



January 7, 2022

Joyce Dudley, District Attorney  
Santa Barbara County  
1112 Santa Barbara Street  
Santa Barbara, CA 93101-2008

Subject: NOTIFICATION OF APPLICATION APPROVAL  
Elder Abuse Program  
Subaward #: XE21 04 0420, Cal OES ID: 083-00000

Dear Ms. Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$118,172, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at [www.caloes.ca.gov](http://www.caloes.ca.gov).

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file



## SPECIAL CONDITION

Grant Subaward No. XE21040420 is hereby approved with the following condition:

- The 2019 VOCA fund in the amount of \$58,487 must be expended by 07/31/2022 and a final 2-201 must be received by CalOES by 08/31/2022.
- Copies of all required Operational Agreements per the XE21 RFP must be submitted to your program specialist within 90 days of receipt of your executed Grant Subaward Agreement.

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the Grant Subaward and/or the denial of future grant funds.



(Cal OES Use Only)

Cal OES #	083-00000-16	FIPS #	083-00000	VS#		Subaward #	XE21040420
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## CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES

### GRANT SUBAWARD FACE SHEET

SMC

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

1. **Subrecipient:** Santa Barbara County **1a. DUNS#:** 131851219 ✓ RSC
2. **Implementing Agency:** Santa Barbara County Office of the District Attorney **2a. DUNS#:** 131851219 RSC
3. **Implementing Agency Address:** 1112 Santa Barbara Street Santa Barbara 93101-2008  
(Street) (City) (Zip+4)
4. **Location of Project:** Santa Barbara Santa Barbara 93101-2008  
(City) (County) (Zip+4)
5. **Disaster/Program Title:** ~~SE~~ Elder Abuse Program **6. Performance Period:** 1/1/2022 **to** 12/31/2022  
(Start Date) (End Date)
7. **Indirect Cost Rate:** 10% de minimis **Federally Approved ICR (if applicable):** \_\_\_\_\_ %

Item Number	Grant Year 2020	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2019	VOCA		\$17,785					\$17,785
9.	2019	VOCA		\$58,487					\$58,487
10.	2021	VCGF	\$41,900	\$41,900					\$41,900
11.	Select	Select							
12.	Select	Select							
<b>Total</b>	<b>Project</b>	<b>Cost</b>	\$41,900	\$118,172	\$118,172				\$118,172

13. **Certification** - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. **CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

15. **Official Authorized to Sign for Subrecipient:**

Name: Joyce E. Dudley Title: District Attorney

Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008

Signature: Joyce E. Dudley Date: \_\_\_\_\_

16. **Federal Employer ID Number:** 956002833

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(FOR Cal OES USE ONLY)

I hereby certify, upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

Sara Stillwell 1/6/2022 Heather Carlson 1/6/2022  
(Cal OES Director or Designee) (Date) (Cal OES Director or Designee) (Date)

ENY: 2021-22 Chapter: 21 SL: 18400  
Item: 0690-102-0890 Pgm: 0385  
FAIN #: 2020-V2-GX-0031 10/01/19-09/30/23  
Fund: Federal Trust AL#: 16.575  
Program: Transitional Housing Program  
Match Req.: 20%, C/IK based on TPC- Match Waived  
Project ID: OES20VOCA000012  
SC: 2021-18400 Amount: \$17,785

ENY: 2021-22 Chapter: 21 SL: 18409  
Item: 0690-102-0890 Pgm: 0385  
FAIN #: 2019-V2-GX-0053 10/01/18-09/30/22  
Fund: Federal Trust AL#: 16.575  
Program: Transitional Housing Program  
Match Req.: 20%, C/IK based on TPC- Match Waived  
Project ID: OES19VOCA000012  
SC: 2021-18409 Amount: \$58,487

DS  
JHDS  
DSML#: 744408  
received 10/20/21

ENY: 2021-22 Chapter: 21 SL: 14019  
Item: 0690-105-0001 Pgm: 0385  
Fund: General Fund  
Program: Transitional Housing Program  
Match Req.: None  
Project ID: : OES21VCGFSUPP00  
SC: 2021-14019 Amount: \$41,900

✓

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

**1. Cal OES Contact Information Section:**

Governor's Office of Emergency Services  
Mark S. Ghilarducci, Director  
3650 Schriever Avenue  
Mather, CA 95655  
(916) 845-8506 (phone)

**2. Federal Awarding Agency Section:**

<b>Fund Year</b>	<b>Federal Program Fund / AL#</b>	<b>Federal Awarding Agency</b>	<b>Total Federal Award Amount</b>	<b>Total Local Assistance Amount</b>
2019	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$266,680,824	\$256,013,591
2020	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$195,905,619	\$188,069,394
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

**3. Project Description Section:**

- Project Acronym (Please choose from drop down):  
Elder Abuse Program (XE)
- Project Description (Please type the Project Description):  
Provides services to expand the multidisciplinary community response to elder and dependent adult abuse.

**4. Research & Development Section:**

- Is this Subaward a Research & Development grant?  
Yes ☐ No ☒



## Grant Subaward Contact Information

Grant Subaward #: XE21 04 0420

Subrecipient: Santa Barbara County

1. **Grant Subaward Director:**

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

2. **Financial Officer:**

Name: Michael Soderman Title: Chief Financial Officer

Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

3. **Programmatic Point of Contact:**

Name: Megan Rheinschild Title: Victim Witness Program Director

Telephone #: (805) 568-2408 Email Address: mriker@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

4. **Financial Point of Contact:**

Name: Michael Soderman Title: Chief Financial Officer

Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

5. **Executive Director** of a Non-Governmental Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

6. **Official Designee**, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce Dudley Title: District Attorney

Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us

Address/City/ Zip Code (9-digit): 1112 Santa Barbara Street, Santa Barbara, CA 93101-2008

7. **Chair** of the **Governing Body** of the Subrecipient:

Name: Bob Nelson Title: Chair, Board of Supervisors

Telephone #: (805) 346-8407 Email Address: bob.nelson@countyofsb.org

Address/City/ Zip Code (9-digit): 105 East Anapamu, Santa Barbara, CA 93101





## Grant Subaward Signature Authorization

Grant Subaward #: XE21 04 0420

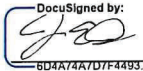
Subrecipient: Santa Barbara County

Implementing Agency: Santa Barbara County Office of the District Attorney

The **Grant Subaward Director** and **Financial Officer** are **REQUIRED** to sign this form.

