



FEB 19 2020

February 12, 2020

Joyce E. Dudley, District Attorney
Santa Barbara County
1112 Santa Barbara Street
Santa Barbara, CA 93101-2008

Subject: **NOTIFICATION OF APPLICATION APPROVAL**
County Victim Services Program
Subaward #: XC19 02 0420, Cal OES ID: 083-00000

Dear Ms. Dudley:

Congratulations! The California Governor's Office of Emergency Services (Cal OES) has approved your application in the amount of \$237,694, subject to Budget approval. A copy of your approved subaward is enclosed for your records.

Cal OES will make every effort to process payment requests within 45 days of receipt.

This subaward is subject to the Cal OES Subrecipient Handbook. You are encouraged to read and familiarize yourself with the Cal OES Subrecipient Handbook, which can be viewed on Cal OES website at www.caloes.ca.gov.

Any funds received in excess of current needs, approved amounts, or those found owed as a result of a close-out or audit, must be refunded to the State within 30 days upon receipt of an invoice from Cal OES.

Should you have questions on your subaward please contact your Program Specialist.

VSPS Grants Processing

Enclosure

c: Subrecipient's file

A Preston

V#

Cal OES #	083-0000-00	FIPS #	083-00000	VS#		Subaward #	XC19 02 0420
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CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES GRANT SUBAWARD FACE SHEET

The California Governor's Office of Emergency Services (Cal OES) hereby makes a Grant Subaward of funds to the following:

- AP 1. Subrecipient: County of Santa Barbara County 1a. DUNS#: 131851219
- AP 2. Implementing Agency: Santa Barbara County - Office of the District Attorney 2a. DUNS#: 131851219
3. Implementing Agency Address: 1112 Santa Barbara Street Santa Barbara 93101-2008
(Street) (City) (Zip+4)
4. Location of Project: Santa Barbara Santa Barbara 93101-2008
(City) (County) (Zip+4)
- AP 5. Disaster/Program Title: County Victim Services (X) Program 6. Performance Period: 01/01/20 to 12/31/20
(Start Date) (End Date)
7. Indirect Cost Rate: 10% de minimis Federally Approved ICR (if applicable): _____ %

Item Number	Grant Year	Fund Source	A. State	B. Federal	C. Total	D. Cash Match	E. In-Kind Match	F. Total Match	G. Total Cost
8.	2018	VOCA		\$237,694		\$11,885		\$11,885	\$249,579
9.	Select	Select							
10.	Select	Select							
11.	Select	Select							
12.	Select	Select							
Total Project Cost				\$237,694	\$237,694	\$11,885		\$11,885	\$249,579

13. **Certification** - This Grant Subaward consists of this title page, the application for the grant, which is attached and made a part hereof, and the Assurances/Certifications. I hereby certify I am vested with the authority to enter into this Grant Subaward, and have the approval of the City/County Financial Officer, City Manager, County Administrator, Governing Board Chair, or other Approving Body. The Subrecipient certifies that all funds received pursuant to this agreement will be spent exclusively on the purposes specified in the Grant Subaward. The Subrecipient accepts this Grant Subaward and agrees to administer the grant project in accordance with the Grant Subaward as well as all applicable state and federal laws, audit requirements, federal program guidelines, and Cal OES policy and program guidance. The Subrecipient further agrees that the allocation of funds may be contingent on the enactment of the State Budget.

14. **CA Public Records Act** - Grant applications are subject to the California Public Records Act, Government Code section 6250 et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please attach a statement that indicates what portions of the application and the basis for the exemption. Your statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

15. **Official Authorized to Sign for Subrecipient:**

Name: Joyce E. Dudley Title: District Attorney

Payment Mailing Address: 1112 Santa Barbara Street City: Santa Barbara Zip Code+4: 93101-2008

Signature: Joyce E. Dudley Date: 10/30/19

AP 16. Federal Employer ID Number: 95-6002833

(FOR Cal OES USE ONLY)

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purposes of this expenditure stated above.

Mary Rucker 1/31/2020
(Cal OES Fiscal Officer) (Date)

[Signature] 1/7/2020
(Cal OES Director or Designee) (Date)

ENY: 2019-20 Chapter: 23 SL: 18408
Item: 0690-102-0890 Pgm: 0385
FAIN #: 2018-V2-GX-0029 10/01/17-09/30/21
Fund: Federal Trust AL#: 16.575
Program: County Victim Services Program
Match Req.: 20% C/IK based on TPC-Partial Match Waived
Project ID: OES18VOCA000012
SC: 2019-18408 Amount: \$ 237,694

RECEIVED
NOV 01 2019
BY: #692636

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES
SUPPLEMENTAL GRANT SUBAWARD INFORMATION**

1. Cal OES Contact Information Section:

Governor's Office of Emergency Services
Mark S. Ghilarducci, Director
3650 Schriever Avenue
Mather, CA 95655
(916) 845-8506 phone • (916) 845-8511 fax

2. Federal Awarding Agency Section:

Fund Year	Federal Program Fund / CFDA #	Federal Awarding Agency	Total Federal Award Amount	Total Local Assistance Amount
2018	Victims of Crime Act (VOCA) / 16.575	Office for Victims of Crime	\$396,642,274	\$380,776,583
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$
Choose an item.	Choose an item.	Choose an item.	\$	\$

3. Project Description Section:

- Project Acronym (Please choose from drop down):
County Victim Services Program (XC)
- Project Description (Please type the Project Description):
Provide federal VOCA funding to each of California's 58 counties and the City of Los Angeles to help fill self-identified victim services gaps/needs.

