



MAR 19 2020

March 11, 2020

Joyce E. Dudley, District Attorney
Santa Barbara County
1112 Santa Barbara Street
Santa Barbara, CA 93101-2008

Subject: **NOTIFICATION OF APPLICATION APPROVAL**
Human Trafficking Advocacy Program
Subaward #: HA19 02 0420, Cal OES ID: 083-00000

Dear Ms. Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$150,000, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at www.caloes.ca.gov.

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file

SPECIAL CONDITION

Grant Subaward No: HA19 02 0420 is hereby approved with the following condition:

- **HA19 Subrecipients are required to submit signed OAs or a OA Summary Form (or MOU) with their Application that cover the entire grant period. These documents must demonstrate a formal system of networking and coordination with other agencies and the Subrecipient. In reviewing your application one of your Operational Agreements or MOU was not signed. Please provide a signed copy of the following:**
 - **MOU for the Santa Barbara County Commercially Sexually Exploited Children (CSEC) Program**

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the Grant Subaward and/or the denial of future grant funds.

Cal OES #	083-00000-16	FIPS #	083-00000	VS#		Subaward #	
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CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES GRANT SUBAWARD FACE SHEET

HA19 02 04 20

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

1. Subrecipient: County of Santa Barbara County 1a. DUNS#: 131851219
2. Implementing Agency: County of Santa Barbara County - Office of District Attorney's Office 2a. DUNS#: 131851219
3. Implementing Agency Address: 1112 Santa Barbara Street Santa Barbara 93101-2008
(Street) (City) (Zip+4)
4. Location of Project: 1112 Santa Barbara Street Santa Barbara 93101-2008
(City) (County) (Zip+4)
5. Disaster/Program Title: Human Trafficking Advocacy Program 6. Performance Period: 01/01/20 to 12/31/20
(Start Date) (End Date)
7. Indirect Cost Rate: 10% de minimis Federally Approved ICR (if applicable): %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2018	VOCA		\$150,000		\$7,500		\$7,500	\$157,500
9.	Select	Select							
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
Total	Project	Cost		\$150,000	\$150,000	\$7,500		\$7,500	\$157,500

13. Certification - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. CA Public Records Act - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

15. Official Authorized to Sign for Subrecipient:

Name: Joyce E. Dudley Title: District Attorney

Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008

Signature: Joyce E. Dudley Date: 11/22/19

16. Federal Employer ID Number: 95-6002533

(FOR Cal OES USE ONLY)

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

Mandy Sucker 3/5/2020 [Signature] 3.9.2020
(Cal OES Fiscal Officer) (Date) (Cal OES Director or Designee) (Date)

ENY: 2019-20 Chapter: 23 SL: 18408
Item: 0690-102-0890 Pgm: 0385
FAIN #: 2018-V2-GX-0029 10/01/17-09/30/21
Fund: Federal Trust AL#: 16.575
Program: Human Trafficking Advocacy Program
Match Req.: 20% C/IK based on TPC-Partial Match Waived
Project ID: OES18VOCA000012
SC: 2019-18408 Amount: \$ **150,000**

RECEIVED
NOV 26 2019
BY: #694539

EFF 10 5000

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

1. Cal OES Contact Information Section:

Governor's Office of Emergency Services
Mark S. Ghilarducci, Director
3650 Schriever Avenue
Mather, CA 95655
(916) 845-8506 (phone)

2. Federal Awarding Agency Section:

Fund Year	Federal Program Fund / AL#	Federal Awarding Agency	Total Federal Award Amount	Total Local Assistance Amount
2018	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$396,642,274	\$380,776,583
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

3. Project Description Section:

- Project Acronym (Please choose from drop down):
Human Trafficking Advocacy Program (HA)
- Project Description (Please type the Project Description):

Provides funding for direct civil and criminal legal services for victims of crime.

Provide funds to an advocate to provide services to victims of human trafficking in prosecutorial arena.

4. Research & Development Section:

- Is this Subaward a Research & Development grant? Yes ☐ No ☒

PROJECT CONTACT INFORMATION

Subrecipient: OFFICE OF THE DISTRICT ATTORNEY LA Subaward #: HA 19 02 0420

Provide the name, title, address, telephone number, and e-mail address for the project contacts named below.

1. The **Project Director** for the project:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

2. The **Financial Officer** for the project:

Name: Michael Soderman Title: Administrative Director
Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

3. The **person** having **Routine Programmatic** responsibility for the project:

Name: Megan Rheinschild Title: Victim Witness Project Director
Telephone #: (805) 568-2408 (805) 729-1614 Email Address: mraker@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

4. The **person** having **Routine Fiscal** responsibility for the project:

Name: Nicole Lee-Rodriguez Title: Business Manager
Telephone #: (805) 568-2413 Email Address: nleerod@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

5. The **Executive Director** of a Community Based Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

6. The **Official Designated** by the Governing Board to enter into the Grant Subaward for the City/County or Community-Based Organization, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

7. The **Chair** of the **Governing Body** of the Subrecipient:

Name: Steve Lavagnino Title: Chair, Board of Supervisors
Telephone #: (805) 346-8400 Email Address: steve.lavagnino@countyofsb.org
Address/City/Zip + 4: 105 East Anapamu, Santa Barbara, CA 93101-2008

SIGNATURE AUTHORIZATION

Subaward #: HA 19 02 0420

☒ Subrecipient: County of Santa Barbara County
SANTA BARBARA COUNTY - OFFICE OF THE
☒ Implementing Agency: District Attorney's Office

*The **Project Director** and **Financial Officer** are **REQUIRED** to sign this form.

***Project Director:** Joyce E. Dudley

Signature: Joyce E. Dudley

Date: 11-21-19

***Financial Officer:** Michael Soderman

Signature: Michael Soderman

Date: 11/8/19

The following persons are authorized to sign
for the **Project Director**

[Signature]
Signature
John Savrnoch
Printed Name

Signature

Printed Name

Signature

Printed Name

Signature

Printed Name

Signature

Printed Name

The following persons are authorized to sign
for the **Financial Officer**

[Signature]
Signature
Nicole Lee-Rodriguez
Printed Name

Signature

Printed Name

Signature

Printed Name

Signature

Printed Name

Signature

Printed Name

CERTIFICATION OF ASSURANCE OF COMPLIANCE
Victims of Crime Act (VOCA) Fund

I, Joyce E. Dudley hereby certify that
(official authorized to sign Subaward; same person as Section 15 on Subaward Face Sheet)

Subrecipient: Santa Barbara County

Implementing Agency: ~~District Attorney's Office~~ OFFICE OF THE DISTRICT ATTORNEY (LA)

Project Title: Human Trafficking (HTA) Program (LP)

is responsible for reviewing the *Subrecipient Handbook* and adhering to all of the Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

I. Federal Grant Funds

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to OMB Uniform Guidance 2 CFR Part 200, Subpart F and are allowed to utilize federal grant funds to budget for the audit costs. See Section 8000 of the Subrecipient Handbook for more detail.

- ☒ The above named Subrecipient receives \$750,000 or more in federal grant funds annually.
- ☐ The above named Subrecipient does not receive \$750,000 or more in federal grant funds annually.

II. Equal Employment Opportunity – (Subrecipient Handbook Section 2151)

It is the public policy of the State of California to promote equal employment opportunity (EEO) by prohibiting discrimination or harassment in employment because of race, color, religion, religious creed (including religious dress and grooming practices), national origin, ancestry, citizenship, physical or mental disability, medical condition (including cancer and genetic characteristics), genetic information, marital status, sex (including pregnancy, childbirth, breastfeeding, or related medical conditions), gender, gender identity, gender expression, age, sexual orientation, veteran and/or military status, protected medical leaves (requesting or approved for leave under the Family and Medical Leave Act or the California Family Rights Act), domestic violence victim status, political affiliation, and any other status protected by state or federal law. **Cal OES-funded projects certify that they will comply with all state and federal requirements regarding equal employment opportunity, nondiscrimination and civil rights.**

Please provide the following information:

Equal Employment Opportunity Officer: Henry Ventura

Title: Equal Opportunity Manager

Address: 1226 Anacapa Street, Santa Barbara, CA 93101

Phone: (805) 568-2805

Email: hventura@co.santa-barbara.ca.us

III. Drug-Free Workplace Act of 1990 – (Subrecipient Handbook, Section 2152)

The State of California requires that every person or organization subawarded a grant or contract shall certify it will provide a drug-free workplace.

IV. California Environmental Quality Act (CEQA) – (Subrecipient Handbook, Section 2153)

The California Environmental Quality Act (CEQA) (*Public Resources Code, Section 21000 et seq.*) requires all Cal OES funded projects to certify compliance with CEQA. Projects receiving funding must coordinate with their city or county planning agency to ensure that the project is compliance with CEQA requirements.

V. Lobbying – (Subrecipient Handbook Section 2154)

Cal OES grant funds, grant property, or grant funded positions shall not be used for any lobbying activities, including, but not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

VI. Debarment and Suspension – (Subrecipient Handbook Section 2155)
(This applies to federally funded grants only.)

Cal OES-funded projects must certify that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department of agency.

VII. Proof of Authority from City Council/Governing Board – (Subrecipient Handbook Section 1350)

The above-named organization (Applicant) accepts responsibility for and will comply with the requirement to obtain a signed resolution from the city council/governing board in support of this program. The applicant agrees to provide all matching funds required for said project (including any amendment thereof) under the Program and the funding terms and conditions of Cal OES, and that any cash match will be appropriated as required. It is agreed that any liability arising out of the performance of this Subaward, including civil court actions for damages, shall be the responsibility of the grant Subrecipient and the authorizing agency. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also agreed that grant funds received from Cal OES shall not be used to supplant expenditures controlled by the city council/governing board.

The applicant is required to obtain written authorization from the city council/governing board that the official executing this agreement is, in fact, authorized to do so. The applicant is also required to maintain said written authorization on file and readily available upon demand.

VIII. Civil Rights Compliance

The Subrecipient complies with all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

IX. Special Condition for Grant Subaward with Victims of Crime Act (VOCA) Funds

1. Applicability of Part 200 Uniform Requirements

The Subrecipient agrees to comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the Department of Justice (DOJ) in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements").

2. Compliance with DOJ Grants Financial Guide

The Subrecipient agrees to comply with the Department of Justice Grants Financial Guide as posted on the OJP website (currently, the "2015 DOJ Grants Financial Guide"), including any updated version that may be posted during the period of performance.

3. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP authority to terminate award)

The Subrecipient agrees to comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipient or individuals defined (for purposes of this condition) as "employees" of the Subrecipient.

The details of the Subrecipient's obligations regarding prohibited conduct related to trafficking in persons are posted on the OJP website at: <http://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by Subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

4. Civil Rights and Nondiscrimination

The Subrecipient understands that the federal statutes and regulations pertaining to civil rights and nondiscrimination and, in addition:

- a. the Subrecipient understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
- b. the Subrecipient understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110 (e)) ; section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13), which will apply to all awards made by the Office of Violence Against Women, also may apply to an award made otherwise; and
- c. the Subrecipient understands they must comply with the specific assurances set out in 29 C.F.R. §§ 42.105 and 42.204.

5. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events

The Subrecipient agrees to comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "2015 DOJ Grants Financial Guide").

