

The David and Lucile Packard Foundation

Office of the President

July 28, 2020

Wendy Sims-Moten
Executive Director
Children and Families Commission of Santa Barbara County
5385 Hollister Avenue
Building 10, Suite 10
Santa Barbara, California 93111

Re: Grant #2020-71195

Dear Wendy Sims-Moten:

It is a pleasure to inform you that the David and Lucile Packard Foundation has approved a grant in the amount of \$200,000 to Children and Families Commission of Santa Barbara County ("the Grantee"). This grant is to provide funding to nine family resource centers in Santa Barbara County to assist families with COVID-19 needs, as described in your letter and proposal received on July 8, 2020.

Enclosed is the Grant Agreement containing the terms and conditions governing your use of our grant funds. Please read it carefully and return all pages. The Grant Agreement must be signed and dated by an officer, director, trustee, or other legally authorized representative of the Grantee. As part of our commitment to reducing paper usage we would prefer that you send your **signed** Grant Agreement via your Grant Site or an **email to compliance@packard.org**. If you are unable to submit your signed Grant Agreement online, please return a signed hard copy to the **attention of Compliance**. The Foundation will not make payments on this grant until we receive all pages of the signed Grant Agreement. Please keep a copy for your files.

Please see the Forms/Agreements section of your Grant Site to find the Wire Form to be completed and submitted prior to payment.

The Grant Agreement contains a payment schedule; if this presents any significant difficulties for you or if you have any questions about this grant, please contact your program officer, Jeff Sunshine, as soon as possible. **In all correspondence with us, please refer to Grant #2020-71195.**

The Foundation Trustees, Jeff Sunshine, and I are pleased to be able to assist you in your work. We wish you success and look forward to hearing from you.

Kind regards,



Craig Neyman
Interim President and CEO

CN/aa

Enclosures: Grant Agreement
Grantee Experience Standards

The David and Lucile Packard Foundation

GRANT AGREEMENT

This Grant Agreement contains the terms and conditions for Grant #2020-71195 in the amount of \$200,000 from the David and Lucile Packard Foundation to Children and Families Commission of Santa Barbara County (“the Grantee”), dated July 28, 2020. By signing this Grant Agreement and accepting grant funds, an officer, director, trustee, or other legally authorized representative of the Grantee who is legally authorized to enter into this Grant Agreement agrees to the obligations and conditions, and makes the representations set forth below.

Purpose of Grant

This grant is to provide funding to nine family resource centers in Santa Barbara County to assist families with COVID-19 needs, as described in the Grantee’s letter and proposal received on July 8, 2020. **The Grantee may not use the funds for any other purpose unless written permission has been received from the Foundation. Any portion of the grant funds not committed to the purposes of the grant must be returned to the Foundation.** The Grantee must inform the Foundation if there are significant changes in the purposes of the grant, the use of grant funds, or personnel who are important to accomplishing the purposes of the grant or if the grant funds cannot be expended in the time period set forth in the grant proposal.

The Grantee confirms that it retains full discretion and control over the process of selecting any persons or organizations (researchers, employees, independent contractors, secondary grantees, conference participants, consultants, vendors, or suppliers) or any equipment, supplies, or products, to carry out the purposes of this grant, completely independently of the Foundation. The Grantee confirms that there is no agreement, either written or oral, that the Foundation can cause the selection of particular persons or organizations or direct the use of grant funds for any particular expenditures.

The Grantee certifies that all materials uploaded to the Grantee’s Grant Site or submitted to the Foundation by other means, by the Grantee’s employees or authorized representatives, contain true and accurate representations regarding the Grantee and Grant #2020-71195.

Organizational Tax Status

The Grantee certifies that it is not a private foundation as defined by Internal Revenue Code Section 509(a). The Grantee will advise the Foundation immediately if its tax-exempt status or non-private foundation classification changes.

Payment Schedule

While this grant is to be restricted/intended for use for the purposes described in the grant proposal, this grant award shall not be deemed to be contingent/conditioned upon the accomplishment of any specific, measurable barrier.

This grant is scheduled to be paid as follows:

Payment	Date	Amount
1	July 2020	\$200,000

The David and Lucile Packard Foundation

Payment dates are subject to change. The Grantee will be notified on the Grantee's Grant Site if additional reports are requested.

If the payment schedule presents any significant difficulties for you, please contact Jeff Sunshine. Please refer to **Grant #2020-71195** in any correspondence with the Foundation.

Required Records

The Grantee will maintain books and records of the grant funds received and the expenses incurred, as required by the Internal Revenue Code and Treasury Regulations, until all grant requirements have been fulfilled. The Grantee will make its books and records available to the Foundation on request, within a reasonable time.

