

Attachment A

# **Existing Local Area**

**Application for Subsequent Local Area Designation  
and  
Local Board Recertification  
Program Year 2019-21**

**Local Workforce Development Area**

**SANTA BARBARA COUNTY WORKFORCE DEVELOPMENT BOARD**

**Existing Local Area Application for Subsequent Local Area Designation and Local Board Recertification**

This application will serve as your request for Local Workforce Development Area (Local Area) subsequent designation and Local Workforce Development Board (Local Board) recertification for Program Year (PY) 2019-21 under the *Workforce Innovation and Opportunity Act* (WIOA).

If the California Workforce Development Board (CWDB) determines the application is incomplete, it will either be returned or held until the necessary documentation is submitted. Please contact your [Regional Advisor](#) for technical assistance or questions related to completing and submitting this application.

SANTA BARBARA COUNTY WORKFORCE DEVELOPMENT BOARD

Name of Local Area

130 East Ortega Street, Santa Barbara, CA 93101

Mailing Address

Santa Barbara, CA 93101

City, State

ZIP

June 14, 2019 (Original), June 25, 2019 (Revised as Requested by Loren Shimanek, Senior Evaluation and Performance Specialist, California Workforce Development Board)

Date of Submission

Raymond McDonald

Contact Person

(805) 681-4540

Contact Person's Phone Number

## Local Board Membership

The WIOA Section 107(b)(2)(A) through (E) states the requirements for nominating and selecting members in each membership category. The WIOA Section 107(b)(2)(A) requires that business members constitute a majority of the Local Board. The chairperson shall be a business representative, per WIOA Section 107(b)(3).

The Local Chief Elected Official (CEO) is required to provide the names of the individuals appointed for each category listed on the following pages, and attach a roster of the current Local Board which identifies each member's respective membership category. *The current roster is attached below:*

SANTA BARBARA COUNTY WORKFORCE DEVELOPMENT BOARD - ROSTER OF THE CURRENT BOARD				
Name	Title	Company	Category	Classification
DECASUS, Yesenia	Regional Coordinator	United Domestic Workers/AFSCME Local 3930	Mandated	Labor Union
DICKERSON, Sandra	CEO/HR Director	Your People Professionals	Private	Private Sector
DODD, Adrienne	Sr. Director, HR	Marian Regional Medical Center	Private	Healthcare
HOLMES, Julie	Staff Services Manager	Dept of Rehabilitation	Mandated	Public Sector
HUDDLESTON, Chuck	Business Manager	Local Union 413, IBEW	Mandated	Labor Union
LAVAGNINO, Steve	County Supervisor	Santa Barbara County	Mandated	Public Sector
MILLER, Kristen	President/CEO	Goleta Valley Chamber	Private	Designated Industry Sector
MORRIS, Glenn	President & CEO	Santa Maria Valley Chamber of Commerce	Private	Community Based
NIELSON, Daniel	Director	Santa Barbara County Department of Social Services	Mandated	Adult Dislocated Worker, Social Services, One Stop
OWENS, Dianne	Consultant	Business Services	Private	Business Services
RYAN, Patrice	Vice President of Human Resources	Santa Barbara Cottage Hospital	Private	Designated Industry Sector
SHOCKLEY, Laurel	Project Manager Economic Dev.	Southern California Edison	Private	Designated Industry Sector
WALTHERS, Kevin	Superintendent/President	Allan Hancock College	Higher Education	Public Sector

*There have been recent retirements resulting in some Board members no longer being eligible to serve. Santa Barbara County Workforce Development Board (SBCWDB) is in the process of recruiting new members. Entries in italics in the lists below represent individuals currently being approached to join the Board. Most current Board members with 2019 term end dates are likely to continue on.*

**Business** – A majority of the members must be representatives of business in the Local Area who (i) are owners of businesses, chief executives or operating officers of businesses, or other business executives or employers with optimum policy-making or hiring authority; (ii) represent businesses, including small businesses, or organizations; and (iii) are appointed from among individuals nominated by local business organizations and business trade association (WIOA Section 107[b][2][A]).

Please identify the Local Board chairperson by typing CHAIR after his/her name.