6. Effect of Failure to Address Audit Issues

The Subrecipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the Subrecipient does not satisfactorily and promptly address outstanding issues

from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

7. Reporting Potential Fraud, Waste, Abuse, and Similar Misconduct

The Subrecipient agrees to promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, contractor, subcontractor, or other person has, in connection with funds under this award (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by:

- o Mail: Office of the Inspector General,
U.S. Department of Justice, Investigations Division,
950 Pennsylvania Avenue, N.W. Room 4706,
Washington, DC 20530;
- o E-mail: oig.hotline@usdoj.gov;
- o DOJ OIG hotline (contact information in English and Spanish): (800) 869-4499; and/or
- o DOJ OIG hotline fax: (202) 616-9881.

Additional information is available from the DOJ OIG website at <http://www.usdoj.gov/oig>.

8. Compliance with General Appropriations-Law Restrictions on the Use of Federal Funds

The Subrecipient agrees to comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2016, are set out at <http://ojp.gov/funding/Explore/FY2016-AppropriationsLawRestrictions.htm>, and are incorporated by reference here.

9. Restrictions and Certifications Regarding Non-Disclosure Agreements and Related Matters

The Subrecipient understands and agrees that no Subrecipient under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste,

fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

a. In accepting this award, the Subrecipient:

- o Represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- o Certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

b. If the Subrecipient does or is authorized under this award to make subawards, procurement contracts, or both:

- o It represents that (1) it has determined that no other entity that the Subrecipient's application proposes may or will receive award funds (whether through a subaward, procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
- o It certifies that, if it learns or is notified that any Subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that

entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

10. Encouragement of Policies to Ban Text Messaging while Driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Subrecipient understands that DOJ encourages Subrecipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

11. Additional DOJ Awarding Agency Requirements

The Subrecipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the Subrecipient is designated as "high- risk" for purposes of the DOJ high-risk grantee list.

12. OJP Training Guiding Principles

The Subrecipient understands and agrees that any training or training materials developed or delivered with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://ojp.gov/funding/ojptrainingguidingprinciples.htm>.

13. Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient)--1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or 2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

14. Specific Post-Award Approval Required to Use a Non-Competitive Approach in any Procurement Contract that Would Exceed \$150,000

The Subrecipient agrees to comply with all applicable requirements to obtain specific advance approval to use a non-competitive approach in any

procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$150,000). This condition applies to agreements that, for purposes of federal grants administrative requirement, OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <http://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> [Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$150,000)] and are incorporated by reference here.

15. Requirement for Data on Performance and Effectiveness Under the Award

The Subrecipient agrees to collect and maintain data that measure the performance and effectiveness of activities under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.

16. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The Subrecipient agrees to comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The Subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the Subrecipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

17. VOCA Requirements

The recipient assures that the State and its subrecipients will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable

program guidelines and regulations), as required. Specifically, the State certifies that funds under this award will:

- a. be awarded only to eligible victim assistance organizations, 34 U.S.C. 20103(a)(2);
- b. not be used to supplant State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2); and
- c. be allocated in accordance with program guidelines or regulations implementing 34 U.S.C. 20103(a)(2)(A) and 34 U.S.C. 20103(a)(2)(B) to, at a minimum, assist victims in the following categories: sexual assault, child abuse, domestic violence, and underserved victims of violent crimes as identified by the State.

18. Demographic Data

The Subrecipient agrees to collect and maintain information on race, sex, national origin, age, and disability of victims receiving assistance, where such information is voluntarily furnished by the victim.

19. Performance Reports

The Subrecipient agrees to submit (and, as necessary, require sub-Subrecipients to submit) quarterly performance reports on the performance metrics identified by OVC, and in the manner required by OVC. This information on the activities supported by the award funding will assist in assessing the effects that VOCA Victim Assistance funds have had on services to crime victims within the jurisdiction.

20. Access to Records

The Subrecipient authorizes the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, paper or documents related to the VOCA grant.

All appropriate documentation must be maintained on file by the project and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

CERTIFICATION

I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Authorized Official's Signature: _____

Authorized Official's Typed Name: Joyce E. Dudley

Authorized Official's Title: District Attorney

Date Executed: 11/12/2019

Federal Employer ID #: 95-6002833 Federal DUNS #: 131851219

Current System for Award Management (SAM) Expiration Date: 2/12/2020 12/25/2020 CAT

Executed in the City/County of: Santa Barbara

AUTHORIZED BY: (not applicable to State agencies)

☐ City Financial Officer

☒ County Financial Officer

☐ City Manager

☐ County Manager

☐ Governing Board Chair

Signature: _____

Typed Name: Betsy Schäffer

Title: Auditor-Controller

BUDGET CATEGORY AND LINE ITEM DETAIL

Subrecipient: <u>SANTA BARBARA County</u>		Subaward #: HA19 02 0420	
A. Personal Services – Salaries/Employee Benefits	VOCA 18	VOCA 18 Match	COST
1.05 FTE Victim Witness Program Advocate II			
Salary (\$2,662.88 x 26 PP) x 1.05 = \$72,697	\$72,697		\$72,697
Retirement 31% of Salary = \$22,536	\$22,536		\$22,536
FICA/MediCare 7.48% of Salary = \$5,438	\$5,438		\$5,438
Health Ins/Disability/SDI 15.23% of Salary = \$11,072	\$11,072		\$11,072
0.25 FTE Victim Witness Program Supervisor			
Salary (\$3,069.07 x 26 PP) \$79,796 x 0.25 FTE = \$19,949	\$14,962	\$ 4,987	\$19,949
Retirement x 31.93% of Salary = \$6,370	\$4,777	\$ 1,593	\$6,370
FICA/MediCare: 7.05% = \$1,406	\$1,055	\$ 351	\$1,406
Health Ins/Disability/SDI 13.02% = \$2,597	\$2,028	\$ 569	\$2,597
Personal Section Totals	✓ \$134,565	✓ \$7,500	\$142,065 ✓
PERSONAL SECTION TOTAL			\$142,065 AT

BUDGET CATEGORY AND LINE ITEM DETAIL

Subrecipient: <u>Santa Barbara County</u>		Subaward #: HA19 02 0420	
B. Operating Expenses	VOCA 18	VOCA 18 Match	COST
<p>Cell Phones + Telephone Services (\$72 x 3 month x 1 months) = \$216</p>	\$216		\$216
<p>WSIN Human Traffickign Summit x 2 staff Lodging \$150.16 x 3 days x 2 staff = \$901</p> <p><i>JP \$135 + tax (See Flyer)</i></p>	\$901		\$901
<p>MTDC @ 10% De Minimis ((Personal +Operating) x .10) = \$14,318 10% Used for Overhead Costs, Administrative Salary, Utilities, IT Software, Office Suplies, Printing and Other.</p> <p><i>Pers \$142,065 (AT)</i> <i>OPERA \$1117</i> <i>JP \$143,182 x 10% = \$14,318</i></p>	\$14,318		\$14,318
Operating Section Totals	✓ \$15,435	0 AT	\$15,435
OPERATING SECTION TOTAL			\$15,435

AT

BUDGET CATEGORY AND LINE ITEM DETAIL

Subrecipient: SANTA BARBARA County		Subaward #: HA19 02 0420	
C. Equipment	VOCA 18	VOCA 18 Match	COST
(A) None			
Equipment Section Totals			
EQUIPMENT SECTION TOTAL	\$0 AT	\$0 AT	
Category Totals			
Same as Section 12G on the Grant Subaward Face Sheet	\$150,000 ✓	\$7,500 ✓	
Total Project Cost			\$157,500 AT

Subrecipient: Santa Barbara County Subaward #: 1419 02 0420 NF

Budget Narrative

The budget for the Human Trafficking Advocacy Program incorporates the equivalent of salary and benefit costs totaling \$142,065 for one 1.05 FTE Victim Witness Program Advocate-II and .25 FTE Victim Witness Program Supervisor for the grant year. \$7,500 of the Salary and benefit costs of the Program Supervisor is the VOCA match. The Advocates will be housed primarily at the Santa Maria Police Department and Office at the Juvenile Court complex but will be available countywide to provide timely services to suspected victims in Lompoc and Santa Barbara. The advocates possess a working knowledge of the community and its resources and will provide the full range of mandated and optional Victim/Witness services including Victim Compensation Assistance. The Advocate is responsible for outreach activities to the targeted community and all direct provision of comprehensive Victim/Witness services. In addition \$30,322 is allocated for 25% of the Victim Witness Program Supervisor's salary for the day to day supervision of grant activities.

Operating expenses include indirect costs of \$1117 associated with Staff Cell Phone Services and a Human Trafficking Western States Information Network Summit in February 2020 for 2 staff. In the event additional training is necessary the department will assume costs associated with additional trainings. The budget also includes 10% De Minimis for overhead costs associated with the grant operations: IT Software, Administrative Staff support, office supplies, printing.

Subrecipient: Santa Barbara ^{County} Subaward #: KA19 02 0420 JR

Project Narrative

Problem Statement

Mission, Background and Experience

The Santa Barbara County District Attorney's Office Mission is to develop and enhance multi-disciplinary strategies that employ victim-centered, collaborative and sustainable approaches to identify victims of all types of human trafficking. The District Attorney and the Victim-Witness Program Director established a multi-agency tri-county work group (Ventura, Santa Barbara and San Luis Obispo) in 2013. The efforts to combat human trafficking have evolved significantly in five years due to the leadership of the District Attorney's Office to bring together stakeholders, provide training and garner state and federal funding to bolster efforts.

Description of the Service Area

Santa Barbara County is located on the coast between Ventura and San Luis Obispo Counties encompassing 2,737 square miles. The County has nearly 450,000 residents mostly in two major population centers at opposite ends of the County: the greater Santa Barbara area (south) and the Santa Maria Valley (north), along with population centers within the central county area (Lompoc and Santa Ynez Valley areas). Seventy percent of the North County City of Santa Maria, the most populous in the county, is "Hispanic" up from 60% in 2000. Northern Santa Barbara County's agricultural economy and population continues to expand due to a steady increase in the production of labor-

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intensive crops and access to affordable housing. Between 2007 and 2014, the percentage of Santa Barbara County children living below the federal poverty level (FPL) grew from under 15% to nearly 25%. Economic scarcity increases the likelihood of poor outcomes in children's safety, health, and education and makes youth more vulnerable to commercial sexual exploitation. In addition, economic insecurity can lead to parents or guardians engaged in survival sex for money or drugs, making the children in the home also vulnerable to sexual exploitation for economic gain. Both economic insecurity and tenuous immigration status are factors prevalent in Santa Barbara and contributors to labor trafficking/exploitation in the area.

Human Trafficking in Santa Barbara County

Santa Barbara County's robust agricultural economy attracts migrant laborers susceptible to exploitation and trafficking. In addition to local youth at risk for exploitation, Santa Barbara County is located along Highway 101, a main artery from San Francisco to San Diego. It is also two hours west of the California Intersate-5 at Bakersfield. Santa Barbara County is one leg of the Bermuda triangle of human trafficking and child sexual exploitation in California. Like many jurisdictions the proliferation of Massage Parlors is an additional site and point of vulnerability for sex trafficking.

According to recent data collected since January of 2017, there were 70 new Human Trafficking investigations (63 Sex Trafficking, 7 labor). The Victim-Witness Assistance Program served 58 Human Trafficking Survivors during the

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same period (5 Labor, 50 sex trafficking and 3 undetermined). Of the survivors, 11 were foreign nationals. Fifty-eight (58) exploiters were identified and 26 of those were criminally charged.

