

**CONFLICT OF INTEREST CODE
OF
TRIVIUM CHARTER SCHOOL**

1. Standard Code of FPPC

The Political Reform Act of 1974 (Gov. Code § 81000 *et seq.*) requires each state and local government agency to adopt and promulgate a conflict of interest code. As a local government agency, Trivium Charter School, a California nonprofit public benefit corporation ("Corporation"), is therefore required to adopt such a code. The Fair Political Practices Commission ("FPPC") has adopted a regulation (2 Cal. Code of Regs. §18730) which contains the terms of a model conflict of interest code, which can be incorporated by reference as an agency's code. After public notice and hearing, the regulation may be amended by the FPPC to conform to amendments in the Political Reform Act.

2. Adoption of Standard Code of FPPC

The terms of 2 Cal. Code of Regs. §18730 and any future amendments to it duly adopted by the FPPC are hereby adopted and incorporated herein by reference. This regulation and the Appendix attached hereto designating officials and employees and establishing disclosure categories shall constitute the Conflict of Interest Code of Corporation. This Code shall take effect when approved by the Board of Supervisors for the County of Santa Barbara, and shall thereupon supersede any and all prior codes adopted by Corporation.

3. Filing of Statements of Economic Interests

Pursuant to Section 4 of the model code set forth in 2 Cal. Code of Regs. §18730(b), each designated employee set forth in the Appendix shall file a Statement of Economic Interests ("Form 700") with the Secretary of the Corporation. Upon receipt of the statements of the members of the Board of Directors, the Secretary shall make and retain copies and forward the originals of these statements to the Clerk of the Board of Supervisors for the County of Santa Barbara. Statements for all other designated employees shall be retained by the Secretary.

**APPENDIX TO
CONFLICT OF INTEREST CODE OF
TRIVIUM CHARTER SCHOOL**

Preamble

Any person designated in Section I of this Appendix who is unsure of any right or obligation arising under this Code may request a formal opinion or letter of advice from the FPPC or an opinion from legal counsel to Trivium Charter School, a California nonprofit public benefit corporation ("Corporation"). (Gov. Code, § 83114; 2 Cal. Code of Regs. § 18730(b)(11).) A person who acts in good faith in reliance on an opinion issued to him or her by the FPPC shall not be subject to criminal or civil penalties for so acting, provided that all material facts are stated in the opinion request. (Gov. Code, § 83114(a).)

Opinions rendered by legal counsel to Corporation do not provide any statutory defense to an alleged violation of conflict of interest statutes or regulations. The prosecuting agency may, but is not required to, consider a requesting party's reliance on such legal counsel's opinion as evidence of good faith. In addition, Corporation may consider whether such reliance should constitute a mitigating factor to any disciplinary action that Corporation may bring against the requesting party under Government Code section 91003.5.

I.

Designated Employees

<u>Designated Positions</u>	<u>Disclosure Categories</u>
Board Members	1, 2
Executive Director	1, 2
Secretary	1, 2

* Consultants/New Positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director or designee may determine in writing that a particular consultant/new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure

requirements in this section. Such written determination shall include a description of the consultant's/new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director or designee's determination is a public record and shall be retained for public inspection in the same manner and location as this code. (Gov. Code Sec. 81008.)

II.

Disclosure Categories

Category 1

Designated positions assigned to this category must report:

Interests in real property which are located in whole or in part within two (2) miles of any facility utilized by a Corporation charter school, including any leasehold, beneficial or ownership interest or option to acquire such interest in real property.

Category 2

Designated positions assigned to this category must report:

Investments and business positions in business entities or income (including gifts, loans, and travel payments) from any source of the type that provides services, supplies, products, materials or equipment that the Corporation utilizes or currently plans to utilize, including school supplies, books, and furnishing.

Category 3

Designated positions assigned to this category must report:

Investments and business positions in business entities or income (including gifts, loans, and travel payments) from any source of the type that provides services, supplies, products, materials or equipment that the designated position's department utilizes or currently plans to utilize. For the purpose of this category a Principal's department is his or her entire school.

Trivium Charter School
Board Meeting Minutes
Location: 1026 Sierra Madre, Santa Maria, CA

February 24, 2014
Monday, 12:00pm

Board Members Present:

Mike Dunaetz, President, Dave Wilson, Sarah Bruce, Annette Lawrence, Secretary
New Board Member: Peggy Salas, Treasurer

Others In Attendance:

Trisha Vais (Director), Dani Pearce (Blochman Board Representative)

Open Session: 12:00pm

Welcome Guests

Public Comment: **No Guests in Attendance**

Trivium will receive public comments about items appearing on today's agenda, as well as other matters within the subject matter jurisdiction of the Board. All such comments will be received during the Public Comments section of the agenda. Individuals who address the Board are limited to three (3) minutes to speak on any item and a total of 10 minutes on all items for their presentation. The Board may limit the total time for all public comment to 30 minutes. Persons needing additional time are requested to submit the information in writing.

For comments concerning matters not on the agenda, open meeting laws and fairness to other residents who may have an interest in your topic prohibit the Board from taking action or engaging in extended discussion of your concerns. The Board may direct staff to meet at a later date with speakers who have specific concerns or needs. The Board may also direct that an issue be placed on a future agenda for discussion and consideration. This permits the Board and staff members to prepare and receive necessary information and for the public to be aware that a topic is being formally considered. We appreciate your cooperation.

Approval of previous Board minutes

Approval of previous Board Minutes from January 13, 2014

1st: Mike

2nd: Sarah

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Bruce Stevens	Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

Discussion Items

- 1) Expansion and family interest, new charter petition, add 2 learning centers: Petitioned Lucia Mar, 3/4/14 is date of approval or denial. Presented binder to each Lucia Mar Board Member.
- 2) LCAP (Local Control and Accountability Plann), LCFF: New funding plan. Trivium will approve The plan in May/June. Public Comment time will be available.

Open to Public Comment: 12:36pm No Public Comment Received

Action Items

1) Approval of New Board Member:

1st: Annette

2nd: Dave

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Bruce Stevens	Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

2) Approval of Conflict of Interest Policy (updated)

1st: Sarah

2nd: Annette

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

3) Approval of Mileage Policy

1st: Dave

2nd: Sarah

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

4) Approval of Employment Contract Template with Arbitration Agreement with the corrections.

1st: Mike

2nd: Annette

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

5) Approval of Universal Complaint Procedure Policy (Version 1.4)
1st: Annette: 2nd: Dave Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

6) Approval of Public Records Request Policy
1st: Annette 2nd: Mike Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

7) Approval of Volunteer Packet remove year and add Version 2
1st: Mike 2nd: Dave Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

8) Motion to table Approval of Staff Handbook
1st: Sarah 2nd: Mike Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

9) Approval of Warrants
1st: Annette 2nd: Sarah Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

10) Approval of Second Interim Report

1st: Mike

2nd: Sarah

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

11) Approval of SLO County Petition

1st: Dave

2nd: Peggy

Motion Passed? Yes

Sarah Bruce	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Peggy Salas	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Annette Lawrence	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>	Mike Dunaetz	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>
Dave Wilson	Y X N <input type="checkbox"/> Abstain <input type="checkbox"/>		Y <input type="checkbox"/> N <input type="checkbox"/> Abstain <input type="checkbox"/>

Adjourn to Closed Session: 2:17pm

54957 Public Employee Employment - Contracts, Consultation on Employee Compensation
54956.9 Existing Litigation: Attorney consultation

Close Session Adjourned: 2:32pm

Report out of Closed Session: REPORT: No Action Taken

Adjourned: 2:32pm