



BOARD OF SUPERVISORS  
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

Department Name: Behavioral Wellness  
Department No.: 043  
For Agenda Of: December 8, 2020  
Placement: Administrative  
Estimated Time: N/A  
Continued Item: No  
If Yes, date from:  
Vote Required: Majority

---

**TO:** Board of Supervisors  
**FROM:** Department Alice Gleghorn, PhD, Director  
Director(s) Behavioral Wellness, (805) 681-5220  
Contact Info: Pam Fisher, PsyD, Deputy Director of Clinical Operations  
Behavioral Wellness, (805) 681-5220  
**SUBJECT:** Behavioral Wellness – Fourth Amended Agreement Family Service Agency of  
Santa Barbara County, FY 18-21

---

**County Counsel Concurrence**

As to form: Yes

**Other Concurrence:** Risk Management

As to form: Yes

**Auditor-Controller Concurrence**

As to form: Yes

**Recommended Actions:**

That the Board of Supervisors:

- A. Approve, ratify, and authorize the Chair to execute a **Fourth Amendment** to the Agreement for Services of Independent Contractor with **Family Service Agency of Santa Barbara County** (a local vendor) (**BC 19-153**) to update Exhibit A-1 ADP General Provisions, Exhibit A-2 Outpatient Services and Intensive Outpatient Services, Exhibit A-5 MHS General Provisions, Exhibit A-6 MHS Statement of Work: Intensive In-Home Services, Exhibit A-7 MHS: Statement of Work Managed Care Mental Health/Brief Therapy Services, Exhibit A-8 MHS Statement of Work: School-Based Mental Health Services, and Exhibit A-9 MHS: Statement of Work: Pathways to Well-Being (CWS Katie A.); update the Exhibit B ADP Financial Provisions, Exhibit B-1 ADP Schedule of Rates, and Contract Maximum for FYs 18-21 to decrease funding for Step Down Facility services for FY 19-20 by \$25,002; reallocate \$700 to realignment/SAPT Discretionary funds in order to increase ADP funds to \$25,002 for FY 20-21 for Step Down Facility; add the ADP Exhibit B-3 Sliding Scale for FY 20-21; update the Exhibit B MHS Financial Provisions, Exhibit B-1 MHS Schedule of Rates, and Contract Maximum for FY 19-20 and FY 20-21; update the Exhibit B-2 ADP and MHS Entity Budget by Program for FY 19-20 and FY 20-21; and update the Exhibit E ADP Program Goals, Outcomes, and Measures, with no change to the ADP Maximum Contract Amount of **\$1,118,606** or the MHS Maximum Contract Amount of **\$3,621,581** and no change to the overall Maximum Contract Amount of **\$4,740,187** for FYs 18-21; and

- B. Delegate to the Director of the Department of Behavioral Wellness or her designee the authority to amend program staffing requirements per Exhibits A-6 through A-9 of the Agreement, amend the program goals, outcomes, and measures in Exhibit E of the Agreement, and increase or waive the Contract Maximum Allowable (CMA) rate based on operating needs during the term of the Agreement and to waive the CMA rate for year-end cost settlement purposes in Exhibit B MHS of the Agreement, all without requiring a formal amendment to the Agreement, subject to the Board's authority to rescind this delegated authority at any time; and
- C. Determine that the above actions are government fiscal activities, which do not involve any commitment to any specific project that may result in a potentially significant physical impact on the environment and are therefore not a project under the California Environmental Quality Act (CEQA) pursuant to section 15378(b)(4) of the CEQA Guidelines.

**Summary Text:**

The item is on the agenda to request an amendment to the FSA Agreement for FYs 18-21 to update both ADP and MHS contract language to comply with changes to State and Federal requirements and Behavioral Wellness policy and procedure, along with updating the ADP Exhibit B Financial Provisions maximum contract amount due to a decrease of \$25,002 in ADP funding for Step Down Facility services, and reallocate \$700 to realignment/SAPT Discretionary funds due to decreased service caused by the COVID-19 pandemic. We anticipate an increase in need for the ADP Step Down Facility for FY 20-21 and increased the budget by \$25,002 to meet this need. Accordingly, an update to the ADP Exhibit B-1 Schedule of Rates for FYs 18-21 and a current ADP Exhibit B-3 Sliding Fee Scale for FY 20-21 are both needed to reflect these policy and procedure changes.