4. Research & Development Section:

- Is this Subaward a Research & Development grant? Yes No

PROJECT CONTACT INFORMATION

Subrecipient: Santa Barbara County District Attorney's Office Subaward #: ~~XC-020420~~ KL19 02 0420

Provide the name, title, address, telephone number, and e-mail address for the project contacts named below.

1. The **Project Director** for the project:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

2. The **Financial Officer** for the project:

Name: Michael Soderman Title: Administrative Director
Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

3. The **person** having **Routine Programmatic** responsibility for the project:

Name: Megan Rheinschild Title: Victim Witness Project Director
Telephone #: (805) 568-2408 (805) 729-4614 Email Address: mriker@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

4. The **person** having **Routine Fiscal** responsibility for the project:

Name: Michael Soderman Title: Administrative Director
Telephone #: (805) 568-2303 Email Address: mdsoderman@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

5. The **Executive Director** of a Community Based Organization or the **Chief Executive Officer** (i.e., chief of police, superintendent of schools) of the implementing agency:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

6. The **Official Designated** by the Governing Board to enter into the Grant Subaward for the City/County or Community-Based Organization, as stated in Section 15 of the Grant Subaward Face Sheet:

Name: Joyce E. Dudley Title: District Attorney
Telephone #: (805) 568-2306 Email Address: jdudley@co.santa-barbara.ca.us
Address/City/Zip + 4: 1112 Santa Barbara Street, Santa Barbara CA 93101-2008

7. The **Chair** of the **Governing Body** of the Subrecipient:

Name: Steve Lavagnino Title: Chair, Board of Supervisors
Telephone #: (805) 346-8400 Email Address: steve.lavagnino@countyofsb.org
Address/City/Zip + 4: 105 East Anapamu, Santa Barbara, CA 93101-2008

SIGNATURE AUTHORIZATION

Subaward #: ^{19 02} XC 02-04 0420

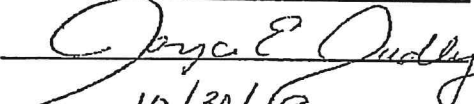
Subrecipient: County of Santa Barbara

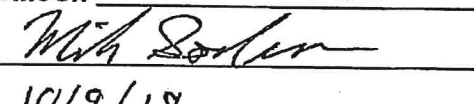
AP Implementing Agency: District Attorney's Office Santa Barbara County - Office of the District Attome

*The Project Director and Financial Officer are **REQUIRED** to sign this form.

*Project Director: Joyce E. Dudley

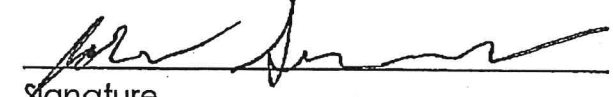
*Financial Officer: Michael Soderman


Signature: 
Date: 10/30/19

Signature: 
Date: 10/19/19

The following persons are authorized to sign for the **Project Director**

The following persons are authorized to sign for the **Financial Officer**


Signature
John Savnoch
Printed Name


Signature
Megan Rheinschild
Printed Name

Signature

Signature

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

Signature

Signature

Printed Name

Printed Name

**CERTIFICATION OF ASSURANCE OF COMPLIANCE
Victims of Crime Act (VOCA) Fund**

I, Joyce E. Dudley hereby certify that
(official authorized to sign Subaward; same person as Section 15 on Subaward Face Sheet)

Subrecipient: Santa Barbara County

Implementing Agency: District Attorney's Office

Project Title: County Victim Services (XC) Program

is responsible for reviewing the *Subrecipient Handbook* and adhering to all of the Subaward requirements (state and/or federal) as directed by Cal OES including, but not limited to, the following areas:

I. Federal Grant Funds

Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to OMB Uniform Guidance 2 CFR Part 200, Subpart F and are allowed to utilize federal grant funds to budget for the audit costs. See Section 8000 of the Subrecipient Handbook for more detail.

The above named Subrecipient receives \$750,000 or more in federal grant funds annually.

The above named Subrecipient does not receive \$750,000 or more in federal grant funds annually.

II. Equal Employment Opportunity – (Subrecipient Handbook Section 2151)

It is the public policy of the State of California to promote equal employment opportunity (EEO) by prohibiting discrimination or harassment in employment because of race, color, religion, religious creed (including religious dress and grooming practices), national origin, ancestry, citizenship, physical or mental disability, medical condition (including cancer and genetic characteristics), genetic information, marital status, sex (including pregnancy, childbirth, breastfeeding, or related medical conditions), gender, gender identity, gender expression, age, sexual orientation, veteran and/or military status, protected medical leaves (requesting or approved for leave under the Family and Medical Leave Act or the California Family Rights Act), domestic violence victim status, political affiliation, and any other status protected by state or federal law. **Cal OES-funded projects certify that they will comply with all state and federal requirements regarding equal employment opportunity, nondiscrimination and civil rights.**

Please provide the following information:

Equal Employment Opportunity Officer: Henry Ventura

Title: Equal Opportunity Manager

Address: 1226 Anacapa Street, Santa Barbara, CA 93101

Phone: (805) 568-2805

Email: hventura@co.santa-barbara.ca.us

III. Drug-Free Workplace Act of 1990 – (Subrecipient Handbook, Section 2152)

The State of California requires that every person or organization subawarded a grant or contract shall certify it will provide a drug-free workplace.

