

Attachment A



September 24, 2020

Michael Dyer
Technical Specialist
Santa Barbara County
4408 Cathedral Oaks Road
Santa Barbara, CA 93110

Subject: **Notification of Subapplication Approval**
Hazard Mitigation Grant Program
FEMA-4431-DR-CA, Project #PL0716, FIPS #083-00000

Dear Mr. Dyer:

The California Governor's Office of Emergency Services (Cal OES) received notification that the Federal Emergency Management Agency (FEMA) has approved your organization's subaward application in the amount of **\$225,000.00**. A copy of the FEMA award package is enclosed for your records. In order to receive payment as a grant subrecipient, your organization must have the following on file with the Recovery Grants Processing Unit:

- A valid, current (approved within the last 3 years) Governing Body Resolution
- A Project Assurances for Federal Assistance agreement
- A Supplemental Grant Subaward Information sheet
- A current Federal Funding Accountability and Transparency Act (FFATA) Financial Disclosure form. This form must be submitted each fiscal year.
- An active DUNS Number registration with the federal System for Award Management (SAM) website. The registration must remain active for the duration of this grant subaward.

For your convenience, this subapplication approval package includes the required post-obligation documents as well as guides to completing and renewing a SAM registration. Please complete the documents and mail copies to the address listed at the end of this letter, keeping the originals with your records. Alternatively, you may scan and email the completed documents to the Recovery Grants Processing Unit at HMGrantsPayments@CalOES.ca.gov. Electronic copies of the post-obligation documents can also be requested at the same address.



Mr. Dyer
September 24, 2020
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Payments will be made on a reimbursement basis using the enclosed Hazard Mitigation Reimbursement Request Form. A ten percent (10%) retention will be withheld from all reimbursement payments and will be released as part of the subaward closeout process.

Reimbursements can be made only for items listed on the approved subaward application. Expenditures for any other work should be separately maintained and are the sole responsibility of the subrecipient. Any funds received in excess of current needs or approved amounts, or those found owed as a result of a final inspection or audit, must be refunded to the State within 30 days of receipt of an invoice from Cal OES.

When mailing documents to the Recovery Grants Processing Unit, please use the following address:

California Governor's Office of Emergency Services
Attention: Recovery Grants Processing Unit
3650 Schriever Avenue
Mather, CA 95655

For further assistance regarding post-obligation documents or the reimbursement request process, please contact the Recovery Grants Processing Unit at (916) 845-8110. For program-related questions, please contact the Hazard Mitigation Grants Programs Unit at (916) 845-8150.

Recovery Grants Processing Unit

Enclosures

c: Applicant's File

*The Recovery Grants Processing Unit has the County's universal Resolution No. 19-62, passed on 03/12/19, on file. A copy of the resolution is included in this package for your review. With the permission of an Authorized Agent, the resolution can be applied to this project.



3650 SCHRIEVER AVENUE • MATHER, CA 95655
RECOVERY GRANTS PROCESSING UNIT
(916) 845-8110



FEMA

September 18, 2020

Received
Sep 18 2020
718172
Grants Processing Unit

Mark Ghilarducci
Governor's Authorized Representative
Governor's California Office of Emergency Services
3650 Schriever Avenue
Mather, California 95655

Reference: Application Approval
HMGP-4431-716-18P Santa Barbara County
7% Hazard Mitigation Plan Update
Supplement #3 FIPS #083-00000

Dear Mr. Ghilarducci:

We have approved and obligated Hazard Mitigation Grant Program (HMGP) funds for the above-referenced Local Hazard Mitigation Plan Update.

The total eligible costs is \$300,000. As shown in the enclosed Supplement #3 Obligation Report, we have obligated \$225,000 federal share reimbursement of eligible costs. These funds are now available in Smartlink for eligible disbursements, and this approval is based on the following:

This HMGP approval is subject to the following:

1. These funds are obligated based on the Subrecipient's SOW, including the project schedule and budget. The Subrecipient intends to submit a FEMA approved Local Hazard Mitigation Plan that meets the requirements of the Disaster Mitigation Act of 2000.
2. The award of funds is subject to the enclosed Standard Hazard Mitigation Grant Program Conditions, amended August 2018. Federal funds may be de-obligated for work that does not comply with these conditions.
3. The project schedule in the application identified that all work will be completed in 31 months or by April 18, 2023. Please be advised that all federal funds may be de-obligated for work that is not completed within schedule, and for which no extension is approved.