Organizational Structure

The Santa Barbara County District Attorney's Office is home to the Victim-Witness Assistance Program since its inception in 1978 through funding from the Office of Emergency Services. The HA Program is housed within the Victim Witness Assistance Program with a primary office in Santa Maria but serves countywide victims of human trafficking. The Victim Witness Assistance Program is designated by the County of Supervisors as the primary program to provide mandated and optional victim services to Victims of Crime per PC section 13835. The Victim Witness Program is countywide with three regional offices and overseen by the VW Program Director who reports directly to the elected District Attorney.

Current funding address human trafficking

The Santa Barbara District Attorney's Office receives Federal funding through the "Enhanced Collaborative Model to Combat Human Trafficking" (2016-2019) grant from the Office of Victims of Crime and the Bureau of Justice Assistance. The Enhanced Collaborative Grant provides funding for the Santa Barbara County Sheriff's Department for investigative resources and funding for an Advocate. The District Attorney's Office Victim-Witness Assistance Program

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currently receives HA funding which partially funds a Human Trafficking Victim Advocate.

Need for Project: Gaps and Barriers

While existing efforts and staff have created and built collaborative relationships and referral systems the program has not kept pace with the resources necessary to meet the complex needs of victims now identified through multiple system's entry points. Due to limitations in dedicated staffing, the program has fallen short of the growing need identified through the training, outreach and investigative focus on human trafficking cases. Referrals to the HA Advocate can come from any stakeholder agency in need of multi-agency system coordination to ensure a victim's long-term success. Rape Crisis, Child Welfare Services, Santa Barbara County Probation, local Law Enforcement and Juvenile Court staff routinely refer cases to our HA Advocate to intervene and provide intensive victim services. Our existing HA Advocate is funded PT @ .65 FTE. With the increased awareness and identification of trafficked victims, the program needs a FT dedicated advocate to respond in a timely fashion to multi-agency requests for victim services. The assigned Program Supervisor oversees the program @ .12 FTE though is increasingly called upon to manage the activities of the advocate and assist her to navigate the complex needs that victims and survivors face. That can include researching appropriate housing and recovery services, arranging emergency funding assistance and transportation. A dedicated single point of contact who is Full-Time is necessary

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to navigate the multiple systems of care, offer consistent emotional support, and restore a measure of security and safety for the victim. A single victim referred to the HA Advocate with co-existing multiple historical victimizations and trauma can consume an inordinate amount of staff resources providing case management, crisis intervention, resource and referral assistance, emergency shelter and compensation and court support.

Plan

The Victim Witness Program recruited a full time bilingual Victim-Witness Advocate in April of 2015 who will continue her role serving victims of human trafficking. The HA funding allow the Advocate full-time dedication to ongoing advocacy efforts and is adding an additional 5% of another advocate ~~not~~ funded through grant funds who will assist the advocate a few hours per week. The Assigned Advocates are bilingual and possesses a Bachelor's Degree in Sociology and Criminology. She has been employed as a Victim Witness HA Advocate since April 2015. She has completed the CCVAA Entry and Advanced Advocate Trainings and several specialized Human Trafficking local and regional trainings. She has attended several workshops focused on skill-building, trauma informed services, survivor experiences, cross discipline collaboration, and case studies. The Advocate will be housed in the Santa Maria Office at the Juvenile Court complex and the Santa Maria Police Department but available countywide to provide timely services to suspected victims in Lompoc and Santa Barbara. The advocate works closely with law enforcement and community partners and provides the full range of mandated and optional Victim/Witness services including Victim Compensation Assistance. The Advocate is responsible for outreach activities to the targeted community and all direct provision of comprehensive Victim/Witness services.

The assigned advocate will continue to be supervised by a Victim Witness Program Supervisor (.25 FTE). The Supervisor possesses a Masters in Marriage and

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Family Therapy and was a Human Trafficking specialist in the State Attorney's Office in Kansas prior to beginning employment with the District Attorney's Office. She provided regional and statewide trainings implementing a collaborative response to human trafficking, delivering both webinars and providing public outreach as a keynote speaker. She has five years of direct experience providing advocacy to victims of crime. She attended the Entry Level and Advanced Training for Victim Advocates and has attended multiple Trafficking Conference including the GEMS Train the Trainer and Shared Hope International JuST Conference. She has presented at the Shared Hope International Conference to highlight best practices working with CSEC Youth in the Juvenile Justice and Mental Health Systems. The Supervisor has been integral to the development of the DA Human Trafficking Advocacy Program since October of 2014. The Victim Witness Project Director oversees the grant goals and objectives of the grant. She has 25 years of experience working in the Santa Barbara County Victim-Witness Assistance Program. Under the direction of the District Attorney, the director facilitates the Federally Funded OVC Countywide Human Trafficking Task Force and is the point of contact in the District Attorney's Office for coordinating and delivering training and outreach on the subject. Furthermore, the VW Program and the Program Director and Supervisor are points of contact for local and federal law enforcement agencies who encounter a victim of trafficking in the region. The Victim Witness Program Director is the countywide administrator of the Sexual Assault Response Team

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(SART) and oversees its coordination and functioning. The SART Team is another resource available to and accessed by the Human Trafficking Task Force partner agencies. The SART team is an integral player in timely response to suspected trafficking cases. The Program has three regional victim-centered sites where medical-legal examinations and forensic interviews occur in suspected cases of abuse.

We seek local Volunteers and work with the Human Task Force affiliated Volunteers who are utilized to meet the program goals and objectives. The volunteer roles and responsibilities are detailed in the *Capabilities* Section.

In May of 2013, the Santa Barbara County District Attorney's Office and the Elected District Attorney hosted the first Countywide Human Trafficking Task Force meeting. In October of 2016 the District Attorney's Office was awarded Federal Funding BJA and OVC to form an "Enhanced Collaborative Model to Combat Human Trafficking". Ongoing BJA and OVC funding was awarded in October of 2019. The Task Force currently meets bi-monthly at the District Attorney's Office. The Task Force membership includes over 70 individuals from 25 distinct agencies including but not limited to local, regional and federal law enforcement agencies. Partners include: the FBI, Homeland Security, the US Department of Labor and the US Attorney's Office; county social service, public health, Behavioral Wellness, Rape Crisis; faith based organizations, homeless outreach advocates, Legal Aid, and a variety of aligned non-profit agencies. In addition to providing a venue for information sharing and networking, the

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primary focus of the task force has been to educate the community and stakeholders to recognize, identify and respond to trafficked victims. The Federal Grant required Operational Agreements with the Task Force Members. (Attached) The Operational Agreements demonstrate a formal system of networking, referral, and coordination with partner agencies through September 30, 2022.

As mentioned in the HA Proposal documents, since 2015, the California Department of Social Services has enacted the CSEC Program, which provides funding to participating county child welfare agencies to develop interagency protocols and multi-disciplinary teams to identify and respond to minor victims of commercial sexual exploitation. In 2015 our Victim Witness Assistance Director met with the Director of County Child Welfare Services to begin work on interagency protocols between our Departments. Since then, Child Welfare has been an integral part of our Countywide Human Trafficking Task Force and we have worked closely to develop identification tools with Child Welfare and Probation to identify at risk youth. Our HA staff work collaboratively with CWS offering Victim Witness Assistance to youth who have been exploited and abused. In 2015, Santa Barbara County Child Welfare began tracking all reports received by the child abuse hotline in which the reporter expressed concern that a child may be a victim of commercial sexual exploitation. In addition, Child Welfare Services began using the Commercial Sexual Exploitation Identification Tool (CSE-IT) to screen all children ages 10 and over in foster care

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Committee and CSEC Multi-Disciplinary Team. We have an operational agreement that articulates our collaborative roles, signed in 2017 and updated in draft form awaiting signature. (Attached)

In 2015, the District Attorney's Office established a pilot program "Helping Achieve Resiliency Treatment" HART Court for at risk and Commercially Sexually Exploited Children ("CSEC") in Northern Santa Barbara County, Santa Maria Juvenile Court. HART Court is a specialty court and multi-disciplinary program offering alternatives to detention and a range of comprehensive services aimed at educating and supporting the child's efforts to move away from exploitation, delinquent, or high-risk activity and further incarceration. The goal is to restore, rehabilitate and reintegrate the child back into pro-social activities (school, employment, sports) and promote physical health and positive interpersonal relationships, foster emotional stability, and establish parental protective factors so that the child can live a productive and healthy life. A collaborative team was formed by a Chief Deputy District Attorney when a 14-year-old girl was referred to court for possession of methamphetamine. Law enforcement discovered that she also was also a victim of commercial sexual exploitation, working for a pimp and had suffered ongoing child sexual abuse. The Juvenile Court, Public Defender, Probation and the District Attorney's Office recognized that a punitive approach would not address the complex issues she faced, and would fail to stabilize her life or be responsive to her emotional or psychological needs. There continues to be an uptick in identified cases of Human Trafficking. From January

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of 2017 through June of 2019, 128 Survivors of Human Trafficking (113 Sex, 5 Labor, 3 both and 7 unknown) were provided victim services.

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Capabilities

- a. **Increase Victim Witness Advocate to Full-Time:** The HA Funding is sufficient to increase the existing advocate's time from .72 FTE to 1.0 FTE. This is necessary in order to keep pace with the growing number of referrals from Child Welfare, the CSEC Multi-Disciplinary Team, Probation, Mental Health and Law Enforcement Personnel. This will also allow for the Advocate to focus exclusively on providing comprehensive services to Human Trafficking Victims.
- b. **Provide comprehensive services to victims of human trafficking:** Assigned full-time, the HA Victim Advocate will provide comprehensive services to Victims of Crime in accordance with PC 13835 and the program guidelines set forth by the California Office of Emergency Services which outlines Mandatory and Optional Services. The Advocate has been assigned to the Human Trafficking caseload for 3.5 years since April of 2015. Since the HA Program was formed 179 victims have been served. The Victim Advocate interfaces with many agencies but primarily with Juvenile Court, Juvenile Probation, Local and Federal Law Enforcement, Rape Crisis, Mental Health/Behavioral Wellness, Rape Crisis, Salvation Army, Good Samaritan, CALM (Child Abuse Listening and Mediation), Child Welfare Services, Public Health and Legal Aid.
- c. **Human Trafficking Working Groups:** In May of 2013, the Santa Barbara County District Attorney's Office and the District Attorney hosted the first

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XL19 02 0420 JF

Countywide Human Trafficking Task Force meeting. In October of 2016 the District Attorney was awarded Federal Funding for an "Enhanced Collaborative Model to Combat Human Trafficking" which formalized the Task Force. The Task Force currently meets bi-monthly at the District Attorney's Office. The Task Force membership includes over 70 individuals from 25 distinct agencies including but not limited to local, regional and federal law enforcement agencies; county social service, public health, Planned Parenthood, probation and mental health; rape crisis; faith based organizations, homeless outreach advocates, Legal Aid, California Rural Legal Assistance and a variety of aligned non-profit agencies and faith based stakeholders. In addition to providing a venue for information sharing and networking, the primary focus of the task force has been to educate the community and stakeholders to recognize, identify and respond to trafficked victims. The Federal Grant required Operational Agreements with the Task Force Members. (Attached)

Since 2015, the California Department of Social Services has enacted the CSEC Program, which provides funding to participating county child welfare agencies to develop interagency protocols and multi-disciplinary teams to identify and respond to minor victims of commercial sexual exploitation. In 2015 our Victim Witness Assistance Director met with the Director of County Child Welfare Services to begin work on interagency protocols between our Departments. Since then, Child Welfare has been

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an integral part of our Countywide Human Trafficking Task Force and we have worked closely to develop screening tools for Child Welfare and Probation to identify at risk youth. Our HA staff work collaboratively with CWS offering Victim Witness Assistance to youth who have been exploited and abused. Our HA staff and the VW Program Director are active members of the CSEC Steering Committee and CSEC Multi-Disciplinary Team. We have an operational agreement that articulates our collaborative roles, signed in 2017. (Attached)

In 2015, the District Attorney's Office established a pilot program "Helping Achieve Resiliency Treatment" HART Court for at risk and Commercially Sexually Exploited Children ("CSEC") in Northern Santa Barbara County, Santa Maria Juvenile Court. HART Court is a specialty court and multi-disciplinary program offering alternatives to detention and a range of comprehensive services aimed at educating and supporting the child's efforts to move away from exploitation, delinquent, or high-risk activity and further incarceration. The goal is to restore, rehabilitate and reintegrate the child back into pro-social activities (school, employment, sports) and promote physical health and positive interpersonal relationships, foster emotional stability, and establish parental protective factors so that the child can live a productive and healthy life. The Juvenile Court, Public Defender, Probation and the District Attorney's Office recognized that a punitive approach would not address

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the complex issues she faced, and would fail to stabilize her life or be responsive to her emotional or psychological needs.