For MHS, we are updating language to Exhibit A-5 General Provisions, Exhibit A-6 MHS Statement of Work: Intensive In-Home Services, Exhibit A-7 MHS: Statement of Work Managed Care Mental Health/Brief Therapy Services, Exhibit A-8 MHS Statement of Work: School-Based Mental Health Services, and Exhibit A-9 MHS Statement of Work: Pathways to Well-Being (CWS Katie A) Mental Health Services for compliance due to changes to the Services and Staffing sections. We are also updating the MHS Exhibit B-1 Schedule of Rates for FY 19-20 and FY 20-21 to reflect the removal of the Contract Maximum allowable rate which occurred due to a decrease in services caused by the pandemic.

Though the ADP and MHS Exhibit B-2 Entity Budget by Program for FY 19-20 and FY 20-21 require updating, these fiscal changes, however, do not change the ADP contract maximum of \$1,118,606, inclusive of \$439,162 for FY 18-19, \$416,291 for FY 19-20, and \$263,153 for FY 20-21, nor the MHS Maximum Contract Amount of \$3,621,581, inclusive of \$1,739,063 and \$1,882,518 for FYs 18-21, and does not change the overall Maximum Contract Amount of \$4,740,187.

We are also requesting the Board to delegate to the Director of the Department of Behavioral Wellness or her designee the authority to amend program staffing requirements per Exhibits A-6 through A-8 of the Agreement; amend the program goals, outcomes, and measures in Exhibit E of the Agreement; and waive the Contract Maximum Allowable for year-end cost settlement purposes.

**Background:**

The Santa Barbara County Department of Behavioral Wellness (BWell) provides a continuum of mental health and substance use disorder services to Santa Barbara County residents, in part through contracted providers, including Community-Based Organizations (CBOs). FSA has provided several Mental Health and Alcohol and Drug-funded programs throughout the County since December 2018. FSA provides ADP Primary Prevention Family Support Services for youth and families at three locations countywide and Case Management for Step Down Housing, as well as mental health services for children with Serious Emotional

Disturbances (SEDs) and their families in the Lompoc and Santa Barbara areas through In-Home Intensive Services, group counseling for clients as part of their School-Based Services program, and brief therapy for adults, children, and their families in an outpatient setting.

Periodically, there are changes in state and federal program requirements to deliver ADP and MHS services. To comply with the Department of Health Care Service's state and federal requirements and regulation changes, this Fourth Amendment updates the Exhibit A-1 ADP Statement of Work General Provisions in accordance with changes to the Drug Medi-Cal Organized Delivery Systems (DMC-ODS). The Board approved the ADP template on August 2020 and those changes are being incorporated in this fourth amendment and include, but are not limited to, changes in training, Network Adequacy Certification Tool, attendance at collaborative meetings, and uniform administration requirements.

Since March 2020, the impact of the pandemic has resulted in a client decrease in ADP services for FSA, which affected the Case Management services they provide for Good Samaritan Shelter Step Down Housing funded by Proposition 47. However, we anticipate an increase in services for FY 20-21. Accordingly, we are updating the financial exhibits for ADP to account for decrease of \$25,002 in the Exhibit B Financial Provision and the increase of \$25,002 for FY 20-21. Also added were ADP Exhibit B-1, ADP and MHS Exhibit B-2, and ADP Exhibit B-3.

For MHS services, we are updating the Exhibit A-5 General Provisions and the Statement of Work for Exhibits A-6 through A-9 to comply with changes to the regulations and requirements at the state and federal level. In addition, the pandemic also affected the MHS service level of the community-based organizations for FY 19-20. We are updating Exhibit B General Provisions and Exhibit B-1 to reflect the removal of the CMA rate, which would allow FSA to recover the lower amount of their actual costs or their published charges for FY 19-20, in accordance with the federal financial participation (FFP) process approved by the Board in June 2020.

In addition, we are requesting that the Board authorize the Director of BWell or her designee the authority to amend program staffing requirements per Exhibits A-6 through A-8 of the Agreement, amend the program goals, outcomes, and measures in Exhibit E of the Agreement, and waive the CMA rate from MH agreements for settlement purposes without requiring a formal amendment, subject to the Board's authority to rescind this delegated authority at any time, to provide BWell with the ability to adapt to the changing needs of client services.

The effects to the County would be minimal, as any County matching funds to the federal programs are already accounted for in the adopted budget and the changes to both the ADP and MHS programs do not change the overall amount of the contract Maximum. Approval of these changes will allow BWell to adapt to the changing needs of clients, respond to the current national health pandemic, and comply with state and federal requirements.

