal. There is minimal risk that Behavioral Wellness will fail to submit claims in a timely manner. In addition, this agreement can be terminated for convenience by either party on 30 days’ notice.

**Special Instructions:**

Please return one scanned (1) Minute Order to the Contracts Division at [bwellcontractsstaff@santa-barbara.ca.us](mailto:bwellcontractsstaff@santa-barbara.ca.us) and to Amber Foschaar at: [cfoschaar@sbcbswell.org](mailto:cfoschaar@sbcbswell.org).

**Attachments:**

Attachment A: Department of Rehabilitation FY 19-22 TAY Vocational Rehabilitation Services Program, Agreement No. 31020

**Authored by:**

A. Foschaar

**cc:**