

# ATTACHMENT A

“ Amendment No. 1 to the Agreement with Filippin Engineering, Inc. (3 originals) w/Contract Summary ”

# Board Contract Summary

BC 16 - 015

For use with Expenditure Contracts submitted to the Board for approval. Complete information below, print, obtain signature of authorized departmental representative, and submit this form, along with attachments, to the appropriate departments for signature. See also: Auditor-Controller Intranet Policies->Contracts.

|     |                       |                  |
|-----|-----------------------|------------------|
| D1. | Fiscal Year .....     | FY 15/16         |
| D2. | Department Name ..... | PW/Flood Control |
| D3. | Contact Person .....  | Jon Frye         |
| D4. | Telephone .....       | x3444            |

|      |  |  |
|------|--|--|
| K1.  | Contract Type (check one): <input type="checkbox"/> Personal Service <input checked="" type="checkbox"/> Capital |  |
| K2.  | Brief Summary of Contract Description/Purpose.....   | Construction Inspection Services for Las Vegas/San Pedro Creeks Capacity Improvement Project |
| K3.  | Department Project Number.....   | SC8322   |
| K4.  | Original Contract Amount.....  | \$ 109,577.60 (incl \$9,961.60 contingency)  |
| K5.  | Contract Begin Date.....   | July 7, 2015   |
| K6.  | Original Contract End Date .....   | June 30, 2016  |
| K7.  | Amendment? (Yes or No).....  | yes  |
| K8.  | - New Contract End Date .....  | N/A  |
| K9.  | - Total Number of Amendments .....   | 1  |
| K10. | - This Amendment Amount.....   | \$ 67,880  |
| K11. | - Total Previous Amendment Amounts.....  | \$ N/A   |
| K12. | - Revised Total Contract Amount .....  | \$ 177,457.60  |

|     |  |                                       |
|-----|--|---------------------------------------|
| B1. | Intended Board Agenda Date .....                                 | July 7, 2015                          |
| B2. | Number of Workers Displaced (if any) .....                       | N/A                                   |
| B3. | Number of Competitive Bids (if any) .....                        | N/A                                   |
| B4. | Lowest Bid Amount (if bid) .....                                 | N/A                                   |
| B5. | If Board waived bids, show Agenda Date.....                      | N/A                                   |
|     | and Agenda Item Number .....                                     |                                       |
| B6. | Boilerplate Contract Text Changed? (If Yes, cite Paragraph)..... | added Section 33 and Exhibit B (B)(F) |

|     |                                       |        |
|-----|---------------------------------------|--------|
| F1. | Fund Number .....                     | 2610   |
| F2. | Department Number.....                | 054    |
| F3. | Line Item Account Number.....         | 8700   |
| F4. | Project Number (if applicable) .....  | SC8322 |
| F5. | Program Number (if applicable) .....  | 3005   |
| F6. | Org Unit Number (if applicable) ..... |        |
| F7. | Payment Terms.....                    | net 30 |

|      |  |                            |
|------|--|----------------------------|
| V1.  | Auditor-Controller Vendor Number .....                 | 019268                     |
| V2.  | Payee/Contractor Name.....                             | Filippin Engineering, Inc. |
| V3.  | Mailing Address.....                                   | 354D Fairview Avenue       |
| V4.  | City State (two-letter) Zip (include +4 if known)..... | Goleta, CA 93117           |
| V5.  | Telephone Number .....                                 | 805-845-4602               |
| V6.  | Vendor Contact Person.....                             | Kelly Wheeler              |
| V7.  | Workers Comp Insurance Expiration Date .....           | 2/12/16                    |
| V8.  | Liability Insurance Expiration Date .....              | 7/20/16                    |
| V9.  | Professional License Number .....                      |                            |
| V10. | Verified by (print name of county staff).....          |                            |

V11 Company Type (Check one): ☐ Individual ☐ Sole Proprietorship ☐ Partnership ☒ Corporation

I certify information is complete and accurate; designated funds available; required concurrences evidenced on signature page.

Date: 11-23-15 Authorized Signature: [Signature]

**Amendment No. 1**  
**To the Agreement for Construction Inspection Services for the**  
**Las Vegas/San Pedro Creeks Improvement Project with Filippin Engineering, Inc.**  
**(BC No. 16-015)**

The agreement between the Santa Barbara County Flood Control & Water Conservation District (County) and Filippin Engineering, Inc. (Contractor) which was entered into on July 7, 2015 (Board Contract No. 16-015), is hereby modified and amended as follows:

**1. Paragraph 3 of the Agreement is hereby amended to read:**

SCOPE OF SERVICES. CONTRACTOR agrees to provide services to COUNTY in accordance with EXHIBIT A and EXHIBIT A1, attached hereto and incorporated herein by reference.