<b>Name</b>	<b>Title</b>	<b>Entity</b>	<b>Appointment Date</b>	<b>Term End Date</b>
Sandra Dickerson, CHAIR	CEO/HR Director	Your People Professionals	6/30/2018	6/30/2020
Adrienne Dodd	Sr. Director, HR	Marian Regional Medical Center	6/30/2018	6/30/2020
Kristen Miller	President/CEO	Goleta Valley Chamber of Commerce	8/22/2017	8/31/2019
Glenn Morris	President & CEO	Santa Maria Valley Chamber of Commerce	8/22/2017	8/31/2019
Diane Owens	Consultant	Business Services	6/30/2018	6/30/2020
Laurel Shockley	Project Manager, Economic Development	Southern California Edison	8/22/2017	8/31/2019
<i>Cara Williams</i>	<i>Vice President and Chief Human Resources Officer</i>	<i>Cottage Hospital</i>	<i>Being Recruited</i>	
<i>Joyce Donaldson</i>	<i>President</i>	<i>Carpinteria Chamber of Commerce</i>	<i>Being Recruited</i>	

**Labor** – Not less than 20 percent of the members must be representatives of workforce within the Local Area who must include: (i) representatives of labor organizations who have been nominated by state labor federations; (ii) a member of a labor organization or a training director from a joint labor-management apprenticeship program, or if no such joint program exists in the area, such a representative of an apprenticeship program in the area; and may include: (iii) representatives of community based organizations with demonstrated experience and expertise in addressing the employment needs of individuals with barriers to employment, veterans, or individuals with disabilities; and (iv) representatives of organizations with demonstrated experience and expertise in addressing the employment, training, or education needs of eligible youth and/or out-of-school youth (WIOA Section 107[b][2][B]).

**California Unemployment Insurance Code (CUIC) Section 14202(b)** further requires and specifies that at least **15 percent** of Local Board members shall be representatives of labor organizations unless the local labor federation fails to nominate enough members. For a local area in which no employees are represented by such organizations, other representatives of employees shall be appointed to the board but any local board that appoints representatives of employees that are not nominated by local labor federations shall demonstrate that no employees are represented by such organizations in the local area.

Name	Title	Entity	Appointment Date	Term End Date
1. Yesmina DeCasaus	Regional Coordinator	United Domestic Worker/AFSCME Local 9390	6/30/2018	6/30/2020
2. Charles Huddleston	Business Manager	Local Union 413, IBEW	8/22/2017	8/31/2019

*Education* – Each Local Board shall include representatives of entities administering education and training activities in the Local Area who must include (i) a representative of eligible providers administering WIOA Title II adult education and literacy activities; (ii) a representative of institutions of higher education providing workforce investment activities; and may include (iii) representatives of local educational agencies, and community-based organizations with demonstrated experience and expertise in addressing the education or training needs of individuals with barriers to employment (WIOA Section 107[b][2][C]).

Name	Title	Entity	Appointment Date	Term End Date
1. Kevin Walthers	Superintendent/President	Allan Hancock College	8/22/2017	8/31/2019
2. Melissa Moreno	Vice President of School of Extended Learning	Santa Barbara City College	Being Recruited	

*Economic and Community Development* – Each Local Board shall include representatives of governmental, economic, and community development entities serving the Local Area who must include (i) a representative of economic and community development entities; (ii) a representative from the state employment service office under the Wagner-Peyser Act; (iii) a representative of the Vocational Rehabilitation program; and may include (iv) representatives of agencies or entities administering programs serving the Local Area relating to transportation, housing, and public assistance; (v) Representatives of philanthropic organizations serving the Local Area; and (E) individuals or representatives of entities as the local CEO in the Local Area may determine to be appropriate (WIOA Section 107[b][2][D] and [E]).

Name	Title	Entity	Appointment Date	Term End Date
1. Jesse Cuevas	Deputy Division Chief	State of California Employment Development Department	2/1/2019	2/28/2021
2. Julie Holmes	Staff Services Manager	State of California Department of Rehabilitation	3/31/2018	3/31/2020
3. Steve Lavagnino	County Supervisor	County of Santa Barbara	3/31/2018	3/31/2020
4. Daniel Nielson	Director	Santa Barbara County Department of Social Services	8/22/2017	8/31/2019

## **Sustained Fiscal Integrity**

SBCWDB hereby certifies that it has not been found in violation of one or more of the following during PY 16-17 or PY 17-18:

- *Final determination of significant finding(s)* from audits, evaluations, or other reviews conducted by state or local governmental agencies or the Department of Labor identifying issues of fiscal integrity or misexpended funds due to the willful disregard or failure to comply with any WIOA requirement, such as failure to grant priority of service or verify participant eligibility; or
- *Gross negligence* – defined as a conscious and voluntary disregard of the need to use reasonable care, which is likely to cause foreseeable grave injury or harm to persons, property, or both; or
- *Failure to observe accepted standards of administration* – Local areas must have adhered to the applicable uniform administrative requirements set forth in Title 2 *Code of Federal Regulations* (CFR) Part 200, WIOA regulations, and state guidance (In alignment with WIOA Section 106[e][2]).