September 18 , 2020

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4. In compliance with the National Environmental Policy Act (NEPA), this undertaking is categorically excluded (CE) from the need to prepare either an environmental assessment or environmental impact statement.

If you have any questions or need further assistance please contact Aaron Lim, Hazard Mitigation Assistance Specialist, at (510) 627-7036 or aaron.lim@fema.dhs.gov.

Sincerely,



Digitally signed by
ROBERT P MCCORD
Date: 2020.09.18
11:33:47 -07'00'

Robert McCord
HMA Branch Chief
Mitigation Division
FEMA Region IX

Enclosures (3):

NEMIS Supplement #3 Obligation Report
NEMIS Project Management Report
Standard HMGP Conditions

cc: Anthony Roggio, Cal OES
Emily Winchell, Cal OES
Robin Shepard, Cal OES
Monika Saputra, Cal OES
Jamila Arulraj, Cal OES

09/17/2020
15:16

FEDERAL EMERGENCY MANAGEMENT AGENCY
HAZARD MITIGATION GRANTS PROGRAM
Obligation Report w/ Signatures

HMGP-OB-02

| Disaster No | FEMA Project No | Amendment No | State Application ID | Action No | Supplemental No | State | Recipient |
|-------------|-----------------|--------------|----------------------|-----------|-----------------|-------|-----------|
| 4431 | 18 -P | 0 | 716 | 1 | 3 | CA | Statewide |

Subrecipient: Santa Barbara (County)

Project Title : 7% Santa Barbara County MJHMP Update

Subrecipient FIPS Code: 083-99083

| Total Amount Previously Allocated | Total Amount Previously Obligated | Total Amount Pending Obligation | Total Amount Available for New Obligation |
|-----------------------------------|-----------------------------------|---------------------------------|---|
| \$225,000.00 | \$225,000.00 | \$0.00 | \$0.00 |

| Project Amount | Subrecipient Management Cost Amount | Total Obligation | IFMIS Date | IFMIS Status | FY |
|----------------|-------------------------------------|------------------|------------|--------------|------|
| \$225,000.00 | \$0.00 | \$225,000.00 | 09/17/2020 | Accept | 2020 |

Comments

Date: 09/17/2020 User Id: SSCOTT39

Comment: Approved funding for Multi Jurisdictional HM Plan

Authorization

Preparer Name: STEVEN SCOTT

Preparation Date: 09/17/2020

HMO Authorization Name: KAREN MOJICA

HMO Authorization Date: 09/17/2020



Digitally signed by ROBERT P MCCORD
Date: 2020.09.18 11:34:30 -07'00'

Authorizing Official Signature

Authorizing Official Title

Authorization Date

Authorizing Official Signature

Authorizing Official Title

Authorization Date

09/17/2020
3:29 PM

FEDERAL EMERGENCY MANAGEMENT AGENCY
HAZARD MITIGATION GRANT PROGRAM

HMGP-AP-01

Project Management Report

| Disaster Number | FEMA Project Number | Amendment Number | App ID | State | Recipient |
|-----------------|---------------------|------------------|--------|-------|-----------|
| 4431 | 18-P | 0 | 716 | CA | Statewide |

Subrecipient: Santa Barbara (County)

FIPS Code: 083-99083

Project Title : 7% Santa Barbara County MJHMP Update

Mitigation Project Description

Amendment Status : Approved

Approval Status: Approved

Project Title : 7% Santa Barbara County MJHMP Update

Recipient : Statewide

Subrecipient : Santa Barbara (County)

Recipient County Name : Santa Barbara

Subrecipient County Name : Santa Barbara

Recipient County Code : 83

Subrecipient County Code : 83

Recipient Place Name : Santa Barbara (County)

Subrecipient Place Name : Santa Barbara (County)