IV. California Environmental Quality Act (CEQA) – (Subrecipient Handbook, Section 2153)

The California Environmental Quality Act (CEQA) (*Public Resources Code, Section 21000 et seq.*) requires all Cal OES funded projects to certify compliance with CEQA. Projects receiving funding must coordinate with their city or county planning agency to ensure that the project is compliance with CEQA requirements.

V. Lobbying – (Subrecipient Handbook Section 2154)

Cal OES grant funds, grant property, or grant funded positions shall not be used for any lobbying activities, including, but not limited to, being paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.

VI. Debarment and Suspension – (Subrecipient Handbook Section 2155)

(This applies to federally funded grants only.)

Cal OES-funded projects must certify that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department of agency.

VII. Proof of Authority from City Council/Governing Board – (Subrecipient Handbook Section 1350)

The above-named organization (Applicant) accepts responsibility for and will comply with the requirement to obtain a signed resolution from the city council/governing board in support of this program. The applicant agrees to provide all matching funds required for said project (including any amendment thereof) under the Program and the funding terms and conditions of Cal OES, and that any cash match will be appropriated as required. It is agreed that any liability arising out of the performance of this Subaward, including civil court actions for damages, shall be the responsibility of the grant Subrecipient and the authorizing agency. The State of California and Cal OES disclaim responsibility of any such liability. Furthermore, it is also agreed that grant funds received from Cal OES shall not be used to supplant expenditures controlled by the city council/governing board.

The applicant is required to obtain written authorization from the city council/governing board that the official executing this agreement is, in fact, authorized to do so. The applicant is also required to maintain said written authorization on file and readily available upon demand.

VIII. Civil Rights Compliance

The Subrecipient complies with all laws that prohibit excluding, denying or discriminating against any person based on actual or perceived race, color, national origin, disability, religion, age, sex, gender identity, and sexual orientation in both the delivery of services and employment practices and does not use federal financial assistance to engage in explicitly religious activities.

IX. Special Condition for Grant Subaward with Victims of Crime Act (VOCA) Funds

1. Applicability of Part 200 Uniform Requirements

The Subrecipient agrees to comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the Department of Justice (DOJ) in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements").

2. Compliance with DOJ Grants Financial Guide

The Subrecipient agrees to comply with the Department of Justice Grants Financial Guide as posted on the OJP website (currently, the "2015 DOJ Grants Financial Guide"), including any updated version that may be posted during the period of performance.

3. Requirements Pertaining to Prohibited Conduct Related to Trafficking in Persons (including reporting requirements and OJP authority to terminate award)

The Subrecipient agrees to comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of Subrecipient or individuals defined (for purposes of this condition) as "employees" of the Subrecipient.

The details of the Subrecipient's obligations regarding prohibited conduct related to trafficking in persons are posted on the OJP website at: <http://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by Subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

4. Civil Rights and Nondiscrimination

The Subrecipient understands that the federal statutes and regulations pertaining to civil rights and nondiscrimination and, in addition:

- a. the Subrecipient understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. § 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794); section 901 of the Education Amendments of 1972 (20 U.S.C. § 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. § 6102);
- b. the Subrecipient understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. § 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. § 20110 (e)) ; section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); and the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. § 12291(b)(13), which will apply to all awards made by the Office of Violence Against Women, also may apply to an award made otherwise; and
- c. the Subrecipient understands they must comply with the specific assurances set out in 29 C.F.R. §§ 42.105 and 42.204.

5. Compliance with Applicable Rules Regarding Approval, Planning, and Reporting of Conferences, Meetings, Trainings, and Other Events

The Subrecipient agrees to comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "2015 DOJ Grants Financial Guide").

6. Effect of Failure to Address Audit Issues

The Subrecipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the Subrecipient does not satisfactorily and promptly address outstanding issues

from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

7. Reporting Potential Fraud, Waste, Abuse, and Similar Misconduct

The Subrecipient agrees to promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, contractor, subcontractor, or other person has, in connection with funds under this award (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by:

- Mail: Office of the Inspector General,
U.S. Department of Justice, Investigations Division,
950 Pennsylvania Avenue, N.W. Room 4706,
Washington, DC 20530;
- E-mail: oig.hotline@usdoj.gov;
- DOJ OIG hotline (contact information in English and Spanish): (800) 869-4499;
and/or
- DOJ OIG hotline fax: (202) 616-9881.

Additional information is available from the [DOJ OIG website](http://www.usdoj.gov/oig) at <http://www.usdoj.gov/oig>.

8. Compliance with General Appropriations-Law Restrictions on the Use of Federal Funds

The Subrecipient agrees to comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2016, are set out at <http://ojp.gov/funding/Explore/FY2016-AppropriationsLawRestrictions.htm>, and are incorporated by reference here.

9. Restrictions and Certifications Regarding Non-Disclosure Agreements and Related Matters

The Subrecipient understands and agrees that no Subrecipient under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste,

fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

a. In accepting this award, the Subrecipient:

- o Represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- o Certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

b. If the Subrecipient does or is authorized under this award to make subawards, procurement contracts, or both:

- o It represents that (1) it has determined that no other entity that the Subrecipient's application proposes may or will receive award funds (whether through a subaward, procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and (2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
- o It certifies that, if it learns or is notified that any Subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that

entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

10. Encouragement of Policies to Ban Text Messaging while Driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Subrecipient understands that DOJ encourages Subrecipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

11. Additional DOJ Awarding Agency Requirements

The Subrecipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the Subrecipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

12. OJP Training Guiding Principles

The Subrecipient understands and agrees that any training or training materials developed or delivered with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <http://ojp.gov/funding/ojptrainingguidingprinciples.htm>.

13. Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient)--1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 CFR 200.79) within the scope of an OJP grant-funded program or activity, or 2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

14. Specific Post-Award Approval Required to Use a Non-Competitive Approach in any Procurement Contract that Would Exceed \$150,000

The Subrecipient agrees to comply with all applicable requirements to obtain specific advance approval to use a non-competitive approach in any

procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$150,000). This condition applies to agreements that, for purposes of federal grants administrative requirement, OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <http://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> [Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$150,000)] and are incorporated by reference here.

15. Requirement for Data on Performance and Effectiveness Under the Award

The Subrecipient agrees to collect and maintain data that measure the performance and effectiveness of activities under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act, and other applicable laws.

16. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The Subrecipient agrees to comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The Subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the Subrecipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

17. VOCA Requirements

The recipient assures that the State and its subrecipients will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable

program guidelines and regulations), as required. Specifically, the State certifies that funds under this award will:

- a. be awarded only to eligible victim assistance organizations, 34 U.S.C. 20103(a)(2);
- b. not be used to supplant State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2); and
- c. be allocated in accordance with program guidelines or regulations implementing 34 U.S.C. 20103(a)(2)(A) and 34 U.S.C. 20103(a)(2)(B) to, at a minimum, assist victims in the following categories: sexual assault, child abuse, domestic violence, and underserved victims of violent crimes as identified by the State.

18. Demographic Data

The Subrecipient agrees to collect and maintain information on race, sex, national origin, age, and disability of victims receiving assistance, where such information is voluntarily furnished by the victim.

19. Performance Reports

The Subrecipient agrees to submit (and, as necessary, require sub-Subrecipients to submit) quarterly performance reports on the performance metrics identified by OVC, and in the manner required by OVC. This information on the activities supported by the award funding will assist in assessing the effects that VOCA Victim Assistance funds have had on services to crime victims within the jurisdiction.

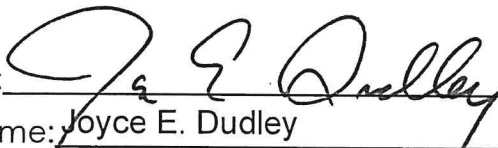
20. Access to Records

The Subrecipient authorizes the Office for Victims of Crime (OVC) and/or the Office of the Chief Financial Officer (OCFO), and its representatives, access to and the right to examine all records, books, paper or documents related to the VOCA grant.

All appropriate documentation must be maintained on file by the project and available for Cal OES or public scrutiny upon request. Failure to comply with these requirements may result in suspension of payments under the grant or termination of the grant or both and the Subrecipient may be ineligible for subaward of any future grants if the Cal OES determines that any of the following has occurred: (1) the Subrecipient has made false certification, or (2) violates the certification by failing to carry out the requirements as noted above.

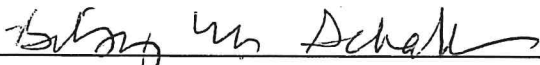
CERTIFICATION

I, the official named below, am the same individual authorized to sign the Grant Subaward [Section 15 on Grant Subaward Face Sheet], and hereby swear that I am duly authorized legally to bind the contractor or grant Subrecipient to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.

Authorized Official's Signature: 
 Authorized Official's Typed Name: Joyce E. Dudley
 Authorized Official's Title: District Attorney
 Date Executed: 10/07/2019
 Federal Employer ID #: 95-6002833 Federal DUNS #: 131851219
 Current System for Award Management (SAM) Expiration Date: 2/12/2020
 Executed in the City/County of: Santa Barbara

AUTHORIZED BY: (not applicable to State agencies)

- | | |
|---|--|
| <input type="checkbox"/> City Financial Officer | <input checked="" type="checkbox"/> County Financial Officer |
| <input type="checkbox"/> City Manager | <input type="checkbox"/> County Manager |
| <input type="checkbox"/> Governing Board Chair | |

Signature: 
 Typed Name: Betsy Schaffer
 Title: Auditor-Controller

BUDGET CATEGORY AND LINE ITEM DETAIL

Subrecipient: SANTA BARBARA COUNTY			Subaward#: XC19 02 0420	
B. Operating Expenses	VOCA	VOCA MATCH		COST
				\$ -
Victim Advocate Training				\$ -
Lodging x 4 Advocates x 3 nights @ \$125 = \$1500	\$ 3,552			\$ 3,552
Per Diem: \$46/day x 3 days x 4 ps = \$552				\$ -
Airfare: \$375 x 4 Advocates = \$1500				\$ -
				\$ -
Mandatory OES Victim Advocate Training	\$ 2,160			\$ 2,160
Lodging x 5 nights x \$95/night x 2 Staff = \$950				\$ -
Per Diem \$46/day x 5 days x 2 Staff = \$460				\$ -
Airfare: \$375 RT SBA/SMF x 2 Staff = \$750				\$ -
				\$ -
Computer Purchase x 2				\$ -
HP Desktop Elite 840 G2 \$1296	\$ 2,592			\$ 2,592
Microsoft Business and Exchange Software \$244	\$ 488			\$ 488
Hardware Support \$75	\$ 150			\$ 150
Monitor \$150	\$ 300			\$ 300
Docking Station, Mouse and Keyboard \$190	\$ 380			\$ 380
				\$ -
Advocate Phones x 4				\$ -
Data Plan x 4 x 12 months x \$35/month	\$ 420			\$ 420
				\$ -
Office Furniture	\$ 8,854			\$ 8,854
2 Desks @ \$2,250				\$ -
4 Chairs @ \$800				\$ -
Table @ \$800				\$ -
Filing Cabinets @ \$354				\$ -
				\$ -
Resource Card/Brochures	\$ 4,000			\$ 4,000
				\$ -
Indirect @10% de minimis (MTDC)	\$ 22,689			\$ 22,689
=(S&B (\$247,211) + Operating Costs (\$22,896)) x 10%				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
OPERATING SECTION TOTAL	\$45,585	\$0	\$0	\$45,585
OPERATING SECTION TOTAL				\$45,585

