



BOARD OF SUPERVISORS
AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

Department Name: Behavioral Wellness
Department No.: 043
For Agenda Of: December 14, 2021
Placement: Administrative
Estimated Time: N/A
Continued Item: No
If Yes, date from:
Vote Required: Majority

TO: Board of Supervisors
FROM: Department Pam Fisher, Psy.D., Interim Director
Director(s) Behavioral Wellness, 805-681-5220
Contact Info: John Doyel, MA, LAADC, ADP Division Chief
Behavioral Wellness, (805) 681-4907
SUBJECT: Behavioral Wellness Alcohol and Drug and Mental Health Services - Family
Service Agency of Santa Barbara County FY 21-24 First Amendment

County Counsel Concurrence

As to form: Yes

Auditor-Controller Concurrence

As to form: Yes

Other Concurrence: Risk Management

As to form: Yes

Recommended Actions:

- A. Approve, ratify, and authorize the Chair to execute a First Amendment to the Agreement for Services of Independent Contractor with **Family Service Agency of Santa Barbara County (FSA)**, a California nonprofit (BC 21-059) (a local vendor), to add Attachment A – Federal Award Identification Table and to carryover unused FY 20-21 Prop 47 funding in the amount of \$24,488 to the Agreement for FY 21-22, for a new Maximum Contract Amount not to exceed **\$6,287,212**, inclusive of \$2,160,052 for FY 21-22, \$2,086,642 for FY 22-23, and \$2,040,518 for FY 23-24 for the period of July 1, 2021 through June 30, 2024;
- B. Delegate to the Director of the Department of Behavioral Wellness or designee the authority to suspend, delay, or interrupt the services under the Agreement for convenience per Section 20 of the Agreement; make immaterial changes to the Agreement per Section 26 of the Agreement; amend program staffing requirements per Exhibits A-5, A-6, A-7, and A-8 of the Agreement; reallocate funds between funding sources per Exhibits B ADP and MHS of the Agreement; adjust the provisional rate during the term of the Agreement per Exhibits B ADP and MHS of the Agreement; approve in writing in the year end cost settlement that use of the County Maximum Allowable rate was waived for settlement purposes per Exhibits B ADP and MHS of the Agreement; and amend the program goals, outcomes, and measures per Exhibits E ADP and MHS of the Agreement, all without altering the Maximum Contract Amount and without requiring formal amendment of the Agreement, subject to the Board's ability to rescind this delegated authority at any time; and

- C. Determine that the above actions are government funding mechanisms or other government fiscal activities, which do not involve any commitment to any specific project that may result in a potentially significant physical impact on the environment and are therefore not a project under the California Environmental Quality Act (CEQA) pursuant to section 15378(b)(4) of the CEQA Guidelines.

Summary:

This item is on the agenda to request approval of the First Amendment to the Agreement for Services of Independent Contractor with Family Service Agency of Santa Barbara to add: (1) a Federal Award Identification Table; and, (2) unused FY 20-21 Prop 47 funding in the amount of \$24,488 to the Agreement for FY 21-22, for a new Maximum Contract Amount not to exceed **\$6,287,212**, inclusive of \$2,160,052 for FY 21-22, \$2,086,642 for FY 22-23, and \$2,040,518 for FY 23-24 for the period of July 1, 2021 through June 30, 2024.

Approval of the recommended actions will allow the County to maintain compliance with Federal terms, SAPT grant terms, and OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) also referred to as Uniform Guidance, and allow for unused Prop 47 funds from FY 20-21 to be utilized in the current FY 21-24 Agreement with Family Service Agency.

Background:

FSA provides mental health services and substance use prevention services to children, youth, and adults through several programs throughout Santa Barbara County. Their mental health programs include: Intensive In-Home (IIH); Managed Care; School-Based Counseling; Support, Treatment, Advocacy and Referral Team (START), and Pathways to Being (formerly known as Helping Others Parent Effectively (HOPE)). Their alcohol and drug programs include: Primary Prevention (Strengthening Families); and Case Management Services for Step-Down Housing funded by Prop 47 grant funds.