Attempts to Influence Legislation

The Grantee confirms that the grant is not earmarked, within the meaning of Treasury Regulation Section 53.4945-2(a)(5)(1), to be used in an attempt to influence legislation.

Prohibited Uses

Grants from the Foundation are subject to certain restrictions set forth in the Internal Revenue Code and Treasury Regulations. The Grantee will not use any grant funds:

- A. To influence the outcome of any specific public election or to carry on, directly or indirectly, any voter registration drive (within the meaning of Internal Revenue Code Section 4945(d)(2)).
- B. For any grant which does not comply with the requirements of Internal Revenue Code Section 4945(d)(4) (relating to grants to organizations other than public charities).
- C. For purposes other than charitable, scientific, or educational, within the meaning of Internal Revenue Code Section 170(c)(2)(B).
- D. For purposes other than those stated in this Grant Agreement. In addition, this grant is made exclusively to the Grantee and may not be transferred or assigned to another organization or person.

Unless permitted by law, the Grantee shall ensure that no Foundation funds are used for services or other activities involving any: (a) countries or territories that are the target of comprehensive sanctions by the United States government (each a "Sanctioned Country"); (b) individuals or entities (collectively, "persons") that are located, organized, or ordinarily resident in a Sanctioned Country; (c) persons that are the target of applicable laws and regulations related to trade and economic sanctions ("Sanctions"), including persons (i) designated on the United States Department of the Treasury's Office of Foreign Assets Control's Specially Designated Nationals ("SDN") and Blocked Persons List or (ii) owned, fifty percent or more, in aggregate, by one or more SDNs; or (d) otherwise in violation of Sanctions, including with respect to prohibited travel to and from Sanctioned Countries.

Compliance with Laws

In connection with the activities to be funded under this grant, the Grantee represents and agrees that the Grantee has complied with and will continue to comply with all relevant applicable laws and regulations

The David and Lucile Packard Foundation

of the countries, states, and local jurisdictions in which such activities are conducted, including but not limited to the Foreign Corrupt Practices Act and other applicable anti-corruption laws and regulations.

Grantor's Rights

The Foundation may include information on this grant in its periodic public reports and may also refer to this grant in a press release. By accepting these grant funds, the Grantee agrees to such disclosure. The Foundation reserves the right to discontinue, modify, or withhold any payments due under this grant, or to require repayment of any unexpended grant funds if necessary, in the Foundation's judgment, to comply with any law or regulation applicable to this grant. In this event, the Foundation will notify the Grantee in writing and provide an opportunity to respond.

Grantor

The David and Lucile Packard Foundation

By: 

Effective Date: July 28, 2020

Craig Neyman
Interim President and CEO

Grantee

Read and agreed to by: **Children and Families Commission of Santa Barbara County / Grant #2020-71195**

Please return all pages of the Grant Agreement. This Agreement must be signed and dated by an officer, director, trustee, or other legally authorized representative of the Grantee.

By signing, I represent that I am legally authorized to sign on behalf of the Grantee.

By:  Date: Aug. 3, 2020
(Signature)

Printed Name: Michelle Robertson for Wendy Sims Moten

Title: Assistant Director Executive Director

The David and Lucile Packard Foundation

GRANTEE EXPERIENCE STANDARDS

The Packard Foundation is committed to establishing and maintaining effective relationships with our grantee partners. We strive to deliver on the following Grantee Experience Standards (GES) and continually monitor our efforts to meet them:

- **Respect** – Grantees feel Foundation staff value grantee expertise and time, and approach funder-grantee power dynamics with humility and openness.
- **Information** – Grantees feel Foundation staff are thoughtful about asking for and sharing information, data, and feedback, and are transparent about how and why it is used.
- **Engagement** – Grantees feel they have opportunities to meaningfully engage in two-way dialogue with Foundation staff about our shared work.
- **Process** – Grantees feel they understand the Foundation’s grant requirements and processes.
- **Strategy** – Grantees feel they understand how their work connects to the Foundation’s strategy, how strategies evolve over time, and how to share input on those strategies.
- **Responsiveness** – Grantees feel Foundation staff provide timely responses to grantee questions.

We encourage grantee partners to communicate directly, and often, with program staff to provide feedback on any part of the grantmaking experience. If you would like to provide feedback to us directly, please email your comments to feedback@packard.org.

Those who would prefer to submit confidential, anonymous feedback are invited to visit EthicsPoint, a third-party ombudsman, for reporting options: <https://secure.ethicspoint.com/domain/media/en/gui/12445/index.html>