**Performed Successfully**

SBCWDB hereby certifies that it has performed successfully, defined as successfully negotiating PY 18-19 and PY 19-20 performance goals within their designated Regional Planning Unit in the following ways: *SBCWDB and the other Boards within the Coastal Regional Planning Unit (RPU) met, conferred, and then participated in a conference call with California Workforce Development Board (CWDB) that resulted in successfully negotiating PY 18-19 and PY 19-20 performance goals shown below.*

**Local Area: Santa Barbara County**

**WIOA Title IB Negotiated Performance Goals Program Years 2018 and 2019  
Submission for Recertification and Subsequent Designation**

PY 2018 Performance Goals				
	Adults	Dislocated Workers	Youth	
Employment Rate 2nd Quarter After Exit	64%	68%	65.4%	Employment or Education Rate 2nd Quarter After Exit
Employment Rate 4th Quarter After Exit	60.5%	65%	62%	Employment or Education Rate 4th Quarter After Exit
Median Earnings 2nd Quarter After Exit	\$5,200	\$7,700	BASELINE	Median Earnings
Credential Attainment within 4 Quarters After Exit	53%	57%	53%	Credential Attainment within 4 Quarters After Exit

PY 2019 Performance Goals				
	Adults	Dislocated Workers	Youth	
Employment Rate 2nd Quarter After Exit	66%	69.5%	66.9%	Employment or Education Rate 2nd Quarter After Exit
Employment Rate 4th Quarter After Exit	62.5%	66.5%	64%	Employment or Education Rate 4th Quarter After Exit
Median Earnings 2nd Quarter After Exit	\$5,600	\$8,000	BASELINE	Median Earnings
Credential Attainment within 4 Quarters After Exit	54%	58%	54%	Credential Attainment within 4 Quarters After Exit

## Engaged in Regional Planning

SBCWDB hereby certifies that it has participated in and contributed to regional planning and negotiating regional performance measures in the following ways: *SBCWDB engaged in regional planning to contribute to the regional plan (that includes the SBCWDB local plan) in a manner that shows how SBCWDB contributes to and coordinates services delivery in accordance with the regional plan vision. SBCWDB also contributed to the regional and local plan amendment process in a manner that was supportive of and complementary to the regional plan amendment. In implementing the regional plan, SBCWDB has engaged as follows:*

- *Participating in monthly RPU planning meetings;*
- *Contributing to the goals of the RPU's Slingshot 1.0 grants to improve health care engagement by:*
  - *Participating and planning a tour of health care facilities in the local area (Dignity, Lompoc Clinic, Cottage Hospital) that was attended by representatives of health care training providers (Santa Barbara City College, Local Adult and High Schools), and job seekers;*
  - *Planning and providing a forum on incumbent worker training and on-the-job training requested by health care employers to explore opportunities to provide training needed to advance health care initiatives and improve health care provider skills.*
- *Preparing a Prison to Employment grant submission that will improve service to the reentry population in the region*
- *Preparing a successful Slingshot 2.0 regional grant submission that will support the regional plans by:*
  - *Continuing to improve regional coordination and alignment and build on the progress made to date that includes: (1) establishing a four-county contract which defines the roles, responsibilities, and funding across these counties; and (2) soliciting a consultant that will conduct an assessment for administrative efficiencies across our region;*
  - *Evaluating the potential benefits of creating a non-profit regional service organization for grant writing and staffing regional initiatives (the RPU has been working with an attorney who specializes in nonprofits);*
  - *Hiring additional staff to assist the Slingshot Coordinator to work toward: (1) developing a certificate program to train community health workers; (2) developing a health care career awareness campaign; and (3) expanding specialty nursing education in the region;*
  - *Coordinating business engagement strategies across the region to coordinate the efforts of the four local workforce boards in working together to serve the business community across the region including continuing to build on the region-wide business engagement round table that has been established.*