SAPT Grant Terms

The County Auditor-Controller through its external audit firm performed a FY 2019-2020 Single Audit for the Behavioral Wellness' SAPT Federal program. Based on the results of the audit, which have not been formally issued, the external auditor recommended that Behavioral Wellness update agreements to include Federal subrecipient requirements per 2 CFR § 200.332.

In accordance with 2 CFR § 200.332, pass-through entities must:

- Ensure that every subaward is clearly identified to the subrecipient as a subaward and includes the information at 2 CFR § 200.332(a)(1) through (6) at the time of the subaward and if any of those data elements change, include the changes in subsequent subaward modification.

At the time of audit, the County categorized all providers that receive SAPT funding, as “contractors” instead of “subrecipients”. At the recommendation of the external auditor, the Department is classifying these providers as subrecipients with regards to the SAPT program. This type of classification is subjective and open to interpretation. This classification change results in the need to update the Agreements to identify disclosures of Federal award elements. Most of these elements are present in the current Agreements; however, they are not easily identifiable in a table.

Behavioral Wellness wishes to amend the agreement with Family Service Agency, a subrecipient, to identify the SAPT subaward as well as the elements required by 2 CFR § 200.332(a)(1) through (6). This will be accomplished by inclusion of Federal Award Identification table that makes direct reference to requirements.

Carryover of FY 20-21 Prop 47 Funds

In FY 20-21, Family Service Agency utilized only \$80,665 of the \$105,153 which was allocated for FY 20-21 as part of Prop 47 grant funding. The unused balance may be carried over into the next fiscal year. This amendment will carryover the remaining \$24,488 into FY 21-22 of the current Agreement with Family Service Agency.

Performance Measures and Outcomes:

During FY 2020-21, FSA met or exceed most of their program goals, as shown in the table below.

	Goal	Quarterly Average
Intensive In-Home (IIH) Program		
Clients Served	n/a	70/quarter
Clients incarcerated / juvenile hall	≤5%	0
Clients admitted to acute psychiatric inpatient care	≤5%	1%
Clients with stable/permanent housing	≥95%	97%
Clients engaged in a purposeful activity	≥95%	100%
Clients discharged to a higher level of care	≤15%	8%
Clients discharged to a lower level of care	≥85%	79%
Clients with a new, out-of-primary home placement	≤5%	8%
# CANS (%) completed	100%	100%
# of PSC's (%) completed	100%	100%
Managed Care		
Clients Served	n/a	34/quarter
Clients incarcerated / juvenile hall	≤5%	0
Clients admitted to acute psychiatric inpatient care	≤5%	1%
Clients with stable/permanent housing	≥95%	100%
Clients engaged in a purposeful activity	≥95%	99%
Clients discharged to a higher level of care	≤15%	2%

Clients discharged to a lower level of care	≥85%	56%
Clients with a new, out-of-primary home placement	≤5%	0%
# CANS (%) completed	100%	94%
# of PSC's (%) completed	100%	97%
School-Based Program		
Clients Served	n/a	43/quarter
Clients incarcerated / juvenile hall	≤5%	0
Clients admitted to acute psychiatric inpatient care	≤5%	1%
Clients with stable/permanent housing	≥95%	100%
Clients engaged in a purposeful activity	≥95%	100%
Clients discharged to a higher level of care	≤15%	13%
Clients discharged to a lower level of care	≥85%	57%
Clients with a new, out-of-primary home placement	≤5%	1%
# CANS (%) completed	100%	97%
# of PSC's (%) completed	100%	100%
START		
Clients Served	n/a	13/quarter
Clients incarcerated / juvenile hall	≤5%	0
Clients admitted to acute psychiatric inpatient care	≤5%	0
Clients with stable/permanent housing	≥95%	100%
Clients engaged in a purposeful activity	≥95%	100%
Clients discharged to a higher level of care	≤15%	0%
Clients discharged to a lower level of care	≥85%	45%
Clients with a new, out-of-primary home placement	≤5%	0