### Grant Subaward Director:


Printed Name: Joyce Dudley

Signature:   
DocuSigned by: 6D4A74A7D7F4493...

Date: 10/15/2021

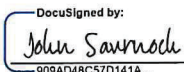
### Financial Officer:

Printed Name: Michael Soderman

Signature:   
DocuSigned by: 946746020FFC4B4...

Date: 10/15/2021

The following persons are authorized to sign for the **Grant Subaward Director**:

Signature:   
DocuSigned by: 909AD48C57D141A...

Printed Name: John Savrnach

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

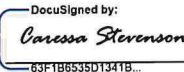
Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

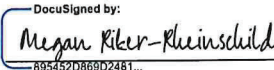
Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

The following persons are authorized to sign for the **Financial Officer**:

Signature:   
DocuSigned by: 63F1B6535D1341B...

Printed Name: Caressa Stevenson

Signature:   
DocuSigned by: 895452D866D2481...

Printed Name: Megan Rheinschild

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

**Grant Subaward Certification of Assurance of Compliance**  
Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program

Grant Subaward #: XE21 04 0420

Subrecipient: Santa Barbara County

I, Joyce Dudley (Official Designee; same person as Section 15 of the Grant Subaward Face Sheet) hereby certify that the above Subrecipient is responsible for reviewing the Subrecipient Handbook (SRH) and adhering to all of the Grant Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

**I. Federal Grant Funds – SRH Sections 14.005**

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure a single audit pursuant to Office of Management & Budget (OMB) Uniform Guidance 2 Code of Federal Regulations (CFR) Part 200, Subpart F and are allowed to allocate federal funds for the audit costs.

- ☒ Subrecipient expends \$750,000 or more in federal funds annually.  
☐ Subrecipient does not expend \$750,000 or more in federal funds annually

**II. Equal Employment Opportunity – SRH Section 2.025**

It is the public policy of the State of California to promote equal employment opportunity (EEO) by prohibiting discrimination or harassment in employment because of race, color, religion, religious creed (including religious dress and grooming practices), national origin, ancestry, citizenship, physical or mental disability, medical condition (including cancer and genetic characteristics), genetic information, marital status, sex (including pregnancy, childbirth, breastfeeding, or related medical conditions), gender, gender identity, gender expression, age, sexual orientation, veteran and/or military status, protected medical leaves (requested or approved for leave under the Family and Medical Leave Act or the California Family Rights Act), domestic violence victim status, political affiliation, and any other status protected by state or federal law.

**Subrecipients certify that they will comply with all state and federal requirements regarding EEO, nondiscrimination, and civil rights.**

EEO Officer: Henry Ventura

Title: Equal Opportunity Manager

Address: 1226 Anacapa Street, Santa Barbara, CA 93101-2008

Telephone Number: (805) 568-2805

Email Address: hventura@co.santa-barbara.ca.us



**III. Drug-Free Workplace Act of 1990 – SRH Section 2.030**

The State of California requires that every person or organization receiving a Grant Subaward or contract shall certify it will provide a drug-free workplace.

**IV. California Environmental Quality Act (CEQA) – SRH Section 2.035**

The California Environmental Quality Act (CEQA) (Public Resources Code, Section 21000 et seq.) requires all Cal OES-funded Subrecipients to certify compliance with CEQA. Subrecipients must certify they have completed, and will maintain on file, the appropriate CEQA compliance documentation.

**V. Lobbying – SRH Sections 2.040 and 4.105**

Grant Subaward funds, property, and funded positions must not be used for any lobbying activities. This includes, but is not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

**VI. Debarment and Suspension – SRH Section 2.045**

Subrecipients receiving federal funds must certify that they will adhere to Federal Executive Order 12549, Debarment and Suspension. The Subrecipient certifies that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency.

The Subrecipient certifies that it will not make any Second-Tier Subaward, or enter into any contract greater than \$25,000, with parties that are debarred, suspended, or otherwise excluded or ineligible for participation in Federal programs or activities.

**VII. Proof of Authority from City Council/Governing Board – SRH Section 1.055**

Subrecipients accept responsibility for and must comply with the requirement to obtain a signed resolution from governing body (e.g., County Board of Supervisors, City Council, or Governing Board) granting authority for the Subrecipient/Official Designee (see Section 3.030) to enter into a Grant Subaward (and applicable Grant Subaward Amendments) with Cal OES. It is agreed that any liability arising out of the performance of this Grant Subaward, including civil court actions for damages, shall be the responsibility of the Subrecipient. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also

agreed that Grant Subaward funds received from Cal OES shall not be used to supplant expenditures controlled by the governing board.

Subrecipients are required to obtain written authorization by the governing body (e.g., County Board of Supervisors, City Council, or Governing Board) granting authority for the Subrecipient/Official Designee (see Section 3.030) to enter into a Grant Subaward (and applicable Grant Subaward Amendments) with Cal OES. The Applicant is also required to maintain said written authorization on file and make readily available upon demand.

#### **VIII. Civil Rights Compliance – SRH Section 2.020**

The Subrecipient complies with all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

#### **IX. Federal Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program Special Conditions**

##### **1. Applicability of Part 200 Uniform Requirements**

The Subrecipient must comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and Subawards ("Subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the Subrecipient must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the Subrecipient must provide access, include performance measurement information, in addition to



the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the Subrecipient is to contact OJP promptly for clarification.

2. Compliance with DOJ Grants Financial Guide

The Subrecipient must to comply with the DOJ Grants Financial Guide. References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance.

3. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP authority to terminate award)

The Subrecipient must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipients, Subrecipients ("Subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the Subrecipient or of any Subrecipient.

The details of the Subrecipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by Subrecipients and Subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

4. Requirements related to System for Award Management and Universal Identifier Requirements

The Subrecipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The Subrecipient also must comply with applicable restrictions on Second-Tier Subawards, including restrictions on subawards to entities that do not acquire and provide (to the Subrecipient) the unique entity



identifier required for SAM registration.

The details of the Subrecipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

5. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events

The Subrecipient must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

6. Compliance with General Appropriations-Law Restrictions on the Use of Federal Funds

The Subrecipient must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes.

Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2018, are set out at <https://ojp.gov/funding/Explore/FY18AppropriationsRestrictions.htm>, and are incorporated by reference here.

Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2019, are set out at <https://ojp.gov/funding/Explore/FY19AppropriationsRestrictions.htm>, and are incorporated by reference here.

Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2020, are set out at <https://ojp.gov/funding/Explore/FY20AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of an appropriations-law restriction, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

7. Reporting Potential Fraud, Waste, & Abuse

The Subrecipient must promptly refer to DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, Subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award -- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: Office of the Inspector General, U.S. Department of Justice, Investigations Division, 1425 New York Avenue, N.W. Suite 7100, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Fraud Detection Office (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

8. Restrictions and Certifications Regarding Non-Disclosure Agreements and Related Matters

No Subrecipient under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

a. In accepting this award, the Subrecipient:

- Represents that it neither requires nor has required internal confidentiality agreements or statements from employees or



contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

- Certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.
- b. If the Subrecipient does or is authorized under this award to make Subawards, procurement contracts, or both:
- It represents that (1) it has determined that no other entity that the Subrecipient's application proposes may or will receive award funds (whether through a Subaward, procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
  - It certifies that, if it learns or is notified that any Subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.
9. Encouragement of Policies to Ban Text Messaging while Driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Subrecipient understands that DOJ encourages Subrecipients to adopt

and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

10. OJP Training Guiding Principles

Any training or training materials that the Subrecipient develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>.

11. Requirement to report actual or imminent breach of personally identifiable information (PII)

The Subrecipient must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it – (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The Subrecipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

12. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$150,000 (for 2018 federal award) or \$250,000 (for 2019 & 2020 federal awards)

The Subrecipient must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (\$150,000 [for 2018 federal award] currently, \$250,000 [for 2019 & 2020 federal awards]). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a Subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$150,000 [for 2018 federal award] and exceed \$250,000 [for 2019 & 2020 federal



award]], and are incorporated by reference here.

13. Requirement for Data on Performance and Effectiveness Under the Award

The Subrecipient must collect and maintain data that measure the performance and effectiveness of activities under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.

14. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The Subrecipient must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The Subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the Subrecipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

15. VOCA Requirements

The Subrecipient must comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required.

16. Demographic Data

The Subrecipient must collect and maintain information on race, sex, national origin, age, and disability of victims receiving assistance, where such information is voluntarily furnished by the victim.

17. Performance Reports

The Subrecipient must submit quarterly performance reports on the performance metrics identified by OVC, and in the manner required by OVC. This information on the activities supported by the award funding will assist in assessing the effects that VOCA Victim Assistance funds have had on services to crime victims within the jurisdiction.

18. Access to Records

The Subrecipient must authorize the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, paper, or documents related to the VOCA grant.

19. All Subawards ("Subgrants") must have specific federal authorization

The Subrecipient must comply with all applicable requirements for authorization of any Subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "Subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any Subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All Subawards ("Subgrants") must have specific federal authorization), and are incorporated by reference here.

20. Unreasonable restrictions on competition under the award; association with federal government

This condition applies with respect to any procurement of property or services that is funded (in whole or in part) by this award regardless of the dollar amount of the purchase or acquisition, the method of procurement, or the nature of any legal instrument used.

a. No discrimination, in procurement transactions, against associates of the federal government

Consistent with the (DOJ) Part 200 Uniform Requirements -- including as set out at 2 C.F.R. 200.300 (requiring awards to be "manage[d] and administer[ed] in a manner so as to ensure that Federal funding is expended and associated programs are implemented in full accordance with U.S. statutory and public policy requirements") and 200.319(a) (generally requiring "[a]ll procurement transactions [to] be conducted in a manner providing full and open competition" and forbidding practices "restrictive of competition," such as "[p]lacing unreasonable



requirements on firms in order for them to qualify to do business" and taking "[a]ny arbitrary action in the procurement process") -- no Subrecipient may (in any procurement transaction) discriminate against any person or entity on the basis of such person or entity's status as an "associate of the federal government" (or on the basis of such person or entity's status as a parent, affiliate, or subsidiary of such an associate), except as expressly set out in 2 C.F.R. 200.319(a) or as specifically authorized by USDOJ.

b. Monitoring

The Subrecipient's monitoring responsibilities include monitoring of compliance with this condition.

c. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

d. Rules of construction

- 1) The term "associate of the federal government" means any person or entity engaged or employed (in the past or at present) by or on behalf of the federal government -- as an employee, contractor or subcontractor, grant Subrecipient or - Subrecipient, agent, or otherwise -- in undertaking any work, project, or activity for or on behalf of (or in providing goods or services to or on behalf of) the federal government, and includes any applicant for such employment or engagement, and any person or entity committed by legal instrument to undertake any such work, project, or activity (or to provide such goods or services) in future.
- 2) Nothing in this condition shall be understood to authorize or require any Subrecipient or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

21. Determination of suitability to interact with participating minors

This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ, the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award is to benefit a set of individuals under 18 years of age.

