

## BOARD OF SUPERVISORS AGENDA LETTER

Agenda Number:

Clerk of the Board of Supervisors 105 E. Anapamu Street, Suite 407 Santa Barbara, CA 93101 (805) 568-2240

2016 NOV 22 PM 2: 10

COUNTY OF SANIA BAPEARS.

**Department Name:** 

Probation

Department No.:

022

For Agenda Of:

December 6, 2016

Placement:

Administrative

**Estimated Time:** 

No

Continued Item:

If Yes, date from:

140

Vote Required:

Majority

TO:

Board of Supervisors

FROM:

Department

Guadalupe Rabago, Chief Probation Officer 805.882.3652

Director(s)

Contact Info:

Tanja Heitman, Deputy Chief Probation Officer 805.739.8537

heitman@co.santa-barbara.ca.us

SUBJECT:

Application for Fiscal Year (FY) 2017 Substance Abuse and Mental Health

Services Administration (SAMHSA) Treatment Drug Courts Grant

### **County Counsel Concurrence**

**Auditor-Controller Concurrence** 

As to form: Yes

As to form: Yes

Other Concurrence: N/A

As to form: No

#### **Recommended Actions:**

That the Board of Supervisors:

- A. Authorize the Chief Probation Officer to submit an application, execute the Grant Award Agreement, and accept anticipated funding in the amount of \$325,000 per year for three years from the Substance Abuse and Mental Health Services Administration (SAMHSA) Treatment Drug Courts program.
- B. Determine that approval of the fiscal year (FY) 2017 SAMHSA Treatment Drug Courts program is not the approval of a project that is subject to environmental review under the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines section 15378(b)(4), finding that the actions are not a project as they are the creation of government funding mechanisms or other government fiscal activities which do not involve any commitment to any specific project which may result in a potentially significant impact on the environment, and direct staff to file the Notice of Exemption (Attachment A).

#### **Summary Text:**

SAMHSA, Center for Substance Abuse Treatment (CSAT) has provided a funding opportunity via the FY 2017 Treatment Drug Courts program for the purpose of expanding and/or enhancing substance use disorder treatment services in existing adult problem solving courts which use the treatment drug court model in order to provide alcohol and drug treatment (including recovery support services, screening, assessment, case management, and program coordination) to offenders. Through use of the SAMHSA funds, grantees will be expected to provide a coordinated, multi-system approach designed to combine the sanctioning power of drug courts with effective substance use disorder treatment services to break the cycle of criminal behavior, alcohol and/or drug use, and incarceration or other penalties. The Santa Barbara County Probation Department proposes the submission of an application to expand treatment services in the Santa Barbara region's existing Veterans Treatment Court (VTC) for veteran-offenders with co-occurring disorders (COD) (substance use and mental health disorders).

#### Background:

Although the majority of veterans are strengthened by their military service, combat experience has unfortunately left a growing number of veterans with Post-Traumatic Stress Disorder (PTSD) and Traumatic Brain Injury (TBI). According to Justice for Vets, one in five veterans has symptoms of a mental health disorder or cognitive impairment and one in six veterans who served in Operation Enduring Freedom and Operation Iraqi Freedom suffer from a substance abuse issue. Research continues to draw a link between substance abuse and combat—related mental illness. These afflictions have also been shown to lead to depression, joblessness, and homelessness. It has been found that warrelated illnesses may contribute to escalated suicide attempts, arrest, incarceration, domestic disputes, divorce, and despair. These issues are typically exacerbated due to many veterans possessing the "warrior's mentality," which often causes them to interpret any help for their physical and psychological health care needs as a sign of weakness. In addition to those who have fought in current conflicts, it is equally important to aid those who have served in Korea, Vietnam, and the Persian Gulf, as well as those who did not spend time in the combat zone.

Santa Barbara's VTC is well-suited for the SAMHSA program, as it is supported by a high-functioning VTC team. Under the direction of Judge George Eskin, and in the absence of any specialty funding, VTC commenced in the Santa Barbara region on July 13, 2012. Upon the retirement of Judge Eskin, the program subsequently flourished under the leadership of Judge Michael Carrozzo. It is acknowledged, however, that enhancement of substance use disorder and mental health treatment would greatly improve outcomes for veteran-offenders who suffer from COD. While primarily concerned with criminal activity, alcohol and other drug (AOD) use, and mental illness, access to grant funding in the amount of \$325,000 per year over a course of three years would allow the region's VTC team to increase their focus on other issues faced by the population, such as primary medical problems, transmittable diseases, homelessness, basic educational deficits, unemployment, inadequate job preparation, domestic problems, and the ongoing effects of wartime trauma.

