



BOARD OF SUPERVISORS  
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors  
105 E. Anapamu Street, Suite 407  
Santa Barbara, CA 93101  
(805) 568-2240

Department Name: County Executive  
Office  
Department No.: 012  
For Agenda Of: February 24, 2009  
Placement: Administrative  
Estimated Tme: 60 Minutes on  
March 3, 2009  
Continued Item: No  
If Yes, date from:  
Vote Required: Majority

---

TO: Board of Supervisors

FROM: Department Michael F. Brown, County Executive Officer  
Director(s)  
Contact Info: Jason Stilwell, Assistant County Executive, 568-3413

SUBJECT: Five Year Capital Improvement Program, Fiscal Years 2009-2014

---

**County Counsel Concurrence**

As to form: N/A

**Auditor-Controller Concurrence**

As to form: N/A

**Other Concurrence:**

**Recommended Actions:**

It is recommended that the Board of Supervisors:

1. Receive the Five Year Capital Improvement Program (CIP)
2. Refer the CIP to the Planning Commission and request analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2009-2010 for conformity with the County Comprehensive Plan.
3. Set a 60-minute hearing for March 3, 2009 to review and approve in concept the projects included in the CIP and the Proposed Capital Budget for Fiscal Year 2009-2010.

**Summary Text:**

The Five Year Capital Improvement Program (CIP) is a compilation of projects intended to implement various plans, including community plans, county facilities plans, and the circulation of the County Comprehensive Plan. Projects in the CIP indicate current and future capital needs. Projects included in the CIP are non-recurring, have a long service life, are generally over \$100,000 and will be underway (or need to be underway, but are partially or entirely unfunded) at some point during FY 2009-2010. In line with the Operating Budget, the CIP presents projects grouped by department within each function. An additional report is attached for your Board that breaks out the CIP project data by Supervisorial District.

While the CIP covers a five year planning period, it is updated each year to reflect ongoing changes; new projects are added, existing projects are modified, and completed projects are excluded. The FYs 2009-2014 CIP contains 207 projects, including 26 projects that are new this year. The attached report, 'New Project Index', identifies, by title and page number, the 26 new projects in the CIP. Of the total 206 projects, 95 projects are fully funded, 54 are partially funded, and 58 are currently unfunded. A funded project is one that has identified specific funding to implement the program. An unfunded project is one that has been identified in the CIP as a need but has no funding secured to implement the program. The total cost of the Five Year CIP is \$909.4 million; of this, total 28%, \$258.7 million, is funded. **The CIP identifies a need of \$101 million for FY 2009-2010; of this amount, 65%, \$66.4 million, is for funded projects and 35%, \$34.6 million, is for unfunded projects.** Some of the proposed funded projects are highlighted within Section A of the CIP.

The FY 2009-2014 CIP will be referred to the Planning Commission for analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2009-2010, for conformity with the County Comprehensive Plan. The Planning Commission will be conducting its review on March 4, 2009. State Planning Law (Government Code Section 65401) requires that a list of all projects recommended for planning, initiation or construction during the ensuing fiscal year be referred to the Planning Commission for review and that the Planning Commission report to the Board as to the projects' conformity with the adopted Comprehensive Plan. The Board will be advised March 24, 2009 on the Planning Commission's findings as to whether proposed projects are generally consistent with the Plan and appropriate for orderly implementation. Detailed, "project specific" Comprehensive Plan Consistency Determinations will be provided through future Government Code Section 65402 Determinations, if required.

Actions Recommended:

**It is recommended that the Board receive the FY 2009-2014 CIP.**

**It is recommended that the Board set a hearing for March 3, 2009 to receive a presentation on the proposed CIP.** At the hearing, the Board will be asked to refer the CIP to the Planning Commission and request analysis of projects recommended for planning, initiation, or construction during Fiscal Year 2009-2010 for conformity with the County Comprehensive Plan. Secondly, the Board will be asked to conceptually approve all projects included in the CIP as legitimate capital needs. In addition, the Board will be asked to conceptually approve the proposed capital budget for FY 2009-2010 (funding for Year 1 of all projects included in the CIP) and direct staff to return with a final FY 2009-2010 Capital Budget, recommended for adoption during budget hearings in June.

Fiscal and Facilities Impacts:

The proposed capital budget for FY 2009-2010 includes both new and carryover discretionary General Fund (GF) allocations total \$5.5 million (\$885 thousand carry over, \$4.6 million new). All funds carryover allocation amounts not spent FY 2008-2009 to be re-budgeted for FY 2009-2010, total \$31.2 million.

*General Fund Contribution*

In accordance with adopted Budget Principles the CIP has proposed General Fund contributions of \$0.2 million to department projects, \$3 million to the Capital Maintenance Designation, \$0.2 million to the Roads Designation, and \$1.2 million to the Capital Designation to be recommended for inclusion in the FY 2009-2010 Operating Budget. As of this writing, the budget climate may not allow for this

contribution. The proposed carryover and new GF appropriations for FY 2009-2010 associated with these designations, shown in thousands, are distributed as follows in the Proposed CIP:

Dept/Title	Source	Project/Title	PY	Carry	New	Year1
Parks	General Fund	Goleta Beach Park Long Term Protection Plan	\$0	\$0	\$35	\$35
Parks	General Fund	Santa Claus Lane Beach	\$80	\$50	\$0	\$50
Clerk-Recorder-Assessor	General Fund	Absentee High Speed Central Count System	\$0	\$0	\$38	\$38
Human Resources	General Fund	Countywide HR Information System	\$53	\$80	\$0	\$80
General County Programs	General Fund	Performance Management Reporting System	\$219	\$0	\$150	\$150
Public Defender	General Fund Capital Designation	Santa Barbara Courthouse Annex Remodel	\$516	\$273	\$0	\$273
General Services	General Fund Capital Designation	Emergency Operations Center (EOC)	\$0	\$0	\$1,144	\$1,144
General Services	General Fund Capital Designation	Betteravia Expansion - Phase II	\$0	\$100	\$0	\$100
General Services	General Fund Capital Designation	New Cuyama Community Pool	\$1,650	\$302	\$0	\$302
General Services	General Fund Capital Designation	Utility Power Purchase Agreement (PPA)	\$0	\$0	\$20	\$20
Parks	General Fund Capital Maintenance Designation	Jalama Beach Leach Field	\$0	\$0	\$75	\$75
Parks	General Fund Capital Maintenance Designation	Play Equipment Replacement North County	\$20	\$0	\$50	\$50
Parks	General Fund Capital Maintenance Designation	Arroyo Burro Beach Park Improvements	\$0	\$60	\$0	\$60
Parks	General Fund Capital Maintenance Designation	Tabano Hollow Bikeway	\$50	\$20	\$0	\$20
Parks	General Fund Capital Maintenance Designation	Barone Ranch Trail Improvements	\$0	\$0	\$60	\$60
Parks	General Fund Capital Maintenance Designation	Waller Park Ranger Residence Renovation	\$0	\$0	\$75	\$75
Parks	General Fund Capital Maintenance Designation	Jalama Beach Water Supply Tank Repainting	\$0	\$0	\$35	\$35
Parks	General Fund Capital Maintenance Designation	Countywide Park Furnishings and Equipment	\$0	\$0	\$65	\$65
Parks	General Fund Capital Maintenance Designation	Countywide Park Infrastructure Repairs	\$0	\$0	\$50	\$50
Parks	General Fund Capital Maintenance Designation	Countywide Park Signage Replacement	\$0	\$0	\$40	\$40
Parks	General Fund Capital Maintenance Designation	Manning House Restoration	\$0	\$0	\$50	\$50
Parks	General Fund Capital Maintenance Designation	Manning Park Annex Play Area Surfacing	\$0	\$0	\$40	\$40
Parks	General Fund Capital Maintenance Designation	Miguelito Park Restroom ADA Upgrade	\$0	\$0	\$60	\$60
Parks	General Fund Capital Maintenance Designation	Santa Barbara County Parks Paving Program	\$37	\$0	\$50	\$50
Parks	General Fund Capital Maintenance Designation	Goleta Beach Restrooms ADA Upgrades	\$105	\$0	\$50	\$50
Parks	General Fund Capital Maintenance Designation	Play Equipment Replacement South County	\$83	\$0	\$50	\$50
General Services	General Fund Capital Maintenance Designation	Capital Maintenance Projects (2009-10 Major)	\$1,932	\$0	\$1,500	\$1,500
General Services	General Fund Capital Maintenance Designation	Capital Maintenance Projects (2009-10 Minor)	\$476	\$0	\$750	\$750
Public Works	General Fund Road Designation	Prev Maint- 5 Year Countywide Concrete Program	\$0	\$0	\$175	\$175
<b>Total</b>			<b>\$5,221</b>	<b>\$885</b>	<b>\$4,562</b>	<b>\$5,447</b>

**CIP and Authorized Debt Financing**

The CIP for FYs 2009-2014 includes projects that are using Certificates of Participation (COPs) for debt financing. On March 8, 2005, the Board authorized the 2005 COPs consisting of the following projects: Fire Station 51 Lompoc-Mission Hills New Station, Sheriff Isla Vista Foot Patrol Building, New Sheriff Station Lompoc, the County Elections-Recorder Office and Storage Building, and the Alcohol Drug and Mental Health Services Children’s Assessment and Transition Center. This action resulted in issuance of the 2005 COPs on April 21, 2005. Due to low interest rates, a true interest rate of 4.32% was realized. The chart below shows the proposed projects by department, related revenue source to pay debt service, annual COP payment, and CIP page number location:

**Projects Funded by 2005 COPs, Related Revenue Sources to Pay Debt Service, and Project Status**

Department / Project	Source	Status	CIP Page #
Sheriff – Sheriff HQ Expansion & Remodel – Santa Barbara	General Fund	Architect hired November 2005 The schematic design phase is complete. Project funding and scope was increased and shifted from other major project by the Board of Supervisors in January 2009.	B-39
CRA – County Elections Facility – Location TBD	General Fund	Scheduled to be complete August 2009.	B-183
SB 1732 Court Facilities Deficiencies Program – Countywide	General Fund	COP funds were transferred to the Santa Maria Court Clerks’ project. Court facilities transferred in accordance with the State deadline on 12/31/2008.	B-2

There are no fiscal or facility impacts resulting from the actions requested. However, significant short and long term fiscal and facility impacts would result from the adoption of the projects in the FY 2009-2010 Capital Budget in June.

**Special Instructions:**

None

**Attachments:**

(A) Five Year Capital Improvement Program, Fiscal Years 2009-2014

(B) Geographic CIP Project Data by Supervisorial District

**Authored by:**

Nicole Koon, Fiscal and Policy Analyst, County Executive Office (884-8083)

**cc:**

Department Directors

Joy Hufschmid, Project Manager, CEO/Strategic and Comprehensive Planning