The District Attorney's Office Victim-Witness Assistance Program and the Human Trafficking Task Force partnered with the Junior League of Santa Barbara in 2014 to identify unmet needs of trafficking victims in our community. After attending the Task Force and meeting with multiple stakeholders over a three-year period, they devoted themselves to opening a facility for trafficked minors. The Junior League of Santa Barbara (JLSB), officially opened the S.A.F.E. House Santa Barbara™ (Saving At-risk Youth From Exploitation), the county's first therapeutic rehabilitative shelter for children who are survivors of commercial sexual exploitation. The shelter, a six-bed Short-Term Residential Therapeutic Program (STRTP), was developed to meet the very specific needs of girls who have been commercially exploited.

With only a handful of beds in all of California dedicated solely to minor female survivors, opening a six-bed home has made a significant impact on the community. S.A.F.E. House Santa Barbara™ officially opened its doors May 2018 and became full immediately.

The Victim Witness Assistance Program and the Human Trafficking Task Force collaborated with both the Salvation Army and a local Homeless Organization, "Good Samaritan" to house Trafficked Adults. To accommodate this need for adult housing, the Human Trafficking Task

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Force has sought and obtained funding from a local Foundation and Faith Based Organization to secure funding for this purpose.

- d. **Volunteers:** We have one in-house volunteer at the District Attorney's Office, and two housed within one of our collaborating agencies and 10 "trainees" part of our Outreach and Training committee who conduct work in the context of the Human Trafficking Task Force. The Outreach and Training Committee is co-chaired by our HA Program Supervisor. The trainees are working with us to present a human trafficking 101 training to interested community agencies. Our in-house volunteer with the District Attorney's Office Victim-Witness HA Program works @ eight hours per week. She has a background working with domestic violence survivors and has expertise in that area. She has been very helpful thinking "outside the box". Currently she is researching how to convert phones for emergency use by survivors, manage donations and help organize our human trafficking "closet". She attends our task force meetings and is available for special projects. Our two volunteers housed elsewhere are available everyday via email for special projects such as; coordinating outreach events, updating PowerPoint presentations and collating outreach information.

Memorandum of Understanding

This is a memorandum of understanding between:

County of Santa Barbara District Attorney's Victim Witness Assistance Program and YMCA Noah's Anchorage (the Y)

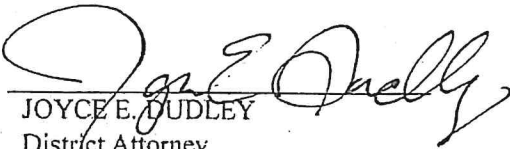
YMCA Noah's Anchorage demonstrates a commitment to actively engage with the Santa Barbara County Human Trafficking Task Force to meet the goals and objectives of the FY 19 OVC Direct Services to Support Victims of Human Trafficking. This is a memorandum of understanding entered into Santa Barbara County District Attorney's Victim Witness Assistance Program and YMCA Noah's Anchorage to collaborate to provide services to victims of all forms of human trafficking as outlined below.

The YMCA Noah's Anchorage as the nation's leading nonprofit, the Y makes accessible the support and opportunities that empower people and communities to learn, grow and thrive. With a focus on youth development, healthy living and social responsibility, the Y nurtures the potential of every youth and teen, improves the nation's health and well-being, and provides opportunities to give back and support neighbors. Specifically the YMCA Youth Shelter/Group Home, Noah's Anchorage can provide emergency shelter, street outreach and case management to youth at risk for human trafficking.

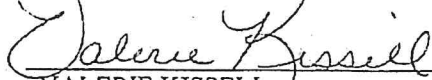
Victim Services agrees to refer victims of human trafficking to YMCA Youth Shelter/Group Home, Noah's Anchorage for emergency shelter and case management at no cost to the grant. YMCA Youth Shelter/Group Home, Noah's Anchorage also agrees to provide the following, as appropriate, with no cap on the number of victims to be served:

- Outreach to youth at risk for human trafficking
- Temporary emergency shelter to minors, through Noah's Anchorage
- Case management

To this end, YMCA Youth Shelter/Group Home, Noah's Anchorage agrees to participate in an exchange of services by coordinating the provision of Task Force Grant objectives from October 1, 2019 to September 30, 2022.


JOYCE E. DUDLEY
District Attorney

7-19-19
Date


VALERIE KISSELL
Executive Director, Youth &
Family Services YMCA

7-9-19
Date


MEGAN RHEINSCHILD
Victims Assistance Director

7/18/19
Date

Memorandum of Understanding

This is a memorandum of understanding between:

Santa Barbara County District Attorney's Office Victim Witness Assistance Program and Legal Aid Foundation of Santa Barbara County (Legal Aid)

Legal Aid demonstrates a commitment to actively engage with the Santa Barbara County Human Trafficking Task Force to meet the goals and objectives of the OVC FY 19 Direct Services to Support Victims of Human Trafficking. This is a memorandum of understanding entered into Santa Barbara County District Attorney's Victim Witness Assistance Program and Legal Aid to collaborate to provide services to victims of all forms of human trafficking.

Legal Aid Foundation of Santa Barbara County provides high-quality legal services regardless of income, to ensure equal and meaningful access to the civil justice system. They work to accomplish this through the provision of free, high-quality legal services in critical civil matters to Santa Barbara County residents living at or below the poverty level, those facing language or disability barriers, seniors and others living on fixed incomes such as Social Security, and victims of domestic violence and elder abuse. They offer provision of legal information and advice, full representation assistance with preparation of legal documents, and representation in court proceedings.

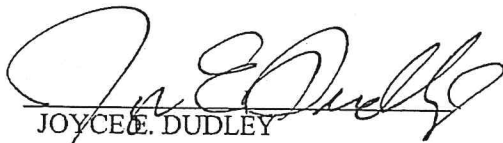
Victim Services agrees to refer victims of human trafficking to Legal Aid Foundation of Santa Barbara County for appropriate legal services. Legal Aid Foundation of Santa Barbara County also agrees to provide the following, as appropriate, with no cap on the number of victims to be served and no cost to the grant:

- Provide free assistance on family and civil matters including but not limited to restraining orders, family court matters and emancipation of minors;
- Assistance with repatriation or family reunification;
- Preparation of legal documentation needed for civil matters;
- Screen and intake of foreign national victims and potential victims of human trafficking.
- Assistance with preparation and filing of U-VISAS, and T-VISAS for eligible foreign national victims of crime;
- Assist in achieving certification/eligibility from Department of Health & Human Services Office of Refugee Resettlement (ORR).

Work with the Santa Barbara County District Attorney's Office to request Continued Presence¹.

- Assist in collecting all documentation necessary to meet OVC grant evaluation and reporting requirements by submitting Monthly service referral reports.

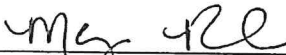
To this end, Legal Aid agrees to participate in an exchange of services by coordinating the provision of Task Force Grant objectives from October 1, 2019 to September 30, 2022.



JOYCEE. DUDLEY
District Attorney

Date

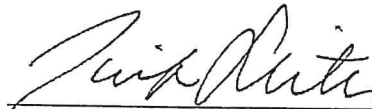
7-19-19



MEGAN RHEINSCHILD
Victims Assistance Director

Date

7/19/19



Jennifer Smith
Executive Director
Legal Aid Foundation of Santa
Barbara County

Date

7-17-19

¹ Continued Presence must be requested by a federal law enforcement agency on behalf of a victim; State law enforcement can request Continued Presence through a federal agency.

Memorandum of Understanding

This is a memorandum of understanding between:

County of Santa Barbara District Attorney's Victim Witness Assistance Program and Santa Barbara County Department of Behavioral Wellness (Behavioral Wellness)

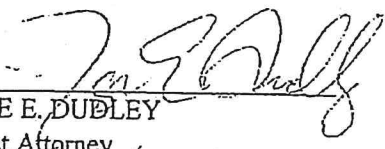
Santa Barbara County Department of Behavioral Wellness demonstrates a commitment to actively engage with the Santa Barbara County Human Trafficking Task Force to meet the goals and objectives of the FY 19 OVC Direct Services to Support Victims of Human Trafficking. This is a memorandum of understanding entered into Santa Barbara County District Attorney's Victim Witness Assistance Program and Santa Barbara County Department of Behavioral Wellness to collaborate to provide services to victims of all forms of human trafficking.

The Santa Barbara County Department of Behavioral Wellness currently provides an array of services countywide for adults, children and transition-age youth. Behavioral Wellness services persons with mental health and/or alcohol and substance use conditions and individuals experiencing mental health crisis. Services are provided on an inpatient, outpatient and crisis basis. Behavioral Wellness provides treatment, rehabilitation, and support services to approximately 7,600 clients with mental illness and 4,500 clients with substance use disorders annually.

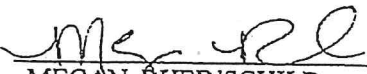
Victim Services agrees to refer victims of human trafficking to Behavioral Wellness for appropriate mental health and/or alcohol substance use treatment at no cost to the grant. Behavioral Wellness also agrees to provide the following, as appropriate, with no cap on the number of victims to be served:

- Treatment, rehabilitation and support services
- Trauma Informed care
- Alcohol and substance use services
- Detox, perinatal care, narcotics treatment, transitional living centers
- Inpatient/Outpatient crisis services
- Operate RISE (Resiliency Interventions for Sexual Exploitation)
- CSEC Court group participant

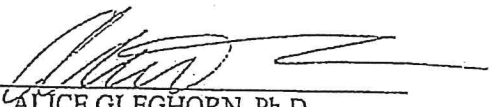
Whereas funding for the RISE program is expected to end this year, other services operated through Behavioral Wellness remain available for those who meet medical necessity criteria. To this end, Behavioral Wellness agrees to participate in an exchange of services by coordinating the provision of Task Force Grant objectives from October 1, 2019 to September 30, 2022


JOYCE E. DUDLEY
District Attorney

7/18/19
Date


MEGAN RHEINSCHILD
Victims Assistance Director

7/19/19
Date


ALICE GLEGHORN, Ph.D.
Director County of Santa Barbara
Department of Behavioral Wellness

7/18/19
Date



Memorandum of Understanding

This is a memorandum of understanding between:

County of Santa Barbara District Attorney's Victim Witness Assistance Program and Child Abuse Listening Mediation (CALM).