Recipient Place Code : 0

Subrecipient Place Code : 99083

Project Closeout Date : 00/00/0000

Work Schedule Status

| Amend # | Description | Time Frame | Due Date | Revised Date | Completion Date |
|---------|--|------------|------------|--------------|-----------------|
| 0 | Procure consultant and RFP process | 3m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Build planning teams - MAC (Steering) and LPT | 2m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Develop outreach strategy - stakeholders, public | 3m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Review capabilities | 1m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Hazards Identification | 2m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Risk Assessment | 2m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Review and update mitigation strategy | 3m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Develop maintenance plan | 1m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Draft final plan | 3m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Cal OES/FEMA Review/Revisions | 6m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Local Plan Adoption | 2m | 00/00/0000 | 00/00/0000 | 00/00/0000 |
| 0 | Grant Close-out | 3m | 00/00/0000 | 00/00/0000 | 00/00/0000 |

Approved Amounts

| Total Approved Net Eligible | Federal Share Percent | Total Approved Federal Share Amount | Non-Federal Share Percent | Total Approved Non-Fed Share Amount |
|-----------------------------|-----------------------|-------------------------------------|---------------------------|-------------------------------------|
| \$300,000.00 | 75.000000000 | \$225,000.00 | 25.000000000 | \$75,000.00 |

Allocations

| Allocation Number | IFMIS Status | IFMIS Date | Submission Date | FY | ES/DFSC Support Req | ES/DFSC Amend Nr | Proj Alloc Amount Fed Share | Subrecipient Management Cost | Total Alloc Amount |
|-------------------|--------------|------------|-----------------|------|---------------------|------------------|-----------------------------|------------------------------|--------------------|
| 3 | A | 09/17/2020 | 09/17/2020 | 2020 | 2976401 | 3 | \$225,000.00 | \$0.00 | \$412,365.00 |
| Total | | | | | | | \$225,000.00 | \$0.00 | \$412,365.00 |

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FEDERAL EMERGENCY MANAGEMENT AGENCY
HAZARD MITIGATION GRANT PROGRAM

HMGP-AP-01

Project Management Report

| Disaster Number | FEMA Project Number | Amendment Number | App ID | State | Recipient |
|-----------------|---------------------|------------------|--------|-------|-----------|
| 4431 | 18 - P | 0 | 716 | CA | Statewide |

Subrecipient: Santa Barbara (County)

FIPS Code: 083-99083

Project Title : 7% Santa Barbara County MJHMP Update

Obligations

| Action Nr | IFMIS Status | IFMIS Date | Submission Date | FY | SFS Support Rec ID | SFS Amend Number | Suppl Nr | Project Obligated Amt - Fed Share | Subrecipient Management Cost | Total Obligated Amount |
|-----------|--------------|------------|-----------------|------|--------------------|------------------|----------|-----------------------------------|------------------------------|------------------------|
| 1 | A | 09/17/202 | 09/17/2020 | 2020 | 3142227 | 0 | 3 | \$225,000.00 | \$0.00 | \$225,000.00 |
| Total | | | | | | | | \$225,000.00 | \$0.00 | \$225,000.00 |

Standard Mitigation Grant Program (HMGP) Conditions

FEMA Region IX, August, 2018

The following list applies to Recipients and Subrecipients accepting HMGP funds from the Federal Emergency Management Agency (FEMA) of the Department of Homeland Security (DHS):