The Subrecipient must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

22. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination – 28 C.F.R. Part 42

The Subrecipient must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

23. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The Subrecipient must comply with all applicable requirements of 28

C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

24. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The Subrecipient must comply with all applicable requirements of 28

C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to

Subrecipient organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to Subrecipients and Subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi->



bin/ECFR?page=browse), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

25. Restrictions on "Lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the Subrecipient, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the Subrecipient to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, Subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a Subrecipient would or might fall within the scope of these prohibitions, the Subrecipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

26. Subgrant Award Report (SAR)

The Subrecipient must submit a SAR to OVC for each Subrecipient of the VOCA victim assistance funds, within ninety (90) days of awarding funds to the Subrecipient. Subrecipients must submit this information through the automated system.

27. Effect of Failure to Address Audit Issues

The Subrecipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the Subrecipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this Grant Subaward), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

28. Additional DOJ Awarding Agency Requirements (2018, 2019, & 2020)

The Subrecipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the Subrecipient is designated as "high- risk" for purposes of the DOJ high-risk grantee list.

29. Hiring Documents

The Subrecipient must keep, maintain, and preserve all documentation (such as Form I-9s or equivalents) regarding the eligibility of employees hired using the fund.

All appropriate documentation must be maintained on file by the Subrecipient and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for Subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

### CERTIFICATION

I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Official Designee's Signature: 

Official Designee's Typed Name: Joyce E. Dudley

Official Designee's Title: District Attorney

Date Executed: 10/15/21

Federal Employer ID #: 95-6002833 Federal DUNS #: 131851219

Current System for Award Management (SAM) Expiration Date: 11/23/21

Executed in the City/County of: Santa Barbara

### AUTHORIZED BY:

- |   |   |
|---|---|
| <input type="checkbox"/> City Financial Officer           | <input type="checkbox"/> County Financial Officer |
| <input type="checkbox"/> City Manager                     | <input type="checkbox"/> County Manager           |
| <input checked="" type="checkbox"/> Governing Board Chair |   |

Signature: 

Typed Name: Bob Nelson

Title: Chair, Santa Barbara County Board of Supervisors





**Grant Subaward Budget Pages**  
Multiple Fund Sources

Subrecipient: Santa Barbara County			Grant Subaward #: XE21 04 0420			
A. Personnel Costs - Line-item description and calculation	<del>2019</del> 2020 VOCA	<del>2020</del> 2019 VOCA	21VCGF	<del>Fund Source</del> 4	<del>Fund Source</del> 5	Total Amount Allocated
<b>0.8 FTE Victim Witness Program Advocate II</b> <b>Salary (\$2,584.80 x 26 PP) x .8 FTE = \$53,764</b> Retirement 32% of Salary = \$17,204 FICA/Medicare 7.65% of Salary = \$4,113 Health Ins/Disability/SDI 31.7083% of Salary = \$17,048	\$17,785	\$35,979				\$53,764
		\$11,765	\$5,439			\$17,204
			\$4,113			\$4,113
			\$17,048			\$17,048
<b>0.22461 FTE Extra Help DDA</b> <b>\$68,120 Salary (\$2,620 x 26 PP) x 0.22461 FTE = \$15,300</b>			\$15,300			\$15,300
Personnel Costs Fund Source Totals	✓ \$17,785	✓ \$47,744	✓ \$41,900			✓ \$107,429
<b>PERSONNEL COSTS CATEGORY TOTAL</b>						\$107,429

MR



**Grant Subaward Budget Pages**  
Multiple Fund Sources

Subrecipient: Santa Barbara County			Grant Subaward #: XE21 04 0420			
B. Operating Costs - Line-item description and calculation	<del>2019</del> 2020 VOCA	<del>2020</del> 2019 VOCA	21VCG F	<del>Fund Source</del> 4	<del>Fund Source</del> 5	Total Amount Allocated
<p>MTDC @ 10% De Minimis ((Personal +Operating) x .10) = \$10,742.91 10% Used for Overhead Costs, Administrative Salary, Utilities, IT Software, Office Supplies, Printing and Other.</p>		\$10,743				\$10,743
Operating Costs Fund Source Totals	✓	✓ \$10,743	✓			✓ \$10,743
<b>OPERATING COSTS CATEGORY TOTAL</b>						\$10,743



## Grant Subaward Budget Pages

### Multiple Fund Sources

Subrecipient: Santa Barbara County			Grant Subaward #: XE21 04 0420			
C. Equipment Costs - Line-item description and calculation	2020 <del>2019</del> VOCA	2019 <del>2020</del> VOCA	21VCGF	Fund <del>Source</del> <del>4</del>	Fund <del>Source</del> <del>5</del>	Total Amount Allocated
Equipment Costs Fund Source Totals						
<b>EQUIPMENT COSTS CATEGORY TOTAL</b>						

<b>Grant Subaward Totals</b> - Totals must match the Grant Subaward Face Sheet	<del>2020</del> <del>2019</del> VOCA	<del>2019</del> <del>2020</del> VOCA	21VCGF	<del>Fund</del> <del>Source</del> <del>4</del>	<del>Fund</del> <del>Source</del> <del>5</del>	Total Project Cost
<b>Fund Source Totals</b>	✓ \$17,785	✓ \$58,487	✓ \$41,900			✓ \$118,172

## VSPS Budget Summary Report

**XE21 Elder Abuse Program**

**Santa Barbara County**

Elder Abuse Program

**Subaward #: XE21 04 0420**

**Performance Period: 01/01/22 - 12/31/22**

**Latest Request: , Not Final 201**

### **A. Personal Services - Salaries/Employee Benefits**

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	17,785	0	17,785	0	17,785
F	20VOCA	47,744	0	47,744	0	47,744
S	21VCGF	41,900	0	41,900	0	41,900
<b>Total A. Personal Services - Salaries/Employee Benefits:</b>		<b>107,429</b>	<b>0</b>	<b>107,429</b>	<b>0</b>	<b>107,429</b>

### **B. Operating Expenses**

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	0	0	0	0	0
F	20VOCA	10,743	0	10,743	0	10,743
S	21VCGF	0	0	0	0	0
<b>Total B. Operating Expenses:</b>		<b>10,743</b>	<b>0</b>	<b>10,743</b>	<b>0</b>	<b>10,743</b>