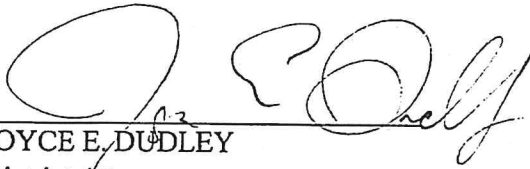
CALM demonstrates a commitment to actively engage with the Santa Barbara County Human Trafficking Task Force to meet the goals and objectives of the FY 19 OVC Direct Services to Support Victim of Human Trafficking. This is a memorandum of understanding entered into by the Santa Barbara County District Attorney's Victim Witness Assistance Program and CALM to collaborate to provide services to victims of all forms of human trafficking.

CALM is the primary non-governmental provider of mental health services and trauma informed care to children in Santa Barbara County. As one of the primary providers of child abuse treatment in the County, CALM supports the proposed efforts of the task force to develop a collaborative model to address the complex mental health needs of minor Human Trafficking victims. CALM is contracted through the Sexual Abuse Response Team (SART) Program and employs Child Forensic Interviewers who pursue training to build capacity to respond to suspected cases of commercially exploited minors and continue to develop skills to interview exploited minors once identified.

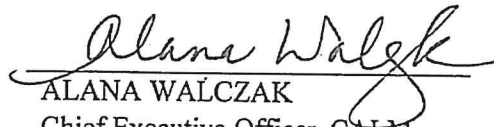
Victim Services agrees to refer victims of human trafficking to CALM for appropriate mental health and trauma informed care, at no cost to the grant. CALM agrees to provide the following, as appropriate, with no cap on the number of victims to be served:

- Mental Health services
- Trauma Informed Care
- Collaborative partner with SART and Victim Witness Assistance Program
- Employ Child Forensic Interviewers & Psychiatrist to work with minor trafficked victims


To this end, CALM agrees to participate in an exchange of services by coordinating the provision of Task Force Grant objectives from October 1, 2019 to September 30, 2022.


JOYCE E. DUDLEY
District Attorney

7-18-19
Date


ALANA WALCZAK
Chief Executive Officer, CALM

7-17-19
Date


MEGAN RHEINSCHILD
Victims Assistance Director

7/17/19
Date

Memorandum of Understanding

This is a memorandum of understanding between:

County of Santa Barbara District Attorney's Victim Witness Assistance Program and North County Rape Crisis & Child Protection Center (Rape Crisis, NCRC)

North County Rape Crisis & Child Protection Center demonstrates a commitment to actively engage with the Santa Barbara County Human Trafficking Task Force to meet the goals and objectives of the FY 19 Direct Services to Support Victims of Human Trafficking: Comprehensive Services and Partnership with Enhanced Collaborative Model Human Trafficking Task Forces. This is a memorandum of understanding entered into Santa Barbara County District Attorney's Victim Witness Assistance Program and Santa Barbara County Sheriff's Department and North County Rape Crisis & Child Protection Center to collaborate to provide services to victims of all forms of human trafficking.

North County Rape Crisis & Child Protection Center exists to reduce the incidence of and vulnerability to sexual assault and child abuse by providing education and prevention skills to community children and adults and to alleviate the trauma experienced by survivors of these crimes by providing direct services. North County Rape Crisis & Child Protection Center is the only rape crisis center working with victim of sexual violence in this area, the Center supports the proposed efforts of the taskforce to develop a collaborative model to address the complex needs of Human Trafficking Victims.

Victim Services agrees to refer victims of human trafficking to North County Rape Crisis & Child Protection Center for intensive case management and advocate support through 24/7 availability. It is anticipated as outreach increases, the need for services will also increase. Payment is not contingent on the quantity of services, but on the 24—hour availability of service providers. As there is no contingency plan to address the number of anticipated victims over the three year period, there is no cap on the total number of victims to be served. Following services will be provided, as appropriate:

- Accompaniment
- Advocacy Services
- Individual and group counseling
- Case management with members of the Human Trafficking taskforce
- Community education as they relate to human trafficking
- Development of protocols and training of community partners

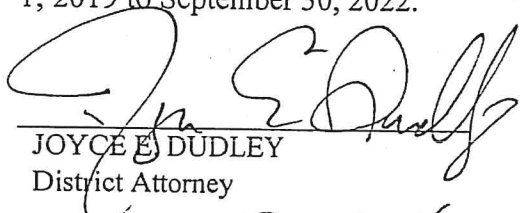
COMPENSATION

The requested funds are for three years at \$12,000 for the first year, \$15,000 for the second year, and \$18,000 for the third year.

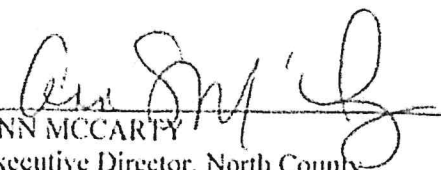
2019-2020 Stipend	Costs
\$1,000 per month (12)	12,000.00
2020-2021 Stipend	Costs
\$1,250.00 per month (12)	\$15,000.00
2021-2022 Stipend	Costs
\$1,500 per month (12)	\$18,000.00
Total Expense	\$45,000

Payment of invoices shall be contingent upon approval. Invoices will be analyzed on the basis of incurred expenses that are reasonable, allowable, and allocable in accordance with the terms and conditions of this agreement.

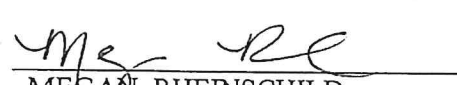
To this end, North County Rape Crisis & Child Protection Center agrees to participate in an exchange of services by coordinating the provision of Task Force Grant objectives from October 1, 2019 to September 30, 2022.


JOYCE E. DUDLEY
District Attorney

7-19-19
Date


ANN MCCARTY
Executive Director, North County
Rape Crisis & Child Protection Center

Date


MEGAN RHEINSCHILD
Victims Assistance Director

7/19/19
Date

Memorandum of Understanding

Between

SANTA BARBARA COUNTY DEPARTMENT OF SOCIAL SERVICES, CHILD WELFARE SERVICES
Herein referred to as "CWS"

AND

SANTA BARBARA COUNTY PROBATION DEPARTMENT
Herein referred to as "Probation"

AND

SANTA BARBARA COUNTY DEPARTMENT OF BEHAVIORAL WELLNESS
Herein referred to as "Behavioral Wellness"

AND

SANTA BARBARA COUNTY SHERIFF'S OFFICE
Herein referred to as "Sheriff"

AND

SANTA BARBARA COUNTY JUVENILE COURT
Herein referred to as "Juvenile Court"

AND

SANTA BARBARA COUNTY PUBLIC HEALTH DEPARTMENT
Herein referred to as "Public Health"

AND

SANTA BARBARA COUNTY DISTRICT ATTORNEY VICTIM WITNESS PROGRAM
Herein referred to as "Victim Witness"

AND

SANTA BARBARA COUNTY EDUCATION OFFICE
Herein referred to as "Education Office"

AND

STANDING TOGETHER TO END SEXUAL ASSAULT
Herein referred to as "STESA"

AND

NORTH COUNTY RAPE CRISIS AND CHILD PROTECTION CENTER
Herein referred to as "NCRCCPC"

FOR

Santa Barbara County Commercially Sexually Exploited Children (CSEC) Program

WHEREAS, an individual who is a commercially sexually exploited child (CSEC) or who is sexually trafficked, as described in Section 236.1 of the California Penal Code, or who receives food or shelter in exchange for, or who is paid to perform sexual acts described in Penal Code Section 236.1 or 11165.1, and whose parent or guardian failed to, or was unable to protect the child, is a commercially sexually exploited child and may be served through the Santa Barbara County Child Welfare System pursuant to California Welfare and Institutions Code (WIC) Section 300(b)(2); and

WHEREAS, Santa Barbara County Child Welfare Services elected to participate in the CSEC Program as described in WIC Section 16524.7 in order to more effectively serve CSEC youth by utilizing a multidisciplinary approach for case management, service planning, and the provision of services; and

WHEREAS, the parties to this Memorandum of Understanding (MOU)(hereinafter, the "Parties"), have developed the following MOU to guide Santa Barbara County's approach to serving CSEC; and

WHEREAS, WIC Sections 18960-18964 provide that a county may establish a child abuse multidisciplinary personnel team (MDT) within the county to allow provider agencies to share confidential information in order for provider agencies to investigate reports of suspected child abuse or neglect pursuant to Penal Code Sections 11160, 11166, or 11166.05, or for the purposes of child welfare agencies making a detention determination; and

WHEREAS, the Parties agree to form a multidisciplinary team (MDT), incorporating existing collaborative structures including the Sexual Assault Response Team (SART) protocols, pursuant to WIC Section 16524.7(d)(2) for CSEC, to build on a youth's strengths and respond to his/her needs in a coordinated manner; and

WHEREAS, this MOU defines the mutually agreed upon responsibilities of each of the Parties under the CSEC Program pursuant to WIC Section 16524.7, but is not intended to establish legal duties or otherwise alter the respective responsibilities of the Parties;

NOW, THEREFORE, the Parties set forth the following as the terms and conditions of their understanding:

I. Steering Committee

A. Purpose. To ensure that Santa Barbara County effectively implements the CSEC Program, the Parties agree to form a Steering Committee to provide oversight and leadership for the CSEC Program and to ensure that the First Responder Interagency Protocol is operating effectively.

B. Steering Committee Membership: The Steering Committee will be comprised of the Parties and other representatives of agencies that play key roles in the County's effort to eliminate sex trafficking, such as City Law Enforcement, the Public Defender's Office, Court Appointed Special Advocates staff, private attorneys representing children in the Foster Care system, and a CSEC Survivor. As the State designated agency lead, Child Welfare Services staff will serve as the Chair of the Steering Committee, and will be responsible for:

- i) Convening regular Steering Committee meetings;
- ii) Providing staff to prepare agendas, take minutes and chair the meetings; and
- iii) Gathering data from the MDTs to present and analyze with Steering Committee members.

C. General Steering Committee Member Responsibilities. Steering Committee members will fulfill the following responsibilities:

- a) Ensure that an agency representative with decision making authority, or designee, is assigned to participate in Steering Committee meetings, and attends meetings regularly;
- b) Oversee the implementation of the MOU;
- c) Conduct de-identified case review to track trends, gaps in the services, resolve issues raised by the individual MDTs, and serve as a consultant to case carrying staff as needed.
- d) Report on respective successes, barriers to providing services, the sufficiency of CSEC-specific resources in the county, and areas for improvement, including recommendations for adapting the MOU;
- e) Identify appropriate and necessary training, including training in the identification and assessment of youth who are, or are at risk of becoming, commercially sexually exploited;
- f) Collect and analyze aggregate data on the numbers of identified CSEC including the response time for providing CSEC specific/Trauma-Focused services and the actual services accessed by those youth;
- g) Prepare an annual report on the CSEC Program for the State in compliance with State and Federal requirements.

III. Coordinated Response to Reports of CSEC

The Parties agree to respond to reports of identified CSEC in a systematic and collaborative manner that ensures that the needs of the youth will be addressed sensitively and efficiently. Although responses will depend on the circumstances of each case, the Parties generally agree to approach each case in the manner set forth below.