Subrecipient: County of Santa Barbara Subaward #: XC19 02 0420

Budget Narrative

The budget for the XC Program incorporates the equivalent of salary and benefit costs totaling \$201,870 (salary) and \$45,341 (benefits) for 1.0 FTE Victim Witness Program Advocate, one .5 FTE Victim Witness Program Advocate-I Extra Help, one 1.0 FTE Victim Witness Administrative Office Professional for the grant period. The Advocates and the Administrative Office Professional have previous experience and familiarity with the criminal justice system. The Administrative Office Professional (AOP) is a journey-level position in the Administrative series assigned complex administrative functions and general tasks as required by the division. The VW AOP II reviews and inputs Victim-Witness cases into the DA case management system. She makes advocate case assignments and enters demographic data into the case management system to insure accurate PMT reporting. The AOP makes immediate contact to victims of with financial losses, provides a basic orientation to the criminal justice system and solicits information regarding the victims' losses for restitution determination prior to arraignment. Her role is critical since the probation department does not proactively outreach to victims to obtain victim impact information or determine restitution information or enforce collection for unsupervised misdemeanor defendants. Without the addition of staff, proactive outreach, specifically to misdemeanor victims was not occurring and victims were not afforded their Marsy's rights. This expedited contact with victims has facilitated case resolution since the DDA and the court

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has access to accurate restitution amounts and victim impact early. Since the court is equipped with the restitution amounts and related documentation at the outset, defendants often plead at arraignment and pay the restitution order up front to avoid lengthy litigation, attorney costs and probation supervision. The program insures staffing of bilingual Spanish/English speaking advocates who meets the job specifications and have an education in the social sciences or criminal justice and experience working with victims of crime.

The advocate and administrative staff possess a working knowledge of the community and its resources and will complete the required OES mandated advocate training which provides a framework for Advocacy work within a Victim-Witness Program including a thorough overview of Mandated and Optional Services. The Advocates will be responsible for all direct provision of comprehensive Victim/Witness services. Additional salary and benefit costs include the Victim Witness Program Supervisor who will allocate 3% of her time, constituting part of the match requirement to oversee daily supervision of the advocates and volunteers. The Victim Witness Program Manager allocates 3% of her time and will be responsible for overseeing the grant goals and objectives, insuring training of newly hired staff, policy development and grant reporting. The Manager has been employed with the District Attorney's Office for 25 years in the Victim-Witness Division and has previous experience implementing several grant-funded programs. Additionally we budgeted \$57,164 to compensate advocate staff for Stand By on call to respond to crime victims and provide services after

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hours. \$420 is budgeted to cover the costs of cell phones and data. \$3,910 is budgeted in operating to insure training during the duration of the grant for the two assigned advocates. The training will include the mandatory VW training if not already completed by either candidate and additional TBD training for advocates.

An additional \$4000 is budgeted to produce outreach materials including a community resource cards with helpful phone numbers and contacts to distribute to victims.

Operating expenses also include \$3,910 in funds for the purchase of two HP Desktop computers, monitor, docking station, mouse and keyboard for the assigned advocates assigned full time during start-up to track mandated and optional services and capture grant related statistics. The computer will also be utilized for outreach efforts. Lastly, the operating expenses include costs attributed to necessary office furniture for staff; desks and chairs, totaling \$8,854.

The District Attorney's Office Administrative Office requires \$27,011 to offset the costs of administrative grant and fiscal reporting. responding to calls for victim services in the Santa Maria area.

Project Narrative

The Original Problem and Plan is unchanged

Problem Statement

County Demographics

The United States Census Bureau estimates the population of Santa Barbara County as being 435,697 (2013). Santa Barbara County's demographics are approximately 46% White, 44% Hispanic, 6% Asian-American, 2% African-American and 2% Native American. Santa Barbara County has two major population centers at opposite ends of the county, the greater Santa Barbara area (south) and the Santa Maria Valley (north), along with population centers within central county area (Lompoc and Santa Ynez valley areas). The City of Santa Maria is the largest and fastest growing incorporated city within Santa Barbara County, with an estimated population of 102,216 (2013). Santa Maria and Lompoc have many socio economic factors which contribute to the highest rates of crime. According to District Attorney's Office statistics there has been a 42% increase in violent crime case referrals from 2012 to 2015. Violent offenses include Rape, Aggravated Assault, Manslaughter and Homicide.