### **C. Equipment**

<u>F/S/L</u>	<u>Funding Source</u>	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
F	19VOCA	0	0	0	0	0
F	20VOCA	0	0	0	0	0
S	21VCGF	0	0	0	0	0
<b>Total C. Equipment:</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

	<u>Budget Amount</u>	<u>Paid/Expended</u>	<u>Balance</u>	<u>Pending</u>	<u>Pending Balance</u>
<b>Total Local Match:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Funded:</b>	<b>118,172</b>	<b>0</b>	<b>118,172</b>	<b>0</b>	<b>118,172</b>
<b>Total Project Cost:</b>	<b>118,172</b>	<b>0</b>	<b>118,172</b>	<b>0</b>	<b>118,172</b>

F/S/L (Funding Types): F=Federal, S=State, L=Local Match

Paid/Expended=posted in ledger w/Claim Schedule, Pending=Processed, but not yet in Claim Schedule

01/07/22





## Grant Subaward Budget Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

### **Budget Narrative**

The budget for the Elder Abuse Program incorporates the equivalent of salary and benefit costs totaling \$53,764 (salary) and \$38,365 (benefits) for one .80 FTE Victim Witness Program Advocate-II for the grant period and .225 Extra Help DDA @ \$15,300. The Advocate has experience and familiarity with the criminal justice system and is knowledgeable of the elder/dependent adult population. She is a bilingual Spanish/English speaking advocate who meets the job specifications, which include an education in the social sciences or criminal justice and experience working with victims of crime. Additionally the candidate has experience with public speaking and outreach activities. The Advocate provides services countywide in each of the distinct areas, Santa Barbara, Santa Maria and Lompoc. She possesses knowledge of the community and its resources and has completed the required OES mandated advocate training which provides a framework for Advocacy work within a Victim-Witness Program including a thorough overview of Mandated and Optional Services.

The District Attorney's Office is fortunate to have access to a retired extra-help Deputy District Attorney who is an expert in Elder and Dependent Abuse Prosecution and can provide outreach and education in concert with the assigned advocate. This is an added benefit as this individual will provide





## Grant Subaward Budget Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

outreach related to mandatory reporting, prosecutable crimes and act as a resource to law enforcement, the general public, Adult Protective Services and the long term care ombudsman. The attorney is assigned .225 FTE and guides law enforcement and adult protective services in successful investigation strategies and ultimately ensuring a successful prosecution. Her total salary is \$15,300, no benefits as she is an extra help retired employee.

An indirect cost, De Minimis, of 10% of personal and operating expenses is included in the operating budget @ \$10,743. This rate allocated to the operation of the administrative functioning of the grant. All other operating expenses are being absorbed by the District Attorney's Office.



## Grant Subaward Programmatic Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

### Project Narrative

#### Plan

The Elder Abuse Program (XE) is the only program in the County designed to provide services to elderly and dependent adults who are victims of crime within the context of the criminal justice system. The Program Advocate extends services to *all* reported elder and dependent adult victims of crime. We also work with the Deaf and Hard of Hearing community service providers to access individuals fluent in American Sign Language.

The Victim/Witness Program staff continues to build upon our strong working relationships with local law enforcement and social service agencies, as well as with our internal District Attorney's Office staff. Relationships with both law enforcement and social service agencies are well developed given the Multi-disciplinary Team approach and established agreements for exchange of information. The Victim Witness Program Staff participates in several existing and functional multi-disciplinary teams including but not limited to: the Elder and Dependent Adult Abuse Prevention Council, the Elder Abuse Multi-Disciplinary Case Management Team (MDT), the Adult and Aging Network, The Financial Abuse Specialist Team (FAST) and the Sexual Assault Response Team. The Elder



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

Abuse Prevention Council of Santa Barbara County is facilitated by the Director of the area agency on aging and occurs 10 times per year. The Council goal is to coordinate and ensure outreach and awareness of elder and dependent adult abuse and coordinates an Elder Abuse educational annual conference which attracts 150 participants from the community, government and non-profit providers. Due to the pandemic, the conference was held by Zoom. The MDT Case Management Meetings are facilitated by Adult Protective Services and occur monthly. There are case referral procedures and confidentiality agreements in place.

The Adult and Aging Network is led by the Santa Barbara County Children and Adult Network Director. The network serves as advisory council to the County Board of Supervisors. It facilitates collaborative partnerships between public agencies, private organizations and community nonprofits to improve the lives of seniors and adults with disabilities in Santa Barbara County. It meets bi-monthly and consists of a wide variety of organizations and agencies providing resources and services to seniors in need including those who have been victims of crime. In conjunction with the MDT Meetings there are also Financial Abuse Specialist Team Meetings, by invitation by Adult Protective Services. These occur monthly and are attended by the Public Guardian, the Long Term Care Ombudsman, the





## Grant Subaward Programmatic Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

relevant law enforcement agency personnel and adult protective services. The Sexual Assault Response Team holds monthly case management meetings to address adult and elder sexual assault cases. Meetings are attended by law enforcement, Rape Crisis, the District Attorney's Office assigned DDAs, Victim Witness Advocates and the Public Health Department. The SART partners and its meetings are guided by an MOU and facilitated the SART Coordinator who is a District Attorney employee. Lastly, there is a regularly occurring Central Coast Stop Scams Workgroup which convenes to discuss cyber scams targeting seniors. It is a resource meeting that convenes agency and financial institutions from the Tri County Area including San Luis Obispo, Ventura and Santa Barbara Counties. Its primary purpose is to develop resources and strategies to help seniors who have been victims of cyber and telemarketing scams.