- A. **First Responder Interagency Protocol.** In addition to routine screenings and assessments, CSEC youth may be identified through contact with law enforcement and other mandated reporters such as schools, medical facilities, youth shelters and clinics. To ensure that the Parties are alerted to the existence of a CSEC case, a First Responder Interagency Protocol is hereby established to serve as a guide on appropriate steps to take within the first 72 hours of interfacing with an identified or suspected CSEC. The Parties agree that children who are suspected or identified victims of sexual exploitation, and where a serious safety risk is present, require an immediate trauma-informed crisis response within 2 hours and intensive CSEC specific-Trauma Focused services through the first 72 hours to stabilize them.
- B. **Assessment.** The Parties agree that an assessment of CSEC's needs and strengths must take place upon identification and on an ongoing basis. Further, the Parties agree that it is in the youth's best interest to limit unnecessary and/or duplicative assessments. To ensure that assessments are streamlined and limited when appropriate, in most circumstances, the Department of Behavioral Wellness will utilize its clinical staff from the RISE program, Juvenile Hall, and/or the Children's Clinic to conduct this initial assessment of youth who have been identified as victims of or at risk of commercial sexual exploitation.
- C. **Roles and Responsibilities:** The Parties will fulfill the following respective responsibilities as part of their First Responder role:

1. Child Welfare Services, Social Services Department

- a) Assess all reports of suspected abuse and neglect, to include the identification of any commercial sexual exploitation of a minor, and inform Behavioral Wellness, District Attorney, Sheriff and City Law Enforcement of the determined CWS response time on a case by case basis;
- b) Determine if child is under the jurisdiction of CWS or Probation: if Probation, the CWS Hotline staff will contact the Probation Department to provide information as to the youth's status and to which Child Welfare Worker the youth is assigned;
- c) Work collaboratively with the Probation Department and Juvenile Court to include participation in a WIC Section 241.1 Report if ordered, and to consider the need to file a Juvenile Court WIC 300 petition as it relates to CSEC youth;

2. Probation Department

- a) Complete a preliminary screening of all new out of custody referrals received or youth booked into the juvenile hall to identify those at risk of meeting CSEC criteria;
- b) Complete screenings of all youth under the supervision of probation whenever a suspicion arises that a youth is at risk of meeting CSEC criteria;
- c) Interface with CWS hotline or designated staff to provide information regarding a youth's status on probation and who is assigned as the Probation Officer;
- d) Ensure transportation to medical or therapeutic services necessary for any detained youth if those services are not available in the custodial setting;
- e) Consider elements of the CSEC matter in determining whether to request the filing of a WIC Section 602 petition.

3. Public Health Department

- a) Initiate a Sexual Assault Response Team (SART) response through contracted trained forensic examiners who perform examinations per protocols when a sexual assault or sexual abuse has occurred;
- b) As part of the SART exam, provide information, services, and medication related to reproductive and sexual health, including access to contraceptives, HIV prophylaxis, and treatment for Sexually Transmitted Infections/Sexually Transmitted Diseases (STIs/STDs);
- c) Link the potential CSEC to medical treatment and follow-up medical services based on the type of insurance coverage within 72 hours of identification;
- d) Provide medical witness if needed, in cases that go to trial.

4. Behavioral Wellness Department

- a) Contract with Casa Pacifica's SAFTY program for the provision of mobile crisis response services seven days a week, including holidays, from 8:00 a.m. to 8:00 p.m. for sexually exploited minors (17 and under) in need of emotional crisis stabilization, safety planning and rapid support, and possible assessment for psychiatric hospitalization; Behavioral Wellness' Crisis Services staff will provide this support seven days a week for the hours not covered by the SAFTY program;

- b) Follow policies for temporary involuntary hospitalization under the Lanterman-Petris-Short Act if, at any point, the minor presents as a danger to self or others due to a mental disorder;
- c) Conduct an assessment of the minor to determine immediate mental health needs and when indicated, refer CSEC specialized trauma-informed, female-specific services and treatments via the RISE Project (Resiliency Intervention for Sexual Exploitation). Program services primarily include:
 - a. Stabilization and advocacy;
 - b. Attention to basic, immediate needs (e.g. outreach packages w/ hygiene/self-care items);
 - c. Medical/OBGYN consultation and services;
 - d. Assessment (i.e. CSE-IT, Clinical Assessment, CANs);
 - e. Treatment Planning (i.e. Self-care Safety Plan);
 - f. Trauma-focused treatment modalities (i.e. CBT, DBT, Seeking Safety);
 - g. Groups and therapies focused on biopsychosocial wellness;
 - h. Peer supports and mentoring;
 - i. Vocational development.

5. Sheriff's Office

- a) Commit to participate in CSEC Steering Committee meetings;
- b) Provide law enforcement support for MDTs related to criminal investigations involving CSEC;
- c) Provide Information to the CSEC Steering Committee on system related issues related to pending and planned cases;
- d) Facilitate the training of law enforcement officers in the identification of potential CSEC victims, procedures for referral of victims to service providers, and the techniques for successful investigation of complex CSEC cases;
- e) Cross Refer identified victims of CSEC to CWS, Victim Witness, Behavioral Wellness, Public Health, STESA and NCRCCPC for direct services.

5. District Attorney's Office/Victim Witness (redo numbering starting here)

- a) Provide a CSEC-trained advocate for the child;
- b) Provide resource and referral counseling;
- c) Provide an orientation to the criminal justice system;
- d) Provide court accompaniment and support;
- e) As appropriate, provide emergency financial assistance;
- f) Assist the youth and/or family apply for victim compensation benefits;
- g) Provide transportation assistance.

6. Rape Crisis Centers

North County Rape Crisis and Child Protection Center (NCRCCPC) and Standing Together to End Sexual Assault (STESA) intend to work together toward the mutual goal of providing maximum available assistance for sexual assault survivors and their significant others residing in Santa Barbara County, as follows:

- a) Respond to calls from Sheriff and other law enforcement;
- b) Accompany sexual assault survivors and their significant others during sexual assault related meetings or appointments, 24-hours a day, 7-days a week to hospitals, law enforcement agencies, the District Attorney's office, court proceedings, and to other agencies as indicated by the needs of the client (survivor or significant other);
- c) Advocate and intervene with agencies or individuals on behalf of sexual assault survivors and their significant others as requested by the client or as deemed appropriate;
- d) Provide counseling in-person to individuals, couples, and families as well as facilitate support groups for survivors of sexual assault and their significant others;
- e) Offer case management if clients (survivors or significant other) choose to receive case management services.

x. Education Office

- a) Assign a representative to serve as a liaison between the local school districts and the CSEC Steering Committee;
- b) Disseminate information from the CSEC Steering Committee to the local school districts;
- c) Keep the CSEC Steering Committee informed on instruction provided to school administrators, educators and students on topics that include sexual abuse and exploitation, and human trafficking, specifically information on the prevalence, nature and strategies to reduce the risk of human trafficking, techniques to set health boundaries and how to safely seek assistance.

7. Juvenile Court

- a) Serve in an administrative/advisory role to the CSEC Program;
- b) Participate on the Steering Committee and at general meetings in the discussions of/in the development of policy and procedures, to include making administrative recommendations on how to serve this high risk population and ensure coordinated response for CSEC youths;
- c) Will not participate in a CSEC MDT to avoid the appearance of a conflict of interest.

IV. Development of the MDTs

The Parties agree that the information they receive from other entities and individuals concerning a child that is identified and detained during the identification and assessment process or during a multidisciplinary team meeting shall be used solely for prevention, identification, and treatment purposes and that such information shall otherwise be confidential and retained in the files of the entity performing the screening or assessment. Such information shall not be subject to subpoena or other court process for use in any other proceeding or for any other purpose pursuant to WIC Section 18961.7(c). For purposes of this section IV of the MOU, the Juvenile Court shall not be included in the term "the Parties," consistent with Section III.C.7, above.

A. Multi-Disciplinary Response.

Once it is determined that a youth is a victim, or is a **potential victim**, of commercial sexual exploitation, the Parties will invoke a multi-disciplinary response based on the circumstances of the case. The Parties agree to serve as core members of the MDTs pursuant to WIC Section 16524.7.¹

To immediately engage and stabilize the child and address immanent safety and placement needs in a coordinated manner, CWS and/or Probation, Behavioral Wellness, and Public Health will serve as the core members of the Triage and Immediate Crisis MDTs. These agencies must:

- i) designate a point of contact qualified and trained in CSEC, to participate in the MDT via phone or in person to develop a service plan that addresses issues relating to:
 - a) safety planning;
 - b) placement if needed;
 - c) transportation; or
 - d) other case management related services; and
- ii) work collaboratively to:
 - a) ensure the consistent implementation of the First Responder Interagency Protocol; and
 - b) communicate and resolve issues related to rapid response, service triage and placement of the CSEC.

The types of MDTs and their objectives are described below.

A. Triage Response Multidisciplinary Team

The purpose of the Triage Response MDT is to assess risk factors and determine what level of MDT is needed for the youth. This MDT will also identify other entities and individuals, as appropriate, to serve on the other MDTs to most effectively meet the unique needs of the child. These agencies or entities may include, but are not limited to, the following:

- a. Youth
- b. Caregiver/placement provider
- c. Children's Dependency Attorney
- d. Victim Advocate
- e. Rape Crisis counselor/advocate
- f. Public Defender
- g. Law enforcement
- h. Education provider/Foster Youth Liaison
- i. Mental Health Provider
- j. Survivor Advocate or mentor
- k. Legal service providers
- l. Court Appointed Special Advocates

¹ Note that not all required parties will need to participate in all tiers of the response

B. Immediate Crisis Multidisciplinary Team

The purpose of the Immediate Crisis MDT is to address the immediate safety and placement needs of the child. This MDT may involve both a rapid response within 2 hours as well as intensive, ongoing support through the first 72 hours post-identification.

1. The following circumstances will require that an Immediate Crisis MDT be convened by phone or in person within 2- 24 hours when a high risk youth has been identified in the following circumstances:

- a. Youth leaves, is missing, runs away, or is otherwise absent from placement/home/shelter;
- b. Youth's placement changes or is becoming compromised;
- c. A new urgent issue, additional exploitation or abuse emerges in child's life;
- d. Youth's service needs change, including preparation for step-down to a lower level of care;
- e. Youth prepares to testify in court case against exploiter;
- f. Youth's behavioral health services needs change, including improvement or need for hospitalization;
- g. Contact with Law Enforcement;
- h. Violation of Probation;
- i. Change in court disposition;
- j. 90 days prior to dismissal of dependency or completion of probation terms;
- k. A member of the MDT identifies a need requiring a case review or other response.

2. The goals of this MDT will be:

- a. Providing trauma-informed CSEC specific rapid response in the field or over the phone within two (2) hours to identified or suspected CSEC requiring immediate crisis stabilization supports and services;
- b. Determining the need for a forensic interview via the SART Protocol or addressing other immediate medical and mental health needs;
- c. Ensuring basic needs are met, such as food, shelter, and clothing;
- d. Providing individual case-by-case collaboration with multiple child-service agencies as needed;
- e. Engaging with youth and family/caregiver(s), if appropriate;
- f. Actively participating in all stages of the interagency response model by (1) attending all MDT meetings, (2) ensuring notification of Core MDT members on a timely basis and (3) completing and submitting all required documentation to proper authorities.

C. Initial Multidisciplinary Team

Not all youth who are suspected or identified victims of sexual exploitation or trafficking will be in imminent danger and require an Immediate Crisis response. For these non-urgent situations, the Parties agree to coordinate and participate in an Initial MDT.