By addressing this population's needs with the appropriate treatment opportunities afforded by SAMHSA and local resources, as well as the support and accountability of the Substance Abuse Treatment Court (SATC) model which has proven to be effective for over 20 years in Santa Barbara County, it is anticipated that the program could greatly assist those who have served our country. If funded, a minimum of 40 veteran-offenders per year will be served in Santa Barbara's existing VTC. A collaborative approach will be taken to ensure program success. Probation will function as lead agency for grant management and will supervise the participants. Local community based organizations (CBO) will be identified to provide a continuum of substance abuse and/or COD treatment and recovery support services including detox, residential, and outpatient treatment, as well as mental health counseling, and authorized ancillary services. The primary CBO partner will be the Council on Alcoholism and Drug Abuse (CADA). Long term residential treatment and inpatient hospitalization will be accessible through the Department of Veterans Affairs. Anticipated outcomes include a reduction in recidivism, substance abuse, depression, PTSD symptoms, and in the overall level of psychiatric distress experienced by veteran-participants. Ongoing data will be collected at intake, six months post intake, and at discharge to monitor the number of individuals being served, abstinence from substance use, employment trends, housing stability, and criminal justice involvement.

It should be noted that Probation's proposal to submit the SAMHSA application for the expansion of VTC treatment services in the Santa Barbara region will be presented to the Community Corrections Partnership Workgroup (CCP Workgroup) on November 30<sup>th</sup> for their review and input, and will be accompanied by the completed Criminal Justice Funding Opportunity form (Attachment B). Any suggestions from the CCP Workgroup will be incorporated into the application.

**Performance Measure:** The grant program will serve a minimum of 40 offenders each grant year and a minimum of 60% of participants will successfully complete the program. Additional performance measures will be established in conjunction with SAMHSA if the application is funded.

## **Contract Renewals and Performance Outcomes:**

N/A

#### **Fiscal and Facilities Impacts:**

Budgeted: No

#### Fiscal Analysis:

Funding Sources	Current FY Cost:	10	Annualized -going Cost:	otal One-Time Project Cost
General Fund				
State				
Federal		\$	325,000.00	
Fees		•	,	
Other:				
Total	\$ -	\$	325,000.00	\$ , <b>-</b>

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#### Narrative:

The proposed grant application is for a period of three (3) years commencing in Federal Fiscal Year (FFY) 2017 on approximately October 1, 2017 and continuing until September 30, 2020. The grant will provide funding for case management and expanding treatment services for veteran offenders. It is anticipated that the grant will fund a .5 FTE Deputy Probation Officer and a .5 Supervising Probation Officer as well as treatment services through contracts with community based organizations. There is no required match.

There is no increase in the Probation General Fund contribution and no impact on facilities associated with this grant.

#### **Key Contract Risks:**

N/A

#### **Staffing Impacts:**

**Legal Positions:** 

FTEs:

2.0

1.0

#### **Special Instructions:**

Please return two (2) Certified Minute Orders to Michael Cameron, Fiscal Manager, Probation Department, 117 E. Carrillo Street, Santa Barbara, CA 93101

#### **Attachments:**

Attachment A: CEQA Notice of Exemption

Attachment B: Criminal Justice Funding Opportunity Form

#### Authored by:

Tanja Heitman, Deputy Chief Probation Officer

# ATTACHMENT A: CEQA

# NOTICE OF EXEMPTION

# Attachment A to Board Letter

# NOTICE OF EXEMPTION

TO: Santa Barbara County Clerk of the Board of Supervisors				
FROM: Guadalupe Rabago, Chief Probation Officer				
The project or activity identified below is determined to be exempt from further environmental review requirements of the California Environmental Quality Act (CEQA) of 1970 as defined in the State and County guidelines for the implementation of CEQA.				
APN(s): N/A Case No.: N/A				
Location: Santa Barbara County				
<b>Project Title:</b> Substance Abuse and Mental Health Services Administration (SAMHSA) Fiscal Year (FY) 2017 Treatment Drug Courts Grant Program				
<b>Project Description:</b> The FY 2017 Treatment Drug Courts Grant Program is funded through SAMHSA and is awarded for the purpose of expanding and/or enhancing substance use disorder treatment services in existing adult problem solving courts which use the treatment drug court model in order to provide alcohol and drug treatment to offenders.				
Exempt Status: (Check one)  Ministerial Statutory Categorical Exemption Emergency Project Not a Project: Section 15378(b)(4) Other				
Cite specific CEQA Guideline Section: Section 15378(b)(4); the actions are not a project as they are the creation of government funding mechanisms or other government fiscal activities which do not involve any commitment to any specific project which may result in a potentially significant impact on the environment.				
Reasons to support exemption findings:				
The Santa Barbara County Probation Department intends to submit an application, execute the Grant Award Agreement, and accept anticipated funding of the FY 2017 SAMHSA grant to expand treatment services in the Santa Barbara region's existing Veterans Treatment Court (VTC) for veteran-offenders with co-occurring disorders (COD) (substance use and mental health disorders).				
Tanja Heitman    1   \frac{1}{2}     6     Department/Division Representative   Date				
Acceptance Date (date of final action on project):				
Date Filed by County Clerk:				
Distribution: (for posting six days prior to action, and posting original after approval)				