Our program responds to elder and dependent adult abuse. The District Attorney's Office Victim/Witness Program has referral procedures with each of the county law enforcement agencies which enable advocates to contact reported victims of crime and provide comprehensive services in a timely fashion. As cases come to the District Attorney's Office for review, all relevant crime reports are electronically inputted by DA legal secretarial staff and forwarded to the Victim/Witness Program via our case management system. In addition, the



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

County Sheriff's Department sends a computer listing of cases which may not be immediately forwarded to the District Attorney's Office due to a pending investigation or an unknown suspect. Further, the Detective Divisions of each of the local law enforcement agencies contact Victim/Witness Advocates directly when victim services are needed prior to a completed investigation or a filing consideration. Additional referrals come from a range of social service agencies which include but are not limited to *Adult Protective Services*, the Long Term Care Ombudsman, Tri-Counties Regional Centers, the Independent Living Resource Center, the *Legal Aid Foundation*, Rape Crisis Centers, and various non-profit organizations such as Legal Aid. Upon receipt of a referral or a law enforcement report, the Program Advocate will make phone contact with the victim and do an assessment to determine any immediate needs (i.e., food, shelter and safety concerns). If emergency legal assistance is necessary, the advocate works with the local Legal Aid Foundation Clinic or the Court's Legal Resource Center. Both entities are able to assist clients with navigating the civil restraining order process. In addition, advocates work closely with the Courts to request a Criminal Protective Order in cases which warrant additional victim protections during the prosecution. To assist victims with material needs such as cash, housing, food and



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

clothing expenses, the Victim/Witness Assistance Program maintains an Emergency Fund account, replenished through an annual fundraiser.

The Victim Advocate is bilingual and possesses experience working with victim populations. Our staff work closely with agencies such as the Independent Living Resource Center, Inc., which can provide additional assistance (such as individuals proficient in Braille and American Sign Language), for victims with visual or hearing impairment.

The Program Advocate works with the assigned DDA to schedule and conduct community presentations to the Senior and Dependent Adult population to provide information regarding available services offered by the Victim-Witness Assistance Program. An extra help part time retired Deputy District Attorney who specializes in Elder and Dependent Adult Abuse coordinates public outreach regarding mandated reporting and is a resource to the community and a liaison to law enforcement during the investigative phase of a case. The attorney answers a fraud hotline and offers resources and services to senior victims of fraud.

The Advocate participates on the Elder and Dependent Adult Abuse Prevention Council's Outreach sub-committee to strategize and conduct





## Grant Subaward Programmatic Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

countywide outreach. The Advocate coordinates with LEON (Latino Elder Outreach Network) to identify educational opportunities to the Spanish Speaking community. LEON normally meets bi-monthly basis with various other agencies to strategize and coordinate efforts to educate and assist the Latino community with issues involving elder financial abuse. The Advocate also has been a guest on local Spanish Radio stations highlighting the services available through the program.

The Program works with a local radio station popular with seniors to do public service show called the Scam Squad whose goal is to raise awareness, and increase the identification and reporting of elder/dependent abuse. Outreach campaigns with the same radio station yielded an up-tick in calls to our elder abuse advocate staff during a previously funded period.

### Capabilities

The Victim Witness Assistance Program has been in existence since 1978. The current director has been managing the program since 1997. The program provides mandated and optional service in accordance with the Victim-Witness Program guidelines. The District Attorney's Office Victim Witness Program has a Joint Powers Contract with the Victim Compensation and Government Claims



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

Board and the advocates assist victims with application completion and submission to our in house claims specialist. The assigned advocate will provide an orientation to the criminal justice system before and during the court process including notification of victims' rights per Marsy' law. The Victim Witness Program has well-established relationships with victim service programs and makes referrals to appropriate programs upon assessment of the victims' needs. We have long-term relationships with Adult Protective Services, the Long Term Care Ombudsman, the Public Guardian's Office and the Independent Living Resource Center which serves disabled adults in the county. We are a certifying agency for the Secretary of State's confidential address program as well as the Certifying Signing agency for UVISA requests from qualifying victims of crime. The District Attorney's Office Victim-Witness Assistance Program Director is the Countywide Administrator of the Sexual Assault Response Team. Elder and Dependent Adult Victims of Sexual Assault have access to forensic medical legal examinations 24/7 countywide.

The Victim Witness Assistance Program is program is ADA compliant. Our staff work closely with agencies such as the Independent Living Resource Center, Inc., which can provide additional assistance (such as individuals proficient in Braille and American Sign Language), for victims with visual or hearing





## Grant Subaward Programmatic Narrative

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

impairment. When necessary, program staff access services for hearing impaired victims through Telephone Relay (TTY) translation services. We purchased a "Phonic Ear" (an assisted listening device for hearing impaired witnesses). Furthermore, Santa Barbara Superior Court has equipment to assist hearing impaired witnesses during court proceedings. The Victim/Witness Program has developed collaborative relationships with numerous agencies serving the disabled community, including Alpha Resource Center and the Tri-Counties Regional Center, which provide services for persons with developmental disabilities and their families. (Deaf Technologies) Our offices are handicapped accessible and we have wheelchairs available in each of our area offices.

The Victim-Witness Assistance Program maintains a list of qualified mental health professionals. A database is maintained and includes qualified licensed professionals with a specialty working with elders, dependent adults and the hearing impaired. The program also refers to Rape Crisis Centers and Domestic Violence Solutions in instances of sexual abuse and domestic violence respectively. Clinicians and rape crisis centers maintain confidentiality. As needed, Advocates refer to a minimum of 3 mental health professionals and an agency equipped to meet the special needs of a victim. In addition, the Victim Witness Assistance Program and designated program advocate refers victims to



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

the County Department of Behavioral Wellness to identify qualified clinicians and provide mental health services to the elder and disabled population.

The program has ready access to volunteers from Santa Barbara City College, UC Santa Barbara, Allan Hancock College and Santa Barbara College of Law. Typically volunteers have been assigned to a project an average of 20 hours per month. Our volunteers primarily contact Elder and Dependent Adults who have been victims of property related crimes or non-violent misdemeanors. Our office receives a monthly list from local law enforcement consisting of contact information for all victims over the age of 65 when a suspect has not been identified or arrested. The volunteers make telephone contact with each of these victims to determine if services are needed. The volunteers document their time on Volunteer Time Sheets which are completed and submitted to the supervisor on a monthly basis.