**2. Exhibit B, paragraphs A and C are hereby amended to read:**

- A.** For CONTRACTOR services to be rendered under this contract, CONTRACTOR shall be paid a total contract amount, including reimbursements, not to exceed **\$167,496.**
- C.** Payment for services and /or reimbursement of costs shall be made upon CONTRACTOR's satisfactory performance, based upon the scope and methodology contained in **EXHIBIT A** and **A1** as determined by COUNTY. Payment for services and/or reimbursement of costs shall be based upon the costs, expenses, overhead charges and hourly rates for personnel, as defined in **Attachment B1** (Schedule of Fees). Invoices submitted for payment that are based upon **Attachment B1** must contain sufficient detail to enable an audit of the charges and provide supporting documentation if so specified in **EXHIBIT A** and **A1.**

All other terms and conditions of the agreement will remain in full force and effect. This amendment is effective on \_\_\_\_\_, 2015.

ATTEST:

MONA MIYASATO  
County Executive Officer  
Ex Officio Clerk of the Board of Directors of  
the Santa Barbara County Flood Control  
and Water Conservation District

By: \_\_\_\_\_  
Deputy

APPROVED AS TO ACCOUNTING FORM:  
ROBERT W. GEIS  
AUDITOR-CONTROLLER


By:  \_\_\_\_\_  
Deputy

SANTA BARBARA COUNTY FLOOD  
CONTROL & WATER CONSERVATION  
DISTRICT

By: \_\_\_\_\_  
Chair, Board of Directors

Date: \_\_\_\_\_


APPROVED AS TO INSURANCE:  
RAY AROMATORIO, ARM, AIC  
RISK MANAGER

By:  \_\_\_\_\_

APPROVED AS TO FORM:  
MICHAEL C. GHIZZONI  
COUNTY COUNSEL

By:  \_\_\_\_\_  
Deputy County Counsel

CONTRACTOR:  
Filippin Engineering, Inc.

By:  \_\_\_\_\_  
Title: President

RECOMMENDED FOR APPROVAL:  
Santa Barbara County Flood Control  
& Water Conservation District

By:  \_\_\_\_\_  
Scott D. McGolpin, Public Works Director

## Exhibit A1



November 4, 2015

Santa Barbara County Flood Control & Water Conservation District  
123 East Anapamu Street  
Santa Barbara, CA 93101  
Attn: Ms. Karen Sullivan, P.E.

**SUBJECT: REQUEST FOR ADDITIONAL AUTHORIZATION FOR CONSTRUCTION MANAGEMENT & INSPECTION SERVICES, LAS VEGAS AND SAN PEDRO CREEKS CAPACITY IMPROVEMENT PROJECT**

Dear Ms. Sullivan:

Filippin Engineering, Inc. (FE) is submitting this Request for Additional Authorization to provide additional Construction Management and Inspection Services to the Santa Barbara County Flood Control & Water Conservation District for the Las Vegas and San Pedro Creeks Capacity Improvement Project. This request is based on the project work requiring more Construction Management and Inspection Services than originally anticipated. Our original proposal for this work dated April 24, 2015 was based on part-time construction manager (16 hours per week) and  $\frac{3}{4}$  time construction inspector (6 hours/day average over the life of the project). As we have previously discussed, the project has required significantly more construction manager time and our inspector has been providing full time inspection of the work. In addition, delays in UP issuing a timely encroachment permit to the Contractor has resulted in delays to the construction schedule. Based on my review of Souza's original Baseline Schedule and the work required to complete the project, I estimate the UP ROW delay and weather days will push the project completion an additional 20 working days to December 24, 2015. FE's increased level of construction manager and inspector time has resulted in the equivalent of 15 extra days of construction management and inspection at 5 hours/day and 8 hours/day, respectively. FE estimates an additional 35 working days will be required to complete our services for the project. This is based on the 20 day time delay due to UP permitting combined with FE's 15 extra days due to additional time.

We have estimated our cost for these additional services based on our understanding of the project schedule and the remaining items of work based on our current project staffing. A breakdown of our Construction Management and Inspection time is provided in the table below and is based Souza's baseline construction schedule submitted on August 14<sup>th</sup> for the project.

| Construction Management and Inspection Additional Cost  | CM<br>\$178.00 | Inspector<br>\$128.00 | Estimated Fee |
|---|----------------|-----------------------|---------------|
| Construction Management (35 days @ 5 hours/day)         | 180            |                       | \$32,040      |
| Inspection Full-Time Inspection (35 days @ 8 hours/day) |                | 280                   | \$35,840      |
| TOTAL   |                |                       | \$67,880      |

Based on our understanding of the remaining work and our experience with Souza's approach to the project, we estimate the additional fee required our services for Construction Management and Inspection will be \$67,880 including reimbursable expenses.

FE is committed to working with you to complete this project. If you have any questions or would like to discuss this request further, please don't hesitate to call, (805) 451-8935.

Sincerely,  
FILIPPIN ENGINEERING

  
Kevin Connors, P.E.  
Principal Engineer