Specifically the City of Santa Maria has experienced a surge in homicides and violent crime in recent years which has hindered the agency's ability to investigate and solve violent crimes. According to a recent news article by the weekly newspaper, *The Independent* in February 2016, Santa Maria Police Chief

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Ralph Martin called the recent killings "unprecedented" and the gang-related crime in the past year "a huge uptick." In recent years, the city averaged three to four gang-related murders, but as of January 2016 there were 19 homicides since the start of 2015. He continues, "It is impossible to overstate the magnitude of the recent carnage. The weapons of choice run the gamut—machetes, knives and guns." The reasons for the spike are generally attributed to MS 13 gang and drug influences in the community. Because of the uptick in violent crime, victims and witnesses are less likely to come forward due to fear of retribution and perceived lack of services to empower or protect. The Prosecution for the above mentioned case is ongoing.

An additional county characteristic is that Northern Santa Barbara County is primarily agricultural and home to a large Immigrant Spanish and Indigenous speaking agricultural workforce. According to the 2010 census 70 percent of the North County City of Santa Maria, the most populous in the county, was "Hispanic" up from 60% in 2000. This is considerably higher than the county average of 25% and the state percentage of 28%. Northern Santa Barbara County's agricultural economy and population continues to expand due to a steady increase in the production of labor intensive crops. According to a 2010 report entitled "*Indigenous Farmworker Study*" commissioned by the California Endowment it is estimated that between 15,000 and 25,000 indigenous immigrants have migrated to work in the area in recent years due to the labor intensive agricultural economy. Indigenous immigrants are distinct from other Latino

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immigrant populations because they are more socially and culturally isolated, and may not readily admit that they do not speak or understand Spanish fluently. The growing population of immigrant, non-English Speaking immigrants has provided a ready pool of vulnerable victims who are fearful or distrusting of law enforcement, cannot fluently communicate and may fear of revealing their immigration status and deportation. The Northwest area of the city is home to a large population of migrant workers and is a common target for crime. For impoverished victims living in the margins, victimization can be devastating. The violent crime rate increase in the northern part of the county has created a significant gap in service to victims whose case is unresolved, there is no arrest, known suspect or follow up investigation due to lack of viable leads or investigative resources. Unless the law enforcement agency or detectives refer an individual directly to the Victim-Witness Program and the individual calls the program, a victim does not receive victim services to assist in the recovery in the aftermath of a crime. Often the initial contact with law enforcement may be the only opportunity to engage the victim in services. This is a serious problem in the largest north county city of Santa Maria. Lt. Daniel Cohen of the Santa Maria Police Department stated that 90% of the crime victims who report crimes in their jurisdiction do not get referred to the District Attorney's Office because no suspect has been identified.

Funding would profoundly impact the lives of victims who are not receiving services available through Victim/Witness Assistance. Additional Victim

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Advocates would enable access to critical services for victims of violent crime in the Northern region of Santa Barbara County. Additional staff would be situated to receive information immediately following the initial report to provide immediate crisis intervention, orientation to the criminal justice process, case/investigative status, resource/referral counseling and emergency financial and victim compensation services. Services would be offered to a population of victims not currently aware of or receiving services.

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Plan

Unchanged

In preparation for this application the District Attorney's Victim Witness Director and District Attorney coordinated an XC Steering Committee meeting on March 16, 2016. Each of the required participant agencies were represented at the meeting. Additionally the Ombudsman, the Disability Community, Teen/Truancy Programs, Legal Aid and the local Tribal Community offered input.

The primary gap identified by the Victim Services Steering Committee is that crime victim advocate resources have not kept pace with the rising crime rate in North County, which has increased 42% since 2012. There has been a 69% increase in violent crime in the City of Lompoc and a 39% in the City of Santa Maria. Given these increases, law enforcement has not had the resources to solve these crimes and many victims' cases are unresolved due to lack of investigative leads and no arrest. Thus, the victim isn't referred to the District Attorney's Office for Victim/Witness Assistance Services.

The Victim/Witness Assistance Program will hire two additional Victim/Witness Advocates to provide services to North County Victims. Given the demographic of the County, the Advocates will be bi-lingual, Spanish and English speaking. Ideally we will seek an individual who speaks some Mixteco thus, providing greater access to the large indigenous population in the Northern part of the County.

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The Advocates will be situated as close to the victim population and time of reporting as possible. The program manager will devote a percentage of her time to develop systems to notify the advocates of cases and victims requiring advocacy services. These systems will include telephone notification and electronic transfer of crime report information. One Advocate will spend part of their time housed at the Santa Maria Police Department in proximity to the Detective Bureau. Close proximity to the agency's officers will facilitate working relationships of mutual benefit and enable a seamless flow of information regarding current victims in need of immediate crisis intervention, orientation to the criminal justice system, resource and referral counseling and victim compensation benefits. The second advocate will focus attention on victims within the city of Lompoc.

The advocates will be equipped with a laptop computer and a vehicle, enabling the individual to provide field visits to victims who do not have transportation. Also, funding is allocated to enable on-call advocacy to victims of crime outside routine business hours. Victims who work during the day and lack reliable transportation are unable to access services offered through the Victim/Witness Assistance Program. Advocates will be equipped with department issued phones and be available to law enforcement agencies and provide on scene advocacy and services to victims who might not otherwise receive services in the aftermath of the crime.

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Volunteers

In order to effectively balance the workload Victims Volunteers will be utilized to make contact with misdemeanor property crime victims and crimes involving minor or no injuries. Volunteers contact victims of some assaults, theft, property crimes, and DUI crimes. The Advocate Volunteer will assess the crime and initiate contact. As time permits and the victim's needs warrant, Advocates will provide field visits and/or transportation assistance to/from our offices. We rely on the local University of California, local community colleges and trade schools for our Volunteers. The volunteers provide a range of services including, orientation to the Criminal Justice System, Case Status, Resource and Referral Counseling, Victim of Crime Claim Assistance, Property Return and restitution assistance. Given funding restrictions, our volunteer pool provides valuable services to carefully selected victims allowing our program staff to keep pace with more serious misdemeanor and felony caseloads. The Volunteers record their time on Volunteer Time Sheets which are submitted to the Program Manager quarterly.