- *Performing industry sector research to identify regional industry sectors that drive our regional economy and identify where the local boards and the RPU can make the most significant impact in developing career pathways;*
- *Performing an employer needs assessment by joining with chambers of commerce to survey industry skill needs that will drive training and education that will result in a list of real competencies and credentials used by local and regional employers;*
- *Developing a uniform approach to business services alignment that includes: (1) career pathways development and creating a talent pipeline; (2) capacity building training to start and sustain local industry partnerships, including a how-to guide that can be used by business service professionals; (3) aligning business services policies and branding across the region; and (4) developing regional agreement outlining processes and share responsibilities for sector work.*

*The chart that follows shows how these initiatives will align with State plan objectives.*

State Plan Objectives	Demand Driven Skills Attainment				Upward Mobility and Equity			System Alignment Indicator		
	A	B	C	D	E	F	G	H	I	J
Indicators of Regional Coordination and Alignment										
Coastal RPU Slingshot 2.0 Indicators Addressed	x	x	x	X		x		x		

### Local Area Assurances

Through PY 19-21, the SBCWDB assures that:

- A. It will comply with the applicable uniform administrative requirements, cost principles, and audit requirements (WIOA Section 184[a][2] and [3]).
  - SBCWDB’s procurement procedures will avoid acquisition of unnecessary or duplicative items, software, and subscriptions (in alignment with Title 2 CFR Section 200.318).
  - SBCWDB will maintain and provide accounting and program records, including supporting source documentation, to auditors at all levels, as permitted by law (Title 2 CFR Section 200.508).

Note that failure to comply with the audit requirements specified in Title 2 CFR Part 200 Subpart F will subject the Local Area to potential cash hold (Title 2 CFR Section 200.338).

B. All financial reporting will be done in compliance with federal and State regulations and guidance.

- Reporting will be done in compliance with Workforce Services Directive WSD16-13, *Monthly and Quarterly Financial Reporting Requirements*, (November 28, 2016).
- All close out reports will comply with the policies and procedures listed in WSD16-05, *WIOA Closeout Requirements* (July 29, 2016).

Note that failure to comply with financial reporting requirements will subject the Local Area to potential cash hold. (Title 2 CFR Section 200.338)

C. Funds will be spent in accordance with federal and state laws, regulations, and guidance.

- SBCWDB will meet the requirements of the *California Unemployment Insurance Code Section 14211*, to spend a minimum of 30 percent of combined total of WIOA Title I adult and dislocated worker formula fund allocations on training services.
- The SBCWDB will not use funds to assist, promote, or deter union organizing (WIOA Section 181[b][7]).

D. SBCWDB will select the America's Job Center of California<sup>SM</sup> operator(s), with the agreement of the local CEO, through a competitive process such as a Request for Proposal, unless granted a waiver by the state (WIOA Section 121[d][2][A] and 107[g][2]).

E. SBCWDB will collect, enter, and maintain data related to participant enrollment, activities, and performance necessary to meet all CalJOBS<sup>SM</sup> reporting requirements and deadlines.

F. SBCWDB will comply with the nondiscrimination provisions of WIOA Section 188, including the collection of necessary data.

G. SBCWDB will engage in and contribute to, regional planning and regional plan implementation (for example, Local Area has participated in regional planning meetings and regional plan implementation efforts, and the Local Board and local CEO have reviewed and approved the regional plan and modifications).

H. SBCWDB will participate in regional performance negotiations.

I. SBCWDB will comply with CWDB policies and guidelines, legislative mandates and/or other special provisions as may be required under federal law or policy, including the WIOA or state legislation.

- J. Priority shall be given to veterans, recipients of public assistance, other low-income individuals, and individuals who are basic skills deficient for receipt of career and training services funded by WIOA Adult funding (WIOA Section 134[c][3][E] and *Training and Employment Guidance Letter* [TEGL] 10-09, and TEGL 19-16).

### Application Signature Page

**Instructions** – The local CEO and Local Board chair must sign and date this form. Include the original signatures in the application package.

By signing the application below, the local CEO and Local Board chair request initial designation of the existing Local Area and initial certification of the existing Local Board. They certify that the Local Area has performed successfully, sustained fiscal integrity during PY 16-2017 or PY 17-18, and engaged in the regional planning process as described in WIOA Section 106(c)(1). Additionally, they agree to abide by the Local Area assurances included in this application.

Local Workforce Development Board Chair

Local Chief Elected Official

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Signature

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Signature

Sandra Dickerson

Steve Lavagnino

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Name

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Name

Chair, Santa Barbara County Workforce  
Development Board

Chair, Board of Supervisors, County of  
Santa Barbara

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Title

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Title

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Date

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Date