# CANS (%) completed	100%	98%
# of PSC's (%) completed	100%	100%
Pathways to Wellbeing		
Clients Served	n/a	37/quarter
Clients incarcerated / juvenile hall	≤5%	0
Clients admitted to acute psychiatric inpatient care	≤5%	0
Clients with stable/permanent housing	≥95%	99%
Clients engaged in a purposeful activity	≥95%	100%
Clients discharged to a higher level of care	≤15%	11%
Clients discharged to a lower level of care	≥85%	89%
Clients with a new, out-of-primary home placement	≤5%	7%
# CANS (%) completed	100%	100%
# of PSC's (%) completed	100%	100%
Strengthening Families		
Clients Served (Adults)	n/a	38/quarter
Clients Served (Children)	n/a	40/quarter
Families Served	n/a	33/quarter
# of English Series Classes (1 series = 10 classes/sessions)		1/quarter
# of Spanish Series Classes (1 series = 10 classes/sessions)		2/quarter
# of Pre-Surveys collected		424
# of Post-Surveys collected		183
Child Care Provided for all Sessions?		25%
Meals Provided for all Sessions?		50%
Step-Down Supported Housing		
Clients Served	n/a	12/quarter
Clients incarcerated / juvenile hall	≤5%	0

Clients admitted to acute psychiatric inpatient care	≤5%	2%
Clients receiving emergency care for physical health	≤10%	16%
Clients hospitalized for physical health	≤10%	2%
# of clients with stable housing	≥95%	96%
# of clients engaged in a purposeful activity	≥40%	88%
Of those who discharged (#dc = denominator): what % transitioned to higher level of care?	≤15%	13%
Of those who discharged (#dc = denominator): what % transitioned to lower level of care?	≥85%	88%
% of clients referred to SUD or MH treatment services	50%	80%
% of clients initiating treatment	60%	77%
% of clients referred to other services	50%	72%
% clients obtaining permanent housing	75%	17%
Maintain a 20-client caseload at any one time		12/quarter

According to the narrative collected from FSA’s quarterly reports, some programs did not meet the goal for clients discharged to a lower level of care because some clients discontinued treatment without completing the program. Not every program met the goal of 5% or less clients with new out-of-primary home placements; this is due in large part to referrals being received shortly after clients have been removed from their home. These clients are in emergency placement when FSA begins providing services and subsequently transition to longer term placement. For Step-Down Supported Housing, some challenges with clients obtaining permanent housing were due to: (1) an extended process for receiving vouchers for permanent housing, which has contributed to fewer clients graduating from Step Down Housing; and (2) the program has not yet reached 20 clients and is receiving a low number of referrals due to eligibility requirements under CREDO-47 and changes in arrests due to COVID.

Fiscal and Facilities Impacts:

Budgeted: Yes

Fiscal Analysis:

Funding Sources	FY 21-22	FY 22-23	FY 23-24
General Fund			
State	\$ 1,007,394.00	\$ 1,007,394.00	\$ 1,007,394.00
Federal	\$ 1,033,124.00	\$ 1,033,124.00	\$ 1,033,124.00
Fees			
Other:	\$ 119,534.00	\$ 46,124.00	
Total	\$ 2,160,052.00	\$ 2,086,642.00	\$ 2,040,518.00
Grand Total			\$ 6,287,212.00

Narrative: The above-referenced contract is funded by State and Federal funds. The funding sources are included in the FY 21-22 and FY 22-23 Adopted Budgets.

Special Instructions:

Please send one (1) Minute Order to bethle@sbcbswell.org and bwelcontractsstaff@sbcbswell.org.

Attachments:

Attachment A: FSA FY 21-24 First Amendment

Attachment B: FSA FY 21-24 Board Contract executed (BC #21-059)

Authored by:

B. Le