Since 1978, the District Attorney has received partial funding through OES to provide comprehensive services to crime victims in Santa Barbara County. Since that time our Program has evolved to become a three office, County Wide program offering comprehensive mandatory and optional services to all victims of crime. The Victim Witness Assistance Program is designated by the County Board of Supervisors as the primary provider of Victim Services countywide. The Victim-Witness Assistance Program will receive the funding to provide the outlined

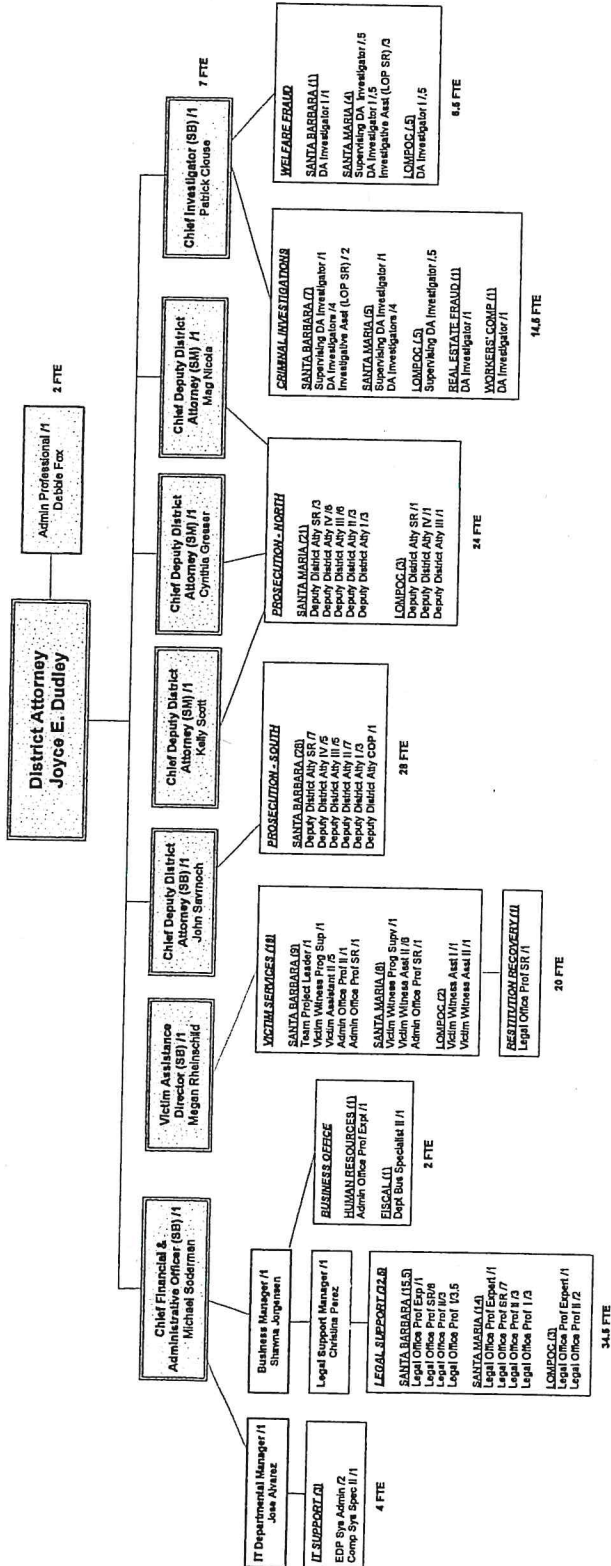
Subrecipient: County of Santa Barbara Subaward #: XL19 02 0A20

services. The program currently serves an average of 3,500 new victims of crime each year. The majority of victims served are victims of crimes which are reported to law enforcement agencies and forwarded the District Attorney's Office for criminal filing consideration. Funding will enable the program to enhance services to crime victims of unfiled cases.

Operational Agreements (OA) Summary Form

List of Agencies/Organizations/Individuals		Date OA Signed (xx/xx/xxxx)	Dates of OA From: To:	
1.	Santa Maria Police Department	01/01/20	to	12/31/20
2.	North County Rape Crisis	01/01/20	to	12/31/20
3.	Domestic Violence Solutions	01/01/20	to	12/31/20
4.	Department of Social Services	01/01/20	to	12/31/20
5.	Santa Barbara County Probation	01/01/20	to	12/31/20
6.	Lompoc Police Department	01/01/20	to	12/31/20
7.	Santa Barbara County Sheriff's Department	01/01/20	to	12/31/20
8.			to	
9.			to	
10.			to	
11.			to	
12.			to	
13.			to	
14.			to	
15.			to	
16.			to	
17.			to	
18.			to	
19.			to	
20.			to	

Use additional pages if necessary.