**Performance Measure:**

- A. ADP performance goals for the SUD programs were:
  - 1. Successful SUD treatment and recovery.
- B. Performance measures for the SUD programs were:
  - 1. Clients initiating treatment will be 80% or higher;
  - 2. Clients immediately dropping out of treatment will be 6% or lower;
  - 3. Clients engaged in treatment will be 75% or higher;
  - 4. Clients retained in treatment will be 45% or higher; and
  - 5. Clients successfully completing treatment will be 50% or higher.
- C. ADP Prevention–Strengthening Families

Outcomes:

1. Achieve Strengthening Families Performance Expectations;
2. Decrease underage drinking and marijuana use among youth;
3. Implement outreach and educational services and increase protective factors in order for families to learn about the risks associated with underage drinking or marijuana use among youth;
4. Implement Strengthening Families curriculum to a minimum of 15-23 underserved families in each region of Santa Barbara County (North, South, and West);
5. Focus on parents and youth within high-risk families, specifically youth ages 7-17 years old;
6. Provide ten to fourteen (10-14) week SFP sessions at a minimum of two times per year;
7. Facilitate separate English and Spanish-speaking groups based on the primary language of the families registered for the program;
8. Provide a family meal in conjunction with each SFP weekly session; and
9. Coordinate child care for younger siblings as needed.

Measures:

1. Achieve 90% of program expectations;
2. Achieve 5% increase in family strength and resilience (parents); and
3. Achieve 5% decrease in risk factors and/or problem behaviors (children).

D. MHS performance goals:

1. Reduce mental health and substance abuse symptoms resulting in reduced utilization of involuntary care and emergency rooms for mental health and physical health problems;
2. Assist clients in their mental health recovery process and with development of the skills necessary to lead independent, healthy, and productive lives within the community; and
3. Provide mental health (and/or substance abuse) services for children and their families in order to prevent out-of-home and out-of-county placements.

E. Performance measures for the mental health programs are:

1. Reduce incarceration/juvenile hall to 5% or lower;
2. Reduce client psychiatric inpatient admissions to 5% or lower;
3. Clients with stable/permanent housing will be at 95% or higher;
4. Clients engaged in purposeful activities will be 95% or higher;
5. Clients discharged to a higher level of care will be at 15% or lower;
6. Clients discharged to a lower level of care will be at 85% or higher;
7. New, out-of-primary home placements (county and out-of-county) will be 5% or lower;
8. CANS will be completed on all clients (100%); and
9. PSCs will be completed on all clients (100%).

**Contract Renewals and Performance Outcomes:**

A. FSA's outcomes for ADP services during the FY 19-20 are the following:

1. TAY Outpatient Services Level 1.0: Served 61 clients. Out of these, 100% initiated treatment, 3% dropped out of treatment, 82% engaged in treatment, and 31% received case management services. Out of the 61 clients served, 18 (30%) retained treatment, 56% successfully completed treatment, and 8% were re-admitted within 14 days. Program terminated November 30, 2019 under mutual agreement with CBO.
2. Youth Outpatient Services Level 1.0: Served 126 clients. Out of these, 98.9% initiated treatment, 1% dropped out of treatment, 77% engaged in treatment, and 9.5% received case management services. Of these clients, 37% retained treatment, 80% successfully completed treatment, and 4.5% were re-admitted within 14 days. Program terminated November 30, 2019 under mutual agreement with CBO.

3. Step-Down Housing began in Quarter 4. They served 8 clients. Out of these, there were no jail or hospital days, 25% had stable/permanent housing, and 75% were engaged in purposeful activities. There were four discharges: one went to a lower level of care (25%) and two went to a higher level of care (50%). One client was discharged to the same level of care. There were five of eight who were referred to SUD or MH treatment services (62.5%) and three of the eight (37.5%) were referred to healthcare services. There were two clients who received emergency physical health care.
4. They did fantastic for FY 19-20 with Strengthening Families averaging a retention rate of about 71%, which is higher than average across the county (approx. 50%). Because of its ongoing success and demand for additional classes, they were able to secure supplemental funding to offer one additional 11-week series in both Lompoc and Santa Barbara. At one point, they had nearly 150 families on their waitlist for the program throughout the three regions. The program remains flexible to the needs of the community, rotating the language it's offered in, the location, and the days of the week in which it is offered. In addition, some program staff are now First Aid and CPR certified, and some have taken the Youth Mental Health First Aid and Motivational Interviewing trainings. Unfortunately, COVID-19 did impact the program in Lompoc and had to be postponed after the 7<sup>th</sup> session; however, it did resume later using home practice, teleconference, and remote coaching to comply with social distancing requirements. Throughout the year, they implemented four English series groups and six Spanish series groups, with a total of 73 families, 95 adults, and 117 children (not including 28 in childcare). They collected 483 pre-surveys and 328 post-surveys. Childcare and meals were provided in all sessions.