The Victim/Witness Program Director will monitor activities and objectives to ensure successful implementation of the grant. The Program Director will also maintain overall responsibility for the volunteer recruitment and training process. The Program Advocate will work directly with volunteers, utilizing their assistance to enhance direct victim services. The Program Director will be responsible for



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

grant reporting and statistical data. The District Attorney's Office Administrative Director will supervise the financial aspects of the grant and keep the Program Director apprised of fiscal issues.

The advocate will be assigned solely to the provision of direct services and outreach to elder victims of crime in Santa Barbara County. The advocate will provide mandatory and optional services to victims of abuse, including but not limited to transportation assistance, creditor intervention, emergency financial assistance and referrals to legal resource services. Advocates will provide transportation assistance to elders and dependent adults who otherwise are socially isolated or unable to readily access other means of transport.

The Program Advocate will contact victims of crime within 48 hours of referral from social service agency, law enforcement or medical facility. The program will have a bilingual and bicultural advocate who is equipped to respond to victims needs within 24 hours of the time the referral is received. As necessary the Advocate will meet with victims in their home to provide timely and necessary supportive services particularly to those who may lack a telephone, are too traumatized to leave their residence, have restricted mobility or are experiencing other problems which prevent them from leaving the home. The





## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

advocate can provide emergency assistance which may include financial assistance for transportation, shelter, food and other material items as needed through the existing Victim Witness Assistance Emergency Fund. The Santa Barbara County Victim Witness Program has a contract with the Victim Compensation and Government Claims Board to process Emergency awards for relocation at the local level. The advocate will assist with strategies including facilitating criminal protective orders granted in criminal court. Upon request of the victim advocate, the court will order that the defendant not have any contact with the victim of crime. These orders can be requested immediately following case filing by the District Attorney's Office and at the time of arraignment. The advocate will maintain a resource list of attorneys willing to assist elder clients in the civil arena, including restraining order assistance and confer and refer to the Legal Aid Foundation for assistance as needed. The Advocate will provide court support and accompaniment to elder victims seeking a restraining order.

The Program Advocate will take special care to provide services to financial exploitation cases. Advocates can receive referrals during or before the investigation is initiated through self-referral or an agency referral. During this early phase the advocate acts as a liaison with the assigned detective and assist to



## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

track victim losses and restitution. Complex financial abuse cases are referred to the FAST team facilitated by Adult Protective Services who engages financial experts including the Public Guardian, the Department of Insurance Investigator (Annuity Fraud Cases) and Financial Planners and Estate Attorneys. The Advocate tracks the case until and if there is a criminal filing and ensure that the victim receives regular case updates and court support as the case moves through the court process. Additionally the District Attorney's Office has a contract with the Victim Compensation and Government Claims Board for a Restitution Paralegal/Specialist who can assist to secure restitution in complex financial abuse cases. The local Victim Witness Program receives referrals from the FBI Victim Specialist to provide local support, resource and referral and crisis counseling to victims of financial exploitation handled in the Federal Courts. The Advocate can act as a local support for victims who need resources and referrals including referrals to civil legal assistance.

The Victim-Witness Program cases and services are tracked in a database and are reported quarterly. The Program will track the number of victims identified for service before and after program implementation for a baseline. The Program Director can also access DA case statistics to determine if the efforts employed by program outreach impact the numbers of referrals for filing



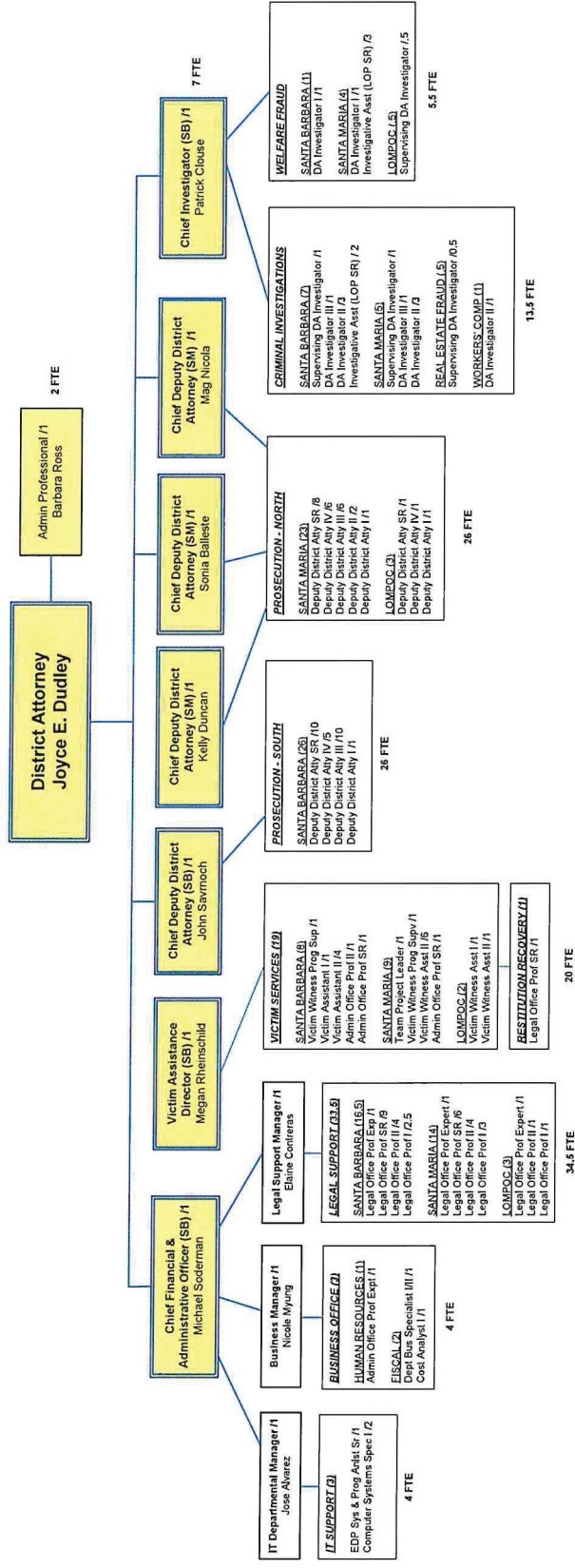
## **Grant Subaward Programmatic Narrative**

Grant Subaward #: XE 21 04 0420

Subrecipient: Santa Barbara County

consideration. Multi-disciplinary participation will be tracked via sign in sheets and meeting minutes. The advocate will track the number of meetings attended and number of agencies represented at each multi-disciplinary meetings.





VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM  
**MATCH WAIVER REQUEST**

Cal OES Subrecipients may request a partial or full match waiver. Approval is dependent on a compelling justification. To request a partial or full match waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2019-V2-GX-0053
2. Cal OES Subaward Number: XE21 04 0420
3. Subrecipient's Name: Santa Barbara County
4. Grant Subaward Performance Period 1/1/2022 through 12/31/2022
5. VOCA Victim Assistance Funds Awarded: \$ 58,487
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 0
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ 0
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 0
9. Briefly summarize the services provided:

In Santa Barbara County, 40% of local senior citizens are economically insecure. This insecurity makes seniors more vulnerable to victimization, financial schemes and unhealthy relationships with those who may take advantage of them. Out of concern for the vulnerability of seniors, the District Attorney's Office created the Elder/Dependent Adult Abuse Program. The Elder Abuse Program (XE) will be the only program in the County that will provide services to elderly and dependent adults who are victims of crime within the context of the criminal justice system.
10. Describe practical and/or logistical obstacles to providing match:

We are not using volunteers for our in-kind match waiver because volunteer recruitment and retention has been unreliable. Volunteer hours have been sporadic, and cannot be relied upon for a stable in-kind match. The VOCA match requirements create a burden upon the proposed Victim/Witness Assistance Program that hinders our ability to provide critical victim services. Failure to meet this requirement puts our program and its valuable services to victims at risk.
11. Describe any local resource constraints to providing match:

We would like to request a match waiver due to the impact of the COVID-19 pandemic upon the District Attorney's department budget in an already difficult financial environment. We respectfully request a waiver of the match requirement in order to mitigate additional personnel impacts on our department budget.

Approved ☒

Denied ☐

Claire Wimbley-Brown

Unit Chief Name

*CW Brown*

*11/8/2021*

Unit Chief Signature / Date

VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM  
**MATCH WAIVER REQUEST**

Cal OES Subrecipients may request a partial or full match waiver. Approval is dependent on a compelling justification. To request a partial or full match waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2020-V2-GX-0031
2. Cal OES Subaward Number: XE21 04 0420
3. Subrecipient's Name: Santa Barbara County
4. Grant Subaward Performance Period 1/1/2022 through 12/31/2022
5. VOCA Victim Assistance Funds Awarded: \$ 17,785
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 0
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ 0
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 0
9. Briefly summarize the services provided:

In Santa Barbara County, 40% of local senior citizens are economically insecure. This insecurity makes seniors more vulnerable to victimization, financial schemes and unhealthy relationships with those who may take advantage of them. Out of concern for the vulnerability of seniors, the District Attorney's Office created the Elder/Dependent Adult Abuse Program. The Elder Abuse Program (XE) will be the only program in the County that will provide services to elderly and dependent adults who are victims of crime within the context of the criminal justice system.
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Approved ☒

Denied ☐

Claire Wimbley-Brown

Unit Chief Name

*CW Brown*

*11/8/2021*

Unit Chief Signature / Date



**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES  
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

<b>Subrecipient</b> <u>Santa Barbara County</u>	<b>Duns#</b> <u>131851219</u>	<b>FIPS#</b> <u>83-00000</u>
<b>Disaster/Program Title:</b> <del>XE</del> <u>Elder Abuse Program</u>		
<b>Performance Period:</b> <u>01/01/22</u> to <u>12/31/22</u>		<b>Subaward Amount Requested:</b> <u>\$ 118,172</u>
<b>Type of Non-Federal Entity (Check Box):</b> <input type="checkbox"/> State Gov. <input checked="" type="checkbox"/> Local Gov. <input type="checkbox"/> JPA <input type="checkbox"/> Non-Profit <input type="checkbox"/> Tribe		

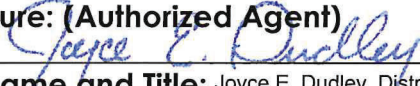
Per Title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, grant manager is the individual who has primary responsibility for day-to-day administration of the grant, bookkeeper/accounting staff means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and organization refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	>5 years
3. How many grants does your organization currently receive?	>10 grants
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 3,300,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	No
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Always
12. How many years do you maintain receipts, deposits, cancelled checks, invoices, etc.?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	N/A

**Certification:** *This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.*

<b>Signature: (Authorized Agent)</b> 	<b>Date:</b> <u>10/19/21</u>
<b>Print Name and Title:</b> <u>Joyce E. Dudley, District Attorney</u>	<b>Phone Number:</b> <u>(805) 568-2308</u>
<b>Cal OES Staff Only: SUBAWARD #</b> <u>XE21040420</u>	



**Cal OES**  
GOVERNOR'S OFFICE  
OF EMERGENCY SERVICES

## Grant Subaward Service Area Information

Grant Subaward #: XE21 04 0420

Subrecipient: Santa Barbara County

1. County or Counties Served:  
Santa Barbara County

County where principal office is located: City of Santa Barbara

2. U.S. Congressional District(s) Served:  
23rd

U.S. Congressional District where principal office is located: 23rd

3. State Assembly District(s) Served:  
35th and 37th

State Assembly District where principal office is located: 37th

4. State Senate District(s) Served:  
19th

State Senate District where principal office is located: 19th

5. Population of Service Area: 435,697