



**BOARD OF SUPERVISORS
AGENDA LETTER**

Agenda Number:

Clerk of the Board of Supervisors
105 E. Anapamu Street, Suite 407
Santa Barbara, CA 93101
(805) 568-2240

Department Name: Behavioral Wellness
Department No.: 043
For Agenda Of: October 10, 2017
Placement: Departmental
Estimated Time: 30 Minutes
Continued Item: No
If Yes, date from:
Vote Required: Majority

TO: Board of Supervisors

FROM: Department Alice Gleghorn, Ph.D., Director
Director(s) Behavioral Wellness, 805-681-5220
Contact Info: Suzanne Grimmesey, Chief Quality Care and Strategy Officer
Behavioral Wellness, 805-681-5289

SUBJECT: Behavioral Wellness – Psychiatric Health Facility (PHF) Update

County Counsel Concurrence

As to form: Yes

Other Concurrence:

As to form: N/A

Auditor-Controller Concurrence

As to form: Yes

Recommended Actions:

That the Board of Supervisors:

- a. Receive and file a report on the **Psychiatric Health Facility (PHF)**, providing an annual update from the PHF Governing Board regarding operations of the PHF, including medical staff issues, quality of care, and other program activities;
- b. Adopt and authorize the Chair to execute the attached resolution amending membership of the PHF Governing Board to include a Public Guardian Representative and a Public Health Doctor, superseding Resolution 16-223 adopted and authorized by the Board of Supervisors on September 20, 2016; and
- c. Determine that the above actions are exempt from the California Environmental Quality Act (CEQA) pursuant to section 15378(b)(5) of the CEQA guidelines, as organizational or administrative activities of governments that will not result in direct or indirect physical changes in the environment.

Summary Text:

This item is on the agenda pursuant to the Board's Resolution establishing a PHF Governing Board and requiring the PHF Governing Board to make annual reports to the full Board of Supervisors, which retains authority to set general policy on fiscal and personnel matters within the County. The PHF Governing Board was originally created by action of the Board of Supervisors on August 30, 2016, as amended on September 20, 2016, to provide oversight of the County's Psychiatric Health Facility (PHF). The PHF is a 16-bed, locked inpatient psychiatric facility that provides comprehensive services to individuals in need of acute psychiatric care. A PowerPoint presentation will provide information about the specific activities undertaken by the PHF Governing Board that improved operations/policies at the PHF. The proposed resolution will expand the PHF Governing Board from five to seven members.

Background:

The Santa Barbara County Behavioral Wellness PHF is a 16-bed locked inpatient psychiatric facility that provides 24-hour acute comprehensive care to individuals with severe mental illness who require, per California Welfare and Institutions Code (WIC) Section 5150, psychiatric hospitalization at a Lanterman-Petris-Short (LPS) designated facility. The PHF is licensed by the State Department of Health Care Services (DHCS) as a "psychiatric health facility" and, in addition, is also eligible under Health and Safety Code section 1250.2(d) to participate as a hospital in the federal Medicare program if it meets all the federal conditions of participation.

Participation in Medicare qualifies the facility for designation as a "Super-PHF," meaning that it can bill both Medicare and Medi-Cal for services provided to qualified client beneficiaries. To maintain its eligibility to participate in the Medicare program, the PHF is subject to inspection approximately every five years by the Federal Centers for Medicare and Medicaid Services (CMS); the most recent prior inspection of this type took place in 2016. This inspection entails a review that the PHF has met the conditions of participation for hospitals pursuant to federal regulations at 42 CFR Part 482. In addition to CMS inspection, the PHF regularly participates in unannounced reviews (typically 4-6 per year) of specific requirements for DHCS licensure as set forth in California regulations at Title 22 California Code of Regulations (CCR) Division 5, Chapter 9. All these reviews provide important monitoring of PHF services and facilities, identifying both areas of strength and improvement. Both state and federal regulations require an effective governing body that is legally responsible for the oversight of the facility.