1. **Applicable Federal, State, and Local Laws and Regulations.** The Recipient/Subrecipient must comply with all applicable Federal, State, and Local laws and regulations, regardless of whether they are on this list or other project documents. DHS financial assistance Recipients and Subrecipients are required to follow the provisions of the State HMGP Administrative Plan, applicable Hazard Mitigation Assistance Uniform Guidance, and Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards located in Title 2 of the Code of Federal Regulations (CFR) Part 200, adopted by DHS in 2 CFR 3002.
2. **Financial Management Systems.** The Recipient and Subrecipient must maintain financial management systems to account for and track funds, as referenced in 2 CFR 200.302.
3. **Match or Cost Share.** Non-federal match or cost share must comply with 2 CFR 200.306, the scope of work (SOW), and any agreements among the Subrecipient, the Recipient, and FEMA.
4. **Budget Changes.** Unanticipated adjustments are permitted within the approved total cost. However, if costs exceed the federal share, the Subrecipient must notify the Governor's Authorized Representative (GAR) of overruns before implementation. The GAR shall submit a written request for approval to FEMA Region IX. The subaward must continue to meet HMGP requirements, including cost effectiveness and cost share. Refer to 2 CFR 200.308 for additional information.
5. **Real Property and Land.** The acquisition, use, and disposition must comply with 2 CFR 200.311.
6. **Equipment.** The acquisition, use, and disposition must comply with 2 CFR 200.313.
7. **Supplies.** Upon project completion, FEMA must be compensated for unused supplies, exceeding \$5,000 (fair market value), and not needed for other federal programs. Refer to 2 CFR 200.314.
8. **Procurement.** Procurement procedures must be in conformance with 2 CFR 200.318-320.
9. **Monitoring and Reporting Program Performance.** The Recipient and Subrecipient must submit quarterly progress reports, as referenced in the 2 CFR 200.328 and State HMGP Administrative Plan.
10. **Records Retention.** In accordance with 2 CFR 200.333, financial/ programmatic records related to expenditures must be maintained at least 3 years after the date of Recipient's final expenditure report.
11. **Enforcement and Termination.** If the Recipient or Subrecipient fails to comply with the award or subaward terms, whether stated in a Federal statute or regulation, the State HMGP Administrative Plan, subapplication, a notice of award, an assurance, or elsewhere, FEMA may take one or more of the actions outlined in 2 CFR 200.338, including termination or partial termination of the award or subaward outlined in 2 CFR 200.339.
12. **Allowable Costs.** Funds are to be used for allowable costs in compliance with 2 CFR 200.403, the approved SOW, and any agreements among the Subrecipient, Recipient, and FEMA.

13. **Non-Federal Audit.** The Recipient and Subrecipient are responsible for obtaining audits in accordance with the Single Audit Act of 1984, in compliance with 2 CFR 200.501.
14. **Debarred and Suspended Parties.** Recipients and Subrecipients are subject to the non-procurement debarment and suspension regulations implementing Executive Orders 12549 and 12689, and 2 CFR 180. These regulations restrict federal financial assistance awards, subawards, and contracts with parties that are debarred, suspended, or otherwise excluded from or ineligible for participation in the federal assistance programs or activities.
15. **Equipment Rates.** Rates claimed for use of Subrecipient-owned equipment in excess of the FEMA-approved rates must be approved under State guidelines issued by the State Comptroller's Office or must be certified by the Recipient to include only those costs attributable to equipment usage less any fixed overhead and/or profit.
16. **Duplication of Funding between Public Assistance (PA) and HMGP.** Funding for PA Section 406 and HMGP Section 404 are permitted on the same facility/location, but the activities identified under each program must be distinct with separately accounted funds. At closeout, FEMA may adjust the funding to ensure the Subrecipient was reimbursed for eligible work from only one funding source.
17. **Historic Properties and Cultural Resources.** In compliance with 2 CFR 800, if a potential historic property or cultural resource is discovered during construction, the Subrecipient must cease work in the area and take all reasonable measures to avoid or minimize harm to the discovered property/resource. During construction, the Subrecipient will monitor ground disturbance activity, and if any potential archeological resources are discovered, will immediately cease work in that area, and notify the Recipient and FEMA. Construction in the area may resume with FEMA's written approval after FEMA's consultation, if applicable, with the State Historic Preservation Officer (SHPO).
18. **NEPA and Changes to the Scope of Work (SOW).** To comply with the National Environmental Policy Act (NEPA), and other Laws and Executive Orders, any change to the approved SOW shall be re-evaluated before implementation. Construction associated with a SOW change, prior to FEMA approval, may be ineligible for funding. Acceptance of federal funding requires environmental permits and clearances in compliance with all appropriate federal, state and local laws, and failure to comply may jeopardize funding.

Within their authority, the Recipient and Subrecipient must use of all practicable means, consistent with other essential policies, to create and maintain productive harmony for people and nature, and fulfill the social, economic, and other needs of present and future generations of Americans.