# ATTACHMENT B:

# CRIMINAL JUSTICE FUNDING OPPORTUNITY FORM

#### CRIMINAL JUSTICE FUNDING OPPORTUNITY

Title: Veterans Treatment Court (VTC)  Lead Staff: Tanja Heitman
Revenue: Up to \$325,000 per year for three (3) years.
⊠ Grant
Grant title: Substance Abuse and Mental Health Services Administration (SAMHSA) Treatment Drug Courts
Submission deadline: December 12, 2016
Grant term: One (1) year with possible renewal to three (3) years.
Grant funding agency: SAMHSA
General Fund
Realignment
Other:
Submission Deadline: December 12, 2016
Fiscal Lead Agency: Santa Barbara County Probation Department
Total Budget: <u>\$325,000</u>
Match:
Type of Match:in-kindcash Match is not required.
Designate which of the following Realignment Plan goals the program will focus on:
Enhance public safety by reducing recidivism.
Enhance the use of alternative detention (pre and post-sentence) for appropriate offenders.
Provide for successful re-entry of offenders back into the community.
Coordinate efforts to eliminate duplication, increase efficiencies, and promote best practices.

#### **Brief Project Description:**

The purpose of this project is to expand and enhance substance use disorder and mental health (co-occurring) treatment services in the existing VTC serving the Santa Barbara region. The project will use the drug court model in order to provide alcohol and drug treatment (including recovery support services, screening, assessment, case management, and program coordination) to veteran-participants. The VTC will provide a coordinated, multi-system approach designed to combine the sanctioning power of drug courts with effective substance use disorder treatment services to break the cycle of criminal behavior, alcohol and/or drug use, and incarceration or other penalties.

#### **Target Population:**

Veterans of all military branches that are deemed eligible by the Judge and veterans eligible for the Military Diversion program under Penal Code Section 1001.80 and post-plea Veterans Treatment Court under the County criteria.

Projected Number to be Served: Forty (40) per year, for a total of 120.

#### Criminogenic Need(s) Targeted:

While primarily concerned with criminal activity, alcohol and other drug (AOD) use, and mental illness, the VTC team also focuses on other issues faced by veterans with co-occurring disorders such as primary medical problems, transmittable diseases, homelessness; basic educational deficits, unemployment, and inadequate job preparation; spouse and family troubles (especially domestic violence); and, the ongoing effects of wartime trauma.

#### EBP or Promising Practice (identify clearinghouse):

Although here has not been any comprehensive research completed on the efficacy and effectiveness of Veterans Treatment Courts in reducing recidivism, the tenets and structure of this treatment court closely emulate those present in drug courts. Both courts share the primary goals of reducing criminal recidivism and substance abuse among

participants and utilize comprehensive sincentives in an attempt to modify the bel <a href="http://www.wsipp.wa.gov">http://www.wsipp.wa.gov</a>	supervision, drug testing, treatment services, and immediate sanctions and havior of defendant.			
Results First:  Cost-benefit \$2.61  N/A:				
Brief Budget Narrative (include all agencies that will receive funding and general description of any positions to be funded):  The grant will fund a 0.5 full time equivalent (FTE) Deputy Probation Officer to provide management and coordination of the VTC participants and a 0.5 FTE supervising staff for grant oversight, billing and management. Project evaluation and data collection will be performed by the University of California, Santa Barbara (UCSB). Cost is limited to 20% of the total budget. Funding will provide for a Veterans Court Peer Recovery Mentor. A continuum of trauma-informed treatments will be provided based on assessed need, to include detox, residential treatment, intensive outpatient treatment, outpatient treatment, medically assisted treatment, and mental health counseling, with collateral and ancillary services as identified.				
<ul> <li>Anticipated Outcomes (Measurable):</li> <li>Reduction in new convictions</li> <li>Reduction in substance abuse, as measured by the Addiction Severity Index (ASI).</li> <li>Reduction in depression, as measured by the Patient Health Questionaire-9.</li> <li>Reduction in Post-Traumatic Stress Disorder (PTSD) symptoms, as measured by the PTSD checklist.</li> <li>Reduction in the overall level of psychiatric distress experienced by veteran-participants, as measured by the ASI.</li> <li>Anticipated Recidivism Reduction: The anticipated recidivism reduction is 25%.</li> <li>Identify each of the Collaborative Partners that will participate in the program and their individual role(s):</li> </ul>				
Agency	Role(s)			
Council on Alcoholism and Drug Abuse	Provide a continuum of substance abuse and/or co-occurring treatment and recovery support services including detox, residential, and outpatient treatment.			
Department of Veterans Affairs (VA)	Provide long term residential treatment and inpatient hospitalization.			
County Veterans Service	Assistance in obtaining VA related benefits			
Tri-West	Funding for authorized ancillary services.			
New Beginnings Counseling	Mental health counseling.			
Probation Department	Serve as lead agency for the grant, and provide offender supervision and management.			
Neighborhood Clinics	General health care for veterans without VA benefits.			
Feedback:				
Feedback provided by: CCP	CCP Workgroup Date feedback provided:			
Recommended Not Recommen				

**Comments:**