2. The Initial MDT is a team of individuals connected to the child's life. The purpose of the MDT is to plan for the child's placement, safety, and ongoing service needs. The initial MDT will:
 - a. Assemble within 10 days;
 - b. Provide individual case-by-case collaboration with multiple child-serving agencies;
 - c. Assess and address the child's short and long-term needs;
 - d. Develop and coordinate a service plan;
 - e. Develop a safety plan that addresses the following:
 - i. Potential safety risks for the youth, the family, and/or providers;
 - ii. Identifying trauma triggers that may cause youth to engage in unsafe behavior;
 - iii. Identifying coping skills the youth can use to de-escalate;
 - iv. Actions team members will take to prevent triggers from occurring;
 - v. Documenting responsibilities of team members in the event a youth exhibits unsafe behavior (e.g. if a youth is missing from placement, the parent/care provider will notify law enforcement and the social worker and the advocate and social worker will text the youth to maintain communication).
3. An Initial MDT is an appropriate response when there is not an immediate safety risk, but when an adult suspects or identifies that a youth is a CSEC.

D. Ongoing Multidisciplinary Team/Child Family Team

The Parties agree that children who are identified victims of sexual exploitation or trafficking require ongoing multidisciplinary team support to monitor the youth and ensure his/her needs are adequately addressed.

1. Individualized Ongoing MDTs will be held with each identified CSEC to monitor and support the youth and his/her family as the youth stabilizes. During the Ongoing MDT, members will review the case plan and safety plan, and amend as needed.
2. In addition to regularly scheduled Ongoing MDTs, a meeting should be called when any of the following circumstances or events occur:
 - a. The youth leaves, is missing, runs away, or is otherwise absent from placement/home/shelter;
 - b. The youth's placement changes;
 - c. The youth is preparing to testify in a court case against exploiter/purchaser;
 - d. The youth's behavioral health service needs change;
 - e. A change in Court disposition;
 - f. A member of the MDT identifies a need requiring case plan review or other response.

E. Engagement of the Youth

The Parties recognize that CSEC often cycle through the stages of exploitation many times before they are able to maintain a life outside of exploitation; it is also recognized that in order to be effective, interventions and services must be victim-centered. On this basis, the Parties are committed to take the steps necessary to engage the youth as a participant in his or her MDT meetings with the goal of identifying strengths and to best position the CSEC to meet his or her needs in a culturally sensitive and trauma informed way. The MDT will function in a manner that builds rapport with the youth and encourages his or her participation in developing a safety plan and deciding on placement, as appropriate to age and development.

V. Confidentiality

The Parties to this MOU agree to comply with the following confidentiality practices:

1. Maintain the confidentiality of all records pursuant to WIC Sections 827 and 10850-10853, the State Protocol, and all other provisions of law and regulations promulgated hereunder relating to privacy and confidentiality, as each may now exist or be hereafter amended.
2. Maintain the confidentiality of all records with respect to Juvenile Court matters, in accordance with WIC Section 827, all applicable statutes, case law, and in accordance to Santa Barbara County Juvenile Court Policy regarding confidentiality, as it now exists or may hereafter be amended.
3. No access, disclosure or release of information regarding a youth who is the subject of Juvenile Court proceedings shall be permitted except as authorized. If authorization is in doubt, no such information shall be released without the written approval of a Judge of the Juvenile Court.
4. Obtain prior written approval of the Juvenile Court before allowing any youth under the age of eighteen (18) years old, (and to make their best efforts to obtain prior written approval for youth over the age of eighteen (18) years old), to be interviewed, photographed or recorded by any publication or organization or to appear on any radio, television or internet broadcast or make any other public appearance. Such approval shall be requested through the child's social worker.
5. CSEC information and statements obtained via the identification, assessment and MDT processes will be maintained, disclosed and used only as stated within this MOU and in accordance with all applicable state and federal laws and regulations. The Parties acknowledge that there may be times when CWS will need to include information received through the CSEC process in a dependency report to the Court.
6. Inform every member of the youth's MDT's who receives information or records on children and families served under this MOU that he/she shall be under the same privacy and confidentiality obligations and subject to the same confidentiality penalties as the person disclosing or providing the information or records. Further, all MDT members shall be required to complete a CSEC Confidentiality Agreement form.

7. Comply with mandatory reporting guidelines as defined by California Penal Code Sections 11164-11174.3 and report known or suspected child abuse and neglect, including sexual exploitation. These reporting requirements shall be extended to non-mandated parties who are signatories to this MOU; however, nothing in this MOU shall be intended or have the effect of increasing or expanding the scope of mandatory reporting requirements as set forth in Penal Code Sections 11164-11174.3 with respect to judicial officers.
8. Youth provided services under this MOU shall be informed that all information obtained is confidential, with the following exceptions:
 - i) incidences of abuse or neglect that are reportable to the Child Abuse Registry;
 - ii) information will be shared with members of the MDT in order to develop an appropriate plan for services, including medical and psychological care;
 - iii) information shared among the MDT and all identified members during assessment may be shared with other agencies/programs to ensure the youth's safety and the safety of others and/or to coordinate care;
 - iv) information may be shared with the Juvenile Court in order to better assess the youth's safety and intervention needs;
 - v) the MDT and all identified members will use its screening to complete psychosocial assessments and identify and report to DSS/Law Enforcement any instance of sexual exploitation in accordance with mandated reporting laws; and
 - vi) the MDT and all identified members will maintain records of its screening results as well as any information collected and statements made during the screening including information regarding sexual exploitation.

V. Amendment to Add Parties to the MOU

The Steering Committee may invite other parties, agencies or entities to participate in this MOU. Such new parties, agencies or entities shall execute a signature page to this MOU in the same manner as original signatories.

VI. Termination

Any one of the Parties may terminate this MOU without penalty at any time but will attempt to provide thirty (30) calendar days' written notice. Notice shall be deemed served on the date of mailing to the following address:

Deputy Director
Child Welfare Services
2125 S. Centerpointe Parkway
Santa Maria, CA 93455

VII. Signatures in Counterpart

Santa Barbara County CSEC Interagency Protocol MOU

The Parties agree that separate copies of this MOU may be signed by each of the Parties, and this MOU will have the same force and effect as if the original had been signed by all the Parties.

Wherefore, the Parties hereto have executed the MOU in the County of Santa Barbara, California and this MOU shall be continuous until terminated by the Santa Barbara County CSEC Steering Committee.

VIII. Signatures by Department Heads or Authorized Designees:

Dated: _____

By: _____

Daniel Nielson, Director
Santa Barbara County Department of Social Services

Dated: _____

By: _____

Guadalupe Rabago, Chief Probation Officer
Santa Barbara County Probation Department

Dated: _____

By: _____

Alice Gleghorn, Ph.D., Director
Santa Barbara County Alcohol, Drug, and
Mental Health Services

Dated: _____

By: _____

Arthur A. Garcia, Presiding Judge, Juvenile Court
Santa Barbara County Presiding Judge

Dated: _____

By: _____

Dr. Takashi Wada, Director
Santa Barbara County Public Health Department

Dated: _____

By: _____

Joyce Dudley, District Attorney
Santa Barbara County District Attorney's Office

Dated: _____

By: _____

Elsa Granados, Executive Director
Santa Barbara Rape Crisis Center

Dated: _____

By: _____

Ann McCarty, Executive Director
North County Rape Crisis and Child Protection Center

ADDITIONAL SIGNATORIES AS OF FIRST AMENDMENT

Dated: _____

By: _____

Bill Brown, Sheriff
Santa Barbara County Sheriff's Office

Dated: _____

By: _____

XXXXX
Santa Barbara County Education Office

CSEC GUIDING PRINCIPLES

A. Commercial Sexual Exploitation of Children

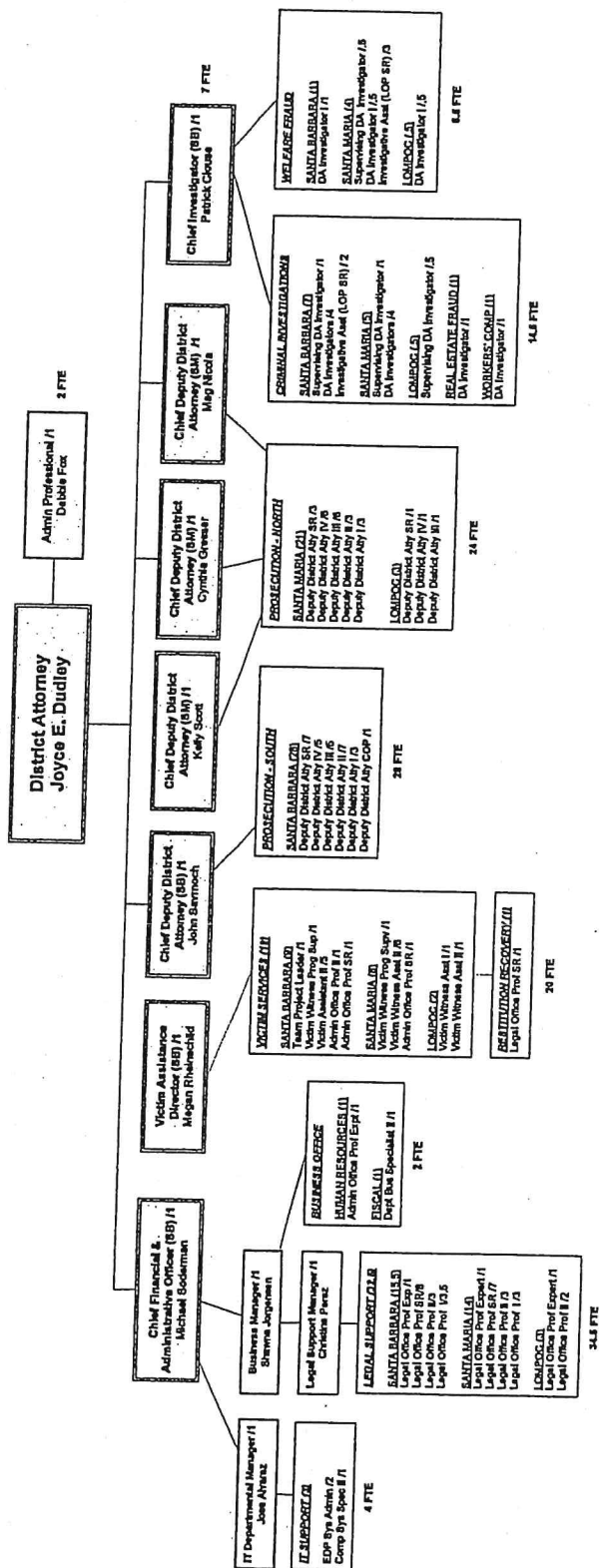
1. Must be understood as child abuse and reported as such, and
2. Should not be criminalized.

B. Responses to CSEC should be:

1. Victim-centered,
2. Trauma-informed,
3. Strengths-based,
4. Developmentally appropriate,
5. Culturally, linguistically, and LGBTQ competent and affirming,
6. Committed to active efforts that engage CSEC early and often,
7. Multidisciplinary, individualized, flexible, and timely, and
8. Data and outcome driven.

C. Agency Policies & Procedures should:

1. Ensure and track cross-system collaboration at the system and individual case level,
2. Incorporate mechanisms to identify and assess CSEC at key decision points,
3. Address the unique physical and emotional safety considerations of CSEC, and
4. Address unique physical and emotional safety considerations, including vicarious trauma of staff, caregivers, and other relevant support persons.