DA Positions Org Chart FY 2019-20

140.5 FTE Recommended Budget FY 2019-20*

* 141.5 FTE Org Chart Total includes 1.0 FTE COP

VICTIMS OF CRIME ACT (VOCA) VICTIM ASSISTANCE FORMULA GRANT PROGRAM
PARTIAL MATCH WAIVER REQUEST

Cal OES Subrecipients may request up to an 80% partial Match Waiver. Approval is dependent on a compelling justification. To request a partial Match Waiver, the Subrecipient must complete the following:

1. VOCA Victim Assistance Formula Grant Program Award Number: 2018-V2-GX-0029
2. Cal OES Subaward Number: XC19 02 0420
- EM 3. Subrecipient's Name: Santa Barbara County ~~District Attorney's Office~~
4. Grant Subaward Performance Period 1/1/20 through 12/31/20
5. VOCA Victim Assistance Funds Awarded: \$ 237,694
6. Amount of Cash Match Proposed (post-Match Waiver): \$ 11,885
- EM 7. Amount of In-kind Match Proposed (post-Match Waiver): \$ ~~N/A~~ 0
8. Total Amount of Match Proposed (sum of #6 and #7): \$ 11,885

9. Briefly summarize the services provided:

The District Attorney's Office identified that unmet needs existed for unserved victims of crime in Northern Santa Barbara County. Since the initial grant award, the District Attorney's Office has increased staffing in Northern Santa Barbara County to provide early access to critical services for victims of violent crime in that region. Additional funding received in 2018 has enabled the District Attorney's Office to expand the scope of the XC program and increase staffing to focus specifically on unmet needs related to property crime restitution.

10. Describe practical and/or logistical obstacles to providing match:

We are not using volunteers for our in-kind match waiver because volunteer recruitment and retention has been unreliable. Volunteer hours have been sporadic, and cannot be relied upon for a stable in-kind match. The VOCA match requirements create a burden upon the proposed Victim/Witness Assistance Program that hinders our ability to provide critical victim services. Failure to meet this requirement puts our program and its valuable services to victims at risk.

11. Describe any local resource constraints to providing match:

We would like to request a partial match waiver due to the financial impact upon the District Attorney's department budget in an extraordinarily difficult financial environment. The County Executive Office is requiring departmental cuts for the upcoming budget year. We respectfully request a partial waiver of the match requirement in order to mitigate additional impacts on our department budget.

Approved

Denied

Cindy Betta
Unit Chief Name

Cindy Betta 10/27/19
Unit Chief Signature / Date

**CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES
SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT**

Subrecipient County of Santa Barbara **Duns#** 131851219 **FIPS#** 083-00000
Disaster/Program Title: County Victim Services (XC) Program
Performance Period: 01/01/20 to 12/31/20 **Subaward Amount Requested:** \$ 237,694
Type of Non-Federal Entity (Check Box): State Gov. Local Gov. JPA Non-Profit Tribe

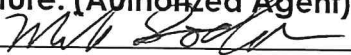
Per Title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations and grant terms and conditions posed by each subrecipient of pass-through funding. This assessment is made in order to determine and provide an appropriate level of technical assistance, training, and grant oversight to subrecipients for the award referenced above.

The following are questions related to your organization's experience in the management of federal grant awards. This questionnaire must be completed and returned with your grant application materials.

For purposes of completing this questionnaire, grant manager is the individual who has primary responsibility for day-to-day administration of the grant, bookkeeper/accounting staff means the individual who has responsibility for reviewing and determining expenditures to be charged to the grant award, and organization refers to the subrecipient applying for the award, and/or the governmental implementing agency, as applicable.

Assessment Factors	Response
1. How many years of experience does your current grant manager have managing grants?	>5 years
2. How many years of experience does your current bookkeeper/accounting staff have managing grants?	>5 years
3. How many grants does your organization currently receive?	>10 grants
4. What is the approximate total dollar amount of all grants your organization receives?	\$ 3,300,000
5. Are individual staff members assigned to work on multiple grants?	Yes
6. Do you use timesheets to track the time staff spend working on specific activities/projects?	Yes
7. How often does your organization have a financial audit?	Annually
8. Has your organization received any audit findings in the last three years?	No
9. Do you have a written plan to charge costs to grants?	Yes
10. Do you have written procurement policies?	Yes
11. Do you get multiple quotes or bids when buying items or services?	Always
12. How many years do you maintain receipts, deposits, cancelled checks, invoices, etc.?	>5 years
13. Do you have procedures to monitor grant funds passed through to other entities?	N/A

Certification: *This is to certify that, to the best of our knowledge and belief, the data furnished above is accurate, complete and current.*

Signature: (Authorized Agent) 	Date: 10/10/2019
Print Name and Title: Joyce E. Dudley, District Attorney	Phone Number: (805) 568-2308
Cal OES Staff Only: SUBAWARD # <u>Anna Preston</u>	

PROJECT SERVICE AREA INFORMATION

1. COUNTY OR COUNTIES SERVED: Enter the name(s) of the county or counties served by the project. Put an asterisk where the project's principal office is located.

*Santa Barbara County

2. U.S. CONGRESSIONAL DISTRICT(S): Enter the number(s) of the U.S. Congressional District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

*24th Congressional District

3. STATE ASSEMBLY DISTRICT(S): Enter the number(s) of the State Assembly District(s) which the project serves. Put an asterisk for the district where the project's principal office is located.

*37th State Assembly District
35th State Assembly District

4. STATE SENATE DISTRICT(S): Enter the number(s) of the State Senate District(s) that the project serves. Put an asterisk for the district where the project's principal office is located.

*19th State Senate District

5. POPULATION OF SERVICE AREA: Enter the total population of the area served by the project.

Population of Santa Barbara County: 446,527