PHF Governing Board

The PHF Governing Board provides oversight of the PHF to ensure it is in compliance with state and federal regulations. On August 30, 2016, the Board of Supervisors (BOS) authorized the membership of the PHF Governing Board. With subsequent modifications by the BOS on September 30th 2016, the members of the PHF Governing Board are: Assistant County Executive Officer of Health and Human Services, Assistant Director of Support Services for General Services, Public Health Director, Chief Deputy of Custody Operations for the Sheriff's Department, and one Supervisor from the County of Santa Barbara Board of Supervisors (and alternate). On August 30, 2016, Fifth District Supervisor Steve Lavagnino was appointed by the Board of Supervisors to serve on the Governing Board and Second District Supervisor Janet Wolf was selected as the alternate. The Board specified that the PHF CEO and PHF Medical Director will attend the PHF Governing Board meetings to make presentations and address any questions. At its January 25, 2017 meeting the PHF Governing Board passed a motion "to approve the presented PHF Governing Board Bylaws with the recommendation to the Board of Supervisors to add two new Board members to the PHF GB: 1. Public Guardian Representative; and, 2.

Public Health Doctor.” The proposed resolution amends the prior resolution establishing the PHF Governing Board to reflect these new members, and revise the General Services representative to be the Director of the General Services Department.

The PHF Governing Board is part of a Governance structure that also includes the PHF Medical Practice Committee, and the Quality Assessment and Performance Improvement (QAPI) team.

FY 2016-2017 Improvements

During this fiscal year, the PHF Governing Board has overseen significant improvements at the PHF. These include:

- Implementation of a sustainable governance structure.
- Patients’ Rights improvements such as development of seclusion and restraint policies and procedures, accurate and ongoing reports of patients’ denial of rights, improvements in medication consent prior to treatments, and patient grievance reports.
- Expanded staffing resources (Dedicated PHF Dietitian, Infection Control expert, PHF Manager, Director of Nursing, PHF Quality Care Management (QCM) Coordinator, Pharmacist-In-Charge).
- Changes made in data collection and analysis. These changes involved a robust data collection of clinical indicators including: medical records review, food and nutritional services, sentinel events/adverse occurrences, laboratory services, medication errors, environmental services, infection control, psychosocial assessments, discharge aftercare, psychiatric evaluations completed within 24 hours of patient admission.
- Formed a more robust Quality Assessment and Performance Improvement (QAPI) program and indicator tracking system of 42 Primary Indicators that span the following categories: Significant Adverse Outcomes, Complaints and Grievances, Patient services, Care and Safety, Laboratory services, Food and Nutrition Issues, Infection Prevention and Control, Restraint and Seclusion, Medication Use and Pharmacy Services, Environmental services and Environment of Care.
- Defined quality improvement activities including development of pharmacy, infection control and prevention policies and procedures, hiring of a registered pharmacist and infection control and preventionist.
- Amended or developed policies and procedures for addressing pharmacy services, infection control and dietary services.
- Immediate and ongoing facility plant changes, including psych-safe bathroom doors, faucets, ceilings and floors.
- Adoption of more than 50 policies. (*See Attachment B, Psychiatric Health Facility Approved Policies: September 2016 - September 2017, for a complete list of policies adopted by the PHF Governing Board during this reporting period.*)

Fiscal and Facilities Impacts:

Budgeted: This agenda item is for information and administrative purposes only. There are no current budget impacts.

Special Instructions:

Direct Staff to post vacancy notices at the appropriate locations for the Public Health Doctor and Public Guardian's Office representative members.

Please send one (1) minute order to Melanie Johnson at: mejohanson@co.santa-barbara.ca.us

Attachments:

Attachment A: PHF Update PowerPoint

Attachment B: Psychiatric Health Facility Approved Policies and Hometown Pharmacy Approved Policies: September 2016 - September 2017

Attachment C: Resolution Designating a PHF Governing Board

Attachment D: Resolution #16-223 from 9/20/16

Authored by: Melanie Johnson, Suzanne Grimmesey, Lindsay Walter, Marianne Barrinuevo