B. FSA's outcomes for MHS services during the FY 19-20 are the following:

1. IHH Program: Served 265 clients. Out of these, one person was incarcerated, eight were hospitalized, 83% had stable/permanent housing, 85% were engaged in purposeful activities, and 57 clients were discharged (72% were transferred to a lower level of care, or 41 clients). The remaining discharges went to the same level of care. For CANS completion, they averaged 88%. For PSC completion, they averaged 78%.
2. Managed Care Program: Served 208 clients. Out of these, there were no jail or hospital days, 98% had stable/permanent housing, 97% were engaged in purposeful activities, and 84 clients were discharged: 51 went to a lower level of care (61%) and two to a higher level of care (2%). The remaining discharges went to the same level of care. For CANS completion, they averaged 71%. For PSC completion, they averaged 70%.
3. School-Based Counseling Program: Served 213 clients. Out of these, one person was incarcerated, none were hospitalized, 98% had stable/permanent housing, 100% were engaged in purposeful activities, and 64 clients were discharged: 18 went to a lower level of care (28%), and three went to a higher level of care (5%). The remaining discharges went to the same level of care. For CANS completion, they averaged 97%. For PSC completion, they averaged 99%.
4. START Program: Served 59 clients. From these, there were no jail or hospital days, 100% had stable/permanent housing, 100% were engaged in purposeful activities, and 27 clients were discharged: 11 went to a lower level of care (41%), none went to a higher level of care, and the remaining discharges went to the same level of care. For CANS completion, they averaged 97%. For PSC completion, they averaged 97%.
5. Pathways to Being (formerly HOPE) Program: Served 91 clients. Out of these, there were no jail or hospital days, 99% had stable/permanent housing, 100% were engaged in purposeful activities, and 12 clients were discharged: five went to a lower level of care (42%), and one went to a higher level of care (8%). The remaining discharges went to the same level of care. For CANS completion, they averaged 91%. For PSC completion, they averaged 91%.

**Fiscal and Facilities Impacts:**

Budgeted: There are no budget impacts. The adopted FY 19-20 and 20-21 budget is based on maximum contract amounts, and the changes do not alter existing contract maximum amounts.

**Fiscal Analysis:**

<b><u>Funding Sources</u></b>	<b><u>Cost FY 18-19:</u></b>	<b><u>Cost FY -19-20</u></b>	<b><u>Cost FY 20-21</u></b>
General Fund			
State	\$ 219,581	\$ 1,077,677	1,072,835.50
Federal	\$ 219,581	\$ 1,077,677	1,072,835.50
Fees			
Other:			
Total	\$ 439,162	\$ 2,155,354	\$ 2,145,671
<b>Total FY 18-21</b>			\$ 4,740,187

**Narrative:** The amendment does not change the contract maximum amounts.

**Key Contract Risks:**

As with any contract funded by State and Federal sources, there is a risk of future audit disallowances and repayments. BWell contracts include language that requires the contractor to repay any amounts disallowed in audit findings, minimizing financial risks to the County.

**Special Instructions:**

Please email one (1) complete executed contract and one (1) minute order to Denise Morales: [dmorales@co.santa-barbara.ca.us](mailto:dmorales@co.santa-barbara.ca.us) and to [bwellcontractsstaff@co.santa-barbara.ca.us](mailto:bwellcontractsstaff@co.santa-barbara.ca.us).

**Attachments:**

- Attachment A: FSA FY 18-21 BC 19-153 AM4
- Attachment B: FSA FY 18-21 BC 19-153 AM3
- Attachment C: FSA FY 18-21 BC 19-153 AM2
- Attachment D: FSA FY 18-21 BC 19-153 AM1
- Attachment E: FSA FY 18-21 BC 19-153

**Authored by:**

D. Morales