Registration: \$200/person*

****Open to law enforcement personnel,
support staff & prosecutors***

DIY How to Run Ops - In Calls, Outcalls and Catch and Release

Effective Presentation of Expert Testimony

Illicit Massage Parlor Business Investigations - Where is the Money?

Leveraging Technology to Find Children Faster with Spotlight

Meeting the Challenge: Identifying and Investigating Labor Trafficking

Methods of Recruitment and Trauma-Informed Interview Techniques

Million Dollar Pimp: The Gang Connection

Operation Euro Spa: Applying Innovative Techniques for Targeting Sex Buyers

Operation Net Nanny: Proactive Approach to Child Exploitation

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Strategies for Identifying and Engaging Male Victims of Commercial Sexual Exploitation

Wrap Up: Where We Stand Against Human Trafficking

<https://wsinhtsummit.com>

REGISTRATION PERIOD: November 6, 2019 to January 21, 2020

Summit Information

Location



Summit Location

Holiday Inn Sacramento Downtown-Arena
300 J Street, Sacramento, CA 95814
(916) 446-0100 / 888-HOLIDAY

Hotel reservation deadline: January 21, 2020

A room block has been reserved at the room rate of \$135/night plus tax at the **Holiday Inn Sacramento Downtown-Arena**. To receive this rate, please make your reservations online by clicking [HERE](#) or call the Holiday Inn at one of the numbers above and refer to Group Code AIN or the "2020 WSIN Human Trafficking Summit." **Lodging at the rate mentioned above is limited, so please make your room reservations early!**

Parking: Parking is available at \$20/day for self-parking or \$28/day for valet parking.

Registration

Summit Registration Fee: \$200/person

Registration Period: November 6, 2019 to January 21, 2020

Registration and Payment Options:

All registration is completed online at <https://wsinhtsummit.com/>, during the registration period above. Payment may be made via credit card or PayPal, or via check mailed to WSIN.

If paying by check, make payable to **WSIN** and send, along with your Registration Invoice email, to:

WSIN
1825 Bell Street, Suite 205
Sacramento, CA 95825
Attn: Erin Horn, HT Summit

If sending a check, please note that checks must be received by WSIN within 14 days of online registration in order for your space to be reserved.

You will not be considered registered for the Summit until your registration fee is received and a confirmation email is sent to you.

Cancellation & Refund Policy: Full refunds will be issued for cancellations received by January 28, 2020. Registrants who have been confirmed, then "no-show" will not be provided refunds except on an emergency basis.

Schedule

February 18	4:30 - 7:30 pm	Early Check-In
February 19	7:15 - 8:30 am	Regular Check-In
	8:30 am - 5:00 pm	General Session
	5:15 - 6:30 pm	Network Event
February 20	8:00 am - 5:00 pm	Breakout Sessions
	5:15 - 5:45 pm	Investigative Resource Presentations
February 21	8:00 am - 12 pm	General Session

Questions?

Please check out our website at <https://wsinhtsummit.com/> or email us at HTSummit@wsin.riss.net.

STATE OF CALIFORNIA
CALIFORNIA GOVERNOR'S OFFICE OF
EMERGENCY SERVICES

EXCESS LODGING RATE REQUEST/APPROVAL

ADVANCE APPROVAL REQUIRED. Advance approval is required for lodging over the state rate.

Subrecipient Name	Subrecipient Address (City, State, and ZIP)
Santa Barbara District Attorney's Office	1112 Santa Barbara St., Santa Barbara, CA 93101
Traveler Name	Phone Number (Include Area Code)
Biannet Garcia + Rita McGaw	(805) 934-6980 ; (805) 884-8077

For Current State Lodging Reimbursement Rates, Reference Subrecipient Handbook 2236.2

TRAVEL DATES		LODGING INFORMATION	
From	To	Lodging Name	
2/18/20	2/21/20	Holiday Inn Sacramento	
Point of Origin		Lodging Address (City, State, and ZIP Code)	
Santa Barbara, CA		300 J Street, Sacramento, CA 95814	
Destination		Lodging Phone Number	Room Rate
Sacramento, CA			150.16
Reason for Trip			
WSIN Human Trafficking Summit			

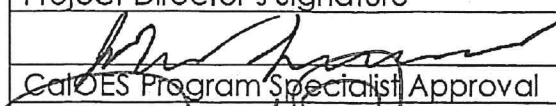
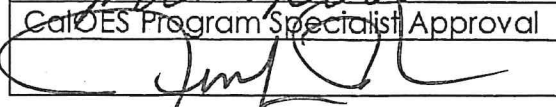
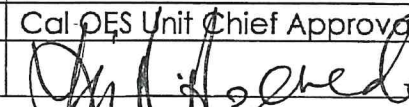
REASON(S) FOR HIGHER LODGING RATE

GSA RATE \$140

- ☐ Emergency/short-notice travel
- ☐ Employee requires a "reasonable accommodation"
- ☐ No transportation available to alternative lodging
- ☐ Transportation cost to alternative lodging brings overall cost to an amount equal to or greater than requested lodging
- ☐ No alternative lodging available
- ☒ Other

Submit all requests 14 days prior to the trip taking place. After-the-fact requests must include documentation and justification for the after-the-fact submission. Demonstrate a "Good Faith" effort to obtain lodging at or below the State rate for the travel destination by documenting a minimum of 3 lodging quotes. Attach copies of agenda, registration, and a document with justification of reasons checked above.

I request prior approval for a lodging rate in excess of the State maximum rated for this destination.

Project Director's Signature	Title	Date Signed
	Chief Deputy District Attorney	1/29/2020
Cal OES Program Specialist Approval	Cal OES Unit Chief Approval	Date Approved
		2/18/2020

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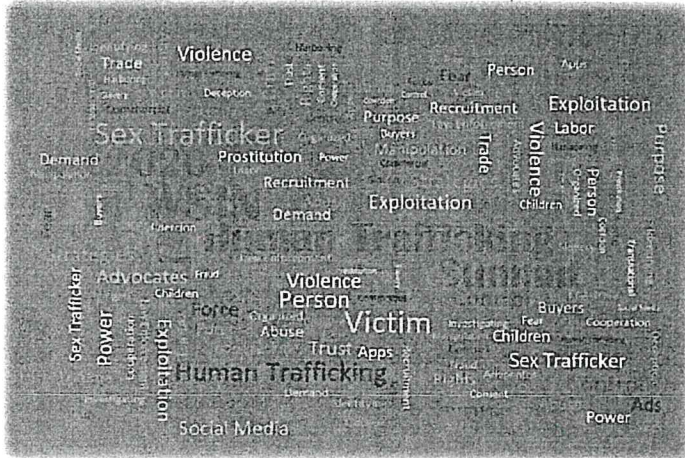
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Western States Information Network presents the 2020 Human Trafficking Summit



Dates: February 19 - 21, 2020

Location: Holiday Inn Sacramento
Downtown - Arena
Sacramento, CA

Registration: \$200/person*

**Open to law enforcement personnel,
support staff & prosecutors*

TRAINING TOPICS

DIY How to Run Ops - In Calls, Outcalls and Catch and Release

Effective Presentation of Expert Testimony

Human Freight

Illicit Massage Parlor Business Investigations - Where is the Money?

Interviewing & Working with Sex Trafficking Victims: Survivor-Centered Strategies
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REGISTRATION PERIOD: November 6, 2019 to January 21, 2020

VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM
PARTIAL MATCH WAIVER REQUEST

Cal OES Subrecipients may request up to an 80% partial Match Waiver. Approval is dependent on a compelling justification. To request a partial Match Waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2018-V2-GX-0029
2. Cal OES Subaward Number: HA19 02 0420
3. Subrecipient's Name: Santa Barbara County District Attorney's Office
4. Grant Subaward Performance Period 1/1/20 through 12/31/20
5. VOCA Victim Assistance Funds Awarded: \$ 150,000
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 7,500
7. Amount of In-kind Match Proposed (post-Match Waiver): \$ N/A
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 7,500
9. Briefly summarize the services provided:

The HA Human Trafficking Advocacy Program provides targeted services to Human Trafficking Victims in Santa Barbara County. The Program Staff participate in multidisciplinary teams to identify and respond to trafficked victims which include collaborations with Local Law Enforcement Agencies, Superior Court, Juvenile Probation, Deputy District Attorneys, Truancy Social Workers and Child Welfare Services. The Advocate is co-located at Santa Maria Police Department, which has a large volume of HT cases in the County.

10. Describe practical and/or logistical obstacles to providing match:

The County General Funds provide limited resources to Grant Funded Programs. The Grant Programs are meant to be self sustaining. The District Attorney's Budget is impacted by Santa Barbara County budget constraints impacted but health insurance and rising pension costs. The Department cannot absorb the total requested match. This program has been instrumental in creating critical linkages and breaking down silos between the criminal, juvenile justice and child welfare systems to maximize available services to victims at risk and in risk for trafficking.

11. Describe any local resource constraints to providing match:

While the Program seeks volunteers to assist in its activities, these human resources are difficult to attain due to the lack of a 4 year institution with a pool of available self sustaining students in the North County and socioeconomic factors that limit individuals disposable time to volunteer their time. We sometimes have interns from the local community college but retention is difficult. The office has general funded prosecutors and investigators who work as a team with the assigned advocate, creating a vertical model, while not funded by the grant.

Approved ☒

Denied ☐

Liz Azevedo

Unit Chief Name

 11/21/19

Unit Chief Signature / Date

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

Subrecipient County of Santa Barbara County ^{LA} **Duns#** 131851219 **FIPS#** 083-00000 JP
Disaster/Program Title: Human Trafficking Advocacy (HA) Program ^{LA}
Performance Period: 01/01/20 to 12/31/20 **Subaward Amount Requested:** \$ 150,000
Type of Non-Federal Entity (Check Box): ☐ State Gov. ☒ Local Gov. ☐ JPA ☐ Non-Profit ☐ Tribe

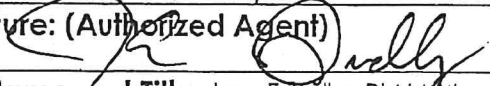
Per Title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, grant manager is the individual who has primary responsibility for day-to-day administration of the grant, bookkeeper/accounting staff means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and organization refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	>5 years
3. How many grants does your organization currently receive?	>10 grants
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 3,300,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	No
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Always
12. How many years do you maintain receipts, deposits, cancelled checks, invoices, etc.?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	N/A

Certification: *This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.*

Signature: (Authorized Agent) 	Date: 11/12/2019
Print Name and Title: Joyce E. Dudley, District Attorney	Phone Number: (805) 568-2308
Cal OES Staff Only: SUBAWARD # <u>2019 02 0420</u> <u>JP</u>	

HA

PROJECT SERVICE AREA INFORMATION

1. COUNTY OR COUNTIES SERVED: Enter the name(s) of the county or counties served by the project. Put an asterisk where the project's principal office is located.

Santa Barbara County

* Principal Office is in the City of Santa Barbara

2. U.S. CONGRESSIONAL DISTRICT(S): Enter the number(s) of the U.S. Congressional District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

23

23rd*

3. STATE ASSEMBLY DISTRICT(S): Enter the number(s) of the State Assembly District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

33

33rd* and 35th

4. STATE SENATE DISTRICT(S): Enter the number(s) of the State Senate District(s) that the project serves. Put an asterisk for the district where the project's principal office is located.

19

19th*

5. POPULATION OF SERVICE AREA: Enter the total population of the area served by the project.

435,697