DESIGNATION OF APPLICANT'S AGENT RESOLUTION

BE IT RESOLVED BY THE Board of Supervisors OF THE County of Santa Barbara
(Governing Body) (Name of Applicant)

- THAT
- County Executive Officer, OR
(Title of Authorized Agent)
 - Assistant County Executive Officer, OR
(Title of Authorized Agent)
 - Director of Emergency Management Office of Emergency Management, OR
(Title of Authorized Agent)
 - Business Manager, County Executive Office, OR
(Title of Authorized Agent)
 - County Auditor-Controller, OR
(Title of Authorized Agent)
 - Assistant Auditor-Controller, OR
(Title of Authorized Agent)
 - Director, Public Works Department, OR
(Title of Authorized Agent)
 - Deputy Director, Public Works Department, OR
(Title of Authorized Agent)
 - Road Commissioner
(Title of Authorized Agent)

is hereby authorized to execute for and on behalf of the County of Santa Barbara, a public entity
(Name of Applicant)
established under the laws of the State of California, this application and to file it with the California Governor's Office of Emergency Service for the purpose of obtaining certain federal financial assistance under Public Law 93-288 as amended by the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1988, and/or state financial assistance under the California Disaster Assistance Act.

THAT the County of Santa Barbara, a public entity established under the laws of the State of California,
(Name of Applicant)
hereby authorizes its agent(s) to provide to the California Governor's Office of Emergency Service for all matters pertaining to such state disaster assistance the assurances and agreements required.

Please check the appropriate box below:

- This is a universal resolution and is effective for all open and future Disasters/Grants up to three (3) years following the date of approval below.
- This is a Disaster/Grant specific resolution and is effective for only Disaster/Grant name/number(s) _____

Passed and approved this 12th day of March, 2019

Santa Barbara County Board of Supervisors: Lavagnino, Hart, Williams, Hartmann, Adam
(Name and Title of Governing Body Representative)

CERTIFICATION

I, Michael Allen, duly appointed and Chief Deputy Clerk of the Board of
(Name) (Title)
The County of Santa Barbara, do hereby certify that the above is a true and correct copy of a
(Name of Applicant)
Resolution passed and approved by the Board of Supervisors of the County of Santa Barbara
(Governing Body) (Name of Applicant)
on the 12th day of March, 2019.

[Signature] Chief Deputy Clerk of the Board
(Signature) (Title)

BOARD OF SUPERVISORS
OF THE COUNTY OF SANTA BARBARA

A RESOLUTION IN THE MATTER OF
DESIGNATING THOSE AUTHORIZED
TO SEEK FINANCIAL ASSISTANCE
FOR EMERGENCY OR DISASTER
RESPONSE AND RECOVERY FUNDS

Resolution No. 19-62

WHEREAS, the California Office of Emergency Services (CalOES) requires the designation of Authorized Agents to execute for and on behalf of the County of Santa Barbara for the purpose of obtaining certain federal financial assistance under Public Law 93-288 as amended by the Stafford Act of 1988, and/or state financial assistance under the California Disaster Assistance Act;

NOW, THEREFORE BE IT, AND HEREBY RESOLVED, that the positions listed below are hereby designated as Authorized Agents and are authorized to execute for and on behalf of Santa Barbara County; a public entity established under the laws of the State of California, any actions necessary for the purpose of obtaining certain federal financial assistance under Public Law 93-288 as amended by the Stafford Act of 1988, and/or state financial assistance under the California Disaster Assistance Act for emergency or disaster recovery and relief.

County Executive Officer

Assistant County Executive Officer

Director of Emergency Management, Office of Emergency Management

Business Manager, County Executive Office

County Auditor-Controller

Assistant Auditor-Controller

Director, Public Works Department

Deputy Director, Public Works Department

Road Commissioner

PASSED AND ADOPTED by the Board of Supervisors of the County of Santa Barbara, State of California, this day 12th of March, 2019, by the following vote (majority vote required):

AYES: Supervisors Williams, Hart, Hartmann, Adam, and Lavagnino

NOES: None
FOR

ABSENT: None

ABSTAIN: None


STEVE LAVAGNINO, CHAIR
COUNTY OF SANTA BARBARA

ATTEST:
MONA MIYASATO
CLERK OF THE BOARD

By: 
Deputy

APPROVED AS TO FORM:
MICHAEL C. GHIZZONI
COUNTY COUNSEL

By: 
Deputy

APPROVED AS TO FORM:
BETSY M. SCHAEFFER, CPA
AUDITOR-CONTROLLER

By: 
Deputy

APPROVED AS TO FORM:
ROBERT LEWIN
DIRECTOR, OFFICE OF EMERGENCY MANAGEMENT

By: 
Deputy